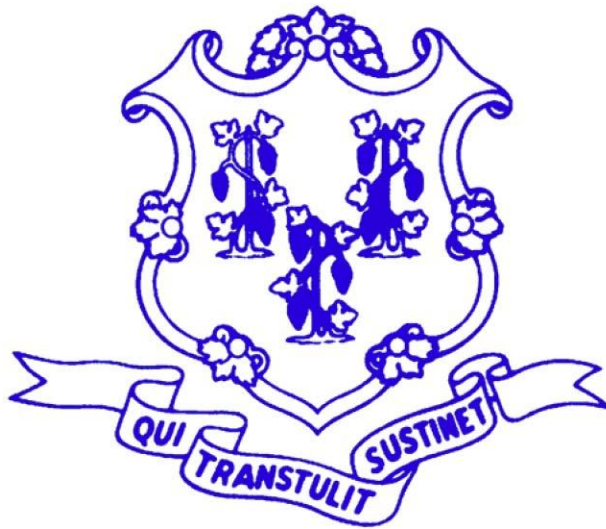


# CONNECTICUT STATE BUDGET

FY 14 & FY 15 Budget



OFFICE OF FISCAL ANALYSIS  
CONNECTICUT GENERAL ASSEMBLY

**\*Note on this Document:**

This document has been updated as needed with final FY 13 expenditure figures and final FY 13 to FY 14 Carry Forward figures as of September 3rd, 2013.

**How to Navigate This Document on the Website**

An image of a hand will appear when hovering over items in the Table of Contents on the following page. This indicates that an item can be accessed directly by clicking on the desired item or page. You may also use the “Find” box in the toolbar above to search for a specific key word or phrase within the budget document. Search words or phrases must be spelled correctly to be located within the document.

Please refer to the second page within the Table of Contents for the Index of Agency Budget Detail.

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## TABLE OF CONTENTS

### I. OVERVIEW

Budget Summary .....	1
Appropriations for Appropriated Funds .....	6
Revenue for Appropriated Funds .....	10

### II. GENERAL FUND

Appropriations by Function of Government .....	12
Revenue by Source .....	16

### III. TRANSPORTATION FUND

Appropriations by Expenditure Type .....	18
Revenue by Source .....	20
Projections .....	22

### IV. OTHER APPROPRIATED FUNDS

FY 13 through FY 15 Balances .....	23
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### V. AGENCY BUDGETS

State Agency Appropriations .....	27
Orientation to Agency Budgets .....	54
State Agency Budgets .....	58

### VI. BUDGET COMPONENTS

Growth Rates .....	488
Spending Cap .....	489
Solving the Budget Deficit .....	490
Building the Budget .....	491
Major Appropriations Changes .....	493
Newtown Initiatives .....	498
Budget Lapses and Holdbacks .....	499
Carry Forward Funding .....	506
FY 13 Deficiency Funding .....	511
FY 16 - FY 18 Out Year Projections .....	515
Authorized Permanent Full-Time Positions .....	516
Municipal Formula and Other Municipal Aid .....	519
The Governor's FY 14 and FY 15 Revised Budget Recommendations .....	522
General Budget Expenditure Growth Rate .....	524
Budget Reserve Fund Activity and Balance .....	525
FY 99 - FY 13 General Fund Surplus or Deficit from Operations .....	526
Major Revenue Changes .....	527
Finance, Revenue, and Bonding Committee Revised Revenue Schedule .....	538

### VII. CAPITAL BUDGET .....

### VIII. APPENDIX

The State Budget Process .....	560
Budget and Finance Related Legislation .....	562
Glossary of Budget Book Terms .....	566
Index of Agency Budgets .....	579



## **I. OVERVIEW**

### **General Fund Budget Development**

In November 2012, both OFA and the Office of Policy and Management were projecting significant deficits in the FY 14 and FY 15 biennium. OFA projected a \$1.1 billion deficit in FY 14 and a \$1.0 billion deficit for FY 15. In February 2013, Governor Malloy proposed a biennial budget that solved the deficit with a mix of expenditure reductions (64%) and revenue adjustments (36%). The Governor's budget, however, was over the spending cap by \$465.7 million in FY 14 and \$690.8 million in FY 15 unless certain assumptions and definitional changes in the cap were adopted by the General Assembly.<sup>1</sup> Subsequently, the spending cap's impact on the FY 14 and FY 15 budget became the defining fiscal issue of the 2013 legislative session.

Due to the impact of the "Fiscal Cliff,"<sup>2</sup> revenue growth projections were reduced in FY 14 and FY 15 so that the FY 14 budget gap increased to \$1.5 billion. Ultimately the legislature adopted an FY 14 and FY 15 Budget (PA 13-184 as adjusted by PA 13-247) that solved the deficit with about the same mix of expenditure reductions (63%) and revenue adjustments (37%) in FY 14 and resulted in an FY 14 starting balance of \$4.4 million. In addition, the budget was under the spending cap by \$9.4 million in FY 14 and by \$166.2 million in FY 15 primarily due to the net funding of Medicaid which removed the federally reimbursed share of DSS-related appropriations for Medicaid and also specified the handling of a how the program should be rebased for purposes of the spending cap calculation.

### **FY 14 and FY 15 Budget Summary**

The budget<sup>3</sup> appropriates \$18,606.5 million in FY 14 and \$18,995.4 million in FY 15. The appropriations are supported by estimated revenues of \$18,612.1 million in FY 14 and \$18,999.9 million in FY 15. The table below compares the revenue estimates to the appropriations.

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<sup>1</sup>The spending cap applies to all appropriated funds not just the General Fund, although the General Fund makes up 92% of the total.

<sup>2</sup>Much of the change in projected revenue was attributed to the anticipation of the impact of the federal "Fiscal Cliff" which caused some individuals to take capital gains that they otherwise would not have in anticipation of the expiration of tax cuts on January 1, 2013. This led to higher than expected income tax revenue in FY 13 but a reduction in the projections for FY 14 and FY 15.

<sup>3</sup>PA 13-184, the FY 14 and FY 15 Budget as adjusted by PA 13-247, the general government implementer.

### Budgeted Appropriations Compared to Revenue Estimates (\$ - millions)

Fund	FY 14 \$			FY 15 \$		
	Approp.	Revenue	Surplus/ (Deficit)	Approp.	Revenue	Surplus/ (Deficit)
General	17,188.7	17,193.1	4.4	17,497.6	17,500.7	3.1
Special Transportation	1,243.2	1,243.7	0.5	1,322.3	1,322.7	0.4
Other Appropriated	174.6	175.3	0.7	175.5	176.5	1.0
<b>TOTAL</b>	<b>18,606.5</b>	<b>18,612.1</b>	<b>5.6</b>	<b>18,995.4</b>	<b>18,999.9</b>	<b>4.5</b>

### Medicaid Appropriations Limited to State Share

Perhaps the most significant change in the budget is the change from a gross funding of the DSS Medicaid program to a net funded method. The FY 14 and FY 15 appropriations for the Department of Social Services' Medicaid account reflects only the state's share of this joint state/federal program, as shown in the table below. For FY 13, the \$4,697,969,332 gross appropriation for Medicaid included both the state's obligation as well as the reimbursable federal funds. The table below reflects the state and federal share of the Medicaid expenditures in FY 14 and FY 15.

### State and Federal Share of Medicaid Expenditures

Item	FY 14 \$	FY 15 \$
State Appropriation	2,409,314,923	2,289,569,579
Federal Share	2,768,723,827	3,204,946,670
<b>GROSS EXPENDITURES</b>	<b>5,178,038,750</b>	<b>5,494,516,249</b>

The appropriation of only the state's portion of costs for Medicaid (net appropriations) rather than the combined state and federal cost of Medicaid (gross appropriations - which includes the reflection of the federal reimbursement as state revenue), requires the removal of federal reimbursements for Medicaid from the revenue schedule. This change removed \$2.8 billion in FY 14 and \$3.2 billion in FY 15 from the Federal Grants revenue category in the General Fund revenue schedule.

### FY 13 Projected Deficit Turns to Surplus

FY 13 began the year with a budgeted \$3.1 million surplus. By November 2012, however, OFA was forecasting a \$320.7 million deficit. In November, the Governor implemented \$170.4 million in rescissions to address the shortfall. Although the rescissions totaled \$170.4 million, OFA estimated that the actual impact of the rescissions would be \$100.8 million or \$69.6 million less, when factoring in previously estimated lapses and loss of federal revenue.

As required by law, the Governor issued a plan, in early December, 2012, to mitigate the deficit. On December 10, 2012, OFA and OPM jointly projected a \$251.6 million deficit level as a mitigation target.

Against the backdrop of the December 14, 2012 Newtown, CT school shootings that left 26 people dead, the Governor's mitigation plan was taken up in a bipartisan fashion by the General Assembly, altered, and adopted as PA 12-1 of the December Special Session. The act made expenditure modifications of \$221.5 million and revenue adjustments of \$4.2 million to the FY 13 Revised Budget totaling \$225.7 million. In addition to the changes contained in the act, other revenue adjustments were made administratively totaling \$26.6 million, which together total \$252.3 million. These actions effectively eliminated the projected deficit for FY 13 and left a balance of \$0.7 million<sup>4</sup> heading into 2013.

However, with revenue deterioration in certain areas (primarily Sales and Use Tax), and increased projected expenditures in others (primarily Medicaid), OFA again projected a deficit on January 25, 2013 of \$138.6 million. Although no legislative actions were taken in response to the new deficit forecast, the Governor tightened hiring restrictions and personal service agreements to reduce expenditures.

Once the April 15 income tax collections were tallied it became clear that the income tax had performed higher than expectations. With further revenue recovery and decline in expenditures, the State Comptroller reported a final surplus of \$398.8 million. In accordance with Section 58 of PA 13-184, the FY 14 and FY 15 Budget, up to \$220.8 million of the surplus is to be accounted for as General Fund revenue for FY 14 and FY 15. The remainder of the surplus of \$178.0 million is deposited to the Budget Reserve Fund in accordance with CGS 4-30a.

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<sup>4</sup>The bill did not affect the spending cap level for FY 13 as it did not alter FY 13 appropriations, but rather provided the authority to adjust expenditures under various accounts.

**Biennial Budget Fund Summary  
(PA 13-184 as adjusted by PA 13-247)**

Item	FY 14 \$	FY 15 \$
<b>Gross Appropriations</b>		
General Fund <sup>1</sup>	17,361,371,991	17,656,098,266
Special Transportation Fund	1,254,182,080	1,333,312,395
Banking Fund	26,608,448	27,845,849
Insurance Fund	30,744,674	31,968,453
Consumer Counsel and Public Utility Control Fund	24,868,827	25,384,201
Workers' Compensation Fund	23,199,856	24,789,229
Mashantucket Pequot and Mohegan Fund	61,779,907	61,779,907
Soldiers, Sailors and Marines' Fund	3,099,619	-
Regional Market Operation Fund	921,680	941,498
Criminal Injuries Compensation Fund	3,380,286	2,787,016
<b>Total - Gross Appropriations</b>	<b>18,790,157,368</b>	<b>19,164,906,814</b>
<b>General Fund (GF) Lapses</b>		
GAAP Lapse	(5,500,000)	(7,500,000)
General Lapse - Executive	(13,785,503)	(13,785,503)
General Lapse - Judicial	(401,946)	(401,946)
General Lapse - Legislative	(56,251)	(56,251)
Unallocated Lapse	(91,676,192)	(91,676,192)
Unallocated Lapse - Judicial	(7,400,672)	(7,400,672)
Unallocated Lapse - Legislative	(3,028,105)	(3,028,105)
Transfer GAAP Funding	(40,000,000)	-
Municipal Opportunities and Regional Efficiencies Program	-	(10,000,000)
General Other Expenses Reductions - Legislative	(140,000)	(140,000)
General Other Expenses Reductions - Executive	(3,312,000)	(3,312,000)
General Other Expenses Reductions - Judicial	(548,000)	(548,000)
Statewide Hiring Reduction - Executive	(5,478,184)	(16,675,121)
Statewide Hiring Reduction - Judicial	(1,128,261)	(3,434,330)
Statewide Hiring Reduction - Legislative	(190,309)	(579,285)
<b>Total - GF Lapses</b>	<b>(172,645,423)</b>	<b>(158,537,405)</b>
<b>Transportation Fund (TF) Lapses</b>		
Unallocated Lapse	(11,000,000)	(11,000,000)
<b>Total - TF Lapses</b>	<b>(11,000,000)</b>	<b>(11,000,000)</b>
<b>Net Appropriations</b>		
General Fund <sup>1</sup>	17,188,726,568	17,497,560,861
Special Transportation Fund	1,243,182,080	1,322,312,395
Banking Fund	26,608,448	27,845,849
Insurance Fund	30,744,674	31,968,453

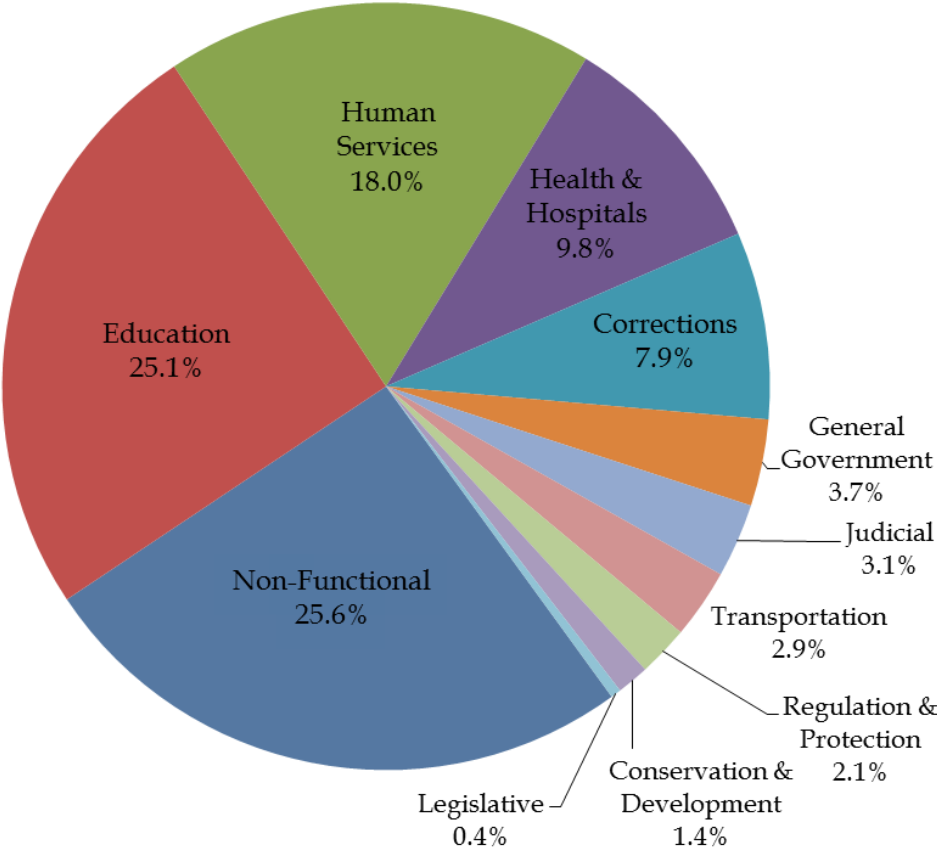
<b>Item</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
Consumer Counsel and Public Utility Control Fund	24,868,827	25,384,201
Workers' Compensation Fund	23,199,856	24,789,229
Mashantucket Pequot and Mohegan Fund	61,779,907	61,779,907
Soldiers, Sailors and Marines' Fund	3,099,619	-
Regional Market Operation Fund	921,680	941,498
Criminal Injuries Compensation Fund	3,380,286	2,787,016
<b>TOTAL - NET APPROPRIATIONS</b>	<b>18,606,511,945</b>	<b>18,995,369,409</b>

<sup>1</sup>The FY 14 and FY 15 General Fund appropriations for the Medicaid account in the Department of Social Services reflect only the state share of the joint state/federal program. Thus to remove the federal share of the Medicaid appropriation in FY 14, the General Fund appropriation was reduced by \$2.8 billion. In FY 15, \$3.2 billion was reduced from the General Fund appropriation.

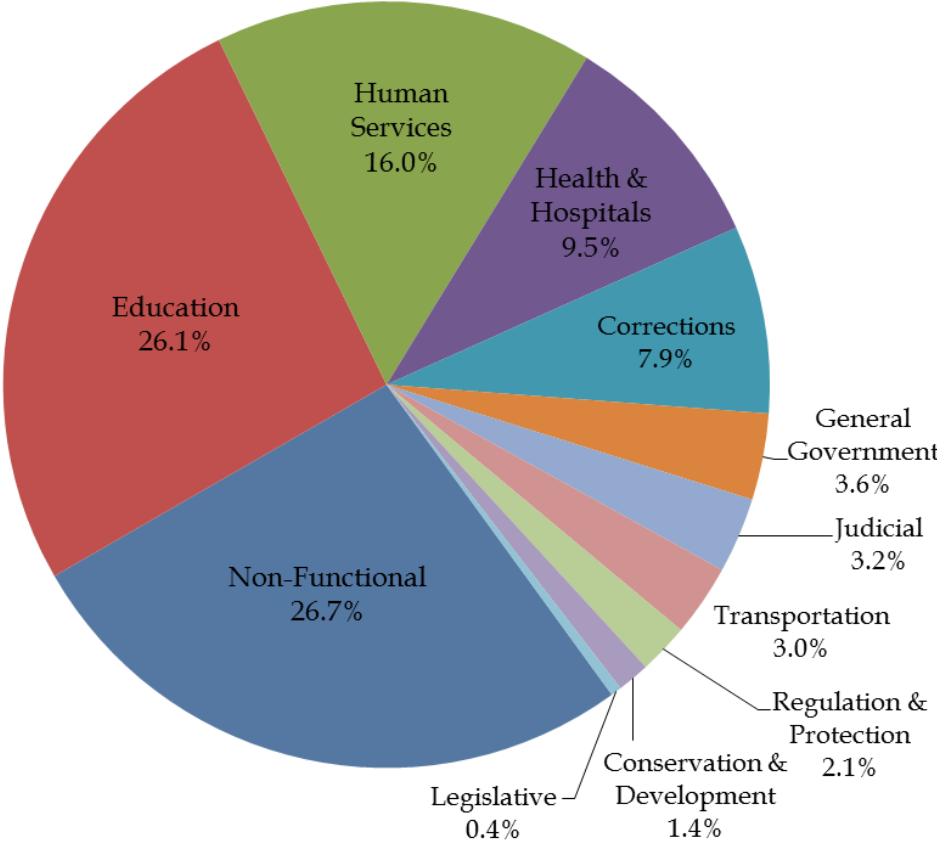
**FY 14 and FY 15 All Appropriated Funds**  
(by function of government – in millions)

<b>Function of Government</b>	<b>FY 14 \$</b>	<b>% of Total</b>	<b>FY 15 \$</b>	<b>% of Total</b>
Non-Functional	4,815.8	25.6%	5,113.4	26.7%
<i>Fringe Benefits</i>	2,566.7	13.7%	2,715.5	14.2%
Education	4,709.5	25.1%	5,004.7	26.1%
<i>Elementary Education</i>	3,890.7	20.7%	4,019.5	21.0%
<i>Higher Education</i>	630.4	3.4%	682.5	3.6%
Human Services	3,387.0	18.0%	3,057.1	16.0%
<i>Medicaid</i>	2,409.3	12.8%	2,289.6	11.9%
<i>Disproportionate Share</i>	134.2	0.7%	-	0.0%
<i>Temporary Assistance to Families</i>	112.1	0.6%	112.1	0.6%
Health & Hospitals	1,835.9	9.8%	1,828.0	9.5%
Corrections	1,481.9	7.9%	1,516.8	7.9%
General Government	686.9	3.7%	699.0	3.6%
Judicial	586.0	3.1%	610.1	3.2%
Transportation	550.1	2.9%	580.1	3.0%
Regulation & Protection	401.0	2.1%	406.8	2.1%
Conservation & Development	255.0	1.4%	263.7	1.4%
Legislative	81.2	0.4%	85.2	0.4%
<b>Gross Total</b>	<b>18,790.2</b>		<b>19,164.9</b>	
Lapses	(183.6)		(169.5)	
<b>NET TOTAL</b>	<b>18,606.5</b>		<b>18,995.4</b>	

**FY 14 All Appropriated Funds**  
(by function of government)

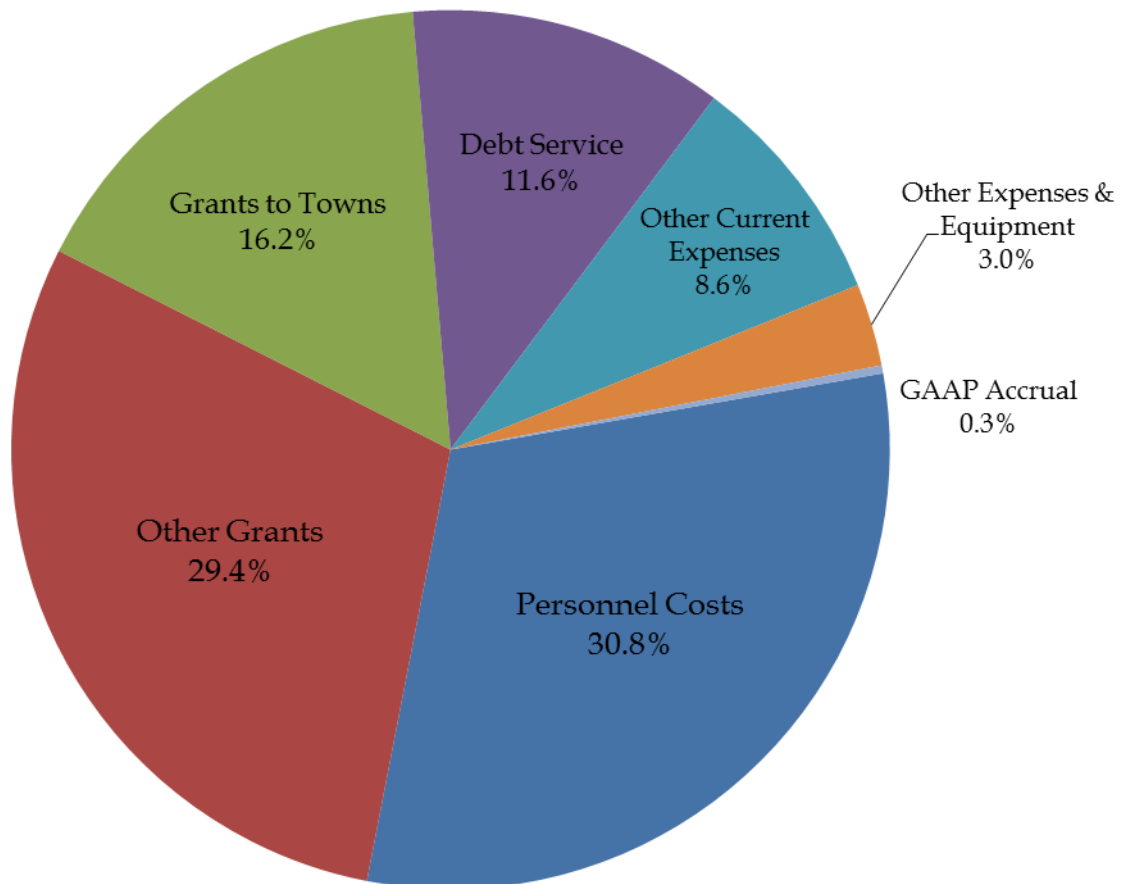


**FY 15 All Appropriated Funds**  
(by function of government)



**FY 14 All Appropriated Funds**  
(by account type - in millions)

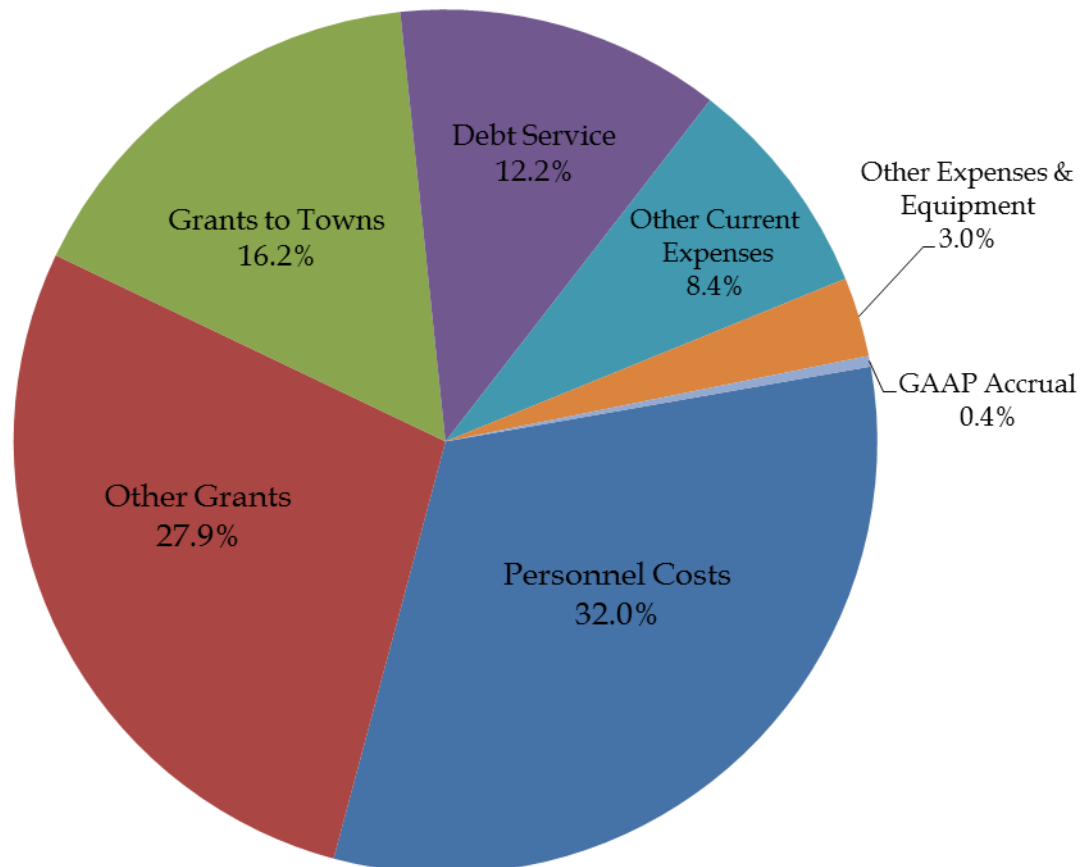
<b>Expenditure Type</b>	<b>FY 14 \$</b>	<b>% of Total</b>
Personnel Costs	5,793.6	30.8%
<i>Fringe Benefits</i>	2,566.7	13.7%
Other Grants	5,527.2	29.4%
<i>Medicaid</i>	2,409.3	12.8%
<i>Teacher's Retirement: Pension &amp; Health</i>	970.9	5.2%
Grants to Towns	3,034.9	16.2%
<i>Education Equalization Grants</i>	2,066.6	11.0%
<i>Magnet Schools</i>	265.4	1.4%
<i>PILOTS - State Owned &amp; Private</i>	189.1	1.0%
Debt Service	2,183.6	11.6%
Other Current Expenses	1,625.1	8.6%
Other Expenses & Equipment	569.8	3.0%
GAAP Accrual	55.9	0.3%
<b>Gross Total</b>	<b>18,790.2</b>	<b>100.0%</b>
Lapses	(183.6)	
<b>NET TOTAL</b>	<b>18,606.5</b>	





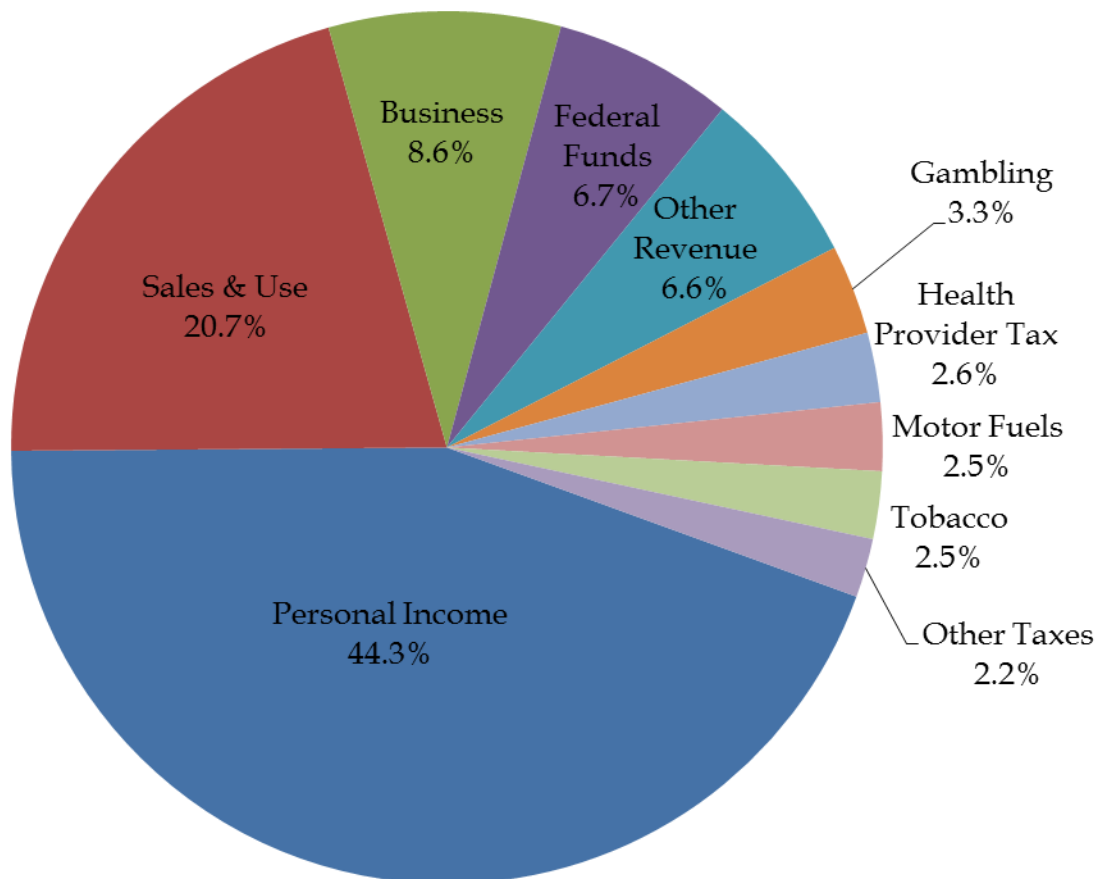
**FY 15 All Appropriated Funds**  
(by account type - in millions)

<b>Expenditure Type</b>	<b>FY 15 \$</b>	<b>% of Total</b>
Personnel Costs	6,123.4	32.0%
<i>Fringe Benefits</i>	2,715.5	14.2%
Other Grants	5,350.7	27.9%
<i>Medicaid</i>	2,289.6	11.9%
<i>Teacher's Retirement: Pension &amp; Health</i>	1,010.8	5.3%
Grants to Towns	3,110.8	16.2%
<i>Education Equalization Grants</i>	2,122.9	11.1%
<i>Magnet Schools</i>	281.3	1.5%
<i>PILOTS - State Owned &amp; Private</i>	189.1	1.0%
Debt Service	2,333.6	12.2%
Other Current Expenses	1,600.3	8.4%
Other Expenses & Equipment	569.5	3.0%
GAAP Accrual	76.7	0.4%
<b>Gross Total</b>	<b>19,164.9</b>	<b>100.0%</b>
Lapses	(169.5)	
<b>NET TOTAL</b>	<b>18,995.4</b>	



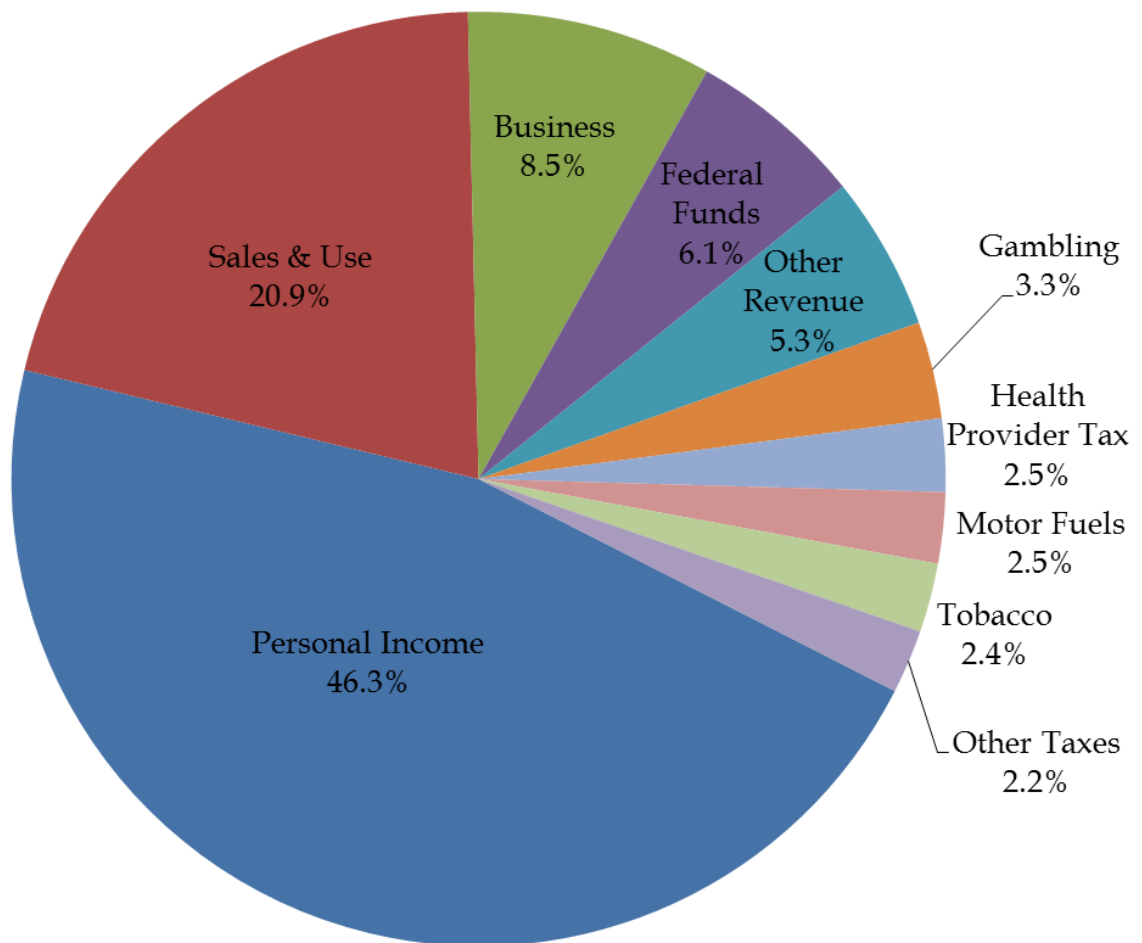
**FY 14 All Appropriated Funds Revenue**  
(by revenue item - in millions)

Revenue Item	FY 14 \$	% of Total
Personal Income	8,808.8	44.3%
Sales & Use	4,122.4	20.7%
Business	1,709.3	8.6%
Federal Funds	1,325.8	6.7%
Other Revenue	1,301.8	6.6%
Gambling	661.0	3.3%
Health Provider Tax	512.0	2.6%
Motor Fuels	502.9	2.5%
Tobacco	497.4	2.5%
Other Taxes	433.7	2.2%
<b>Gross Total</b>	<b>19,875.1</b>	
Less Refunds & Credits	(1,263.0)	
<b>NET TOTAL</b>	<b>18,612.1</b>	



**FY 15 All Appropriated Funds Revenue**  
(by revenue item - in millions)

Revenue Item	FY 15 \$	% of Total
Personal Income	9,399.8	46.3%
Sales & Use	4,244.7	20.9%
Business	1,726.2	8.5%
Federal Funds	1,241.0	6.1%
Other Revenue	1,083.8	5.3%
Gambling	680.6	3.3%
Health Provider Tax	514.5	2.5%
Motor Fuels	499.1	2.5%
Tobacco	485.5	2.4%
Other Taxes	448.6	2.2%
<b>Gross Total</b>	<b>20,323.8</b>	
Less Refunds & Credits	(1,323.9)	
<b>NET TOTAL</b>	<b>18,999.9</b>	

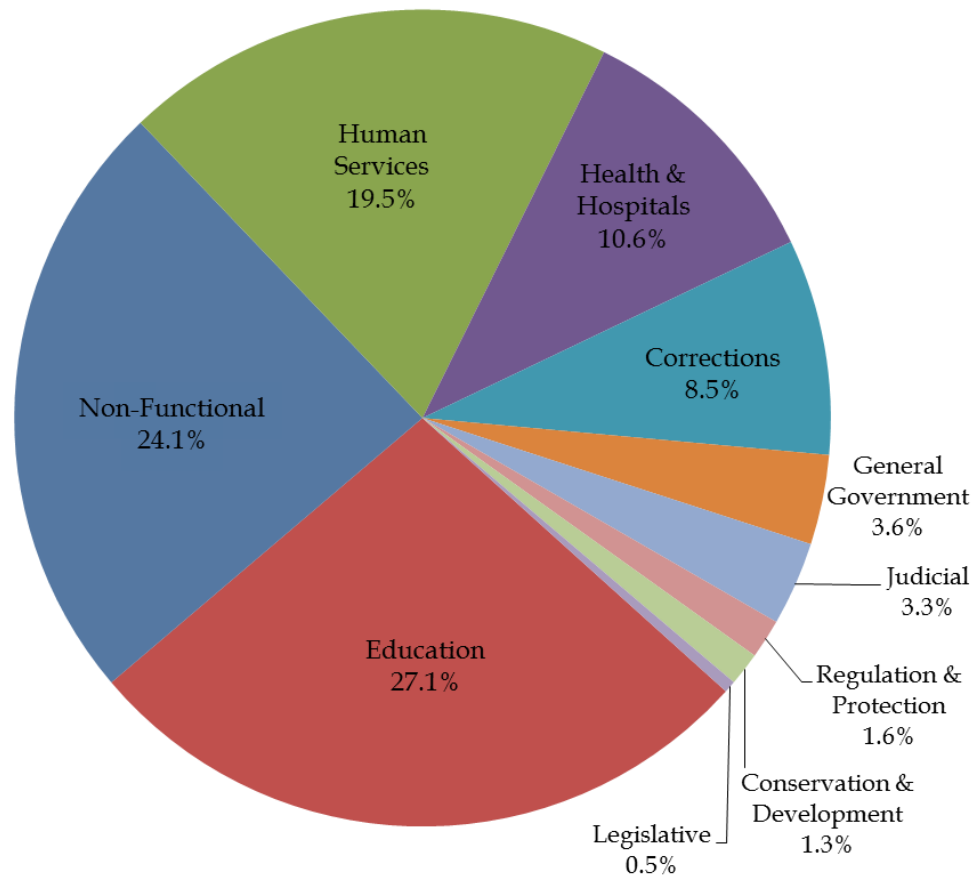


## II. GENERAL FUND

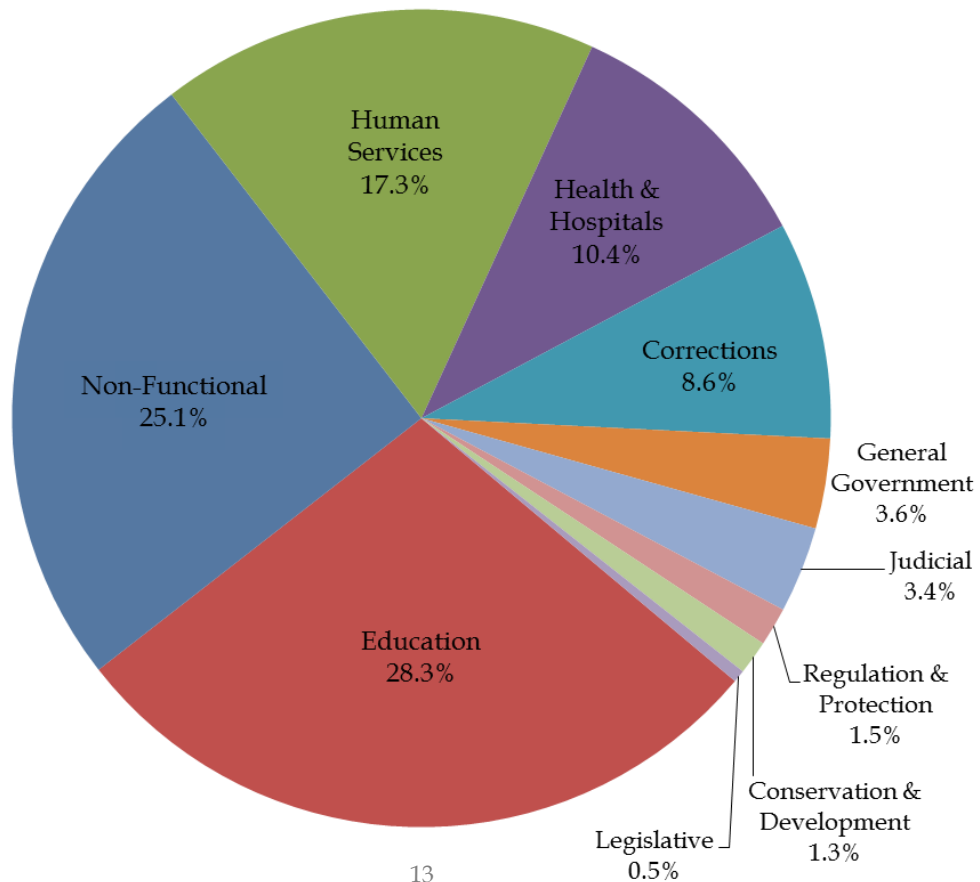
### FY 14 and FY 15 General Fund Appropriations (by function of government – in millions)

Function of Government	FY 14 \$	% of Total	FY 15 \$	% of Total
Education	4,709.5	27.1%	5,004.7	28.3%
<i>Elementary Education</i>	3,890.7	22.4%	4,019.5	22.8%
<i>Higher Education</i>	630.4	3.6%	682.5	3.9%
Non-Functional	4,178.2	24.1%	4,430.3	25.1%
<i>Fringe Benefits</i>	2,369.1	13.6%	2,492.1	14.1%
Human Services	3,381.3	19.5%	3,054.5	17.3%
<i>Medicaid</i>	2,409.3	13.9%	2,289.6	13.0%
<i>Disproportionate Share</i>	134.2	0.8%	-	0.0%
<i>Temporary Assistance to Families</i>	112.1	0.6%	112.1	0.6%
Health & Hospitals	1,835.4	10.6%	1,827.6	10.4%
Corrections	1,481.9	8.5%	1,516.8	8.6%
General Government	616.7	3.6%	628.1	3.6%
Judicial	577.1	3.3%	601.3	3.4%
Regulation & Protection	271.1	1.6%	270.3	1.5%
Conservation & Development	229.0	1.3%	237.2	1.3%
Legislative	81.2	0.5%	85.2	0.5%
<b>Gross Total</b>	<b>17,361.4</b>		<b>17,656.1</b>	
Lapses	(172.6)		(158.5)	
<b>NET TOTAL</b>	<b>17,188.7</b>		<b>17,497.6</b>	

### FY 14 General Fund Appropriations (by function of government)

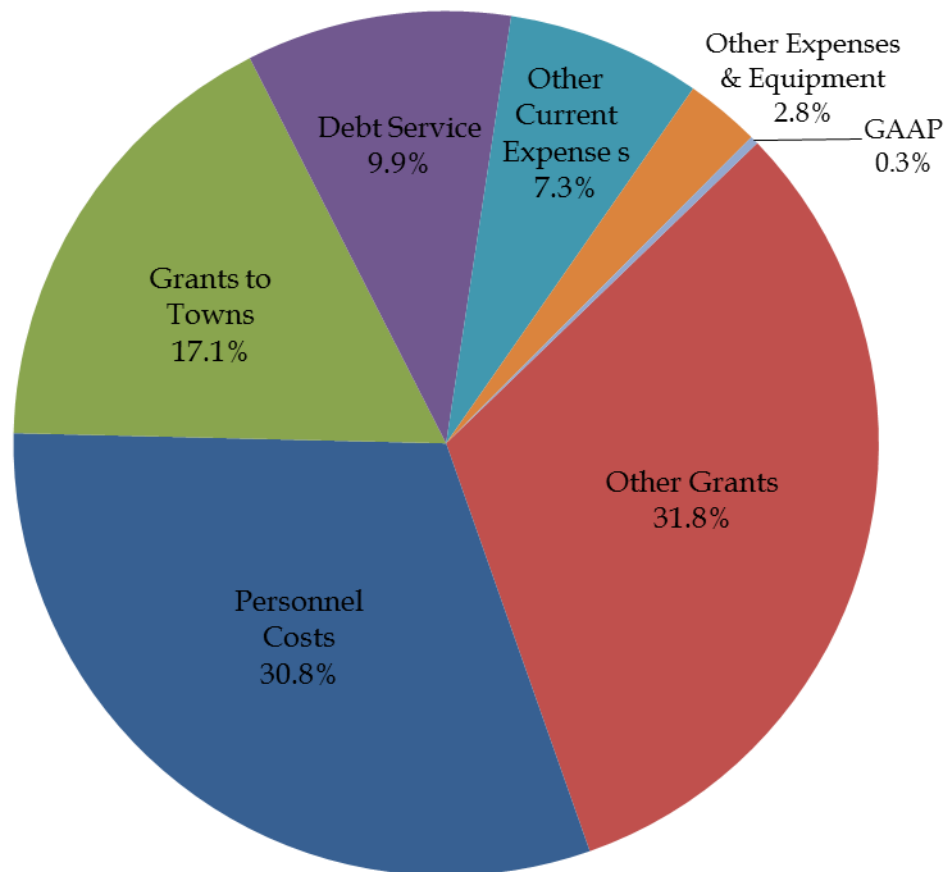


### FY 15 General Fund Appropriations (by function of government)



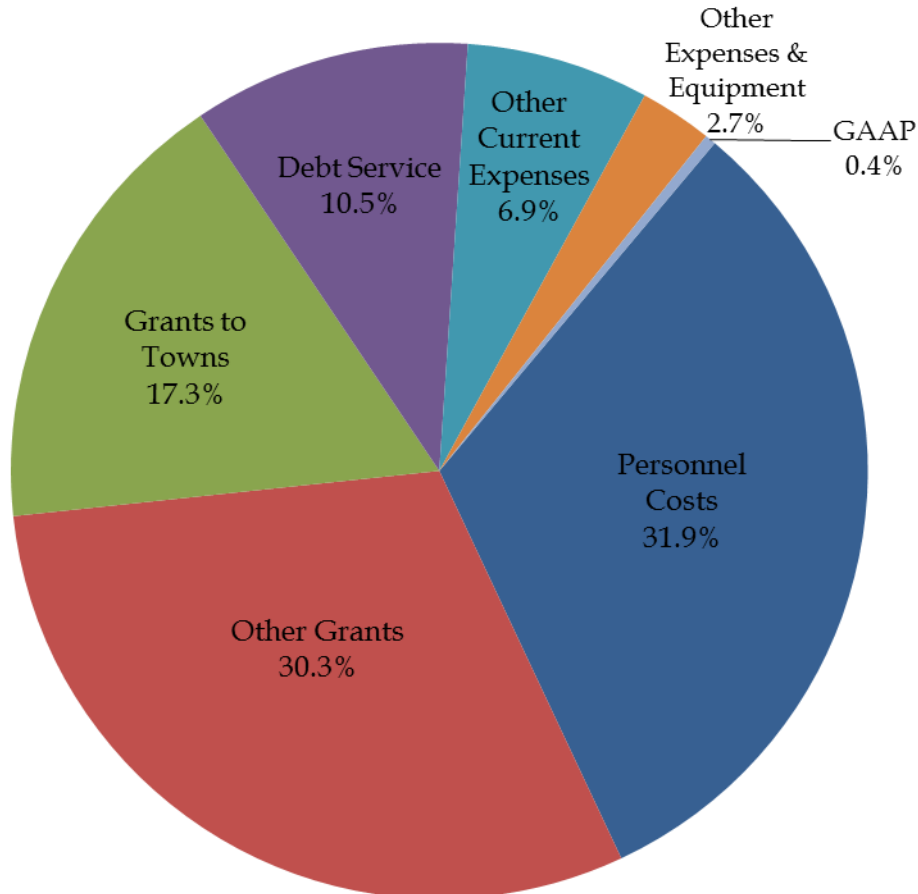
**FY 14 General Fund Appropriations  
(by account type - in millions)**

<b>Expenditure Type</b>	<b>FY 14 \$</b>	<b>% of Total</b>
Personnel Costs	5,342.2	30.8%
<i>Fringe Benefits</i>	2,369.1	13.6%
Other Grants	5,526.1	31.8%
<i>Medicaid</i>	2,409.3	13.9%
<i>Teacher's Retirement: Pension &amp; Health</i>	970.9	5.6%
Grants to Towns	2,973.1	17.1%
<i>Education Equalization Grants</i>	2,066.6	11.9%
<i>Magnet Schools</i>	265.4	1.5%
<i>PILOTS - State Owned &amp; Private</i>	189.1	1.1%
Debt Service	1,719.8	9.9%
Other Current Expenses	1,261.8	7.3%
Other Expenses & Equipment	484.7	2.8%
GAAP Accrual	53.7	0.3%
<b>Gross Total</b>	<b>17,361.4</b>	<b>100.0%</b>
Lapses	(172.6)	
<b>NET TOTAL</b>	<b>17,188.7</b>	



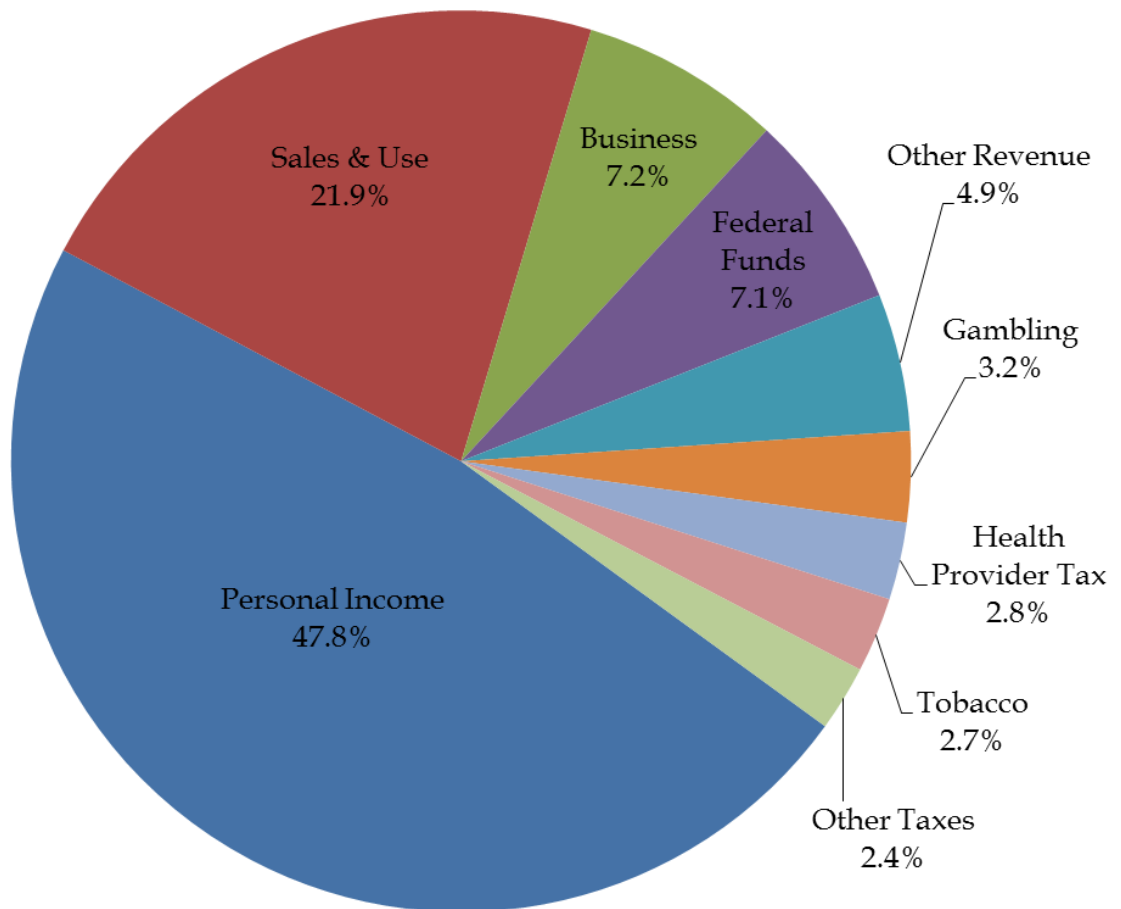
**FY 15 General Fund Appropriations**  
(by account type - in millions)

Expenditure Type	FY 15 \$	% of Total
Personnel Costs	5,633.0	31.9%
<i>Fringe Benefits</i>	2,492.1	14.1%
Other Grants	5,349.6	30.3%
<i>Medicaid</i>	2,289.6	13.0%
<i>Teacher's Retirement: Pension &amp; Health</i>	1,010.8	5.7%
Grants to Towns	3,049.0	17.3%
<i>Education Equalization Grants</i>	2,122.9	12.0%
<i>Magnet Schools</i>	281.3	1.6%
<i>PILOTS - State Owned &amp; Private</i>	189.1	1.1%
Debt Service	1,850.3	10.5%
Other Current Expenses	1,217.9	6.9%
Other Expenses & Equipment	484.0	2.7%
GAAP Accrual	72.1	0.4%
<b>Gross Total</b>	<b>17,656.1</b>	<b>100.0%</b>
Lapses	(158.5)	
<b>NET TOTAL</b>	<b>17,497.6</b>	



**FY 14 General Fund Revenue  
(by revenue item - in millions)**

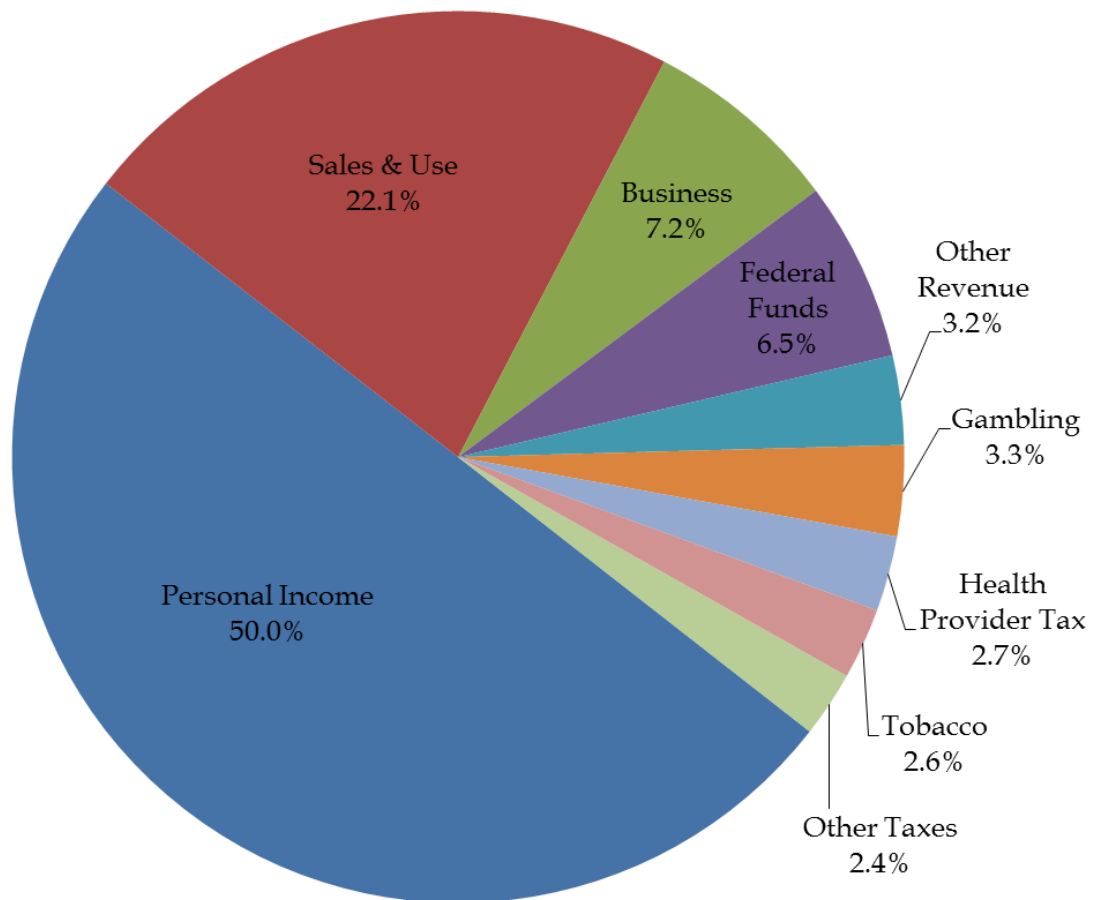
Revenue Item	FY 14 \$	% of Total
Personal Income	8,808.8	47.8%
Sales & Use	4,044.0	21.9%
Business	1,328.6	7.2%
Federal Funds	1,312.7	7.1%
Other Revenue	910.1	4.9%
Gambling	599.2	3.2%
Health Provider Tax	512.0	2.8%
Tobacco	497.4	2.7%
Other Taxes	433.7	2.4%
<b>Gross Total</b>	<b>18,446.5</b>	
Less Refunds & Credits	(1,253.3)	
<b>NET TOTAL</b>	<b>17,193.2</b>	





**FY 15 General Fund Revenue  
(by revenue item - in millions)**

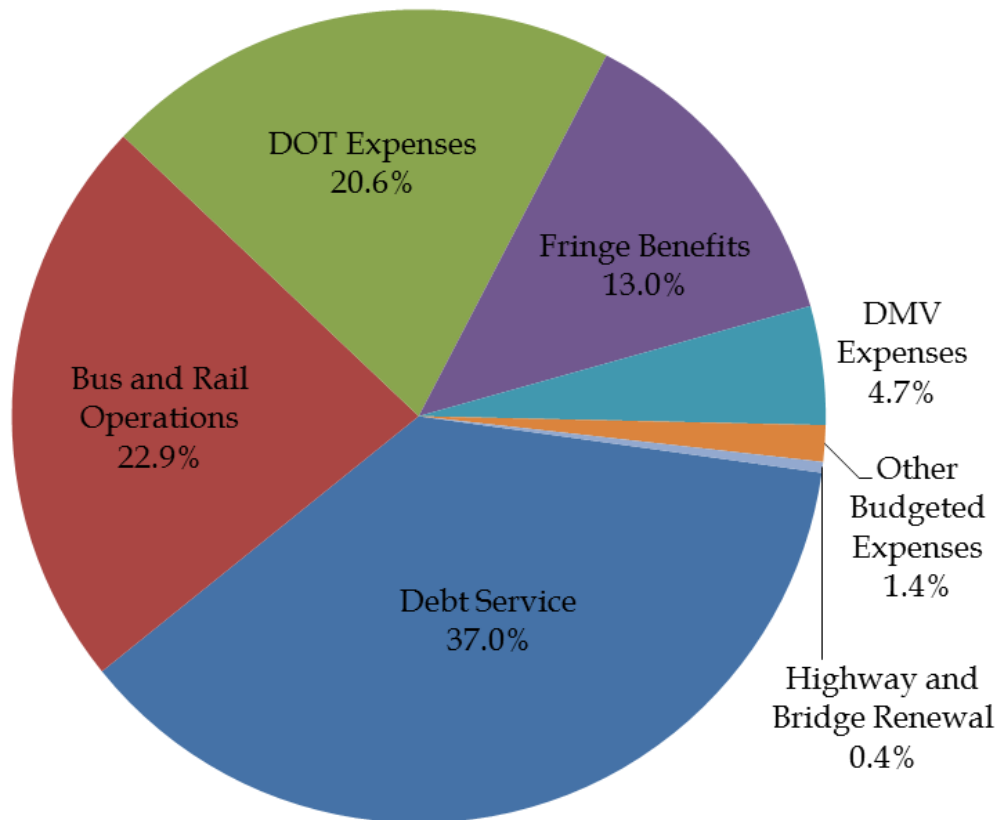
Revenue Item	FY 15 \$	% of Total
Personal Income	9,399.8	50.0%
Sales & Use	4,164.8	22.1%
Business	1,347.1	7.2%
Federal Funds	1,227.9	6.5%
Other Revenue	607.8	3.2%
Gambling	618.8	3.3%
Health Provider Tax	514.5	2.7%
Tobacco	485.5	2.6%
Other Taxes	448.6	2.4%
<b>Gross Total</b>	<b>18,814.8</b>	
Less Refunds & Credits	(1,314.1)	
<b>NET TOTAL</b>	<b>17,500.7</b>	



### III. TRANSPORTATION FUND

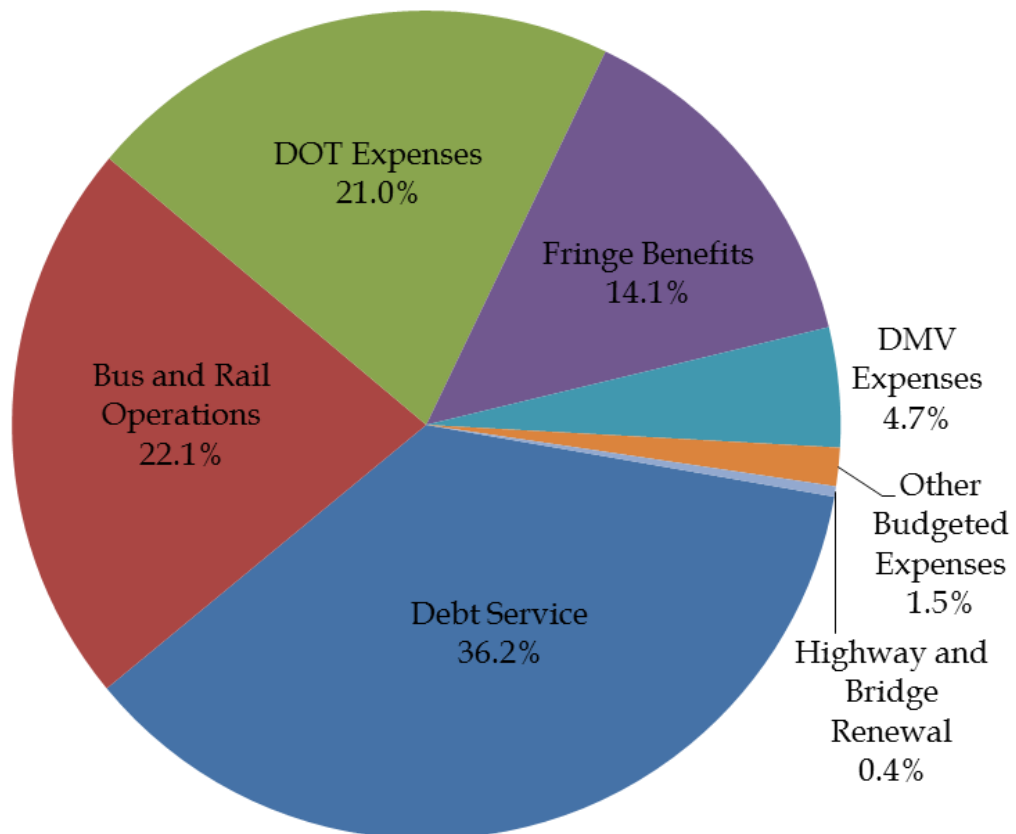
**FY 14 Transportation Fund Appropriations**  
(by expenditure type – in millions)

Expenditure Type	FY 14 \$	% of Total
Debt Service	463.8	37.0%
Bus and Rail Operations	286.8	22.9%
DOT Expenses	257.8	20.6%
Fringe Benefits	163.0	13.0%
DMV Expenses	59.2	4.7%
Other Budgeted Expenses	18.1	1.4%
Highway and Bridge Renewal	5.4	0.4%
<b>Gross Total</b>	<b>1,254.2</b>	
Lapses	(11.0)	
<b>NET TOTAL</b>	<b>1,243.2</b>	



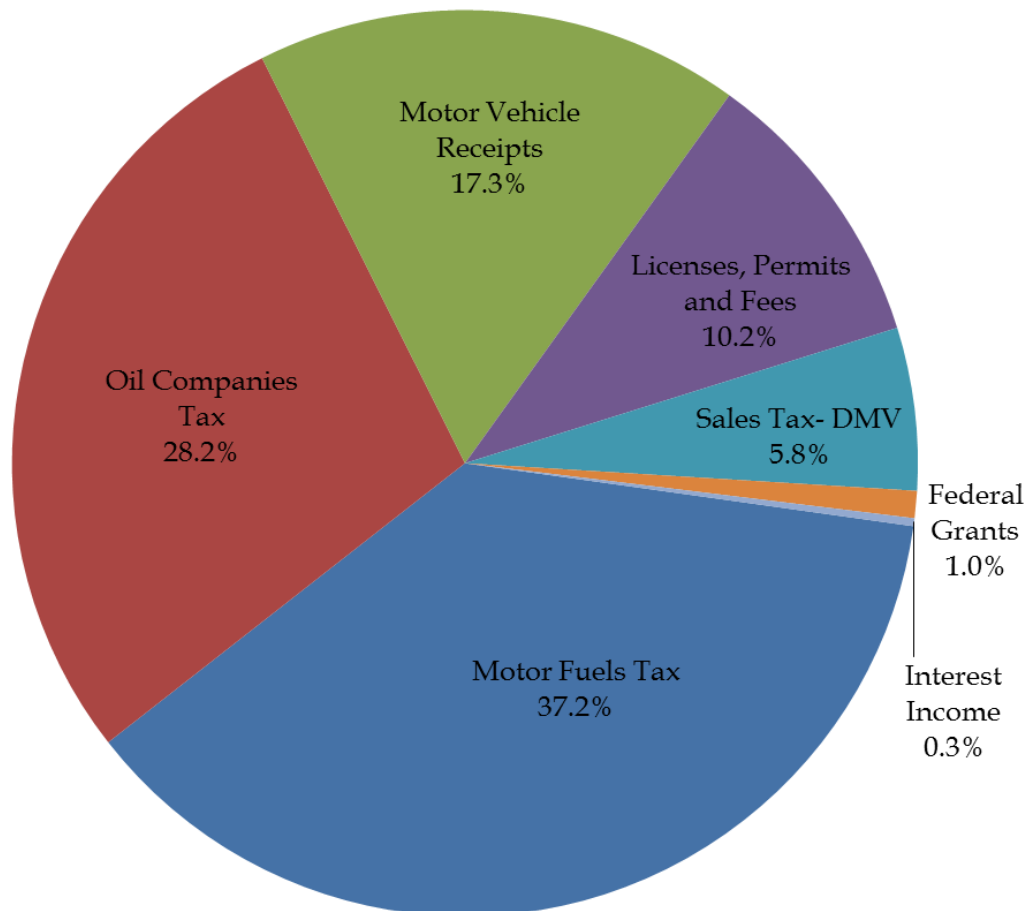
**FY 15 Transportation Fund Appropriations**  
(by expenditure type – in millions)

<b>Expenditure Type</b>	<b>FY 15 \$</b>	<b>% of Total</b>
Debt Service	483.2	36.2%
Bus and Rail Operations	294.7	22.1%
DOT Expenses	280.0	21.0%
Fringe Benefits	187.8	14.1%
DMV Expenses	62.2	4.7%
Other Budgeted Expenses	20.0	1.5%
Highway and Bridge Renewal	5.4	0.4%
<b>Gross Total</b>	<b>1,333.3</b>	
Lapses	(11.0)	
<b>NET TOTAL</b>	<b>1,322.3</b>	



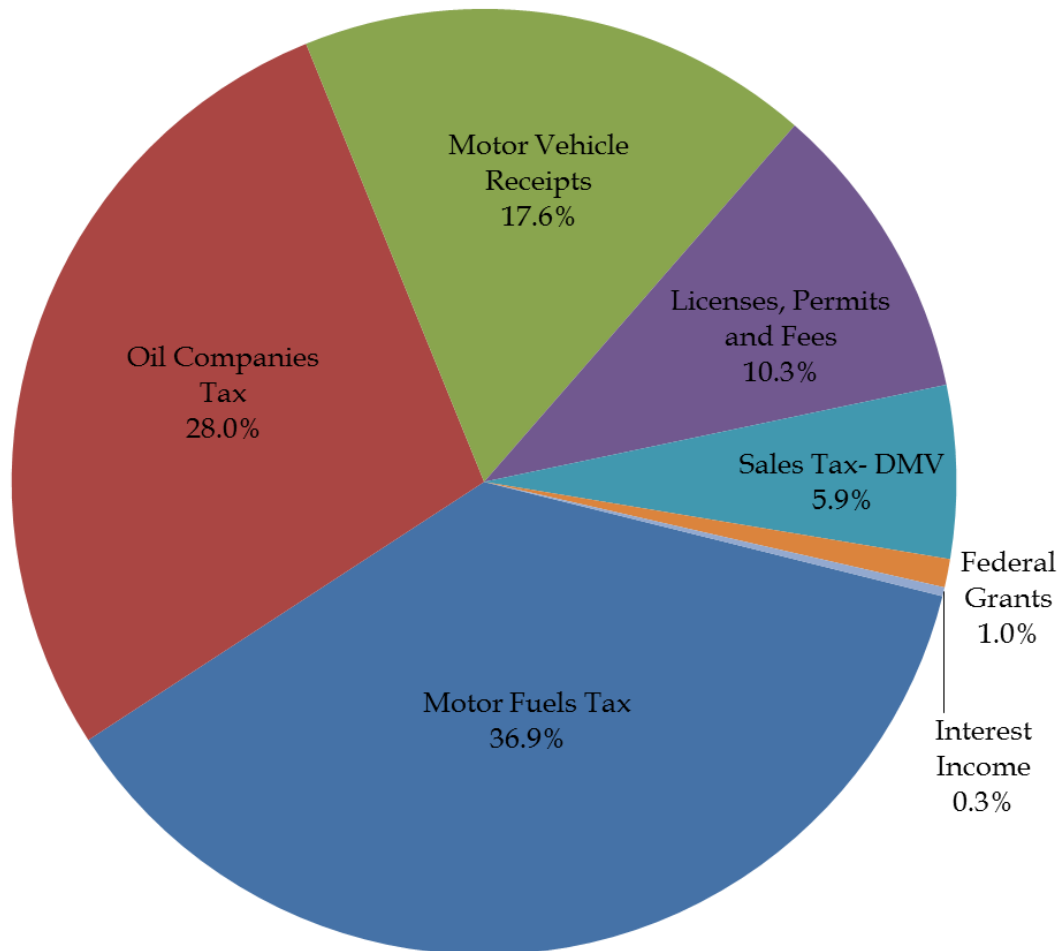
**FY 14 Transportation Fund Revenue**  
(by revenue item – in millions)

Revenue Item	FY 14 \$	% of Total
Motor Fuels Tax	502.9	37.2%
Oil Companies Tax	380.7	28.2%
Motor Vehicle Receipts	234.0	17.3%
Licenses, Permits and Fees	138.5	10.2%
Sales Tax- DMV	78.4	5.8%
Federal Grants	13.1	1.0%
Interest Income	3.8	0.3%
<b>Gross Total</b>	<b>1,351.4</b>	
Less Refunds & Credits	(107.7)	
<b>NET TOTAL</b>	<b>1,243.7</b>	



**FY 15 Transportation Fund Revenue**  
(by revenue item – in millions)

Revenue Item	FY 15 \$	% of Total
Motor Fuels Tax	499.1	36.9%
Oil Companies Tax	379.1	28.0%
Motor Vehicle Receipts	237.5	17.6%
Licenses, Permits and Fees	139.1	10.3%
Sales Tax- DMV	79.9	5.9%
Federal Grants	13.1	1.0%
Interest Income	4.1	0.3%
<b>Gross Total</b>	<b>1,351.9</b>	
Less Refunds & Credits	(29.2)	
<b>NET TOTAL</b>	<b>1,322.7</b>	



**FY 14 and 15 Revised Special Transportation Fund Projections**  
**(as of September 3, 2013 in millions)**

	<b>FY 14 \$</b>	<b>FY 15 \$</b>
<b>Beginning Balance</b>	<b>164.6</b>	<b>165.1</b>
<b>Revenues<sup>1</sup></b>		
<b>Taxes</b>		
Motor Fuels Tax	502.9	499.1
Petroleum Products Tax	380.7	379.1
Sales Tax – DMV	78.4	79.9
Refund of Taxes	(6.5)	(6.6)
<b>Subtotal</b>	<b>955.5</b>	<b>951.5</b>
<b>Other Revenue</b>		
Motor Vehicle Receipts	234.0	237.5
License, Permits and Fees	138.5	139.1
Interest Income	3.8	4.1
Federal Grants <sup>2</sup>	13.1	13.1
Transfers (to)/from other Funds <sup>3</sup>	(83.0)	(4.4)
<b>Subtotal</b>	<b>306.4</b>	<b>389.4</b>
Less Refunds of Payments	(3.2)	(3.2)
Less Transfers to TSB Account	(15.0)	(15.0)
<b>Total - Revenues</b>	<b>1,243.7</b>	<b>1,322.7</b>
<b>Expenditures</b>		
Debt Service	463.8	483.2
DOT Budgeted Expenses	550.1	580.1
DMV Budgeted Expenses	59.2	62.2
Other Budgeted Expenses	181.1	207.8
<b>Subtotal</b>	<b>1,254.2</b>	<b>1,333.3</b>
Less Unallocated Lapses	(11.0)	(11.0)
<b>Total - Expenditures</b>	<b>1,243.2</b>	<b>1,322.3</b>
<b>Surplus/(Deficit)</b>	<b>0.5</b>	<b>0.4</b>
<b>Ending Balance</b>	<b>165.1</b>	<b>165.5</b>
<b>Debt Service Ratio</b>	<b>2.7</b>	<b>2.8</b>

<sup>1</sup>The figures reflect estimates adopted by the Finance, Revenue and Bonding Committee on June 21, 2013 and were included in PA 13-184.

<sup>2</sup>Payments for American Recovery Reinvestment Act projects.

<sup>3</sup>CGS 13-61c(d) as amended by PA 13-184 transfers \$76.5 million from the Special Transportation Fund to the General Fund in FY 14 and \$2.1 million from the General Fund to the Special Transportation in FY 15. CGS 14-164m transfers \$6.5 million to the Emissions Enterprise Fund.

## IV. OTHER APPROPRIATED FUNDS

Other Appropriated Funds	Actual FY 13 \$ <sup>1</sup>	Projected FY 14 \$	Projected FY 15 \$
<b>Mashantucket Pequot and Mohegan Fund</b>			
Beginning Balance	211,279	330,372	350,465
Revenue	61,800,000	61,800,000	61,800,000
Expenditures	(61,680,907)	(61,779,907)	(61,779,907)
Transfers	-	-	-
<b>Ending Balance</b>	<b>330,372</b>	<b>350,465</b>	<b>370,558</b>
<b>Regional Market Operating Fund</b>			
Beginning Balance	975,130	830,406	908,726
Revenue	798,861	1,000,000	1,000,000
Expenditures	(943,585)	(921,680)	(941,498)
Transfers	-	-	-
<b>Ending Balance</b>	<b>830,406</b>	<b>908,726</b>	<b>967,228</b>
<b>Banking Fund</b>			
Beginning Balance	26,657,245	27,350,239	15,742,791
Revenue	26,157,810	25,701,000	22,301,000
Expenditures	(24,264,816)	(26,608,448)	(27,845,849)
Transfers <sup>2</sup>	(1,200,000)	(10,700,000)	(5,700,000)
<b>Ending Balance</b>	<b>27,350,239</b>	<b>15,742,791</b>	<b>4,497,942</b>
<b>Insurance Fund</b>			
Beginning Balance	10,124,701	7,670,584	7,670,910
Revenue	25,133,685	30,745,000	31,968,000
Expenditures	(27,087,802)	(30,744,674)	(31,968,453)
Transfers	(500,000)	-	-
<b>Ending Balance</b>	<b>7,670,584</b>	<b>7,670,910</b>	<b>7,670,457</b>
<b>Consumer Counsel and Public Utility Control Fund</b>			
Beginning Balance	5,589,473	6,777,645	6,827,818
Revenue	26,253,424	24,919,000	25,384,000
Expenditures	(22,765,252)	(24,868,827)	(25,384,201)
Transfers	(2,300,000)	-	-
<b>Ending Balance</b>	<b>6,777,645</b>	<b>6,827,818</b>	<b>6,827,617</b>
<b>Workers' Compensation Fund</b>			
Beginning Balance	14,958,793	10,410,700	10,919,844
Revenue	16,213,591	23,709,000	25,235,000

<b>Other Appropriated Funds</b>	<b>Actual FY 13 \$<sup>1</sup></b>	<b>Projected FY 14 \$</b>	<b>Projected FY 15 \$</b>
Expenditures	(20,311,684)	(23,199,856)	(24,789,229)
Transfers	(450,000)	-	-
<b>Ending Balance</b>	<b>10,410,700</b>	<b>10,919,844</b>	<b>11,365,615</b>
<b>Criminal Injuries Compensation Fund</b>			
Beginning Balance	1,508,997	1,572,531	1,502,245
Revenue	3,355,484	3,310,000	3,310,000
Expenditures	(3,291,950)	(3,380,286)	(2,787,016)
Transfers	-	-	-
<b>Ending Balance</b>	<b>1,572,531</b>	<b>1,502,245</b>	<b>2,025,229</b>
<b>Soldiers, Sailor and Marines Fund<sup>3</sup></b>			
Beginning Balance	(5,399,056)	(6,489,562)	-
Revenue	-	-	-
Expenditures	(3,017,605)	(3,099,619)	-
Transfers	1,927,099	3,100,000	-
<b>Ending Balance</b>	<b>(6,489,562)</b>	<b>(6,489,181)</b>	<b>-</b>
<b>Total - All Other Appropriated Funds</b>			
Beginning Balance	54,626,562	48,452,915	43,922,799
Revenue	159,712,855	171,184,000	170,998,000
Expenditures	(163,363,601)	(174,603,297)	(175,496,153)
Transfers	(2,522,901)	(7,600,000)	(5,700,000)
<b>ENDING BALANCE</b>	<b>48,452,915</b>	<b>37,433,618</b>	<b>33,724,646</b>

<sup>1</sup>Source: CORE-CT Trial Balance as of 9/6/13.

<sup>2</sup>Sections 149-150 of PA 13-247, the general government implementer, authorize these transfers.

<sup>3</sup>Sections 121-122 of PA 13-247 shift management of the Soldiers, Sailors and Marines Fund from the state to the American Legion effective July 1, 2014. The trust fund, which supports operations of the SSMF through transfers of interest income, is valued at approximately \$63.8 million.

## **Other Appropriated Funds Explained (alphabetical)**

**Banking Fund:** The Banking Fund is supported by assessments, fees and fines paid by depository institutions supervised by the Department of Banking. Funds are used primarily for the ongoing operation of the Department of Banking.

**Consumer Counsel/Department of Public Utility Control Fund:** The Consumer Counsel & Public Utility Control Fund supports the operations of the energy division of the Department of Energy and Environmental Protection, the Office of the Consumer Counsel, and the Connecticut Siting Council. Each agency assesses the regulated public



utility entities (electric, gas, water and cable) to cover the agencies' costs. Each regulated entity is responsible for their portion of the total needs of the agencies, based on their percentage of the public service companies' tax.

Criminal Injuries Compensation Fund: The Criminal Injuries Compensation Fund is administered by the Office of Victim Services within the Judicial Department. The Office of Victim Services compensates eligible crime victims or their immediate families for actual and reasonable expenses, lost wages, and pecuniary and other losses resulting from injury or death. Maximum awards are \$15,000 for personal injuries and \$25,000 for death. The Criminal Injuries Compensation Fund receives funding from three major sources: 1) Costs imposed in criminal prosecutions and certain fines and fees; 2) federal funding; and 3) money from the person directly responsible for a victim's criminal injuries or death.

Insurance Fund: The Insurance Fund supports the operation of the Department of Insurance and the Office of the Healthcare Advocate. The Department assesses domestic insurance companies and entities to cover the cost of these agencies. The assessment is built around the total amount of premium taxes paid to the Department of Revenue Services by domestic insurance companies and entities for the preceding year.

Mashantucket Pequot / Mohegan Fund: The Mashantucket Pequot Mohegan Fund is an appropriation that reduces the amount of slot machine revenues that are otherwise deposited into the General Fund. The appropriation provides grants to towns.

Regional Market Operation Fund: The Regional Market Operation Fund is operated by the state as a self-sustaining non-profit venture which is fully funded by fees generated from the operation of the Market. Its purpose is to provide a central location for farmers and wholesalers to sell and distribute food and other agricultural products. The Market covers 32 acres and encompasses 230,386 square feet of warehouse space, an active railroad spur and 144 stalls in the farmers' market.

Soldiers' Sailors' and Marines' Fund<sup>5</sup>: The purpose of the Soldiers' Sailors' and Marines' Fund is to provide temporary financial assistance to help meet the health and maintenance needs of eligible veterans, their dependents and survivors. The Soldiers' Sailors' and Marines' Fund exists as both an independent, appropriated agency and as a Trust Fund which is administered by the Treasurer. The investment income is distributed from the Trust Fund to the Soldiers', Sailors' and Marines' Special Revenue Fund to support the operations of the agency.

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<sup>5</sup>As of July 1, 2014, the Soldiers' Sailors' and Marines' Fund will no longer exist as a state fund. Sections 121 - 122 of PA 13-247, the general government implementer, transfer administration of this fund to the American Legion.

Workers' Compensation Fund: The Workers' Compensation Fund supports the operation of the Workers Compensation Commission. The Commission administers the Connecticut workers' compensation system according to the provisions of the Workers' Compensation Act including determining benefits for work-related disabilities and injuries. The State Treasurer assesses private insurance companies and employers to cover the Commission's annual costs. The assessment is built around the proportion of the preceding year's expenses that the state bore on behalf of each self-insured employer or private insurance carrier.

## V. AGENCY BUDGETS

### FY 14 and FY 15 Budget - Agency Appropriations (PA 13-184 as adjusted by PA 13-247)

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>GENERAL FUND</b>		
	<b>LEGISLATIVE</b>		
	<b>Legislative Management</b>		
10010	Personal Services	47,745,867	50,395,341
10020	Other Expenses	16,130,406	17,168,117
10050	Equipment	107,285	50,100
12049	Flag Restoration	75,000	75,000
12210	Interim Salary/ Caucus Offices	605,086	495,478
12384	Connecticut Academy of Science and Engineering	500,000	400,000
12445	Old State House	555,950	581,500
16057	Interstate Conference Fund	383,747	399,080
16130	New England Board of Higher Education	192,938	202,584
19T01	Nonfunctional - Change to Accruals	309,233	295,053
	<b>Total</b>	<b>66,605,512</b>	<b>70,062,253</b>
	<b>Auditors of Public Accounts</b>		
10010	Personal Services	11,287,145	11,860,523
10020	Other Expenses	426,778	439,153
10050	Equipment	10,000	10,000
19T01	Nonfunctional - Change to Accruals	68,686	69,637
	<b>Total</b>	<b>11,792,609</b>	<b>12,379,313</b>
	<b>Commission on Aging</b>		
10010	Personal Services	395,673	417,627
10020	Other Expenses	37,418	38,848
19T01	Nonfunctional - Change to Accruals	7,901	2,499
	<b>Total</b>	<b>440,992</b>	<b>458,974</b>
	<b>Permanent Commission on the Status of Women</b>		
10010	Personal Services	513,111	543,032
10020	Other Expenses	78,834	57,117
10050	Equipment	1,000	1,000
19T01	Nonfunctional - Change to Accruals	5,476	3,588
	<b>Total</b>	<b>598,421</b>	<b>604,737</b>
	<b>Commission on Children</b>		
10010	Personal Services	630,416	670,356

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Commission on Children (continued)</b>		
10020	Other Expenses	76,187	77,055
19T01	Nonfunctional - Change to Accruals	9,431	5,062
	<b>Total</b>	<b>716,034</b>	<b>752,473</b>
	<b>Latino and Puerto Rican Affairs Commission</b>		
10010	Personal Services	400,430	419,433
10020	Other Expenses	63,980	28,144
19T01	Nonfunctional - Change to Accruals	6,351	2,457
	<b>Total</b>	<b>470,761</b>	<b>450,034</b>
	<b>African-American Affairs Commission</b>		
10010	Personal Services	260,856	273,642
10020	Other Expenses	25,032	25,684
19T01	Nonfunctional - Change to Accruals	4,081	1,551
	<b>Total</b>	<b>289,969</b>	<b>300,877</b>
	<b>Asian Pacific American Affairs Commission</b>		
10010	Personal Services	169,370	179,683
10020	Other Expenses	65,709	15,038
19T01	Nonfunctional - Change to Accruals	4,483	2,678
	<b>Total</b>	<b>239,562</b>	<b>197,399</b>
	<b>GENERAL GOVERNMENT</b>		
	<b>Governor's Office</b>		
10010	Personal Services	2,322,025	2,328,660
10020	Other Expenses	216,646	216,646
10050	Equipment	1	1
16026	New England Governors' Conference	109,937	113,289
16035	National Governors' Association	130,907	134,899
19T01	Nonfunctional - Change to Accruals	-	9,030
	<b>Total</b>	<b>2,779,516</b>	<b>2,802,525</b>
	<b>Secretary of the State</b>		
10010	Personal Services	2,712,404	2,865,243
10020	Other Expenses	1,564,207	1,424,207
10050	Equipment	1	1
12480	Commercial Recording Division	5,444,606	5,533,021
12508	Board of Accountancy	270,251	282,167
19T01	Nonfunctional - Change to Accruals	73,633	34,060
	<b>Total</b>	<b>10,065,102</b>	<b>10,138,699</b>
	<b>Lieutenant Governor's Office</b>		
10010	Personal Services	630,003	642,515

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Lieutenant Governor's Office (continued)</b>		
10020	Other Expenses	74,133	74,133
10050	Equipment	1	1
19T01	Nonfunctional - Change to Accruals	12,502	3,409
	<b>Total</b>	<b>716,639</b>	<b>720,058</b>
	<b>State Treasurer</b>		
10010	Personal Services	3,529,167	3,651,385
10020	Other Expenses	166,264	166,264
10050	Equipment	1	1
19T01	Nonfunctional - Change to Accruals	21,585	22,203
	<b>Total</b>	<b>3,717,017</b>	<b>3,839,853</b>
	<b>State Comptroller</b>		
10010	Personal Services	22,884,665	24,043,551
10020	Other Expenses	4,241,958	4,141,958
10050	Equipment	1	1
16016	Governmental Accounting Standards Board	19,570	19,570
19T01	Nonfunctional - Change to Accruals	203,623	148,923
	<b>Total</b>	<b>27,349,817</b>	<b>28,354,003</b>
	<b>Department of Revenue Services</b>		
10010	Personal Services	57,919,094	60,513,194
10020	Other Expenses	9,409,801	7,704,801
10050	Equipment	1	1
12050	Collection and Litigation Contingency Fund	94,294	94,294
19T01	Nonfunctional - Change to Accruals	323,813	326,251
	<b>Total</b>	<b>67,747,003</b>	<b>68,638,541</b>
	<b>Office of Governmental Accountability</b>		
10010	Personal Services	764,039	800,028
10020	Other Expenses	78,188	78,188
10050	Equipment	1	1
12028	Child Fatality Review Panel	95,682	101,255
12347	Information Technology Initiatives	31,588	31,588
12481	Citizens' Election Fund Admin	1,759,186	1,956,136
12522	Elections Enforcement Commission	1,413,786	1,497,138
12523	Office of State Ethics	1,416,036	1,511,748
12524	Freedom of Information Commission	1,609,668	1,663,840
12525	Contracting Standards Board	170,000	170,000
12526	Judicial Review Council	137,328	140,863
12527	Judicial Selection Commission	87,730	89,956

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Office of Governmental Accountability (continued)</b>		
12528	Office of the Child Advocate	509,374	524,747
12529	Office of the Victim Advocate	434,045	445,172
12530	Board of Firearms Permit Examiners	83,430	85,591
19T01	Nonfunctional - Change to Accruals	-	41,375
	<b>Total</b>	<b>8,590,081</b>	<b>9,137,626</b>
	<b>Office of Policy and Management</b>		
10010	Personal Services	11,518,762	11,962,512
10020	Other Expenses	2,117,001	1,817,001
10050	Equipment	1	1
12169	Automated Budget System and Data Base Link	49,706	49,706
12231	Cash Management Improvement Act	91	91
12251	Justice Assistance Grants	1,076,943	1,078,704
12308	Innovation Challenge Grant Program	375,000	375,000
12535	Criminal Justice Information System	1,856,718	482,700
12T41	Youth Services Prevention	3,500,000	3,500,000
16181	Regional Planning Agencies	475,000	475,000
17004	Reimbursement to Towns for Loss of Taxes on State Property	73,641,646	73,641,646
17006	Reimbursements to Towns for Private Tax-Exempt Property	115,431,737	115,431,737
17011	Reimbursement Property Tax - Disability Exemption	400,000	400,000
17016	Distressed Municipalities	5,800,000	5,800,000
17018	Property Tax Relief Elderly Circuit Breaker	20,505,900	20,505,900
17021	Property Tax Relief Elderly Freeze Program	235,000	235,000
17024	Property Tax Relief for Veterans	2,970,098	2,970,098
17098	Focus Deterrence	475,000	475,000
17T06	Municipal Aid Adjustment	4,467,456	3,608,728
19T01	Nonfunctional - Change to Accruals	177,188	-
	<b>Total</b>	<b>245,073,247</b>	<b>242,808,824</b>
	<b>Department of Veterans' Affairs</b>		
10010	Personal Services	21,974,165	23,055,692
10020	Other Expenses	5,607,850	5,607,850
10050	Equipment	1	1
12295	Support Services for Veterans	180,500	180,500
16045	Burial Expenses	7,200	7,200
16049	Headstones	332,500	332,500
19T01	Nonfunctional - Change to Accruals	75,705	137,388
	<b>Total</b>	<b>28,177,921</b>	<b>29,321,131</b>

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Department of Administrative Services</b>		
10010	Personal Services	48,997,323	51,845,696
10020	Other Expenses	35,865,292	38,408,346
10050	Equipment	1	1
12016	Tuition Reimbursement - Training and Travel	382,000	382,000
12024	Labor - Management Fund	75,000	75,000
12096	Management Services	4,741,484	4,753,809
12115	Loss Control Risk Management	114,854	114,854
12123	Employees' Review Board	22,210	22,210
12141	Surety Bonds for State Officials and Employees	63,500	5,600
12155	Quality of Work-Life	350,000	350,000
12176	Refunds Of Collections	25,723	25,723
12179	Rents and Moving	12,183,335	12,100,447
12184	Capitol Day Care Center	120,888	120,888
12218	W. C. Administrator	5,250,000	5,250,000
12323	Connecticut Education Network	3,268,712	3,291,857
12507	State Insurance and Risk Management Operations	12,643,063	13,345,386
12511	IT Services	13,783,670	13,849,251
19T01	Nonfunctional - Change to Accruals	734,264	729,894
	<b>Total</b>	<b>138,621,319</b>	<b>144,670,962</b>
	<b>Attorney General</b>		
10010	Personal Services	31,469,627	33,015,870
10020	Other Expenses	1,141,319	1,139,319
10050	Equipment	1	1
19T01	Nonfunctional - Change to Accruals	199,953	209,407
	<b>Total</b>	<b>32,810,900</b>	<b>34,364,597</b>
	<b>Division of Criminal Justice</b>		
10010	Personal Services	45,026,046	47,166,648
10020	Other Expenses	2,462,258	2,449,701
10050	Equipment	26,883	1
12069	Witness Protection	200,000	200,000
12097	Training And Education	51,000	51,000
12110	Expert Witnesses	350,000	350,000
12117	Medicaid Fraud Control	1,421,372	1,471,890
12485	Criminal Justice Commission	481	481
12537	Cold Case Unit	249,910	264,844
12538	Shooting Taskforce	1,009,495	1,066,178

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Division of Criminal Justice (continued)</b>		
19T01	Nonfunctional - Change to Accruals	301,793	293,139
	<b>Total</b>	<b>51,099,238</b>	<b>53,313,882</b>
	<b>REGULATION AND PROTECTION</b>		
	<b>Department of Emergency Services and Public Protection</b>		
10010	Personal Services	131,117,477	132,850,282
10020	Other Expenses	30,069,428	26,289,428
10050	Equipment	106,022	93,990
12026	Stress Reduction	23,354	23,354
12082	Fleet Purchase	4,870,266	5,692,090
12118	Gun Law Enforcement Task Force	1,000,000	-
12235	Workers' Compensation Claims	4,238,787	4,238,787
16009	Fire Training School - Willimantic	153,709	153,709
16010	Maintenance of County Base Fire Radio Network	23,918	23,918
16011	Maintenance of State-Wide Fire Radio Network	15,919	15,919
16013	Police Association of Connecticut	190,000	190,000
16014	Connecticut State Firefighter's Association	194,711	194,711
16025	Fire Training School - Torrington	77,299	77,299
16034	Fire Training School - New Haven	45,946	45,946
16044	Fire Training School - Derby	35,283	35,283
16056	Fire Training School - Wolcott	95,154	95,154
16065	Fire Training School - Fairfield	66,876	66,876
16074	Fire Training School - Hartford	160,870	160,870
16080	Fire Training School - Middletown	56,101	56,101
16179	Fire Training School - Stamford	52,661	52,661
19T01	Nonfunctional - Change to Accruals	731,031	678,000
	<b>Total</b>	<b>173,324,812</b>	<b>171,034,378</b>
	<b>Department of Motor Vehicles</b>		
10010	Personal Services	209,950	244,342
10020	Other Expenses	190,374	194,722
19T01	Nonfunctional - Change to Accruals	-	755
	<b>Total</b>	<b>400,324</b>	<b>439,819</b>
	<b>Military Department</b>		
10010	Personal Services	2,958,725	3,130,954
10020	Other Expenses	2,831,808	2,993,728
10050	Equipment	1	1
12144	Honor Guards	471,526	471,526



Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Military Department (continued)</b>		
12325	Veteran's Service Bonuses	312,000	172,000
19T01	Nonfunctional - Change to Accruals	20,182	19,610
	<b>Total</b>	<b>6,594,242</b>	<b>6,787,819</b>
	<b>Department of Consumer Protection</b>		
10010	Personal Services	14,797,102	15,464,846
10020	Other Expenses	1,193,900	1,193,900
10050	Equipment	1	1
19T01	Nonfunctional - Change to Accruals	83,225	97,562
	<b>Total</b>	<b>16,074,228</b>	<b>16,756,309</b>
	<b>Labor Department</b>		
10010	Personal Services	8,482,128	8,839,335
10020	Other Expenses	964,324	964,324
10050	Equipment	1	1
12079	CETC Workforce	763,697	770,595
12098	Workforce Investment Act	28,481,350	28,481,350
12108	Job Funnels Projects	853,750	853,750
12205	Connecticut's Youth Employment Program	4,500,000	4,500,000
12212	Jobs First Employment Services	18,826,769	18,660,859
12327	STRIDE	590,000	590,000
12328	Apprenticeship Program	595,824	618,019
12329	Spanish-American Merchants Association	570,000	570,000
12357	Connecticut Career Resource Network	155,579	160,054
12358	21st Century Jobs	427,447	429,178
12360	Incumbent Worker Training	377,500	377,500
12425	STRIVE	270,000	270,000
12T11	Intensive Support Services	304,000	304,000
19T01	Nonfunctional - Change to Accruals	119,149	76,564
	<b>Total</b>	<b>66,281,518</b>	<b>66,465,529</b>
	<b>Commission on Human Rights and Opportunities</b>		
10010	Personal Services	5,590,665	5,934,143
10020	Other Expenses	305,337	302,837
10050	Equipment	1	1
12027	Martin Luther King, Jr. Commission	6,318	6,318
19T01	Nonfunctional - Change to Accruals	60,156	39,012
	<b>Total</b>	<b>5,962,477</b>	<b>6,282,311</b>
	<b>Protection and Advocacy for Persons with Disabilities</b>		
10010	Personal Services	2,229,783	2,278,257

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Protection and Advocacy for Persons with Disabilities (continued)</b>		
10020	Other Expenses	203,190	203,190
10050	Equipment	1	1
19T01	Nonfunctional - Change to Accruals	8,425	10,351
	<b>Total</b>	<b>2,441,399</b>	<b>2,491,799</b>
	<b>CONSERVATION AND DEVELOPMENT</b>		
	<b>Department of Agriculture</b>		
10010	Personal Services	3,604,488	3,767,095
10020	Other Expenses	722,045	652,045
10050	Equipment	1	1
12083	Vibrio Bacterium Program	1	1
12421	Senior Food Vouchers	365,062	363,016
12491	Environmental Conservation	85,500	85,500
16027	Collection of Agricultural Statistics	975	975
16037	Tuberculosis and Brucellosis Indemnity	855	855
16051	Fair Testing	3,838	3,838
16075	WIC Coupon Program for Fresh Produce	174,886	174,886
19T01	Nonfunctional - Change to Accruals	25,369	21,028
	<b>Total</b>	<b>4,983,020</b>	<b>5,069,240</b>
	<b>Department of Energy and Environmental Protection</b>		
10010	Personal Services	30,412,459	31,668,528
10020	Other Expenses	3,895,422	3,820,422
10050	Equipment	1	1
12030	Stream Gaging	189,583	189,583
12054	Mosquito Control	253,028	262,547
12084	State Superfund Site Maintenance	514,046	514,046
12146	Laboratory Fees	161,794	161,794
12195	Dam Maintenance	133,574	138,760
12487	Emergency Spill Response	7,286,647	7,538,207
12488	Solid Waste Management	3,829,572	3,957,608
12489	Underground Storage Tank	952,363	999,911
12490	Clean Air	4,454,787	4,586,375
12491	Environmental Conservation	9,261,679	9,466,633
12501	Environmental Quality	10,024,734	10,327,745
12539	Pheasant Stocking Account	160,000	160,000
12558	Greenways Account	2	2
12T32	Conservation Districts & Soil and Water Councils	300,000	300,000

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Department of Energy and Environmental Protection (continued)</b>		
16015	Interstate Environmental Commission	48,783	48,783
16038	Agreement USGS - Hydrological Study	147,683	147,683
16046	New England Interstate Water Pollution Commission	28,827	28,827
16052	Northeast Interstate Forest Fire Compact	3,295	3,295
16059	Connecticut River Valley Flood Control Commission	32,395	32,395
16083	Thames River Valley Flood Control Commission	48,281	48,281
16099	Agreement USGS-Water Quality Stream Monitoring	204,641	204,641
19T01	Nonfunctional - Change to Accruals	-	289,533
	<b>Total</b>	<b>72,343,596</b>	<b>74,895,600</b>
	<b>Council on Environmental Quality</b>		
10010	Personal Services	163,401	170,396
10020	Other Expenses	1,812	1,812
10050	Equipment	1	1
	<b>Total</b>	<b>165,214</b>	<b>172,209</b>
	<b>Department of Economic and Community Development</b>		
10010	Personal Services	7,901,060	8,229,087
10020	Other Expenses	586,717	586,717
10050	Equipment	1	1
12296	Statewide Marketing	12,000,000	12,000,000
12363	Small Business Incubator Program	387,093	387,093
12412	Hartford Urban Arts Grant	359,776	359,776
12413	New Britain Arts Council	71,956	71,956
12435	Main Street Initiatives	162,450	162,450
12437	Office of Military Affairs	430,833	430,834
12438	Hydrogen/Fuel Cell Economy	175,000	175,000
12467	CCAT-CT Manufacturing Supply Chain	732,256	732,256
12540	Capitol Region Development Authority	6,620,145	6,170,145
12T70	Neighborhood Music School	50,000	50,000
16115	Nutmeg Games	24,000	24,000
16175	Discovery Museum	359,776	359,776
16188	National Theatre for the Deaf	143,910	143,910
16189	CONNSTEP	588,382	588,382
16191	Development Research and Economic Assistance	137,902	137,902
16197	CT Trust for Historic Preservation	199,876	199,876
16209	Connecticut Science Center	599,073	599,073
16219	CT Flagship Producing Theaters Grant	475,000	475,000

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Department of Economic and Community Development (continued)</b>		
16T07	Women's Business Center	500,000	500,000
16T10	Performing Arts Centers	1,439,104	1,439,104
16T59	Performing Theaters Grant	452,857	452,857
16T60	Arts Commission	1,797,830	1,797,830
17063	Greater Hartford Arts Council	89,943	89,943
17065	Stepping Stones Museum for Children	42,079	42,079
17066	Maritime Center Authority	504,949	504,949
17068	Tourism Districts	1,435,772	1,435,772
17070	Amistad Committee for the Freedom Trail	45,000	45,000
17071	Amistad Vessel	359,776	359,776
17072	New Haven Festival of Arts and Ideas	757,423	757,423
17073	New Haven Arts Council	89,943	89,943
17075	Beardsley Zoo	372,539	372,539
17076	Mystic Aquarium	589,106	589,106
17077	Quinebaug Tourism	39,457	39,457
17078	Northwestern Tourism	39,457	39,457
17079	Eastern Tourism	39,457	39,457
17080	Central Tourism	39,457	39,457
17082	Twain/Stowe Homes	90,890	90,890
17T46	Cultural Alliance of Fairfield County	89,943	89,943
19T01	Nonfunctional - Change to Accruals	25,848	50,013
	<b>Total</b>	<b>40,846,036</b>	<b>40,748,229</b>
	<b>Department of Housing</b>		
10010	Personal Services	1,913,586	1,969,658
10020	Other Expenses	140,000	140,000
12032	Elderly Rental Registry and Counselors	1,058,144	1,058,144
12432	Fair Housing	293,313	293,313
12T42	Main Street Investment Fund Administration	71,250	71,250
16017	Tax Relief For Elderly Renters	24,860,000	24,860,000
16029	Subsidized Assisted Living Demonstration	2,178,000	2,345,000
16068	Congregate Facilities Operation Costs	7,282,393	7,784,420
16076	Housing Assistance and Counseling Program	438,500	438,500
16084	Elderly Congregate Rent Subsidy	2,141,495	2,162,504
16149	Housing/Homeless Services	58,815,972	63,440,480
17008	Tax Abatement	1,444,646	1,444,646
17012	Payment In Lieu Of Taxes	1,873,400	1,873,400

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Department of Housing (continued)</b>		
17038	Housing/Homeless Services - Municipality	640,398	640,398
19T01	Nonfunctional - Change to Accruals	55,377	7,043
	<b>Total</b>	<b>103,206,474</b>	<b>108,528,756</b>
	<b>Agricultural Experiment Station</b>		
10010	Personal Services	5,959,626	6,293,102
10020	Other Expenses	901,360	901,360
10050	Equipment	1	1
12056	Mosquito Control	473,853	490,203
12288	Wildlife Disease Prevention	87,992	93,062
19T01	Nonfunctional - Change to Accruals	36,578	43,362
	<b>Total</b>	<b>7,459,410</b>	<b>7,821,090</b>
	<b>HEALTH AND HOSPITALS</b>		
	<b>Department of Public Health</b>		
10010	Personal Services	34,643,549	34,383,489
10020	Other Expenses	6,571,032	6,771,619
10050	Equipment	1	1
12100	Needle and Syringe Exchange Program	459,416	459,416
12126	Children's Health Initiatives	2,051,217	2,065,957
12227	Childhood Lead Poisoning	72,362	72,362
12236	Aids Services	4,975,686	4,975,686
12255	Breast and Cervical Cancer Detection and Treatment	2,209,922	2,222,917
12264	Children with Special Health Care Needs	1,220,505	1,220,505
12268	Medicaid Administration	2,637,563	2,784,617
12430	Fetal and Infant Mortality Review	19,000	19,000
12T12	Immunization Services	30,076,656	31,361,117
16060	Community Health Services	6,298,866	5,855,796
16103	Rape Crisis	422,008	422,008
16112	X-Ray Screening and Tuberculosis Care	1,195,148	1,195,148
16121	Genetic Diseases Programs	795,427	795,427
17009	Local and District Departments of Health	4,669,173	4,669,173
17013	Venereal Disease Control	187,362	187,362
17019	School Based Health Clinics	12,747,463	12,638,716
19T01	Nonfunctional - Change to Accruals	201,698	147,102
	<b>Total</b>	<b>111,454,054</b>	<b>112,247,418</b>
	<b>Office of the Chief Medical Examiner</b>		
10010	Personal Services	4,447,470	4,674,075
10020	Other Expenses	884,544	900,443

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Office of the Chief Medical Examiner (continued)</b>		
10050	Equipment	19,226	19,226
12033	Medicolegal Investigations	27,387	27,417
19T01	Nonfunctional - Change to Accruals	21,176	26,603
	<b>Total</b>	<b>5,399,803</b>	<b>5,647,764</b>
	<b>Department of Developmental Services</b>		
10010	Personal Services	255,201,408	265,451,852
10020	Other Expenses	22,302,444	22,196,100
10050	Equipment	1	1
12034	Human Resource Development	198,361	198,361
12072	Family Support Grants	2,860,287	2,860,287
12101	Cooperative Placements Program	23,088,551	24,079,717
12185	Clinical Services	4,300,720	4,300,720
12192	Early Intervention	37,286,804	37,286,804
12213	Community Temporary Support Services	60,753	60,753
12219	Community Respite Care Programs	558,137	558,137
12235	Workers' Compensation Claims	15,246,035	15,246,035
12340	Pilot Program for Autism Services	1,637,528	1,637,528
12493	Voluntary Services	32,376,869	32,376,869
12521	Supplemental Payments for Medical Services	5,978,116	5,978,116
16069	Rent Subsidy Program	5,050,212	5,150,212
16104	Family Reunion Program	121,749	121,749
16108	Employment Opportunities and Day Services	212,763,749	222,857,347
16122	Community Residential Services	435,201,326	453,647,020
19T01	Nonfunctional - Change to Accruals	982,585	2,500,118
	<b>Total</b>	<b>1,055,215,635</b>	<b>1,096,507,726</b>
	<b>Department of Mental Health and Addiction Services</b>		
10010	Personal Services	180,175,144	193,931,357
10020	Other Expenses	28,626,219	28,626,219
10050	Equipment	1	1
12035	Housing Supports And Services	15,832,467	16,332,467
12157	Managed Service System	52,594,458	57,034,913
12196	Legal Services	995,819	995,819
12199	Connecticut Mental Health Center	8,665,721	8,665,721
12207	Professional Services	11,788,898	11,788,898
12220	General Assistance Managed Care	115,405,969	40,774,875
12235	Workers' Compensation Claims	10,594,566	10,594,566
12247	Nursing Home Screening	591,645	591,645

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Department of Mental Health and Addiction Services (continued)</b>		
12250	Young Adult Services	69,942,480	75,866,518
12256	TBI Community Services	15,296,810	17,079,532
12278	Jail Diversion	4,416,110	4,523,270
12289	Behavioral Health Medications	6,169,095	6,169,095
12292	Prison Overcrowding	6,620,112	6,727,968
12298	Medicaid Adult Rehabilitation Option	4,803,175	4,803,175
12330	Discharge and Diversion Services	17,412,660	20,062,660
12444	Home and Community Based Services	12,937,339	17,371,852
12465	Persistent Violent Felony Offenders Act	675,235	675,235
12541	Nursing Home Contract	485,000	485,000
12T27	Pre-Trial Account	350,000	350,000
16003	Grants for Substance Abuse Services	20,605,434	17,567,934
16053	Grants for Mental Health Services	66,134,714	58,909,714
16070	Employment Opportunities	10,522,428	10,522,428
19T01	Nonfunctional - Change to Accruals	1,458,025	2,444,140
	<b>Total</b>	<b>663,099,524</b>	<b>612,895,002</b>
	<b>Psychiatric Security Review Board</b>		
10010	Personal Services	245,989	252,955
10020	Other Expenses	31,469	31,469
10050	Equipment	1	1
19T01	Nonfunctional - Change to Accruals	711	1,126
	<b>Total</b>	<b>278,170</b>	<b>285,551</b>
	<b>HUMAN SERVICES</b>		
	<b>Department of Social Services</b>		
10010	Personal Services	113,746,588	122,391,148
10020	Other Expenses	121,398,741	113,078,216
10050	Equipment	1	1
12121	Children's Health Council	208,050	208,050
12127	HUSKY Information and Referral	159,393	-
12202	State Food Stamp Supplement	685,149	725,059
12239	HUSKY B Program	30,460,000	30,540,000
12494	Charter Oak Health Plan	4,280,000	-
16020	Medicaid	2,409,314,923	2,289,569,579
16061	Old Age Assistance	37,629,862	39,949,252
16071	Aid To The Blind	812,205	855,251
16077	Aid To The Disabled	63,289,492	67,961,417

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Department of Social Services (continued)</b>		
16090	Temporary Assistance to Families - TANF	112,139,791	112,058,614
16096	Emergency Assistance	1	1
16098	Food Stamp Training Expenses	12,000	12,000
16100	CT Pharmaceutical Assistance Contract to the Elderly	126,500	-
16105	Healthy Start	1,430,311	1,430,311
16109	DMHAS-Disproportionate Share	108,935,000	108,935,000
16114	Connecticut Home Care Program	44,324,196	45,584,196
16118	Human Resource Development-Hispanic Programs	965,739	965,739
16123	Services To The Elderly	324,737	324,737
16128	Safety Net Services	2,814,792	2,814,792
16129	Transportation for Employment Independence Program	3,028,671	2,028,671
16139	Refunds Of Collections	150,000	150,000
16146	Services for Persons With Disabilities	602,013	602,013
16147	Child Care Services-TANF/CCDBG	98,967,400	-
16148	Nutrition Assistance	479,666	479,666
16149	Housing/Homeless Services	5,210,676	5,210,676
16155	Disproportionate Share-Medical Emergency Assistance	134,243,423	-
16157	State Administered General Assistance	17,283,300	17,866,800
16158	Child Care Quality Enhancements	563,286	563,286
16159	Connecticut Children's Medical Center	15,579,200	15,579,200
16160	Community Services	1,075,199	1,075,199
16174	Human Service Infrastructure Community Action Program	3,453,326	3,453,326
16177	Teen Pregnancy Prevention	1,837,378	1,837,378
16T06	Fatherhood Initiative	371,656	371,656
16T20	Child Support Refunds and Reimbursements	181,585	181,585
17029	Human Resource Development-Hispanic Programs - Municipality	5,364	5,364
17032	Teen Pregnancy Prevention - Municipality	137,826	137,826
17083	Community Services - Municipality	83,761	83,761
19T01	Nonfunctional - Change to Accruals	13,955,945	35,859,861
	<b>Total</b>	<b>3,350,267,146</b>	<b>3,022,889,631</b>
	<b>State Department on Aging</b>		
10010	Personal Services	2,216,331	2,343,834
10020	Other Expenses	195,577	195,577
10050	Equipment	1	1
16T04	Programs for Senior Citizens	6,370,065	6,370,065



Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>State Department on Aging (continued)</b>		
19T01	Nonfunctional - Change to Accruals	100,494	13,675
	<b>Total</b>	<b>8,882,468</b>	<b>8,923,152</b>
	<b>State Department of Rehabilitation</b>		
10010	Personal Services	5,950,718	6,277,563
10020	Other Expenses	1,632,775	1,629,580
10050	Equipment	1	1
12037	Part-Time Interpreters	196,200	201,522
12060	Educational Aid for Blind and Visually Handicapped Children	3,603,169	3,795,388
12301	Enhanced Employment Opportunities	653,416	653,416
16004	Vocational Rehabilitation - Disabled	7,460,892	7,460,892
16040	Supplementary Relief and Services	99,749	99,749
16054	Vocational Rehabilitation - Blind	899,402	899,402
16078	Special Training for the Deaf Blind	286,581	286,581
16086	Connecticut Radio Information Service	83,258	83,258
16150	Employment Opportunities	757,878	757,878
16153	Independent Living Centers	528,680	528,680
19T01	Nonfunctional - Change to Accruals	-	39,821
	<b>Total</b>	<b>22,152,719</b>	<b>22,713,731</b>
	<b>EDUCATION, MUSEUMS, LIBRARIES</b>		
	<b>Department of Education</b>		
10010	Personal Services	17,618,304	18,507,312
10020	Other Expenses	3,458,980	3,458,980
10050	Equipment	1	1
12088	Basic Skills Exam Teachers in Training	1,226,867	1,255,655
12103	Teachers' Standards Implementation Program	2,941,683	2,941,683
12171	Development of Mastery Exams Grades 4, 6, and 8	20,147,588	18,971,294
12198	Primary Mental Health	427,209	427,209
12211	Leadership, Education, Athletics in Partnership (LEAP)	726,750	726,750
12216	Adult Education Action	240,687	240,687
12253	Connecticut Pre-Engineering Program	262,500	262,500
12261	Connecticut Writing Project	50,000	50,000
12290	Resource Equity Assessments	168,064	168,064
12318	Neighborhood Youth Centers	1,271,386	1,271,386
12405	Longitudinal Data Systems	1,263,197	1,263,197
12453	School Accountability	1,856,588	1,860,598
12457	Sheff Settlement	13,259,263	9,409,526

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Department of Education (continued)</b>		
12506	Parent Trust Fund Program	500,000	500,000
12519	Regional Vocational-Technical School System	146,551,879	155,632,696
12543	Science Program for Educational Reform Districts	455,000	455,000
12544	Wrap Around Services	450,000	450,000
12545	Parent Universities	487,500	487,500
12546	School Health Coordinator Pilot	190,000	190,000
12547	Commissioner's Network	10,000,000	17,500,000
12548	Technical Assistance for Regional Cooperation	95,000	95,000
12549	New or Replicated Schools	300,000	900,000
12550	Bridges to Success	601,652	601,652
12551	K-3 Reading Assessment Pilot	2,699,941	2,699,941
12552	Talent Development	10,025,000	10,025,000
12T30	Common Core	8,300,000	6,300,000
12T36	Alternative High School and Adult Reading Incentive Program	1,200,000	1,200,000
12T48	Special Master	2,116,169	2,116,169
16021	American School For The Deaf	10,659,030	10,659,030
16062	Regional Education Services	1,166,026	1,166,026
16110	Family Resource Centers	7,582,414	7,582,414
16201	Youth Service Bureau Enhancement	620,300	620,300
16211	Child Nutrition State Match	2,354,000	2,354,000
16212	Health Foods Initiative	4,661,604	4,806,300
17017	Vocational Agriculture	9,485,565	9,485,565
17027	Transportation of School Children	24,884,748	24,884,748
17030	Adult Education	21,033,915	21,045,036
17034	Health and Welfare Services Pupils Private Schools	4,297,500	4,297,500
17041	Education Equalization Grants	2,066,589,276	2,122,891,002
17042	Bilingual Education	1,916,130	1,916,130
17043	Priority School Districts	47,427,206	46,947,022
17044	Young Parents Program	229,330	229,330
17045	Interdistrict Cooperation	9,146,369	9,150,379
17046	School Breakfast Program	2,300,041	2,379,962
17047	Excess Cost - Student Based	139,805,731	139,805,731
17049	Non-Public School Transportation	3,595,500	3,595,500
17050	School To Work Opportunities	213,750	213,750
17052	Youth Service Bureaus	2,989,268	2,989,268
17053	Open Choice Program	37,018,594	42,616,736

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Department of Education (continued)</b>		
17057	Magnet Schools	265,449,020	281,250,025
17084	After School Program	4,500,000	4,500,000
19T01	Nonfunctional - Change to Accruals	767,244	1,055,616
	<b>Total</b>	<b>2,917,583,769</b>	<b>3,006,409,170</b>
	<b>Office of Early Childhood</b>		
10010	Personal Services	2,539,359	4,985,737
10020	Other Expenses	590,000	8,276,000
10050	Equipment	1	1
12042	Children's Trust Fund	11,671,218	11,671,218
12113	Early Childhood Program	6,748,003	6,761,345
12495	Community Plans for Early Childhood	600,000	750,000
12496	Improving Early Literacy	150,000	150,000
12520	Child Care Services	18,419,752	18,419,752
12T37	Evenstart	475,000	475,000
16101	Head Start Services	2,610,743	2,610,743
16106	Head Start Enhancement	1,684,350	1,684,350
16147	Child Care Services-TANF/CCDBG	-	101,489,658
16158	Child Care Quality Enhancements	3,259,170	3,259,170
16202	Head Start - Early Childhood Link	2,090,000	2,090,000
17097	School Readiness Quality Enhancement	3,895,645	3,895,645
17T04	School Readiness & Quality Enhancement	74,767,825	74,299,075
19T01	Nonfunctional - Change to Accruals	82,891	484,648
	<b>Total</b>	<b>129,583,957</b>	<b>241,302,342</b>
	<b>State Library</b>		
10010	Personal Services	5,000,973	5,216,113
10020	Other Expenses	695,685	695,685
10050	Equipment	1	1
12061	State-Wide Digital Library	1,989,860	1,989,860
12104	Interlibrary Loan Delivery Service	258,471	268,122
12172	Legal/Legislative Library Materials	786,592	786,592
12420	Computer Access	180,500	180,500
16022	Support Cooperating Library Service Units	332,500	332,500
17003	Grants To Public Libraries	203,569	203,569
17010	Connecticard Payments	1,000,000	1,000,000
17069	Connecticut Humanities Council	2,049,752	2,049,752
19T01	Nonfunctional - Change to Accruals	22,182	30,949
	<b>Total</b>	<b>12,520,085</b>	<b>12,753,643</b>

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Office of Higher Education</b>		
10010	Personal Services	1,658,563	1,724,650
10020	Other Expenses	106,911	106,911
10050	Equipment	1	1
12188	Minority Advancement Program	1,517,959	2,181,737
12194	Alternate Route to Certification	85,892	92,840
12200	National Service Act	315,289	325,210
12208	International Initiatives	66,500	66,500
12214	Minority Teacher Incentive Program	447,806	447,806
12553	English Language Learner Scholarship	95,000	95,000
16063	Awards to Children of Deceased/ Disabled Veterans	3,800	3,800
16T32	Governor's Scholarship	42,011,398	43,623,498
19T01	Nonfunctional - Change to Accruals	30,010	10,889
	<b>Total</b>	<b>46,339,129</b>	<b>48,678,842</b>
	<b>University of Connecticut</b>		
12139	Operating Expenses	202,067,550	229,098,979
12468	CommPACT Schools	475,000	475,000
16198	Kirklyn M. Kerr Grant Program	400,000	400,000
	<b>Total</b>	<b>202,942,550</b>	<b>229,973,979</b>
	<b>University of Connecticut Health Center</b>		
12139	Operating Expenses	125,061,891	135,415,234
12159	AHEC	480,422	480,422
19T01	Nonfunctional - Change to Accruals	1,015,846	1,103,433
	<b>Total</b>	<b>126,558,159</b>	<b>136,999,089</b>
	<b>Teachers' Retirement Board</b>		
10010	Personal Services	1,628,071	1,707,570
10020	Other Expenses	563,290	575,197
10050	Equipment	1	1
16006	Retirement Contributions	948,540,000	984,110,000
16023	Retirees Health Service Cost	16,912,000	21,214,000
16032	Municipal Retiree Health Insurance Costs	5,447,370	5,447,370
19T01	Nonfunctional - Change to Accruals	14,038	10,466
	<b>Total</b>	<b>973,104,770</b>	<b>1,013,064,604</b>
	<b>Board of Regents for Higher Education</b>		
12531	Charter Oak State College	2,377,493	2,475,851
12532	Community Tech College System	148,745,337	155,900,920
12533	Connecticut State University	148,631,924	155,542,999

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Board of Regents for Higher Education (continued)</b>		
12534	Board of Regents	663,017	668,841
19T01	Nonfunctional - Change to Accruals	447,623	979,321
	<b>Total</b>	<b>300,865,394</b>	<b>315,567,932</b>
	<b>CORRECTIONS</b>		
	<b>Department of Correction</b>		
10010	Personal Services	428,511,042	442,986,743
10020	Other Expenses	74,249,357	74,224,357
10050	Equipment	1	1
12235	Workers' Compensation Claims	26,886,219	26,886,219
12242	Inmate Medical Services	89,713,923	93,932,101
12302	Board of Pardons and Paroles	6,174,461	6,490,841
12498	Distance Learning	95,000	95,000
16007	Aid to Paroled and Discharged Inmates	9,026	9,026
16042	Legal Services To Prisoners	827,065	827,065
16073	Volunteer Services	162,221	162,221
16173	Community Support Services	41,275,777	41,275,777
19T01	Nonfunctional - Change to Accruals	2,557,575	2,332,019
	<b>Total</b>	<b>670,461,667</b>	<b>689,221,370</b>
	<b>Department of Children and Families</b>		
10010	Personal Services	265,473,153	278,821,431
10020	Other Expenses	35,455,292	35,455,292
10050	Equipment	1	1
12235	Workers' Compensation Claims	11,247,553	11,247,553
12304	Family Support Services	986,402	986,402
12515	Differential Response System	8,346,386	8,346,386
12T29	Regional Behavioral Health Consultation	1,810,000	1,810,000
16008	Health Assessment and Consultation	1,015,002	1,015,002
16024	Grants for Psychiatric Clinics for Children	15,483,393	15,483,393
16033	Day Treatment Centers for Children	6,783,292	6,783,292
16043	Juvenile Justice Outreach Services	12,841,081	12,841,081
16064	Child Abuse and Neglect Intervention	8,542,370	8,542,370
16092	Community Based Prevention Programs	8,374,056	8,345,606
16097	Family Violence Outreach and Counseling	1,892,201	1,892,201
16102	Support for Recovering Families	15,323,546	15,323,546
16107	No Nexus Special Education	5,041,071	5,041,071
16111	Family Preservation Services	5,735,278	5,735,278

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Department of Children and Families (continued)</b>		
16116	Substance Abuse Treatment	9,491,729	9,491,729
16120	Child Welfare Support Services	2,501,872	2,501,872
16132	Board and Care for Children - Adoption	91,065,504	92,820,312
16135	Board and Care for Children - Foster	113,318,397	113,243,586
16138	Board and Care for Children - Residential	141,375,200	142,148,669
16140	Individualized Family Supports	11,882,968	11,882,968
16141	Community Kidcare	35,716,720	35,716,720
16144	Covenant to Care	159,814	159,814
16145	Neighborhood Center	250,414	250,414
19T01	Nonfunctional - Change to Accruals	1,285,159	1,662,894
	<b>Total</b>	<b>811,397,854</b>	<b>827,548,883</b>
	<b>JUDICIAL</b>		
	<b>Judicial Department</b>		
10010	Personal Services	325,867,529	342,634,762
10020	Other Expenses	64,248,692	66,722,732
10050	Equipment	2,000	-
12025	Forensic Sex Evidence Exams	1,441,460	1,441,460
12043	Alternative Incarceration Program	56,504,295	56,504,295
12064	Justice Education Center, Inc.	545,828	545,828
12105	Juvenile Alternative Incarceration	28,367,478	28,367,478
12128	Juvenile Justice Centers	3,136,361	3,136,361
12135	Probate Court	9,350,000	10,750,000
12375	Youthful Offender Services	18,177,084	18,177,084
12376	Victim Security Account	9,402	9,402
12502	Children of Incarcerated Parents	582,250	582,250
12516	Legal Aid	1,660,000	1,660,000
12555	Youth Violence Initiative	1,500,000	1,500,000
12T21	Judge's Increases	1,796,754	3,688,736
12T40	Children's Law Center	109,838	109,838
19T01	Nonfunctional - Change to Accruals	2,381,725	2,279,008
	<b>Total</b>	<b>515,680,696</b>	<b>538,109,234</b>
	<b>Public Defender Services Commission</b>		
10010	Personal Services	40,098,345	41,909,712
10020	Other Expenses	1,545,428	1,550,119
12076	Assigned Counsel - Criminal	9,111,900	9,111,900
12090	Expert Witnesses	2,100,000	2,100,000

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Public Defender Services Commission (continued)</b>		
12106	Training And Education	130,000	130,000
12417	Assigned Counsel - Child Protection	7,436,000	7,436,000
12418	Contracted Attorneys Related Expenses	150,000	150,000
12499	Family Contracted Attorneys/AMC	575,000	575,000
19T01	Nonfunctional - Change to Accruals	224,916	260,298
	<b>Total</b>	<b>61,371,589</b>	<b>63,223,029</b>
	<b>NON-FUNCTIONAL</b>		
	<b>Miscellaneous Appropriation to the Governor</b>		
12014	Governor's Contingency Account	1	1
	<b>Debt Service - State Treasurer</b>		
12285	Debt Service	1,434,000,853	1,554,881,403
12286	UConn 2000 - Debt Service	135,251,409	156,037,386
12287	CHEFA Day Care Security	5,500,000	5,500,000
12500	Pension Obligation Bonds - TRB	145,076,576	133,922,226
19T01	Nonfunctional - Change to Accruals	-	11,321
	<b>Total</b>	<b>1,719,828,838</b>	<b>1,850,352,336</b>
	<b>State Comptroller - Miscellaneous</b>		
12003	Adjudicated Claims	4,100,000	4,100,000
	<b>State Comptroller - Fringe Benefits</b>		
12005	Unemployment Compensation	8,275,189	8,643,507
12006	State Employees Retirement Contributions	916,024,145	969,312,947
12007	Higher Education Alternative Retirement System	28,485,055	30,131,328
12008	Pensions and Retirements - Other Statutory	1,730,420	1,749,057
12009	Judges and Compensation Commissioners Retirement	16,298,488	17,731,131
12010	Insurance - Group Life	8,808,780	9,353,107
12011	Employers Social Security Tax	224,928,273	235,568,631
12012	State Employees Health Service Cost	615,897,053	650,960,045
12013	Retired State Employees Health Service Cost	548,693,300	568,635,039
12016	Tuition Reimbursement - Training and Travel	3,127,500	3,127,500
19T01	Nonfunctional - Change to Accruals	24,419,312	17,200,946
	<b>Total</b>	<b>2,396,687,515</b>	<b>2,512,413,238</b>
	<b>Reserve for Salary Adjustments</b>		
12015	Reserve For Salary Adjustments	30,424,382	36,273,043
	<b>Workers' Compensation Claims - Administrative Services</b>		
12235	Workers' Compensation Claims	27,187,707	27,187,707
	<b>Subtotal - General Fund</b>	<b>17,361,371,991</b>	<b>17,656,098,266</b>

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
<b>LESS:</b>			
99110	Unallocated Lapse	(91,676,192)	(91,676,192)
99120	Unallocated Lapse - Legislative	(3,028,105)	(3,028,105)
99130	Unallocated Lapse - Judicial	(7,400,672)	(7,400,672)
99170	General Other Expenses Reductions - Legislative	(140,000)	(140,000)
99180	General Other Expenses Reductions - Executive	(3,312,000)	(3,312,000)
99190	General Other Expenses Reductions - Judicial	(548,000)	(548,000)
99360	General Lapse - Legislative	(56,251)	(56,251)
99361	General Lapse - Judicial	(401,946)	(401,946)
99362	General Lapse - Executive	(13,785,503)	(13,785,503)
99369	Municipal Opportunities and Regional Efficiencies Program	-	(10,000,000)
99375	GAAP Lapse	(5,500,000)	(7,500,000)
99376	Transfer GAAP Funding	(40,000,000)	-
99377	Statewide Hiring Reduction - Executive	(5,478,184)	(16,675,121)
99378	Statewide Hiring Reduction - Judicial	(1,128,261)	(3,434,330)
99379	Statewide Hiring Reduction - Legislative	(190,309)	(579,285)
<b>NET TOTAL - General Fund</b>		<b>17,188,726,568</b>	<b>17,497,560,861</b>
	<b>SPECIAL TRANSPORTATION FUND</b>		
	<b>GENERAL GOVERNMENT</b>		
	<b>Department of Administrative Services</b>		
12507	State Insurance and Risk Management Operations	7,364,543	7,916,074
19T01	Nonfunctional - Change to Accruals	203	3,839
<b>Total</b>		<b>7,364,746</b>	<b>7,919,913</b>
	<b>REGULATION AND PROTECTION</b>		
	<b>Department of Motor Vehicles</b>		
10010	Personal Services	43,238,195	46,037,478
10020	Other Expenses	14,814,529	15,171,471
10050	Equipment	648,153	514,000
12091	Commercial Vehicle Information Systems and Networks Project	205,445	208,666
19T01	Nonfunctional - Change to Accruals	272,024	295,105
<b>Total</b>		<b>59,178,346</b>	<b>62,226,720</b>
	<b>TRANSPORTATION</b>		
	<b>Department of Transportation</b>		
10010	Personal Services	158,016,528	166,723,924
10020	Other Expenses	51,831,318	51,642,318
10050	Equipment	1,416,949	1,389,819



Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
10070	Minor Capital Projects	439,639	449,639
	<b>Department of Transportation (continued)</b>		
10080	Highway and Bridge Renewal-Equipment	5,376,942	5,376,942
12017	Highway Planning And Research	3,155,986	3,246,823
12168	Rail Operations	143,419,140	147,720,554
12175	Bus Operations	143,424,847	146,972,169
12334	Tweed-New Haven Airport Grant	1,500,000	1,500,000
12378	ADA Para-transit Program	30,252,234	32,935,449
12379	Non-ADA Dial-A-Ride Program	576,361	576,361
12518	Pay-As-You-Go Transportation Projects	9,700,000	19,700,000
19T01	Nonfunctional - Change to Accruals	950,775	1,817,139
	<b>Total</b>	<b>550,060,719</b>	<b>580,051,137</b>
	<b>NON-FUNCTIONAL</b>		
	<b>Debt Service - State Treasurer</b>		
12285	Debt Service	463,814,137	483,218,293
	<b>State Comptroller - Fringe Benefits</b>		
12005	Unemployment Compensation	237,011	248,862
12006	State Employees Retirement Contributions	108,347,033	130,144,053
12010	Insurance - Group Life	286,000	292,000
12011	Employers Social Security Tax	15,600,381	16,304,506
12012	State Employees Health Service Cost	38,535,326	40,823,865
19T01	Nonfunctional - Change to Accruals	655,603	1,876,668
	<b>Total</b>	<b>163,661,354</b>	<b>189,689,954</b>
	<b>Reserve for Salary Adjustments</b>		
12015	Reserve For Salary Adjustments	3,558,297	3,661,897
	<b>Workers' Compensation Claims - Administrative Services</b>		
12235	Workers' Compensation Claims	6,544,481	6,544,481
	<b>Subtotal - Special Transportation Fund</b>	<b>1,254,182,080</b>	<b>1,333,312,395</b>
<b>LESS:</b>			
99110	Unallocated Lapse	(11,000,000)	(11,000,000)
	<b>NET TOTAL - Special Transportation Fund</b>	<b>1,243,182,080</b>	<b>1,322,312,395</b>
	<b>MASHANTUCKET PEQUOT AND MOHEGAN FUND</b>		
	<b>GENERAL GOVERNMENT</b>		
	<b>Office of Policy and Management</b>		
17005	Grants To Towns	61,779,907	61,779,907
	<b>NET TOTAL - MASHANTUCKET PEQUOT AND MOHEGAN FUND</b>	<b>61,779,907</b>	<b>61,779,907</b>

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>SOLDIERS' SAILORS AND MARINES' FUND</b>		
	<b>HUMAN SERVICES</b>		
	<b>Soldiers, Sailors and Marines' Fund</b>		
10010	Personal Services	614,160	-
10020	Other Expenses	42,397	-
12153	Award Payments To Veterans	1,979,800	-
	<b>Soldiers, Sailors and Marines' Fund (continued)</b>		
12244	Fringe Benefits	457,753	-
19T01	Nonfunctional - Change to Accruals	5,509	-
<b>Total</b>		<b>3,099,619</b>	<b>-</b>
<b>NET TOTAL - SOLDIERS, SAILORS AND MARINES' FUND</b>		<b>3,099,619</b>	<b>-</b>
	<b>REGIONAL MARKET OPERATION FUND</b>		
	<b>CONSERVATION AND DEVELOPMENT</b>		
	<b>Department of Agriculture</b>		
10010	Personal Services	380,287	399,028
10020	Other Expenses	273,007	273,007
10050	Equipment	1	1
12244	Fringe Benefits	266,201	266,201
19T01	Nonfunctional - Change to Accruals	2,184	3,261
<b>Total</b>		<b>921,680</b>	<b>941,498</b>
<b>NET TOTAL - REGIONAL MARKET OPERATION FUND</b>		<b>921,680</b>	<b>941,498</b>
	<b>BANKING FUND</b>		
	<b>REGULATION AND PROTECTION</b>		
	<b>Department of Banking</b>		
10010	Personal Services	10,284,067	10,756,571
10020	Other Expenses	1,466,890	1,461,490
10050	Equipment	40,700	37,200
12244	Fringe Benefits	7,201,412	7,537,960
12262	Indirect Overhead	120,739	126,172
19T01	Nonfunctional - Change to Accruals	72,709	111,996
<b>Total</b>		<b>19,186,517</b>	<b>20,031,389</b>
	<b>Labor Department</b>		
12232	Opportunity Industrial Centers	500,000	500,000
12245	Individual Development Accounts	200,000	200,000
12471	Customized Services	1,000,000	1,000,000
<b>Total</b>		<b>1,700,000</b>	<b>1,700,000</b>

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>CONSERVATION AND DEVELOPMENT</b>		
	<b>Department of Housing</b>		
12432	Fair Housing	168,639	168,639
	<b>JUDICIAL</b>		
	<b>Judicial Department</b>		
12472	Foreclosure Mediation Program	5,521,606	5,902,565
19T01	Nonfunctional - Change to Accruals	31,686	43,256
	<b>Total</b>	<b>5,553,292</b>	<b>5,945,821</b>
<b>NET TOTAL - BANKING FUND</b>		<b>26,608,448</b>	<b>27,845,849</b>
	<b>INSURANCE FUND</b>		
	<b>GENERAL GOVERNMENT</b>		
	<b>Office of Policy and Management</b>		
10010	Personal Services	232,048	291,800
10020	Other Expenses	500	500
12244	Fringe Benefits	162,434	169,260
19T01	Nonfunctional - Change to Accruals	3,299	4,682
	<b>Total</b>	<b>398,281</b>	<b>466,242</b>
	<b>REGULATION AND PROTECTION</b>		
	<b>Insurance Department</b>		
10010	Personal Services	14,060,426	14,712,168
10020	Other Expenses	2,052,428	2,052,428
10050	Equipment	119,750	52,600
12244	Fringe Benefits	9,853,241	10,321,507
12262	Indirect Overhead	602,646	629,765
19T01	Nonfunctional - Change to Accruals	142,818	165,870
	<b>Total</b>	<b>26,831,309</b>	<b>27,934,338</b>
	<b>Office of the Healthcare Advocate</b>		
10010	Personal Services	1,293,734	1,339,621
10020	Other Expenses	374,985	326,267
10050	Equipment	-	5,000
12244	Fringe Benefits	910,309	947,599
12262	Indirect Overhead	26,056	27,229
19T01	Nonfunctional - Change to Accruals	-	12,157
	<b>Total</b>	<b>2,605,084</b>	<b>2,657,873</b>

Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>HEALTH AND HOSPITALS</b>		
	<b>Department of Mental Health and Addiction Services</b>		
12157	Managed Service System	435,000	435,000
	<b>HUMAN SERVICES</b>		
	<b>State Department on Aging</b>		
12T01	Fall Prevention	475,000	475,000
<b>NET TOTAL - INSURANCE FUND</b>		<b>30,744,674</b>	<b>31,968,453</b>
	<b>CONSUMER COUNSEL FUND AND PUBLIC UTILITY CONTROL FUND</b>		
	<b>REGULATION AND PROTECTION</b>		
	<b>Office of Consumer Counsel</b>		
10010	Personal Services	1,226,668	1,279,373
10020	Other Expenses	351,657	344,032
10050	Equipment	2,200	2,200
12244	Fringe Benefits	863,463	905,635
12262	Indirect Overhead	69,625	72,758
19T01	Nonfunctional - Change to Accruals	-	14,439
<b>Total</b>		<b>2,513,613</b>	<b>2,618,437</b>
	<b>CONSERVATION AND DEVELOPMENT</b>		
	<b>Department of Energy and Environmental Protection</b>		
10010	Personal Services	11,022,629	11,495,649
10020	Other Expenses	2,289,156	1,789,156
10050	Equipment	19,500	19,500
12244	Fringe Benefits	7,736,625	8,090,619
12262	Indirect Overhead	150,000	156,750
16213	Operation Fuel	1,100,000	1,100,000
19T01	Nonfunctional - Change to Accruals	37,304	114,090
<b>Total</b>		<b>22,355,214</b>	<b>22,765,764</b>
<b>NET TOTAL - CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND</b>		<b>24,868,827</b>	<b>25,384,201</b>
	<b>WORKERS' COMPENSATION FUND</b>		
	<b>GENERAL GOVERNMENT</b>		
	<b>Division of Criminal Justice</b>		
10010	Personal Services	358,609	382,159
10020	Other Expenses	17,000	17,000
10050	Equipment	1	1

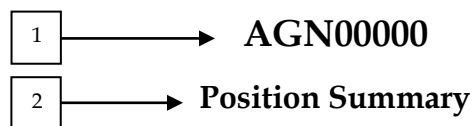
Account #	FUND/ GOVERNMENT FUNCTION/Agency/Account	FY 14 \$	FY 15 \$
	<b>Division of Criminal Justice (continued)</b>		
12244	Fringe Benefits	256,772	273,645
19T01	Nonfunctional - Change to Accruals	-	4,970
	<b>Total</b>	<b>632,382</b>	<b>677,775</b>
	<b>REGULATION AND PROTECTION</b>		
	<b>Labor Department</b>		
12045	Occupational Health Clinics	683,262	683,653
19T01	Nonfunctional - Change to Accruals	107	106
	<b>Total</b>	<b>683,369</b>	<b>683,759</b>
	<b>Workers' Compensation Commission</b>		
10010	Personal Services	9,034,093	9,328,657
10020	Other Expenses	2,510,057	2,461,233
10050	Equipment	1,028,625	2,052,000
12244	Fringe Benefits	6,527,477	6,740,127
12262	Indirect Overhead	575,355	601,246
19T01	Nonfunctional - Change to Accruals	98,183	96,325
	<b>Total</b>	<b>19,773,790</b>	<b>21,279,588</b>
	<b>HUMAN SERVICES</b>		
	<b>State Department of Rehabilitation</b>		
10010	Personal Services	484,591	506,819
10020	Other Expenses	24,500	24,500
12066	Rehabilitative Services	1,261,913	1,261,913
12244	Fringe Benefits	339,311	354,875
	<b>Total</b>	<b>2,110,315</b>	<b>2,148,107</b>
	<b>NET TOTAL - WORKERS' COMPENSATION FUND</b>	<b>23,199,856</b>	<b>24,789,229</b>
	<b>CRIMINAL INJURIES COMPENSATION FUND</b>		
	<b>JUDICIAL</b>		
	<b>Judicial Department</b>		
12047	Criminal Injuries Compensation	3,380,286	2,787,016
	<b>NET TOTAL - CRIMINAL INJURIES COMPENSATION FUND</b>	<b>3,380,286</b>	<b>2,787,016</b>
	<b>NET TOTAL - ALL APPROPRIATED FUNDS</b>	<b>18,606,511,945</b>	<b>18,995,369,409</b>

## Orientation Agency Budget Detail

The Agency Budgets' section of this document provides financial detail on each state agency or function that receives an appropriation. It includes detail by line item and by programmatic change. As illustrated below, historical information on agency operating budgets for two prior years (FY 14 and FY 15) as well as the Governor's FY 14 and FY 15 recommended budget is provided to place the FY 12 and FY 13 budget authorizations in perspective.

The order in which agencies appear in this section of the book is based on the order of the appropriations act (without regard to fund), which is arranged according to the major functions of government. Below is an example of a state agency budget. To help explain the budget format you will encounter, we have numbered various parts below and have included explanations of each following the sample.

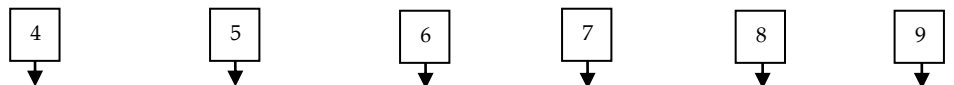
### Sample State Agency



2a →

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	50	60	60	60	60	60
Permanent Full-Time - OF	6	6	6	6	6	6

3 → Budget Summary



Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	3,000,000	3,500,000	4,000,000	4,000,000	4,000,000	4,000,000
Other Expenses	500,000	600,000	600,000	600,000	600,000	600,000
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Training & Education	250,000	400,000	400,000	400,000	400,000	400,000
<b>Other Than Payments to Local Governments</b>						
Coupon Program	250,000	500,000	200,000	200,000	100,000	100,000
<b>Agency Total - General Fund</b>	<b>4,000,000</b>	<b>5,000,001</b>	<b>5,200,001</b>	<b>5,200,001</b>	<b>5,100,001</b>	<b>5,100,001</b>
Personal Services	300,000	350,000	400,000	400,000	400,000	400,000
Other Expenses	300,000	300,000	300,000	300,000	300,000	300,000
Equipment	0	1	1	1	1	1
Fringe Benefits	200,000	250,000	250,000	250,000	250,000	250,000
<b>Agency Total - Other Fund</b>	<b>800,000</b>	<b>900,001</b>	<b>950,001</b>	<b>950,001</b>	<b>950,001</b>	<b>950,001</b>

10

**Additional Funds Available**

Federal & Other Restricted Act	1,500,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Private Contributions	1,500,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Special Funds, Non-Appropriated	2,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000
<b>Agency Grand Total</b>	<b>9,800,000</b>	<b>14,900,002</b>	<b>15,150,002</b>	<b>15,150,002</b>	<b>15,050,002</b>	<b>15,050,002</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

11

**Current Services****Adjust Funding to Reflect Wage & Compensation Related Costs**

Personal Services	0	500,000	0	500,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Personal Services	0	50,000	0	50,000	0	0	0	0
<b>Total - Other Fund</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

11a

**Governor**

Provide funding of \$500,000 in FY 14 and FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, annualization, turnover and other compensation-related adjustments.

11b

**Legislative**

Same as Governor

12

**Policy Revisions****Remove Funding for Salary Increases of Appointed Officials**

Coupon Program	0	(400,000)	0	(400,000)	0	(100,000)	0	(100,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(400,000)</b>	<b>0</b>	<b>(400,000)</b>	<b>0</b>	<b>(100,000)</b>	<b>0</b>	<b>(400,000)</b>

12a

**Background**

The Coupon Program provides discounts to students at Connecticut Museums.

11a

**Governor**

Reduce funding by \$300,000 in FY 14 and FY 15 to reflect programmatic changes.

11b

**Legislative**

Reduce funding by \$400,000 in FY 14 and FY 15 to reflect programmatic changes.

13

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	60	5,000,001	60	5,000,001	0	0	0	0
Current Services	0	500,000	0	500,000	0	0	0	0
Policy Revisions	0	(400,000)	0	(400,000)	0	(100,000)	0	(100,000)

<b>Total Recommended - GF</b>	<b>60</b>	<b>5,100,001</b>	<b>60</b>	<b>5,100,001</b>	<b>0</b>	<b>(100,000)</b>	<b>0</b>	<b>(100,000)</b>
<b>Governor Estimated - OF</b>	<b>6</b>	<b>900,000</b>	<b>6</b>	<b>900,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Current Services</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Policy Revisions</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Recommended - OF</b>	<b>6</b>	<b>950,001</b>	<b>60</b>	<b>950,001</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## Explanation of Budget Parts

(1) This is the Comptroller's Core-CT identifier for the agency. Core-CT is the state's accounting system.

(2) The position summary indicates maximum full time staffing levels for each agency. The position counts shown under the "Legislative FY 14 & FY 15" columns represent the number of permanent full-time positions an agency is authorized to establish through June 30, 2014 and June 30, 2015. Under Section 38 of PA 13-184 (FY 14 and FY 15 Budget) no agency can exceed the number of positions shown under these columns, except upon the recommendation of the Governor and the approval of the Finance Advisory Committee (although the constituent units of the State System of Higher Education are exempted from this provision).

(2a) The personnel entries shown for "Other Funds" (OF) include positions funded by federal, private, or non-appropriated special funds.

(3) This section provides a brief summary of each agency's operating budget. Personal Services, Other Expenses and Equipment reflect actual appropriated accounts, common to all state agencies. Other current expenses and grant categories are summations of individually appropriated accounts that are listed separately in subsequent sections.

(4) This column shows the actual expenditures in FY 12 in order to provide a historical perspective on the FY 13 estimated expenditures, and the FY 14 and FY 15 budget authorizations.

(5) This column provides the Governor's expenditure estimates for FY 13, which typically reflects the FY 13 appropriation except for agencies with projected year end deficiencies.

(6) & (7) These columns reflect the Governor's FY 14 and FY 15 recommended budget as provided to the Legislature in February 2013.

(8) & (9) These columns reflect the Legislature's enacted FY 14 and FY 15 appropriations as signed by the Governor. The amounts shown under these columns represent the appropriated funding the agency is authorized to spend for the first year of the biennium (FY 14) ending June 30, 2014 and the second year of the biennium (FY 15) ending June 30, 2015, in each account. It should be noted that these amounts do not include various savings requirements that may be necessary as part of the budget act, such as mandated lapses.

(10) This subsection of the budget summary includes carry forward funding, federal, private and special non-appropriated state funds available to an agency in addition to its state appropriations. The additional funds shown under the columns "Legislative FY 14 and FY 15" are estimated expenditures since many federal and private grants, although received in one year, may be available for expenditure over several years. Federal funds reflect the most current best estimates; however, federal aid is subject to change based on new federal legislation and administrative regulations.

(11) Current service adjustments are adjustments made to the budget which reflect revisions to items including: wage and compensation related costs, turnover, the annualization of partial year expenditures, rent/lease increases, caseload increases, changes authorized by existing law, utility/fuel increases, or



program changes authorized under existing law. There may be instances in which a current services adjustment is made in this section of an agency's budget and there is a policy decision in the Policy Adjustment portion of the Budget Change details, which zero out or in some other way alters the current services adjustment.

**(11a)** Governor's write-ups provide detail on the changes the Governor recommended to the single line item or various line items as a result of the current service or policy adjustment specified. This write-up is included only when the action originates from the Governor. If the change was adopted by the Legislature, the legislative write-up will denote, "Same as Governor." For policy adjustments initiated by the legislature there will not be a Governor's write-up. OFA may include information to help the reader understand the Governor's proposal.

**(11b)** Legislative write-ups provide detail on the changes the Legislature adopted. As previously noted, in instances where the Legislature adopted the Governor's recommendation, the write-up will read "Same as Governor." The write-up may include information such as the enacting legislation, funding requirements, or position changes. The four types of options for Legislative action include:

- Agreement with the Governor's proposal;
- Disagreement (elimination) with the Governor's proposal;
- Alteration of the Governor's proposed action; or
- Initiation of a new budget change. In this case no corresponding Governor write-up is provided as the initiative occurred after the Governor presented his/her budget.

**(12)** Policy adjustments section provides a description of the budgetary changes to explain one or more of the following: new or expanded programs, reduction in the scope of existing programs, elimination of a program, transfer of programs to other state agencies, changes in the method of funding a state operation, additional funding requirements due to workload or caseload increases, inflationary and/or other built-in increases. In those cases where the Governor proposed a significant reduction in the scope or elimination of a program, a savings factor, based upon the amount of funding which would have been required to continue the program at its present level, is used.

**(12a)** The program change write-up explains the nature of the action being taken by either the Governor or legislature. In some cases it may provide information on a program itself or other descriptive information. The Governor's and Legislative write-ups explained below, outline the specific action the Governor and the legislature took on each item and/or what resources are impacted as a result. Often specific quantitative information is provided to describe specific monetary or personnel, and program impact of different decisions.

**(13)** This section provides a snapshot of the changes between the Governor's recommended FY 14 and FY 15 appropriations (Columns 6 and 7) and the Legislative enacted FY 14 and FY 15 appropriations (Columns 8 and 9). In addition, the summary provides the total changes attributable to current service adjustments and policy adjustments. Lastly, this section provides the difference between the Legislative FY 14 and FY 15 adopted budget and position count and the Governor's recommended FY 14 and FY 15.

## Function of Government

Legislative .....	59
General Government .....	85
Regulation and Protection .....	160
Conservation and Development .....	225
Health and Hospitals.....	276
Transportation .....	320
Human Services.....	330
Education, Museums, Libraries .....	375
Corrections .....	432
Judicial .....	454
Non-Functional .....	468

# Legislative Management

## OLM10000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	438	439	439	439	439	439

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	40,445,228	45,260,629	47,370,881	50,020,355	47,745,867	50,395,341
Other Expenses	11,105,038	14,983,232	16,235,635	17,138,316	16,130,406	17,168,117
Equipment	160,527	316,000	529,700	692,800	107,285	50,100
<b>Other Current Expenses</b>						
Flag Restoration	0	75,000	75,000	75,000	75,000	75,000
Minor Capital Improvements	16,630	265,000	700,000	900,000	0	0
Interim Salary/Caucus Offices	550,760	464,100	605,086	495,478	605,086	495,478
Redistricting	914,191	0	0	0	0	0
Connecticut Academy of Science and Engineering	25,000	100,000	100,000	100,000	500,000	400,000
Old State House	490,941	616,523	555,950	581,500	555,950	581,500
<b>Other Than Payments to Local Governments</b>						
Interstate Conference Fund	332,503	380,584	383,747	399,080	383,747	399,080
New England Board of Higher Education	183,750	194,183	192,938	202,584	192,938	202,584
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>309,233</b>	<b>295,053</b>	<b>309,233</b>	<b>295,053</b>
<b>Agency Total - General Fund</b>	<b>54,224,567</b>	<b>62,655,251</b>	<b>67,058,170</b>	<b>70,900,166</b>	<b>66,605,512</b>	<b>70,062,253</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	1,686,888	0
Federal & Other Restricted Act	7,664	0	0	0	0	0
Private Contributions	2,738,259	2,500,000	3,200,000	3,200,000	3,200,000	3,200,000
<b>Agency Grand Total</b>	<b>56,970,490</b>	<b>65,155,251</b>	<b>70,258,170</b>	<b>74,100,166</b>	<b>71,492,400</b>	<b>73,262,253</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding for Minor Capital Improvements

Minor Capital Improvements	0	2,835,000	0	3,435,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,835,000</b>	<b>0</b>	<b>3,435,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$2,835,000 in FY 14 and \$3,435,000 in FY 15 for various capital improvement projects at the Capitol, Legislative Office Building, and Old State House. These projects include skylight repairs, energy management upgrades, roof replacement, boiler replacement, new HVAC air handling units, and garage joint replacement and recoating.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	2,110,252	0	4,759,726	0	0	0	0
Interim Salary/Caucus Offices	0	140,986	0	31,378	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,251,238</b>	<b>0</b>	<b>4,791,104</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$2,251,238 in FY 14 and \$4,791,104 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	390,707	0	878,486	0	0	0	0
Old State House	0	24,427	0	50,027	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>415,134</b>	<b>0</b>	<b>928,513</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for the Other Expenses and Old State House accounts by \$415,134 in FY 14 and an additional \$513,379 in FY 15 (for a cumulative total of \$928,513 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

### Adjust Funding for Other Expenses and Equipment

Other Expenses	0	648,998	0	539,252	0	0	0	0
Equipment	0	2,161,200	0	1,923,800	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,810,198</b>	<b>0</b>	<b>2,463,052</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$2,810,198 in FY 14 and \$2,463,052 in FY 15 for the Other Expenses and Equipment accounts. Funding is provided for PeopleSoft, network switches, tax software, IT consulting services, and HD equipment upgrades.

#### Legislative

Same as Governor

### Adjust Funding for Short/Long Sessions

Other Expenses	0	(719,144)	0	(61,893)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(719,144)</b>	<b>0</b>	<b>(61,893)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$719,144 in FY 14 and \$61,893 in FY 15 to adjust for the number of days in a short session (FY 14) and a long session (FY 15).

#### Legislative

Same as Governor

### Adjust Other Expenses to Reflect Current Requirements

Other Expenses	0	931,842	0	799,239	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>931,842</b>	<b>0</b>	<b>799,239</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding by \$931,842 in FY 14 and \$799,236 in FY 15 for increased electricity costs, premises repair and maintenance services, conferences, seminars and workshops, and out-of-state travel.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	309,233	0	295,053	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>309,233</b>	<b>0</b>	<b>295,053</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amount and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$309,233 in FY 14 and \$295,053 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

### Annualize Previous Year Partial Funding

Interstate Conference Fund	0	15,223	0	31,056	0	0	0	0
New England Board of Higher Education	0	(1,245)	0	8,401	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>13,978</b>	<b>0</b>	<b>39,457</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period. Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year.

#### Governor

Provide funding of \$13,978 in FY 14 and \$39,457 in FY 15 to reflect full year funding for Interstate Conference Fund and New England Board of Higher Education dues.

#### Legislative

Same as Governor

## Policy Revisions

### Adjust Funding for CCDC

Other Expenses	0	10,000	0	10,000	0	10,000	0	10,000
<b>Total - General Fund</b>	<b>0</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>

#### Background

The Connecticut General Assembly (CGA) contracts with the Capitol Child Development Center, Inc. (CCDC) for the management and operation of the legislative day care center, established by the CGA, to provide child care services for the employees of the State and the CGA and other community members. CCDC is located in the first floor of the Capitol Towers at 450 Broad Street in Hartford and the facility is leased through the Department of Administrative Services (DAS) on behalf of the CGA. The current contract between the CGA and CCDC is in the amount of \$53,000, for the period of October 1, 2011 through September 30, 2014.

#### Legislative

Provide funding of \$10,000 in both FY 14 and FY 15 for goods and supplies.

### Reduce Funding for Printing & Binding

Other Expenses	0	(190,229)	0	(55,199)	0	(190,229)	0	(55,199)
<b>Total - General Fund</b>	<b>0</b>	<b>(190,229)</b>	<b>0</b>	<b>(55,199)</b>	<b>0</b>	<b>(190,229)</b>	<b>0</b>	<b>(55,199)</b>

#### Legislative

Reduce funding by \$190,229 in FY 14 and \$55,199 in FY 15 to reflect the funding of printing and binding at FY 12 and FY 13 levels, respectively.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for the Office of Legislative Management

Equipment	0	(525,500)	0	(642,700)	0	(525,500)	0	(642,700)
Minor Capital Improvements	0	(700,000)	0	(900,000)	0	(700,000)	0	(900,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(1,225,500)</b>	<b>0</b>	<b>(1,542,700)</b>	<b>0</b>	<b>(1,225,500)</b>	<b>0</b>	<b>(1,542,700)</b>

#### Legislative

Reduce funding by \$1,225,500 in FY 14 and \$1,542,700 in FY 15 to reflect the intended funding of the agency's equipment and capital projects through a bond allocation from the State Bond Commission in both FY 14 and FY 15. Of this total, \$525,500 is for equipment items; and \$700,000 in FY 14 is for minor capital improvement items. Also of this total, \$642,700 is for equipment items and \$900,000 is for minor capital improvement items in FY 15. These projects include various information technology (IT) equipment items, various projects at the Legislative Office Building (LOB) and Capitol, and emergency equipment for the Capitol Police.

### Provide Funding for CASE

Connecticut Academy of Science and Engineering	0	400,000	0	300,000	0	400,000	0	300,000
<b>Total - General Fund</b>	<b>0</b>	<b>400,000</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>400,000</b>	<b>0</b>	<b>300,000</b>

#### Background

The Connecticut Academy of Science and Engineering (CASE) was established by the Connecticut General Assembly in 1976, under Special Act 76-53, as a 200-member organization whose main purpose was to advise state government and industry in the application of science and engineering to the economic and social welfare.

#### Legislative

Provide funding of \$400,000 in FY 14 for an early childhood discontinuity study and \$300,000 in FY 15 for a disparity study.

### Rollout FY 13 DMP

Equipment	0	(1,947,500)	0	(1,547,000)	0	0	0	0
Minor Capital Improvements	0	(2,400,000)	0	(2,800,000)	0	0	0	0
Old State House	0	(85,000)	0	(85,050)	0	0	0	0
Interstate Conference Fund	0	(12,060)	0	(12,560)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(4,444,560)</b>	<b>0</b>	<b>(4,444,610)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

#### Governor

Reduce funding by \$4,444,560 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

#### Legislative

Same as Governor

### Provide Funding for Security Measures

Personal Services	0	374,986	0	374,986	0	374,986	0	374,986
Equipment	0	103,085	0	0	0	103,085	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>478,071</b>	<b>0</b>	<b>374,986</b>	<b>0</b>	<b>478,071</b>	<b>0</b>	<b>374,986</b>

#### Legislative

Provide funding of \$478,071 in FY 14 and \$374,986 in FY 15 for security measures at the Legislative Office Building. Of this total, \$103,085 is provided in FY 14 only for one-time equipment costs for metal detectors, x-ray machines, and metal detecting hand wands. In both FY 14 and FY 15, \$374,986 is provided for ongoing costs for Personal Services for Capitol Police officers and security technicians.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Results Based Accountability

Other Expenses	0	75,000	0	75,000	0	75,000	0	75,000
<b>Total - General Fund</b>	<b>0</b>	<b>75,000</b>	<b>0</b>	<b>75,000</b>	<b>0</b>	<b>75,000</b>	<b>0</b>	<b>75,000</b>

#### Legislative

Provide funding of \$75,000 in both FY 14 and FY 15 for Results-Based Accountability.

## Carry Forward

### Provide Carry Forward Funding for Various Accounts

Personal Services	0	350,000	0	0	0	350,000	0	0
Other Expenses	0	557,871	0	0	0	557,871	0	0
Equipment	0	20,000	0	0	0	20,000	0	0
Minor Capital Improvements	0	180,000	0	0	0	180,000	0	0
Connecticut Academy of Science and Engineering	0	329,017	0	0	0	329,017	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>1,436,888</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,436,888</b>	<b>0</b>	<b>0</b>

#### Legislative

PA 13-184, the FY 14 and FY 15 Budget, carries forward \$1,436,888 in various accounts from FY 13 into FY 14, this includes:

- Section 40(a) carries forwards \$29,017 in the Connecticut Academy of Science and Engineering account for a health impact assessment study;
- Section 40(b) carries forwards \$300,000 in the Connecticut Academy of Science and Engineering account for a disparity study;
- Section 40(c) carries forwards \$90,000 in Other Expenses for an eyewitness task force;
- Section 40(d) carries forwards \$316,900 in Other Expenses for the construction of the Old State House cupola;
- Section 40(e) carries forwards \$80,000 in the Minor Capital Improvements account for construction of the Old State House cupola;
- Section 40(f) carries forwards \$100,000 in the Minor Capital Improvements account for the renovation of the capitol generator;
- Section 40(g) carries forwards \$20,000 into the Equipment account;
- Section 40(h) carries forward \$150,971 into Other Expenses for a higher education strategic plan; and
- Section 40(i) carries forward \$350,000 into Personal Services.

### Provide Carry Forward Funds for CT-N

Equipment	0	250,000	0	0	0	250,000	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>0</b>

#### Legislative

Section 383 of PA 13-247, the general government implementer, carries forward \$250,000 from Personal Services and transfers it into the Equipment account for the Connecticut Television Network (CT-N).

## Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	439	62,655,251	439	62,655,251	0	0	0	0
Current Services	0	8,847,479	0	12,689,525	0	0	0	0
Policy Revisions	0	(4,897,218)	0	(5,282,523)	0	(452,658)	0	(837,913)
<b>Total Recommended - GF</b>	<b>439</b>	<b>66,605,512</b>	<b>439</b>	<b>70,062,253</b>	<b>0</b>	<b>(452,658)</b>	<b>0</b>	<b>(837,913)</b>

## *Other Significant Legislation*

### **PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$56,251, a General Other Expenses Lapse of \$123,941, and a Statewide Hiring Reduction Lapse of \$147,569. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	47,745,867	(194,171)	47,551,696	0.41%
Other Expenses	16,130,406	(133,590)	15,996,816	0.83%



# Auditors of Public Accounts

## APA11000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	117	117	117	117	117	117

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	10,592,554	11,136,456	11,287,145	11,860,523	11,287,145	11,860,523
Other Expenses	740,770	417,709	426,778	439,153	426,778	439,153
Equipment	10,016	10,000	10,000	10,000	10,000	10,000
GAAP Adjustments	0	0	68,686	69,637	68,686	69,637
Agency Total - General Fund	11,343,340	11,564,165	11,792,609	12,379,313	11,792,609	12,379,313

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	654,222	0	1,227,600	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>654,222</b>	<b>0</b>	<b>1,227,600</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$654,222 in FY 14 and \$1,227,600 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, turnover, accumulated leave and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	9,069	0	21,444	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>9,069</b>	<b>0</b>	<b>21,444</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Increase funding for Other Expenses by \$9,069 in FY 14 and an additional \$12,375 in FY 15 (for a cumulative total of \$21,444 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

#### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	68,686	0	69,637	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>68,686</b>	<b>0</b>	<b>69,637</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$68,686 in FY 14 and \$69,637 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Policy Revisions

#### Rollout of FY 13 DMP

Personal Services	0	(503,533)	0	(503,533)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(503,533)</b>	<b>0</b>	<b>(503,533)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$503,533 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

#### Legislative

Same as Governor

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	117	11,564,165	117	11,564,165	0	0	0	0
Current Services	0	731,977	0	1,318,681	0	0	0	0
Policy Revisions	0	(503,533)	0	(503,533)	0	0	0	0
<b>Total Recommended - GF</b>	<b>117</b>	<b>11,792,609</b>	<b>117</b>	<b>12,379,313</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Other Significant Legislation

#### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Other Expenses Lapse of \$11,703, and a Statewide Hiring Reduction Lapse of \$34,983. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	11,287,145	(34,983)	11,252,162	0.31%
Other Expenses	426,778	(11,703)	415,075	2.74%

# Commission on Aging

## COA11400

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	4	4	4	4	4	4

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	241,779	251,989	395,673	417,627	395,673	417,627
Other Expenses	2,562	6,495	37,418	38,848	37,418	38,848
Equipment	0	1,500	0	0	0	0
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>7,901</b>	<b>2,499</b>	<b>7,901</b>	<b>2,499</b>
<b>Agency Total - General Fund</b>	<b>244,341</b>	<b>259,984</b>	<b>440,992</b>	<b>458,974</b>	<b>440,992</b>	<b>458,974</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### *Current Services*

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	55,872	0	41,043	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>55,872</b>	<b>0</b>	<b>41,043</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### **Governor**

Provide funding of \$55,872 in FY 14 and \$41,043 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, and other compensation-related adjustments.

#### **Legislative**

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	136	0	328	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>136</b>	<b>0</b>	<b>328</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### **Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### **Governor**

Increase funding for Other Expenses by \$136 in FY 14 and an additional \$192 in FY 15 (for a cumulative total of \$328 in the second year) to reflect inflationary increases.

#### **Legislative**

Same as Governor

#### Adjust Funding for Commission on Aging

Personal Services	0	88,136	0	124,919	0	0	0	0
Other Expenses	0	35,787	0	37,025	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>123,923</b>	<b>0</b>	<b>161,944</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Provide funding of \$123,923 in FY 14 and \$161,944 in FY 15 for the Commission on Aging. Of this total, Personal Services funding of \$88,136 in FY 14 and \$124,919 in FY 15 is provided to fully fund three filled staff positions (that are currently at reduced hours). The remaining \$35,787 in FY 14 and \$37,025 in FY 15 in the Other Expenses account is for advertising, out-of-state travel, conferences, and consulting services.

**Legislative**

Same as Governor

**Policy Revisions****Rollout FY 13 DMP**

Personal Services	0	(324)	0	(324)	0	0	0	0
Other Expenses	0	(5,000)	0	(5,000)	0	0	0	0
Equipment	0	(1,500)	0	(1,500)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(6,824)</b>	<b>0</b>	<b>(6,824)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$6,824 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Provide Funding for GAAP**

Nonfunctional - Change to Accruals	0	7,901	0	2,499	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>7,901</b>	<b>0</b>	<b>2,499</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amount and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$7,901 in FY 14 and \$2,499 in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Adjust Commissions' Lapse****Background**

The governor is prohibited, per CGS 4-73(f), from modifying the requested budget of legislative agencies.

**Governor**

Consolidate five legislative commissions, and eliminate the Commission on Aging, through a bottom line lapse savings of \$788,236 in FY 14 and \$874,820 in FY 15.

**Legislative**

Do not consolidate five legislative commissions and do not eliminate the Commission on Aging. As a result, there is no bottom line lapse for this purpose.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	4	259,984	4	259,984	0	0	0	0
Current Services	0	179,931	0	203,315	0	0	0	0
Policy Revisions	0	1,077	0	(4,325)	0	0	0	0
Total Recommended - GF	4	440,992	4	458,974	0	0	0	0

**Other Significant Legislation****PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Other Expenses Lapse of \$612, and a Statewide Hiring Reduction Lapse of \$1,226. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	395,673	(1,226)	394,447	0.31%
Other Expenses	37,418	(612)	36,806	1.64%

# Permanent Commission on the Status of Women

## CSW11500

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	6	6	6	6	6	6

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	417,707	447,419	513,111	543,032	513,111	543,032
Other Expenses	50,588	55,475	58,834	57,117	78,834	57,117
Equipment	0	1,500	1,000	1,000	1,000	1,000
GAAP Adjustments	0	0	5,476	3,588	5,476	3,588
Agency Total - General Fund	468,295	504,394	578,421	604,737	598,421	604,737
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	10,000	0
Private Contributions	13,709	0	0	0	0	0
Agency Grand Total	482,004	504,394	578,421	604,737	608,421	604,737

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	27,089	0	56,940	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>27,089</b>	<b>0</b>	<b>56,940</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$27,089 in FY 14 and \$56,940 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	1,165	0	2,808	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,165</b>	<b>0</b>	<b>2,808</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$1,165 in FY 14 and an additional \$1,643 in FY 15 (for a cumulative total of \$2,808 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

#### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	5,476	0	3,588	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>5,476</b>	<b>0</b>	<b>3,588</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

Each agency's budget will include a new line item account called "Nonfunctional – Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amount and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$5,476 in FY 14 and \$3,588 in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Policy Revisions****Adjust Funding for PCSW**

Personal Services	0	51,842	0	51,912	0	0	0	0
Other Expenses	0	2,194	0	(1,166)	0	0	0	0
Equipment	0	(500)	0	(500)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>53,536</b>	<b>0</b>	<b>50,246</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$53,536 in FY 14 and \$50,246 in FY 15. Of this total, Personal Services funding of \$51,842 in FY 14 and \$51,912 in FY 15 is provided to fully fund five filled positions (that are currently at reduced hours). Adjust funding by \$2,194 in FY 14 and (\$1,166) in FY 15 in the Other Expenses account for out-of-state travel, consulting services and training. Lastly, reduce the Equipment account by \$500 in both FY 14 and FY 15 for office furniture.

**Legislative**

Same as Governor

**Provide Funding for Early Childhood Education Survey**

Other Expenses	0	20,000	0	0	0	20,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>20,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>20,000</b>	<b>0</b>	<b>0</b>

**Legislative**

Provide funding of \$20,000 in FY 14 for a consultant to perform a salary survey of early childhood educators in the state. The survey will conduct a living wage comparison study of those educators in the state versus other states. A report shall be issued to the Legislative on Higher Education by June 30, 2014.

**Rollout of FY 13 DMP**

Personal Services	0	(13,239)	0	(13,239)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(13,239)</b>	<b>0</b>	<b>(13,239)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$13,239 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Adjust Commissions' Lapse

### Background

The governor is prohibited, per CGS 4-73(f), from modifying the requested budget of legislative agencies.

### Governor

Consolidate five legislative commissions, and eliminate the Commission on Aging, through a bottom line lapse savings of \$788,236 in FY 14 and \$874,820 in FY 15.

### Legislative

Do not consolidate five legislative commissions and do not eliminate the Commission on Aging. As a result, there is no bottom line lapse for this purpose.

## Carry Forward

### Provide Carry Forward Funding for Other Expenses

Other Expenses	0	10,000	0	0	0	10,000	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>10,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10,000</b>	<b>0</b>	<b>0</b>

### Legislative

PA 13-184, the FY 14 and FY 15 Budget, carries forward \$10,000 into Other Expenses from FY 13 into FY 14.

- Section 59(a) carries forwards \$5,000 for a pay equity study;
- Section 59(b) carries forwards \$2,500 for a women's health review to be done by Yale Health Research; and
- Section 59(c) carries forwards \$2,500 for a homelessness study to be done by Partnership for Strong Communities.

## Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	6	504,394	6	504,394	0	0	0	0
Current Services	0	33,730	0	63,336	0	0	0	0
Policy Revisions	0	60,297	0	37,007	0	20,000	0	0
<b>Total Recommended - GF</b>	<b>6</b>	<b>598,421</b>	<b>6</b>	<b>604,737</b>	<b>0</b>	<b>20,000</b>	<b>0</b>	<b>0</b>

## Other Significant Legislation

### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Other Expenses Lapse of \$653, and a Statewide Hiring Reduction Lapse of \$2,003. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	513,111	(2,003)	511,108	0.39%
Other Expenses	78,834	(653)	78,181	0.83%



# Commission on Children

CCY11600

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	7	7	7	7	7	7

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	488,996	502,233	630,416	670,356	630,416	670,356
Other Expenses	24,216	29,507	26,187	27,055	76,187	77,055
GAAP Adjustments	0	0	9,431	5,062	9,431	5,062
Agency Total - General Fund	513,212	531,740	666,034	702,473	716,034	752,473
Additional Funds Available						
Private Contributions	617	0	0	0	0	0
Agency Grand Total	513,829	531,740	666,034	702,473	716,034	752,473

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	26,862	0	66,802	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>26,862</b>	<b>0</b>	<b>66,802</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$26,862 in FY 14 and \$66,802 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, accumulated leave, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	637	0	1,505	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>637</b>	<b>0</b>	<b>1,505</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$637 in FY 14 and an additional \$868 in FY 15 (for a cumulative total of \$1,505 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	9,431	0	5,062	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>9,431</b>	<b>0</b>	<b>5,062</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amount and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$9,431 in FY 14 and \$5,062 in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Policy Revisions****Adjust Funding for Commission on Children**

Personal Services	0	111,321	0	111,321	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>111,321</b>	<b>0</b>	<b>111,321</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Adjust funding for Personal Services by \$111,321 in both FY 14 and FY 15 to fully fund seven authorized positions (five are currently filled) and eligible promotions.

**Legislative**

Same as Governor

**Rollout FY 13 DMP**

Personal Services	0	(10,000)	0	(10,000)	0	0	0	0
Other Expenses	0	(3,957)	0	(3,957)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(13,957)</b>	<b>0</b>	<b>(13,957)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$13,957 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Provide Funding for LGBT Consultant**

Other Expenses	0	50,000	0	50,000	0	50,000	0	50,000
<b>Total - General Fund</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>

**Legislative**

Provide funding of \$50,000 in both FY 14 and FY 15 to perform outreach with a youth focus surrounding the issue of bullying in the lesbian, gay, bisexual, and transgender (LGBT) community in schools.

**Adjust Commissions' Lapse****Background**

The governor is prohibited, per CGS 4-73(f), from modifying the requested budget of legislative agencies.

**Governor**

Consolidate five legislative commissions, and eliminate the Commission on Aging, through a bottom line lapse savings of \$788,236 in FY 14 and \$874,820 in FY 15.

**Legislative**

Do not consolidate five legislative commissions and do not eliminate the Commission on Aging. As a result, there is no bottom line lapse for this purpose.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	7	531,740	7	531,740	0	0	0	0
Current Services	0	36,930	0	73,369	0	0	0	0
Policy Revisions	0	147,364	0	147,364	0	50,000	0	50,000
Total Recommended - GF	7	716,034	7	752,473	0	50,000	0	50,000

**Other Significant Legislation****PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Other Expenses Lapse of \$1,123, and a Statewide Hiring Reduction Lapse of \$1,954. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	630,416	(1,954)	628,462	0.31%
Other Expenses	76,187	(1,123)	75,064	1.47%

# Latino and Puerto Rican Affairs Commission

## LPR11700

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	3	3	4	4	4	4

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	253,212	284,684	400,430	419,433	400,430	419,433
Other Expenses	45,143	33,766	63,980	28,144	63,980	28,144
GAAP Adjustments	0	0	6,351	2,457	6,351	2,457
Agency Total - General Fund	298,355	318,450	470,761	450,034	470,761	450,034
Additional Funds Available						
Private Contributions	27,219	0	0	0	0	0
Agency Grand Total	325,574	318,450	470,761	450,034	470,761	450,034

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	19,950	0	38,953	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>19,950</b>	<b>0</b>	<b>38,953</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$19,950 in FY 14 and \$38,953 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	709	0	1,734	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>709</b>	<b>0</b>	<b>1,734</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$709 in FY 14 and an additional \$1,025 in FY 15 (for a cumulative total of \$1,734 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	6,351	0	2,457	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>6,351</b>	<b>0</b>	<b>2,457</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amount and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$6,351 in FY 14 and \$2,457 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Adjust Funding for LPRAC

Personal Services	1	95,796	1	95,796	0	0	0	0
Other Expenses	0	37,864	0	1,003	0	0	0	0
<b>Total - General Fund</b>	<b>1</b>	<b>133,660</b>	<b>1</b>	<b>96,799</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$133,660 in FY 14 and \$96,799 in FY 15 for LPRAC. Of this total, Personal Services funding of \$95,796 in both FY 14 and FY 15 is provided for one Principle Analyst position. The remaining \$37,864 in FY 14 and \$1,003 in FY 15 in the Other Expenses account is for out-of-state travel, printing and consulting services.

#### Legislative

Same as Governor

### Rollout FY 13 DMP

Other Expenses	0	(8,359)	0	(8,359)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(8,359)</b>	<b>0</b>	<b>(8,359)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

#### Governor

Reduce funding by \$8,359 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

#### Legislative

Same as Governor

## Adjust Commissions' Lapse

#### Background

The governor is prohibited, per CGS 4-73(f), from modifying the requested budget of legislative agencies.

#### Governor

Consolidate five legislative commissions, and eliminate the Commission on Aging, through a bottom line lapse savings of \$788,236 in FY 14 and \$874,820 in FY 15.

#### Legislative

Do not consolidate five legislative commissions and do not eliminate the Commission on Aging. As a result, there is no bottom line lapse for this purpose.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	3	318,450	3	318,450	0	0	0	0
Current Services	0	27,010	0	43,144	0	0	0	0
Policy Revisions	1	125,301	1	88,440	0	0	0	0
Total Recommended - GF	4	470,761	4	450,034	0	0	0	0

**Other Significant Legislation****PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Other Expenses Lapse of \$854, and a Statewide Hiring Reduction Lapse of \$1,241. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	400,430	(1,241)	399,189	0.31%
Other Expenses	63,980	(854)	63,126	1.33%

# African-American Affairs Commission

CAA11900

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	2	2	3	3	3	3

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	177,822	187,166	260,856	273,642	260,856	273,642
Other Expenses	16,724	22,663	25,032	25,684	25,032	25,684
GAAP Adjustments	0	0	4,081	1,551	4,081	1,551
Agency Total - General Fund	194,546	209,829	289,969	300,877	289,969	300,877
Additional Funds Available						
Private Contributions	16,087	0	0	0	0	0
Agency Grand Total	210,633	209,829	289,969	300,877	289,969	300,877

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	24,188	0	36,974	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>24,188</b>	<b>0</b>	<b>36,974</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$24,188 in FY 14 and \$36,974 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	492	0	1,144	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>492</b>	<b>0</b>	<b>1,144</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$492 in FY 14 and an additional \$652 in FY 15 (for a cumulative total of \$1,144 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Rollout FY 13 DMP

Personal Services	0	(5,508)	0	(5,508)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(5,508)</b>	<b>0</b>	<b>(5,508)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

#### Governor

Reduce funding by \$5,508 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	4,081	0	1,551	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>4,081</b>	<b>0</b>	<b>1,551</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amount and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$4,081 in FY 14 and \$1,551 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Adjust Funding for African-American Affairs Commission

Personal Services	1	55,010	1	55,010	0	0	0	0
Other Expenses	0	1,877	0	1,877	0	0	0	0
<b>Total - General Fund</b>	<b>1</b>	<b>56,887</b>	<b>1</b>	<b>56,887</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$56,887 in both FY 14 and FY 15 for the African-American Affairs Commission. Of this total, Personal Services funding of \$55,010 in both FY 14 and FY 15 is provided for one Legislative Analyst II position. The remaining \$1,877 in both FY 14 and FY 15 in the Other Expenses account is for printing.

#### Legislative

Same as Governor

### Adjust Commissions' Lapse

#### Background

The governor is prohibited, per CGS 4-73(f), from modifying the requested budget of legislative agencies.

#### Governor

Consolidate five legislative commissions, and eliminate the Commission on Aging, through a bottom line lapse savings of \$788,236 in FY 14 and \$874,820 in FY 15.

#### Legislative

Do not consolidate five legislative commissions and do not eliminate the Commission on Aging. As a result, there is no bottom line lapse for this purpose.



**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	2	209,829	2	209,829	0	0	0	0
Current Services	0	23,253	0	34,161	0	0	0	0
Policy Revisions	1	56,887	1	56,887	0	0	0	0
Total Recommended - GF	3	289,969	3	300,877	0	0	0	0

**Other Significant Legislation****PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Other Expenses Lapse of \$406, and a Statewide Hiring Reduction Lapse of \$808. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	260,856	(808)	260,048	0.31%
Other Expenses	25,032	(406)	24,626	1.62%

# Asian Pacific American Affairs Commission

## APC11950

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	2	2	2	2	2	2

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	47,131	147,482	169,370	179,683	169,370	179,683
Other Expenses	9,917	4,213	65,709	15,038	65,709	15,038
Equipment	0	1,500	0	0	0	0
GAAP Adjustments	0	0	4,483	2,678	4,483	2,678
Agency Total - General Fund	57,048	153,195	239,562	197,399	239,562	197,399

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	9,336	0	19,649	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>9,336</b>	<b>0</b>	<b>19,649</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$9,336 in FY 14 and \$19,649 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	341	0	562	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>341</b>	<b>0</b>	<b>562</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$341 in FY 14 and an additional \$221 in FY 15 (for a cumulative total of \$562 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	4,483	0	2,678	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>4,483</b>	<b>0</b>	<b>2,678</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amount and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Provide funding of \$4,483 in FY 14 and \$2,678 in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Policy Revisions****Adjust Funding for Asian Pacific American Affairs Commission**

Personal Services	0	12,552	0	12,552	0	0	0	0
Other Expenses	0	63,675	0	12,783	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>76,227</b>	<b>0</b>	<b>25,335</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$76,227 in FY 14 and \$25,335 in FY 15 for the Asian Pacific American Affairs Commission. Of this total, Personal Services funding of \$12,552 in both FY 14 and FY 15 is provided for eligible promotions. The remaining \$63,675 in FY 14 and \$12,783 in FY 15 in the Other Expenses account is for a needs assessment study and translation services.

**Legislative**

Same as Governor

**Rollout FY 13 DMP**

Other Expenses	0	(2,520)	0	(2,520)	0	0	0	0
Equipment	0	(1,500)	0	(1,500)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(4,020)</b>	<b>0</b>	<b>(4,020)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$4,020 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Adjust Commissions' Lapse****Background**

The governor is prohibited, per CGS 4-73(f), from modifying the requested budget of legislative agencies.

**Governor**

Consolidate five legislative commissions, and eliminate the Commission on Aging, through a bottom line lapse savings of \$788,236 in FY 14 and \$874,820 in FY 15.

**Legislative**

Do not consolidate five legislative commissions and do not eliminate the Commission on Aging. As a result, there is no bottom line lapse for this purpose.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Governor Estimated - GF</b>	<b>2</b>	<b>153,195</b>	<b>2</b>	<b>153,195</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	14,160	0	22,889	0	0	0	0
Policy Revisions	0	72,207	0	21,315	0	0	0	0
<b>Total Recommended - GF</b>	<b>2</b>	<b>239,562</b>	<b>2</b>	<b>197,399</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## *Other Significant Legislation*

### **PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Other Expenses Lapse of \$708, and a Statewide Hiring Reduction Lapse of \$525. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	169,370	(525)	168,845	0.31%
Other Expenses	65,709	(708)	65,001	1.08%

# Governor's Office

## GOV12000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	27	27	27	27	27	27

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	2,322,114	2,270,218	2,322,025	2,328,660	2,322,025	2,328,660
Other Expenses	176,092	231,311	457,490	464,834	216,646	216,646
Equipment	0	1	1	1	1	1
<b>Other Than Payments to Local Governments</b>						
New England Governors' Conference	3,262	113,138	0	0	109,937	113,289
National Governors' Association	115,376	134,720	0	0	130,907	134,899
GAAP Adjustments	0	0	0	9,030	0	9,030
<b>Agency Total - General Fund</b>	<b>2,616,844</b>	<b>2,749,388</b>	<b>2,779,516</b>	<b>2,802,525</b>	<b>2,779,516</b>	<b>2,802,525</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	182,424	0	321,845	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>182,424</b>	<b>0</b>	<b>321,845</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$182,424 in FY 14 and \$321,845 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	5,818	0	13,346	0	0	0	0
New England Governors' Conference	0	2,455	0	5,807	0	0	0	0
National Governors' Association	0	2,923	0	6,915	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>11,196</b>	<b>0</b>	<b>26,068</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for various accounts by \$11,196 in FY 14 and an additional \$14,872 in FY 15 (for a cumulative total of \$26,068 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	75,635	0	35,805	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>75,635</b>	<b>0</b>	<b>35,805</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$75,635 in FY 14 and \$35,805 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(120,617)	0	(253,403)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(120,617)</b>	<b>0</b>	<b>(253,403)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$120,617 in FY 14 and \$253,403 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Transfer Funding to Streamline Budget Account Structure

Other Expenses	0	0	0	0	0	(240,844)	0	(248,188)
New England Governors' Conference	0	0	0	0	0	109,937	0	113,289
National Governors' Association	0	0	0	0	0	130,907	0	134,899
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

#### Governor

Transfer funding of \$109,937 in FY 14 and \$113,289 in FY 15 from the New England Governors' Conference account and \$130,907 in FY 14 and \$134,899 in FY 15 from the National Governors' Association account to the Other Expense account to reflect the streamlining of agency budgetary accounts.

#### Legislative

Do not transfer funds to reflect streamlining of accounts.

### Rollout of FY 13 Rescissions

Personal Services	0	(10,000)	0	(10,000)	0	0	0	0
Other Expenses	0	(11,565)	0	(11,565)	0	0	0	0
New England Governors' Conference	0	(5,656)	0	(5,656)	0	0	0	0
National Governors' Association	0	(6,736)	0	(6,736)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(33,957)</b>	<b>0</b>	<b>(33,957)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding by \$33,957 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(5,818)	0	(13,346)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(5,818)</b>	<b>0</b>	<b>(13,346)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce Other Expense account by \$5,818 in FY 14 and \$13,346 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(3,100)	0	(3,100)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(3,100)</b>	<b>0</b>	<b>(3,100)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$3,100 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(75,635)	0	(26,775)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(75,635)</b>	<b>0</b>	<b>(26,775)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$75,635 in FY 14 and \$26,775 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	27	2,749,388	27	2,749,388	0	0	0	0
Current Services	0	269,255	0	383,718	0	0	0	0
Policy Revisions	0	(239,127)	0	(330,581)	0	0	0	0
<b>Total Recommended - GF</b>	<b>27</b>	<b>2,779,516</b>	<b>27</b>	<b>2,802,525</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## *Other Significant Legislation*

### ***PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015***

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$10,588, a General Other Expenses Lapse of \$1,775, and a Statewide Hiring Reduction Lapse of \$6,834. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	2,322,025	(16,523)	2,305,502	0.71%
Other Expenses	216,646	(2,674)	213,972	1.23%



# Secretary of the State

SOS12500

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	88	85	84	84	84	85
Permanent Full-Time - OF	1	0	0	0	0	0

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	1,171,002	1,045,730	1,153,186	1,195,025	2,712,404	2,865,243
Other Expenses	482,501	563,356	569,207	569,207	1,564,207	1,424,207
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Commercial Recording Division	5,456,359	5,797,115	7,003,824	7,103,239	5,444,606	5,533,021
Board of Accountancy	248,945	337,284	270,251	282,167	270,251	282,167
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>73,633</b>	<b>34,060</b>	<b>73,633</b>	<b>34,060</b>
<b>Agency Total - General Fund</b>	<b>7,358,807</b>	<b>7,743,486</b>	<b>9,070,102</b>	<b>9,183,699</b>	<b>10,065,102</b>	<b>10,138,699</b>
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	1,987,317	1,558,316	0	0	0	0
<b>Agency Grand Total</b>	<b>9,346,124</b>	<b>9,301,802</b>	<b>9,070,102</b>	<b>9,183,699</b>	<b>10,065,102</b>	<b>10,138,699</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	117,771	0	170,965	0	0	0	0
Commercial Recording Division	0	325,542	0	535,188	0	0	0	0
Board of Accountancy	0	12,743	0	29,517	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>456,056</b>	<b>0</b>	<b>735,670</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$456,056 in FY 14 and \$735,670 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	11,857	0	23,424	0	0	0	0
Commercial Recording Division	0	33,400	0	81,967	0	0	0	0
Board of Accountancy	0	1,087	0	3,338	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>46,344</b>	<b>0</b>	<b>108,729</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Increase funding for various accounts by \$46,344 in FY 14 and an additional \$62,385 in FY 15 (for a cumulative total of \$108,729 in the second year) to reflect inflationary increases.

**Legislative**

Same as Governor

**Provide Funding for IT Systems**

Commercial Recording Division	0	1,176,000	0	1,176,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,176,000</b>	<b>0</b>	<b>1,176,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$1,176,000 in both FY 14 and FY 15 to support implementation of IT systems (including the Centralized Voter Registration System) required under the federal Help America Vote Act. This funding replaces expiring federal funding.

**Legislative**

Same as Governor

**Adjust Operating Expenses to Reflect Current Requirements**

Commercial Recording Division	0	(161,601)	0	(246,257)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(161,601)</b>	<b>0</b>	<b>(246,257)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$161,601 in FY 14 and \$246,257 in FY 15 in Other Current Expense account to reflect anticipated expenditure requirements. These reductions reflect changes in IT expenditures in the Commercial Recording Division.

**Legislative**

Same as Governor

**Provide Funding for GAAP**

Nonfunctional - Change to Accruals	0	44,443	0	35,197	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>44,443</b>	<b>0</b>	<b>35,197</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Each agency's budget will include a new line item account called "Nonfunctional – Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$44,443 in FY 14 and \$35,197 in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Policy Revisions****Transfer Funding to Reflect Alignment of Duties**

Personal Services	0	1,559,218	0	1,570,218	0	1,559,218	0	1,570,218
Commercial Recording Division	0	(1,559,218)	0	(1,570,218)	0	(1,559,218)	0	(1,570,218)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Legislative**

Transfer \$1,559,218 in FY 14 and \$1,570,218 in FY 15 from the Commercial Recording Division to Personal Services to align duties and funding within the Secretary of the State's office.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Connecticut Data Collaborative

Other Expenses	0	595,000	0	595,000	0	595,000	0	595,000
<b>Total - General Fund</b>	<b>0</b>	<b>595,000</b>	<b>0</b>	<b>595,000</b>	<b>0</b>	<b>595,000</b>	<b>0</b>	<b>595,000</b>

#### Legislative

Provide funding of \$595,000 in both FY 14 and FY 15 to support work of the Connecticut Data Collaborative.

### Provide Funding for Help America Vote Act

Other Expenses	0	300,000	0	300,000	0	300,000	0	300,000
<b>Total - General Fund</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>300,000</b>

#### Legislative

Provide funding of \$300,000 in both FY 14 and FY 15 for Help America Vote Act implementation supported by the University of Connecticut (UConn). The funds will allow UConn to continue its work auditing the memory cards of Connecticut voting machines.

### Provide Funding for Electronic Voting Systems

Other Expenses	0	150,000	0	10,000	0	150,000	0	10,000
<b>Total - General Fund</b>	<b>0</b>	<b>150,000</b>	<b>0</b>	<b>10,000</b>	<b>0</b>	<b>150,000</b>	<b>0</b>	<b>10,000</b>

#### Legislative

Provide funding of \$150,000 in FY 14 and \$10,000 in FY 15 in Other Expenses account to support implementation of sSB 777, allowing registrars of voters to check voters in electronically. The funding will provide for the certification of devices for use in the electronic check-in process. sSB 777 did not pass.

### Provide Funding for eRegulations System

Personal Services	0	0	1	100,000	0	0	1	100,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>100,000</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>100,000</b>

#### Background

PA 13-274 establishes an eRegulations System within the Secretary of the State's office to house the adopted regulations of all state agencies. Funding for the development and infrastructure of the system was included in the bond package. However, no funds were included for staff support of the program once developed.

#### Legislative

Provide funding of \$100,000 and one position in FY 15 to support implementation of eRegulations system in the Secretary of the State's office.

### Adjust Vacant Positions

Board of Accountancy	(1)	(62,912)	(1)	(67,770)	0	0	0	0
<b>Total - General Fund</b>	<b>(1)</b>	<b>(62,912)</b>	<b>(1)</b>	<b>(67,770)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$62,912 in FY 14 and \$67,770 in FY 15 to reflect the elimination of one vacant Board of Accountancy position.

#### Legislative

Same as Governor

### Transfer Funding to Reflect Reorganization

Other Expenses	0	0	0	0	0	(50,000)	0	(50,000)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(50,000)</b>	<b>0</b>	<b>(50,000)</b>

#### Governor

Transfer funding of \$50,000 in both FY 14 and FY 15 to reflect the reorganization of Citizenship Training program into the Secretary of the State's office from the Department of Social Services (DSS).

#### Legislative

Maintain the Citizenship Training program in DSS.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Reduce Funding to Reflect Lower Printing Costs

Other Expenses	0	(10,000)	0	(10,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(10,000)</b>	<b>0</b>	<b>(10,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$10,000 in both FY 14 and FY 15 to reflect reduced costs of printing the State Register and Manual.

#### Legislative

Same as Governor

### Rollout of FY 13 Rescissions

Other Expenses	0	(28,167)	0	(28,167)	0	0	0	0
Commercial Recording Division	0	(100,000)	0	(100,000)	0	0	0	0
Board of Accountancy	0	(16,864)	0	(16,864)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(145,031)</b>	<b>0</b>	<b>(145,031)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding by \$145,031 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Same as Governor

### Eliminate Inflationary Increases

Other Expenses	0	(11,857)	0	(23,424)	0	0	0	0
Commercial Recording Division	0	(33,400)	0	(81,967)	0	0	0	0
Board of Accountancy	0	(1,087)	0	(3,338)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(46,344)</b>	<b>0</b>	<b>(108,729)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce various accounts by \$46,344 in FY 14 and \$108,729 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(10,315)	0	(21,670)	0	0	0	0
Commercial Recording Division	0	(23,232)	0	(48,807)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(33,547)</b>	<b>0</b>	<b>(70,477)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$33,547 in FY 14 and \$70,477 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(5,982)	0	(5,982)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(5,982)</b>	<b>0</b>	<b>(5,982)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$5,982 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Transfer Funding for Centralization of Licensing

Commercial Recording Division	0	(10,000)	0	(10,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(10,000)</b>	<b>0</b>	<b>(10,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$10,000 in both FY 14 and FY 15 from the Secretary of the State to DAS to reflect the centralization of costs for the on-line licensing system.

#### Legislative

Same as Governor

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	29,190	0	(1,137)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>29,190</b>	<b>0</b>	<b>(1,137)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$29,190 in FY 14 and reduce funding by \$1,137 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

#### Legislative

Same as Governor

## Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	85	7,743,486	85	7,743,486	0	0	0	0
Current Services	0	1,561,242	0	1,809,339	0	0	0	0
Policy Revisions	(1)	760,374	0	585,874	0	995,000	1	955,000
<b>Total Recommended - GF</b>	<b>84</b>	<b>10,065,102</b>	<b>85</b>	<b>10,138,699</b>	<b>0</b>	<b>995,000</b>	<b>1</b>	<b>955,000</b>

## *Other Significant Legislation*

### **PA 13-185 An Act Concerning Voting by Members of the Military Serving Overseas**

The Act requires the Secretary of the State to select a method for returning the ballot of a military member, spouse, or dependent living or travelling overseas for any election or primary held after September 1, 2014.

### **PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$41,726, a General Other Expenses Lapse of \$12,813, and a Statewide Hiring Reduction Lapse of \$7,983. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	2,712,404	(19,301)	2,693,103	0.71%
Other Expenses	1,564,207	(19,305)	1,544,902	1.23%
Commercial Recording Division	5,444,606	(22,785)	5,421,821	0.42%
Board of Accountancy	270,251	(1,131)	269,120	0.42%

# Lieutenant Governor's Office

LGO13000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	9	9	7	7	7	7

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	736,270	423,042	630,003	642,515	630,003	642,515
Other Expenses	28,403	67,541	74,133	74,133	74,133	74,133
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Health Reform and Innovation	0	427,000	0	0	0	0
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>12,502</b>	<b>3,409</b>	<b>12,502</b>	<b>3,409</b>
<b>Agency Total - General Fund</b>	<b>764,673</b>	<b>917,584</b>	<b>716,639</b>	<b>720,058</b>	<b>716,639</b>	<b>720,058</b>
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	15,250	4,750	0	0	0	0
Private Contributions	5,451	20,000	0	0	0	0
<b>Agency Grand Total</b>	<b>785,374</b>	<b>942,334</b>	<b>716,639</b>	<b>720,058</b>	<b>716,639</b>	<b>720,058</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	19,163	0	39,199	0	0	0	0
Health Reform and Innovation	0	24,178	0	50,707	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>43,341</b>	<b>0</b>	<b>89,906</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$43,341 in FY 14 and \$89,906 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	2,912	0	5,396	0	0	0	0
Health Reform and Innovation	0	327	0	770	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,239</b>	<b>0</b>	<b>6,166</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for various accounts by \$3,239 in FY 14 and an additional \$2,927 in FY 15 (for a cumulative total of \$6,166 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	2,809	0	3,022	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,809</b>	<b>0</b>	<b>3,022</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$2,809 in FY 14 and \$3,022 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

### Policy Revisions

#### Eliminate Office of Health Reform and Innovation

Personal Services	(2)	205,998	(2)	218,509	0	0	0	0
Other Expenses	0	10,000	0	10,000	0	0	0	0
Health Reform and Innovation	0	(429,828)	0	(456,357)	0	0	0	0
<b>Total - General Fund</b>	<b>(2)</b>	<b>(213,830)</b>	<b>(2)</b>	<b>(227,848)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 11-58 created the Office of Health Reform and Innovation (OHRI) to coordinate the state's responsibilities and implementation of programs under the federal Patient Protection and Affordable Care Act. OHRI has had four positions since its creation.

#### Governor

Reduce funding by \$213,830 in FY 14 and \$227,848 in FY 15 to reflect the savings associated with eliminating the Office of Health Reform and Innovation and two positions. Transfer the two remaining OHRI positions and \$205,998 in FY 14 and \$218,509 in FY 15 into the Personal Service account.

#### Legislative

Same as Governor

### Rollout of FY 13 Rescissions

Other Expenses	0	(3,377)	0	(3,377)	0	0	0	0
Health Reform and Innovation	0	(21,350)	0	(21,350)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(24,727)</b>	<b>0</b>	<b>(24,727)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding by \$24,727 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Same as Governor

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(18,200)	0	(38,235)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(18,200)</b>	<b>0</b>	<b>(38,235)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$18,200 in FY 14 and \$38,325 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(31)	0	(31)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(31)</b>	<b>0</b>	<b>(31)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$31 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	9,693	0	387	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>9,693</b>	<b>0</b>	<b>387</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$9,693 in FY 14 and \$387 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

#### Legislative

Same as Governor

### Eliminate Inflationary Increases

Other Expenses	0	(2,912)	0	(5,396)	0	0	0	0
Health Reform and Innovation	0	(327)	0	(770)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(3,239)</b>	<b>0</b>	<b>(6,166)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce various accounts by \$3,239 in FY 14 and \$6,166 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	9	917,584	9	917,584	0	0	0	0
Current Services	0	49,389	0	99,094	0	0	0	0
Policy Revisions	(2)	(250,334)	(2)	(296,620)	0	0	0	0
<b>Total Recommended - GF</b>	<b>7</b>	<b>716,639</b>	<b>7</b>	<b>720,058</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Other Significant Legislation

#### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$308 and a General Other Expenses Lapse of \$607. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Other Expenses	74,133	(915)	73,218	1.23%

# State Treasurer

OTT14000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	48	48	48	48	48	48
Permanent Full-Time - OF	115	116	116	116	116	116
Permanent Full-Time - TF	1	1	1	1	1	1

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	3,194,412	3,381,288	3,529,167	3,651,385	3,529,167	3,651,385
Other Expenses	150,905	179,350	166,264	166,264	166,264	166,264
Equipment	1	1	1	1	1	1
GAAP Adjustments	0	0	21,585	22,203	21,585	22,203
Agency Total - General Fund	3,345,318	3,560,639	3,717,017	3,839,853	3,717,017	3,839,853
<b>Additional Funds Available</b>						
Investment Trust Fund	82,549,340	78,686,303	81,046,142	83,476,774	81,046,142	83,476,774
Private Contributions	19,253,276	19,341,350	19,921,515	20,519,085	19,921,515	20,519,085
Second Injury Fund	7,291,071	7,249,668	7,466,694	7,690,468	7,466,694	7,690,468
Special Funds, Non-Appropriated	523,343	0	0	0	0	0
Unclaimed Property Fund	5,466,551	6,000,000	6,179,702	6,364,794	6,179,702	6,364,794
Agency Grand Total	118,428,899	114,837,960	118,331,070	121,890,974	118,331,070	121,890,974

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	203,579	0	387,116	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>203,579</b>	<b>0</b>	<b>387,116</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$203,579 in FY 14 and \$387,116 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	3,992	0	9,304	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,992</b>	<b>0</b>	<b>9,304</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$3,992 in FY 14 and an additional \$5,312 in FY 15 (for a cumulative total of \$9,304 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	27,161	0	27,625	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>27,161</b>	<b>0</b>	<b>27,625</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$27,161 in FY 14 and \$27,625 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(55,700)	0	(117,019)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(55,700)</b>	<b>0</b>	<b>(117,019)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$55,700 in FY 14 and \$117,019 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Rollout of FY 13 Rescissions

Other Expenses	0	(8,967)	0	(8,967)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(8,967)</b>	<b>0</b>	<b>(8,967)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$8,967 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Same as Governor

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(4,119)	0	(4,119)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(4,119)</b>	<b>0</b>	<b>(4,119)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$4,119 in FY 14 and \$4,119 in FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Eliminate Inflationary Increases**

Other Expenses	0	(3,992)	0	(9,304)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(3,992)</b>	<b>0</b>	<b>(9,304)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce Other Expenses by \$3,992 in FY 14 and \$9,304 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(5,576)	0	(5,422)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(5,576)</b>	<b>0</b>	<b>(5,422)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$5,576 in FY 14 and \$5,422 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	48	3,560,639	48	3,560,639	0	0	0	0
Current Services	0	234,732	0	424,045	0	0	0	0
Policy Revisions	0	(78,354)	0	(144,831)	0	0	0	0
<b>Total Recommended - GF</b>	<b>48</b>	<b>3,717,017</b>	<b>48</b>	<b>3,839,853</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Governor Estimated - TF	1	0	1	0	0	0	0	0
<b>Total Recommended - TF</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Other Significant Legislation****PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$15,416, a General Other Expenses Lapse of \$1,362, and a Statewide Hiring Reduction Lapse of \$10,387. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	3,529,167	(25,113)	3,504,054	0.71%
Other Expenses	166,264	(2,052)	164,212	1.23%

# State Comptroller

## OSC15000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	273	273	273	273	273	273

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	21,680,194	22,690,975	22,884,665	24,043,551	22,884,665	24,043,551
Other Expenses	3,594,195	4,018,046	4,421,958	4,421,958	4,241,958	4,141,958
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Tuition Reimbursement - Training and Travel	2,946,901	0	0	0	0	0
Death Benefits For St Employ	10,900	0	0	0	0	0
<b>Other Than Payments to Local Governments</b>						
Governmental Accounting Standards Board	19,570	19,570	19,570	19,570	19,570	19,570
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>203,623</b>	<b>148,923</b>	<b>203,623</b>	<b>148,923</b>
<b>Agency Total - General Fund</b>	<b>28,251,760</b>	<b>26,728,592</b>	<b>27,529,817</b>	<b>28,634,003</b>	<b>27,349,817</b>	<b>28,354,003</b>
<b>Additional Funds Available</b>						
Private Contributions	71,632	0	0	0	0	0
<b>Agency Grand Total</b>	<b>28,323,392</b>	<b>26,728,592</b>	<b>27,529,817</b>	<b>28,634,003</b>	<b>27,349,817</b>	<b>28,354,003</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

### Adjust for FY 13 Deficiency

Personal Services	0	(1,100,000)	0	(1,100,000)	0	0	0	0
Other Expenses	0	(600,000)	0	(600,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,700,000)</b>	<b>0</b>	<b>(1,700,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Background

Section 62 of PA 13-184, the FY 14 and FY 15 Budget, results in an increase to the General Fund of \$142 million in FY 13. The bill includes \$1.2 million in deficiency funding in FY 13 for this agency; \$600,000 in Personal Services; and \$600,000 in Other Expenses.

The agency is not anticipated to achieve savings targets that PA 12-1 of the December Special Session, the Deficit Mitigation Act, established in these accounts due in large part to certain inflexible costs related to upgrading and maintaining the CORE-CT accounting system and providing payouts to retiring personnel for accrued vacation and sick time. The FY 13 budget for Other Expenses was \$400,000 below historical levels once deficit mitigation reductions are taken into account.

### Governor

A current services adjustment of \$1.7 million is made in FY 14 and FY 15 to recognize the deficiency in FY 13 as being one- time in nature.

### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	1,343,718	0	2,557,678	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,343,718</b>	<b>0</b>	<b>2,557,678</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$1,343,718 in FY 14 and \$2,557,678 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	1,006,000	0	1,006,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,006,000</b>	<b>0</b>	<b>1,006,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$1,006,000 in FY 14 and in FY 15 in Other Expenses to reflect FY 14 and FY 15 anticipated expenditure requirements. These costs include IT hardware maintenance and support, management consulting services and training.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	74,168	0	175,443	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>74,168</b>	<b>0</b>	<b>175,443</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding in Other Expenses by \$74,168 in FY 14 and an additional \$101,275 in FY 15 (for a cumulative total of \$175,443 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	210,382	0	94,897	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>210,382</b>	<b>0</b>	<b>94,897</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$210,382 in FY 14 and \$94,897 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

### Policy Revisions

### Provide Funding for Market Feasibility Study

Other Expenses	0	100,000	0	0	0	100,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

SB 54, 'An Act Establishing a Retirement Savings Plan for Low-Income Private Sector Workers' establishes and requires the Connecticut Retirement Security Trust Fund Board to conduct a market feasibility study, which is expected to be contracted with an expert and cost approximately \$100,000.

**Legislative**

The Senate didn't vote on the bill during the 2013 session, hence the study is not required by law.

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(50,028)	0	(105,102)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(50,028)</b>	<b>0</b>	<b>(105,102)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$50,028 in FY 14 and \$105,102 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Reduce Other Expenses Accounts**

Other Expenses	0	(280,000)	0	(280,000)	0	(280,000)	0	(280,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(280,000)</b>	<b>0</b>	<b>(280,000)</b>	<b>0</b>	<b>(280,000)</b>	<b>0</b>	<b>(280,000)</b>

**Legislative**

Reduce funding by \$280,000 in both FY 14 and FY 15. The reductions by OE category are:

- \$200,000 in both FY 14 and FY 15 for IT Software Maintenance & Support
- \$50,000 in both FY 14 and FY 15 for IT Consultant Services, and
- \$30,000 in both FY 14 and FY 15 for General Office Supplies.

**Eliminate Inflationary Increases**

Other Expenses	0	(74,168)	0	(175,443)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(74,168)</b>	<b>0</b>	<b>(175,443)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce Other Expenses by \$74,168 in FY 14 and \$175,443 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(2,088)	0	(2,088)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,088)</b>	<b>0</b>	<b>(2,088)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$2,088 in FY 14 and in FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(6,759)	0	54,026	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(6,759)</b>	<b>0</b>	<b>54,026</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$6,759 in FY 14 and increase funding by \$54,026 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

#### Legislative

Same as Governor

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	273	26,728,592	273	26,728,592	0	0	0	0
Current Services	0	934,268	0	2,134,018	0	0	0	0
Policy Revisions	0	(313,043)	0	(508,607)	0	(180,000)	0	(280,000)
<b>Total Recommended - GF</b>	<b>273</b>	<b>27,349,817</b>	<b>273</b>	<b>28,354,003</b>	<b>0</b>	<b>(180,000)</b>	<b>0</b>	<b>(280,000)</b>

### Other Significant Legislation

#### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$113,095, a General Other Expenses Lapse of \$34,749, and a Statewide Hiring Reduction Lapse of \$67,352. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	22,884,665	(162,840)	22,721,825	0.71%
Other Expenses	4,241,958	(52,356)	4,189,602	1.23%



# Department of Revenue Services

## DRS16000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	734	670	664	664	665	665

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	56,979,111	55,412,504	57,853,869	60,446,045	57,919,094	60,513,194
Other Expenses	8,008,861	8,153,274	9,409,801	7,704,801	9,409,801	7,704,801
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Collection and Litigation Contingency Fund	(21,975)	99,256	94,294	94,294	94,294	94,294
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>323,813</b>	<b>326,251</b>	<b>323,813</b>	<b>326,251</b>
<b>Agency Total - General Fund</b>	<b>64,965,998</b>	<b>63,665,035</b>	<b>67,681,778</b>	<b>68,571,392</b>	<b>67,747,003</b>	<b>68,638,541</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	810,000	0
<b>Agency Grand Total</b>	<b>64,965,998</b>	<b>63,665,035</b>	<b>67,681,778</b>	<b>68,571,392</b>	<b>68,557,003</b>	<b>68,638,541</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	2,673,903	0	5,418,043	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,673,903</b>	<b>0</b>	<b>5,418,043</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$2,673,903 in FY 14 and \$5,418,043 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	176,149	0	416,341	0	0	0
Collection and Litigation Contingency Fund	0	2,154	0	5,095	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>178,303</b>	<b>0</b>	<b>421,436</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for the Other Expenses and Collection and Litigation Contingency accounts by \$178,303 in FY 14 and an additional \$243,133 in FY 15 (for a cumulative total of \$421,436 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	59,999	0	59,999	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>59,999</b>	<b>0</b>	<b>59,999</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$59,999 in FY 14 and FY 15 in the Other Expenses account to reflect FY 14 and FY 15 anticipated expenditure requirements for software licenses and information technology applications.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	324,800	0	347,010	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>324,800</b>	<b>0</b>	<b>347,010</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional – Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$324,800 in FY 14 and \$347,010 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Provide Funding to Implement a Tax Amnesty Program

Personal Services	0	125,000	0	0	0	0	0	0
Other Expenses	0	1,705,000	0	0	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,830,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The state of Connecticut previously offered Tax Amnesty Programs in 1990, 1995, 2002, and 2009. The cost for the last Tax Amnesty Program was approximately \$873,000.

#### Governor

Provide one-time funding totaling \$1.83 million in FY 14 to implement a Tax Amnesty Program. Other Expenses funding will be used for media purchases (\$1.0 million), advertising agency fees, and production and consultancy costs (\$300,000), information technology and telecommunication costs for servers and storage (\$200,000), technical costs related to the Taxpayer Service Center (\$175,000), and postage (\$30,000). Personal Services funding will be used to cover temporary workers and overtime costs.

Implementation of a Tax Amnesty Program is estimated to result in a revenue gain of \$25 million in FY 14.

#### Legislative

Same as Governor, with an updated revenue estimate of a \$35 million gain in FY 14, followed by a \$7 million loss in FY 15 due to a shift in the timing of collections.

### Mandate Electronic Filing for Business Tax Returns

Other Expenses	0	(500,000)	0	(500,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(500,000)</b>	<b>0</b>	<b>(500,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Department of Revenue Services (DRS) currently maintains an online Taxpayer Service Center (TSC) that allows for the electronic filing of forms for individuals and businesses.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Require certain business tax returns to be filed electronically, resulting in a savings of \$500,000 in both FY 14 and FY 15 associated with printing, postage, and data entry costs.

**Legislative**

Same as Governor

**Reduce Overtime for Information Services Division**

Personal Services	0	(15,000)	0	(15,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(15,000)</b>	<b>0</b>	<b>(15,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Information Services Division is responsible for data security, administration of the Integrated Tax Administration System (ITAS), and e-commerce for the Department of Revenue Services (DRS).

**Governor**

Reduce funding for overtime associated with the Information Services Division for a savings of \$15,000 in both FY 14 and FY 15.

**Legislative**

Same as Governor

**Transfer Affirmative Action Planning to CHRO**

Personal Services	0	0	0	0	1	65,225	1	67,149
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>65,225</b>	<b>1</b>	<b>67,149</b>

**Governor**

Transfer one position and funding of \$65,225 in FY 14 and \$67,149 in FY 15 to reflect the centralization of Affirmative Action planning functions in the Commission on Human Rights and Opportunities (CHRO).

**Legislative**

Maintain one position and associated funding for Affirmative Action planning functions within the Department of Revenue Services.

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(8,472)	0	(8,472)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(8,472)</b>	<b>0</b>	<b>(8,472)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$8,472 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Eliminate Vacant Positions**

Personal Services	(5)	(259,265)	(5)	(264,437)	0	0	0	0
<b>Total - General Fund</b>	<b>(5)</b>	<b>(259,265)</b>	<b>(5)</b>	<b>(264,437)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding of \$259,265 in FY 14 and \$264,437 in FY 15 to reflect the elimination of five positions that are currently vacant.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(176,149)	0	(416,341)	0	0	0	0
Collection and Litigation Contingency Fund	0	(2,154)	0	(5,095)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(178,303)</b>	<b>0</b>	<b>(421,436)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce various accounts by \$178,303 in FY 14 and \$421,436 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(18,048)	0	(37,916)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(18,048)</b>	<b>0</b>	<b>(37,916)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$18,048 in FY 14 and \$37,916 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Rollout of FY 13 Rescissions**

Collection and Litigation Contingency Fund	0	(4,962)	0	(4,962)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(4,962)</b>	<b>0</b>	<b>(4,962)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$4,962 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(987)	0	(20,759)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(987)</b>	<b>0</b>	<b>(20,759)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$987 in FY 14 and \$20,759 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Carry Forward****Carry Forward Funding for Sales Tax Collection Enhancements**

Personal Services	0	110,000	0	0	0	110,000	0	0
Other Expenses	0	700,000	0	0	0	700,000	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>810,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>810,000</b>	<b>0</b>	<b>0</b>

**Background**

sSB 1110, AAC Alternative Methods For The Collection And Remittal Of Sales And Use Taxes, as favorably reported by the Finance, Revenue, and Bonding (FRB) Committee, requires the commissioner of Revenue Services to: a) analyze alternative methods to enforce and enhance the collection and remittal of sales and use taxes by retailers, b) report findings and recommendations to the FRB Committee for approval, and c) implement any such approved method. Subsequently, similar provisions were included in Sections 80 and 81 of PA 13-184, the FY 14 and FY 15 Budget, which require certain delinquent taxpayers to electronically remit the sales tax due on certain sales. The target revenue gain for this policy is \$10 million in FY 14 and \$15 million in FY 15.

**Legislative**

Section 60(a) of PA 13-184, the FY 14 and FY 15 Budget, carries forward funding of up to \$110,000 in the Personal Services account in FY 14 for the salary costs of an Information Technology Analyst II position associated with a Sales and Use Tax collections enhancement program.

Section 60(b) of PA 13-184, the FY 14 and FY 15 Budget, carries forward funding of up to \$700,000 on the Other Expenses account in FY 14 for upgrades to the Integrated Tax Administration System (ITAS) also associated with the collections enhancement program.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	670	63,665,035	670	63,665,035	0	0	0	0
Current Services	0	3,237,005	0	6,246,488	0	0	0	0
Policy Revisions	(5)	844,963	(5)	(1,272,982)	1	65,225	1	67,149
Total Recommended - GF	665	67,747,003	665	68,638,541	1	65,225	1	67,149

**Other Significant Legislation****PA 13-151, An Act Concerning the Applicability of the Sales and Use Tax to Winter Storage of Boats**

The act extends the length of time that boats stored during the winter are exempt from the Sales and Use Tax. It extends the Sales Tax exemption for storing non-commercial boats by two months, by starting the period sooner and ending it later. Under prior law, the exemption period ran from November 1 to April 30. Under the act, it runs from October 1 to May 31.

The act also extends the Use Tax exemption for boats brought into Connecticut only to be stored, maintained, or repaired, by ending the period one month later. Under prior law, the exemption period ran from October 1 to April 30. Under the act, it runs from October 1 to May 31.

**PA 13-232, An Act Concerning the Interest Paid by the State on Overpayments of Taxes, Various Changes to Tax Credit Programs Available Under the Insurance Premiums Tax and the Corporation Business Tax, Exemptions from the Petroleum Products Gross Receipts Tax, and a Study of the Structure of the Personal Income Tax**

The act makes a number of changes impacting the Department of Revenue Services (DRS) including:

- shortening the period the DRS must pay interest for overpayments of Estate and Public Service Companies Taxes;
- altering the treatment of overpayments for estimated Insurance Premiums Taxes and the timing of certain payments by captive insurance companies;
- establishing new exemptions from the Petroleum Products Gross Earnings Tax;
- establishing new requirements for the utilization and transfer of certain tax credits;
- repealing certain tax credits; and
- requiring the DRS to undertake a comprehensive study of various aspects of the Personal Income Tax structure.

**PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Section 82 requires cigarette wholesalers to collect and remit the Sales Tax on cigarettes, rather than retailers only.

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$280,730, a General Other Expenses Lapse of \$77,082, and a Statewide Hiring Reduction Lapse of \$170,462. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	57,919,094	(412,135)	57,506,959	0.71%
Other Expenses	9,409,801	(116,139)	9,293,662	1.23%

# Office of Governmental Accountability

## OGA17000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	86	86	88	88	89	89

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	816,034	832,767	4,747,841	4,995,163	764,039	800,028
Other Expenses	33,202	275,288	384,132	333,443	78,188	78,188
Equipment	25,646	24,905	1	1	1	1
<b>Other Current Expenses</b>						
Child Fatality Review Panel	93,976	95,010	0	0	95,682	101,255
Information Technology Initiatives	17,498	33,250	0	0	31,588	31,588
Citizens' Election Fund Admin	1,766,462	2,128,549	0	0	1,759,186	1,956,136
Elections Enforcement Commission	1,239,687	1,006,720	0	0	1,413,786	1,497,138
Office of State Ethics	1,264,792	1,293,638	750,338	798,424	1,416,036	1,511,748
Freedom of Information Commission	1,525,150	1,712,235	981,540	999,407	1,609,668	1,663,840
Contracting Standards Board	0	0	0	0	170,000	170,000
Judicial Review Council	103,295	144,952	0	0	137,328	140,863
Judicial Selection Commission	81,937	90,620	0	0	87,730	89,956
Office of the Child Advocate	576,552	567,465	0	0	509,374	524,747
Office of the Victim Advocate	317,692	315,235	0	0	434,045	445,172
Board of Firearms Permit Examiners	82,758	81,086	0	0	83,430	85,591
Elections Enforcement Administration	0	0	1,816,327	2,018,720	0	0
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>41,375</b>	<b>0</b>	<b>41,375</b>
<b>Agency Total - General Fund</b>	<b>7,944,679</b>	<b>8,601,720</b>	<b>8,680,179</b>	<b>9,186,533</b>	<b>8,590,081</b>	<b>9,137,626</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	205,219	0
<b>Agency Grand Total</b>	<b>7,944,679</b>	<b>8,601,720</b>	<b>8,680,179</b>	<b>9,186,533</b>	<b>8,795,300</b>	<b>9,137,626</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	43,117	0	93,227	0	0	0	0
Child Fatality Review Panel	0	672	0	6,245	0	0	0	0
Citizens' Election Fund Admin	0	(304,387)	0	(174,083)	0	0	0	0
Elections Enforcement Commission	0	496,803	0	585,620	0	0	0	0
Office of State Ethics	1	64,893	1	137,370	0	0	0	0
Freedom of Information Commission	1	(3,696)	1	77,946	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Judicial Review Council	0	1,496	0	5,031	0	0	0	0
Judicial Selection Commission	0	1,498	0	3,724	0	0	0	0
Office of the Child Advocate	0	(23,971)	0	(381)	0	0	0	0
Office of the Victim Advocate	0	2,695	0	20,492	0	0	0	0
Board of Firearms Permit Examiners	0	2,019	0	4,180	0	0	0	0
<b>Total - General Fund</b>	<b>2</b>	<b>281,139</b>	<b>2</b>	<b>759,371</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$281,139 in FY 14 and \$759,371 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, annualization, and other compensation-related adjustments.

**Legislative**

Same as Governor

**Adjust Operating Expenses to Reflect Current Requirements**

Other Expenses	0	(15,864)	0	(66,553)	0	0	0	0
Equipment	0	(24,904)	0	(24,904)	0	0	0	0
Citizens' Election Fund Admin	0	(64,976)	0	(14,976)	0	0	0	0
Office of State Ethics	0	14,347	0	24,170	0	0	0	0
Freedom of Information Commission	0	(5,356)	0	(31,885)	0	0	0	0
Judicial Review Council	0	(7,120)	0	(7,120)	0	0	0	0
Judicial Selection Commission	0	142	0	142	0	0	0	0
Office of the Child Advocate	0	(6,655)	0	(6,655)	0	0	0	0
Office of the Victim Advocate	0	7,174	0	7,174	0	0	0	0
Board of Firearms Permit Examiners	0	325	0	325	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(102,887)</b>	<b>0</b>	<b>(120,282)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$102,887 in FY 14 and \$120,282 in FY 15 in various accounts to reflect FY 14 and FY 15 anticipated expenditure requirements. The reduced funding reflects reductions in telephone, IT, and software costs.

**Legislative**

Same as Governor

**Adjust Funding to Reflect Requirements**

Citizens' Election Fund Admin	0	0	0	6,764	0	0	0	0
Office of State Ethics	0	5,251	0	5,276	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>5,251</b>	<b>0</b>	<b>12,040</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$5,251 in FY 14 and \$12,040 in FY 15 in various accounts to reflect anticipated expenditure requirements. These increased expenditures include Board Member reimbursements and election year costs.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Apply Inflationary Increases

Information Technology Initiatives	0	722	0	1,707	0	0	0	0
Citizens' Election Fund Admin	0	0	0	9,882	0	0	0	0
Office of State Ethics	0	2,029	0	5,368	0	0	0	0
Freedom of Information Commission	0	3,542	0	8,512	0	0	0	0
Judicial Review Council	0	0	0	1,118	0	0	0	0
Judicial Selection Commission	0	320	0	760	0	0	0	0
Office of the Child Advocate	0	0	0	1,476	0	0	0	0
Office of the Victim Advocate	0	296	0	864	0	0	0	0
Board of Firearms Permit Examiners	0	168	0	442	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>7,077</b>	<b>0</b>	<b>30,129</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for various accounts by \$7,077 in FY 14 and an additional \$23,052 in FY 15 (for a cumulative total of \$30,129 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	53,924	0	54,795	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>53,924</b>	<b>0</b>	<b>54,795</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$53,924 in FY 14 and \$54,795 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Adjust Funding for Office of Hearings

Personal Services	0	0	0	0	0	(1,819,284)	0	(1,935,721)
Office of State Ethics	0	0	0	0	0	454,746	0	478,275
Freedom of Information Commission	0	0	0	0	0	628,128	0	664,433
Elections Enforcement Administration	0	0	0	0	0	736,410	0	793,013
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Transfer a total of 19 positions and funding of \$1,819,284 in FY 14 and \$1,935,721 in FY 15 to reflect the creation of a centralized Office of Hearings within OGA. This intra-office transfer includes:

- \$454,746 in FY 14 and \$478,275 in FY 15 (5 positions) from Office of State Ethics;
- \$628,128 in FY 14 and \$664,433 in FY 15 (6 positions) from Freedom of Information Commission;
- \$736,410 in FY 14 and \$793,013 in FY 15 (8 positions) from Elections Enforcement Administration.

**Legislative**

Do not create Office of Hearings in OGA. Positions and funding levels are maintained in the Elections Enforcement Administration, Freedom of Information Commission, and Office of State Ethics.

**Restructure OGA Office of Executive Administrator**

Personal Services	(2)	(134,962)	(2)	(141,504)	(2)	(134,962)	(2)	(141,504)
Other Expenses	0	(169,123)	0	(118,434)	0	(169,123)	0	(118,434)
<b>Total - General Fund</b>	<b>(2)</b>	<b>(304,085)</b>	<b>(2)</b>	<b>(259,938)</b>	<b>(2)</b>	<b>(304,085)</b>	<b>(2)</b>	<b>(259,938)</b>

**Legislative**

Reduce funding by \$304,085 (\$134,962 in Personal Services and \$169,123 in Other Expenses) in FY 14 and \$259,938 (\$141,504 in Personal Services and \$118,434 in Other Expenses) in FY 15 and eliminate two vacant positions to reflect the restructuring of the OGA Office of Executive Administrator.

**Eliminate Vacant Positions**

Elections Enforcement Commission	(1)	(89,737)	(1)	(95,202)	(1)	(89,737)	(1)	(95,202)
Freedom of Information Commission	(1)	(97,057)	(1)	(102,968)	1	0	1	0
Elections Enforcement Administration	0	0	0	0	0	89,737	0	95,202
<b>Total - General Fund</b>	<b>(2)</b>	<b>(186,794)</b>	<b>(2)</b>	<b>(198,170)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$186,794 in FY 14 and \$198,170 in FY 15 to reflect the elimination of two vacant positions.

**Legislative**

Same as Governor

**Provide Funding & Positions for Contracting Standards Board**

Contracting Standards Board	2	170,000	2	170,000	2	170,000	2	170,000
<b>Total - General Fund</b>	<b>2</b>	<b>170,000</b>	<b>2</b>	<b>170,000</b>	<b>2</b>	<b>170,000</b>	<b>2</b>	<b>170,000</b>

**Legislative**

Provide funding of \$170,000 in both FY 14 and FY 15 and two positions for the State Contracting Standards Board.

**Add Two Positions to Office of Victim Advocate**

Office of the Victim Advocate	2	115,000	2	115,000	2	115,000	2	115,000
<b>Total - General Fund</b>	<b>2</b>	<b>115,000</b>	<b>2</b>	<b>115,000</b>	<b>2</b>	<b>115,000</b>	<b>2</b>	<b>115,000</b>

**Legislative**

Provide funding of \$115,000 in both FY 14 and FY 15 and add two positions for the Office of the Victim Advocate to improve community outreach.

**Consolidate Council on Environmental Quality into OGA**

Personal Services	0	0	0	0	(1)	(104,201)	(1)	(107,157)
Other Expenses	0	0	0	0	0	(1,812)	0	(1,812)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(1)</b>	<b>(106,013)</b>	<b>(1)</b>	<b>(108,969)</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Transfer one position and funding of \$106,013 in FY 14 and \$108,969 in FY 15 to reflect the reorganization of the Council on Environmental Quality into OGA.

**Legislative**

Do not transfer Council on Environmental Quality into OGA.

**Fund PA 13-3 AAC Gun Violence Prevention & Children's Safety**

Personal Services	0	30,000	0	30,000	0	30,000	0	30,000
Other Expenses	0	5,000	0	5,000	0	5,000	0	5,000
<b>Total - General Fund</b>	<b>0</b>	<b>35,000</b>	<b>0</b>	<b>35,000</b>	<b>0</b>	<b>35,000</b>	<b>0</b>	<b>35,000</b>

**Legislative**

Provide funding of \$35,000 in both FY 14 and FY 15 for one part-time office assistant and other expenses to enable the Board of Firearms Permit Examiners' to conform to the continuance requirements and expanded Board membership contained in PA 13-3, An Act Concerning Gun Violence Prevention and Children's Safety.

**Provide Funding for IT Position in Office of State Ethics**

Personal Services	0	0	0	0	(1)	(42,908)	(1)	(60,694)
Office of State Ethics	1	42,908	1	60,694	1	42,908	1	60,694
<b>Total - General Fund</b>	<b>1</b>	<b>42,908</b>	<b>1</b>	<b>60,694</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$42,908 in FY 14 and \$60,694 in FY 15 in the Personal Services account to hire one IT position in the Office of State Ethics.

**Legislative**

Provide funding of \$42,908 in FY 14 and \$60,694 in FY 15 in the Office of State Ethics account to hire one IT position in the Office of State Ethics.

**Transfer Funding to Streamline Budget Account Structure**

Personal Services	0	0	0	0	0	(1,912,447)	0	(1,980,059)
Other Expenses	0	0	0	0	0	(140,009)	0	(140,009)
Child Fatality Review Panel	0	0	0	0	0	95,682	0	101,255
Information Technology Initiatives	0	0	0	0	0	31,588	0	31,588
Citizens' Election Fund Admin	0	0	0	0	0	1,759,186	0	1,956,136
Elections Enforcement Commission	0	0	0	0	0	1,503,523	0	1,592,340
Office of State Ethics	0	0	0	0	0	168,044	0	174,355
Judicial Review Council	0	0	0	0	0	137,328	0	140,863
Judicial Selection Commission	0	0	0	0	0	87,730	0	89,956
Office of the Child Advocate	0	0	0	0	0	509,374	0	524,747
Office of the Victim Advocate	0	0	0	0	0	319,045	0	330,172
Board of Firearms Permit Examiners	0	0	0	0	0	83,430	0	85,591
Elections Enforcement Administration	0	0	0	0	0	(2,642,474)	0	(2,906,935)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Transfer total funding of \$4,694,930 in FY 14 and \$5,027,003 in FY 15 from various accounts to reflect the streamlining of agency account structure. This transfer includes:

- \$1,912,447 in FY 14 and \$1,980,059 in FY 15 from various Other Current Expense accounts to Personal Services;
- \$140,009 in both FY 14 and FY 15 from various Other Current Expense accounts to Other Expenses;
- \$2,642,474 in FY 14 and \$2,906,935 in FY 15 from Elections Enforcement Commission and Citizens Election Fund Administration to Elections Enforcement Administration.

**Legislative**

Do not transfer funds to reflect the streamlining of accounts.

**Rollout of FY 13 Rescissions**

Other Expenses	0	(13,764)	0	(13,764)	0	0	0	0
Information Technology Initiatives	0	(1,662)	0	(1,662)	0	0	0	0
Judicial Review Council	0	(2,000)	0	(2,000)	0	0	0	0
Judicial Selection Commission	0	(4,530)	0	(4,530)	0	0	0	0
Office of the Child Advocate	0	(20,000)	0	(20,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(41,956)</b>	<b>0</b>	<b>(41,956)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding by \$41,956 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(6,883)	0	(14,462)	0	0	0	0
Office of State Ethics	0	(7,030)	0	(14,768)	0	0	0	0
Office of the Child Advocate	0	(7,465)	0	(15,682)	0	0	0	0
Office of the Victim Advocate	0	(6,059)	0	(12,729)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(27,437)</b>	<b>0</b>	<b>(57,641)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$27,437 in FY 14 and \$57,641 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Information Technology Initiatives	0	(722)	0	(1,707)	0	0	0	0
Judicial Review Council	0	0	0	(1,118)	0	0	0	0
Judicial Selection Commission	0	(320)	0	(760)	0	0	0	0
Office of the Child Advocate	0	0	0	(1,476)	0	0	0	0
Office of the Victim Advocate	0	(296)	0	(864)	0	0	0	0
Board of Firearms Permit Examiners	0	(168)	0	(442)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,506)</b>	<b>0</b>	<b>(6,367)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$1,506 in FY 14 and \$6,367 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(3,349)	0	(3,349)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(3,349)</b>	<b>0</b>	<b>(3,349)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$3,349 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(53,924)	0	(13,420)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(53,924)</b>	<b>0</b>	<b>(13,420)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$53,924 in FY 14 and \$13,420 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Carry Forward****Carry Forward Funding for Case Management System**

Other Expenses	0	107,000	0	0	0	107,000	0	0
Freedom of Information Commission	0	75,000	0	0	0	75,000	0	0
Office of the Child Advocate	0	20,000	0	0	0	20,000	0	0
Office of the Victim Advocate	0	3,219	0	0	0	3,219	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>205,219</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>205,219</b>	<b>0</b>	<b>0</b>

**Legislative**

Section 46 of PA 13-184, the FY 14 and FY 15 budget, carries forward up to \$222,000 from various accounts for the purchase of a case management system and associated data migration efforts. This carry forward includes: \$107,000 from Other Expenses, \$75,000 from Freedom of Information Commission, \$20,000 from Office of Child Advocate, and \$3,219 from Office of Victim Advocate.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	86	8,601,720	86	8,601,720	0	0	0	0
Current Services	2	244,504	2	736,053	0	0	0	0
Policy Revisions	1	(256,143)	1	(200,147)	1	(90,098)	1	(48,907)
<b>Total Recommended - GF</b>	<b>89</b>	<b>8,590,081</b>	<b>89</b>	<b>9,137,626</b>	<b>1</b>	<b>(90,098)</b>	<b>1</b>	<b>(48,907)</b>

## *Other Significant Legislation*

### **PA 13-180, An Act Concerning Disclosure of Independent Expenditures and Changes to Other Campaign Finance Laws and Election Laws**

The Act modifies statutes affecting elections, campaign finance, the Citizens' Election Program (CEP), and the State Elections Enforcement Commission (SEEC).

### **PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$30,214 and a General Other Expenses Lapse of \$640. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Other Expenses	78,188	(965)	77,223	1.23%
Citizens' Election Fund Admin	1,759,186	(7,362)	1,751,824	0.42%
Elections Enforcement Commission	1,413,786	(5,917)	1,407,869	0.42%
Office of State Ethics	1,416,036	(5,926)	1,410,110	0.42%
Freedom of Information Commission	1,609,668	(6,736)	1,602,932	0.42%
Office of the Child Advocate	509,374	(2,132)	507,242	0.42%
Office of the Victim Advocate	434,045	(1,816)	432,229	0.42%

# Office of Policy and Management

## OPM20000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	146	146	159	159	124	124
Permanent Full-Time - IF	2	2	2	2	2	2
Permanent Full-Time - OF	8	1	1	1	1	1

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	10,783,953	11,264,140	14,728,376	15,278,476	11,518,762	11,962,512
Other Expenses	717,619	2,127,148	2,106,798	2,106,798	2,117,001	1,817,001
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Tuition Reimbursement - Training and Travel	0	0	382,000	382,000	0	0
Labor - Management Fund	0	0	75,000	75,000	0	0
Litigation Settlement Costs	787,429	0	0	0	0	0
Quality of Work-Life	0	0	350,000	350,000	0	0
Automated Budget System and Data Base Link	6,544	52,322	0	0	49,706	49,706
Cash Management Improvement Act	0	95	0	0	91	91
Justice Assistance Grants	868,067	1,131,353	1,076,943	1,078,704	1,076,943	1,078,704
Innovation Challenge Grant Program	0	500,000	0	0	375,000	375,000
Connecticut Impaired Driving Records Information System	709,682	0	0	0	0	0
Revenue Maximization	237,500	787,500	0	0	0	0
Criminal Justice Information System	343,425	2,089,605	1,856,718	482,700	1,856,718	482,700
Main Street Investment Fund Administration	0	75,000	0	0	0	0
Youth Services Prevention	0	0	0	0	3,500,000	3,500,000
<b>Other Than Payments to Local Governments</b>						
Tax Relief For Elderly Renters	23,595,835	25,260,000	0	0	0	0
Regional Planning Agencies	200,000	500,000	0	0	475,000	475,000
<b>Grant Payments to Local Governments</b>						
Reimbursement to Towns for Loss of Taxes on State Property	73,519,215	73,641,646	0	0	73,641,646	73,641,646
Reimbursements to Towns for Private Tax-Exempt Property	115,431,737	115,431,737	115,431,737	115,431,737	115,431,737	115,431,737
Reimbursement Property Tax - Disability Exemption	388,584	400,000	400,000	400,000	400,000	400,000
Distressed Municipalities	5,800,000	5,800,000	5,800,000	5,800,000	5,800,000	5,800,000
Property Tax Relief Elderly Circuit Breaker	20,505,900	20,505,900	20,505,900	20,505,900	20,505,900	20,505,900
Property Tax Relief Elderly Freeze Program	298,506	390,000	235,000	235,000	235,000	235,000
Property Tax Relief for Veterans	2,970,098	2,970,098	2,970,098	2,970,098	2,970,098	2,970,098
Capital City Economic Development	6,100,000	0	0	0	0	0

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Focus Deterrence	0	500,000	0	0	475,000	475,000
Municipal Aid Adjustment	0	0	47,221,132	31,559,234	4,467,456	3,608,728
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>177,188</b>	<b>0</b>	<b>177,188</b>	<b>0</b>
<b>Agency Total - General Fund</b>	<b>263,264,094</b>	<b>263,426,545</b>	<b>213,316,891</b>	<b>196,655,648</b>	<b>245,073,247</b>	<b>242,808,824</b>
Personal Services	218,532	208,927	232,048	291,800	232,048	291,800
Other Expenses	500	500	500	500	500	500
<b>Other Current Expenses</b>						
Fringe Benefits	121,907	144,161	162,434	169,260	162,434	169,260
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>3,299</b>	<b>4,682</b>	<b>3,299</b>	<b>4,682</b>
<b>Agency Total - Insurance Fund</b>	<b>340,939</b>	<b>353,588</b>	<b>398,281</b>	<b>466,242</b>	<b>398,281</b>	<b>466,242</b>
<b>Grant Payments to Local Governments</b>						
Grants To Towns	61,678,907	61,779,907	5,350,000	5,350,000	61,779,907	61,779,907
<b>Agency Total - Mashantucket Pequot and Mohegan Fund</b>	<b>61,678,907</b>	<b>61,779,907</b>	<b>5,350,000</b>	<b>5,350,000</b>	<b>61,779,907</b>	<b>61,779,907</b>
<b>Total - Appropriated Funds</b>	<b>325,283,941</b>	<b>325,560,040</b>	<b>219,065,172</b>	<b>202,471,890</b>	<b>307,251,435</b>	<b>305,054,973</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	6,307,561	0
Federal & Other Restricted Act	13,984,101	21,452,612	9,063,914	4,063,948	9,063,914	4,063,948
Private Contributions	38,459,720	101,483,103	100,506,070	100,606,070	100,506,070	100,606,070
<b>Agency Grand Total</b>	<b>377,727,762</b>	<b>448,495,755</b>	<b>328,635,156</b>	<b>307,141,908</b>	<b>423,128,980</b>	<b>409,724,991</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	2,779,559	0	3,476,807	0	0	0	0
Justice Assistance Grants	0	2,157	0	3,918	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,781,716</b>	<b>0</b>	<b>3,480,725</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Personal Services	0	23,121	0	32,873	0	0	0	0
Fringe Benefits	0	18,273	0	25,099	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>41,394</b>	<b>0</b>	<b>57,972</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$2,823,110 (\$2,781,716 in the General Fund and \$41,394 in the Insurance Fund) in FY 14 and \$3,538,697 (\$3,480,725 in the General Fund and \$57,972 in the Insurance Fund) in FY 15 to reflect current services wage- related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Adjust Pequot Funding to Statutory Level

Grants To Towns	0	73,220,093	0	73,220,093	0	0	0	0
<b>Total - Mashantucket Pequot and Mohegan Fund</b>	<b>0</b>	<b>73,220,093</b>	<b>0</b>	<b>73,220,093</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Mashantucket Pequot/Mohegan Fund (Pequot) is funded through a portion of Native American casino gaming revenue, and annually distributes a grant to each of the state's 169 municipalities. The distribution is based on numerous factors including, but not limited to, the value of state-owned property, private college and general hospitals, population, equalized net grand list, and per capita income. C.G.S. 3-55i sets the statutory funding level for the fund at \$135 million. Approximately \$61.8 million was appropriated for grants in FY 13.

**Governor**

Provide \$73,220,093 in both FY 14 and FY 15 to adjust the Pequot Fund appropriation to the statutory level.

**Legislative**

Same as Governor

**Reduce Funding Due to Savings Related to CJIS**

Criminal Justice Information System	0	(232,887)	0	(1,606,905)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(232,887)</b>	<b>0</b>	<b>(1,606,905)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Criminal Justice Information System (CJIS) initiative is the umbrella system aimed at bringing greater cohesion and effectiveness to agencies with criminal justice responsibilities by improving communication, sharing of information, and managing data on crime and criminal offenders.

**Governor**

Reduce funding by \$232,887 in FY 14 and \$1,606,905 in FY 15 to reflect the elimination of maintenance costs related to the Offender Based Tracking System and the Connecticut Impaired Driving Records Information System as they become integrated with the Connecticut Information Sharing System.

**Legislative**

Same as Governor

**Reflect Renters' Rebate Caseload Changes**

Tax Relief For Elderly Renters	0	1,628,781	0	3,443,774	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,628,781</b>	<b>0</b>	<b>3,443,774</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Renters' Rebate/Tax Relief for Elderly Renters program is a reimbursement for renters who are elderly or totally disabled, and whose incomes do not exceed certain limits. Persons renting an apartment or room, or living in cooperative housing or a mobile home, may be eligible for this program. Renters' rebates can be up to \$900 for married couples and \$700 for single persons. The renters' rebate amount is based on a graduated income scale and the amount of rent and utility payments (excluding telephone) made in the calendar year prior to the year in which the renter applies.

**Governor**

Provide funding of \$1,628,781 in FY 14 and \$3,443,774 in FY 15 to reflect anticipated caseload increases of 6.43% in both fiscal years for the Renters' Rebate/Tax Relief for Elderly Renters program.

**Legislative**

Same as Governor

**Adjust Funding for Regional Consolidation Bonus Pool**

Regional Planning Agencies	0	0	0	0	0	300,000	0	300,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>300,000</b>

**Background**

Grants are provided to the 15 regional planning agencies to support their operations under the provisions of CGS 4-124q. An additional pool of funding was established for any two or more regional planning agencies, regional councils of government, or certain regional councils of elected officials that merge to form a new regional council of governments.

**Governor**

Reduce funding by \$300,000 in both FY 14 and FY 15 to reflect elimination of General Fund funding for the Regional Consolidation Bonus Pool.

**Legislative**

Maintain funding of \$300,000 in both FY 14 and FY 15 for the Regional Consolidation Bonus Pool.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Reflect Caseload Decrease for Elderly (Freeze) Tax Relief

Property Tax Relief Elderly Freeze Program	0	(155,000)	0	(155,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(155,000)</b>	<b>0</b>	<b>(155,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Elderly (Freeze) Property Tax Relief Program was established with the 1967 Grand List program year. Elderly and disabled persons who applied and qualified for tax relief had their property tax frozen at that year's net benefit level. No new applicants have been allowed since the 1978 program year.

#### Governor

Reduce funding by \$155,000 in both FY 14 and FY 15 to reflect an anticipated participation decrease in the Elderly (Freeze) Property Tax Relief program.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	152,638	0	0	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>152,638</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	3,299	0	2,361	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>3,299</b>	<b>0</b>	<b>2,361</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$155,937 (\$152,638 in the General Fund and \$3,299 in the Insurance Fund) in FY 14 and \$2,361 in the Insurance Fund in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	52,265	0	119,328	0	0	0	0
Automated Budget System and Data Base Link	0	1,135	0	2,686	0	0	0	0
Cash Management Improvement Act	0	2	0	5	0	0	0	0
Justice Assistance Grants	0	22,393	0	54,154	0	0	0	0
Innovation Challenge Grant Program	0	10,850	0	25,665	0	0	0	0
Revenue Maximization	0	17,089	0	40,422	0	0	0	0
Main Street Investment Fund Administration	0	1,628	0	3,850	0	0	0	0
Regional Planning Agencies	0	4,340	0	10,266	0	0	0	0
Focus Deterrence	0	10,850	0	25,665	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>120,552</b>	<b>0</b>	<b>282,041</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	11	0	26	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>11</b>	<b>0</b>	<b>26</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

**Governor**

Increase funding for various accounts by \$120,563 (\$120,552 in the General Fund and \$11 in the Insurance Fund) in FY 14 and an additional \$161,503 in FY 15 for a cumulative total of \$282,067 (\$282,041 in the General Fund and \$26 in the Insurance Fund) in the second year to reflect inflationary increases.

**Legislative**

Same as Governor

**Policy Revisions****Adjust Grants from the Mashantucket Pequot/Mohegan Fund**

Grants To Towns	0	(73,220,093)	0	(73,220,093)	0	56,429,907	0	56,429,907
<b>Total - Mashantucket Pequot and Mohegan Fund</b>	<b>0</b>	<b>(73,220,093)</b>	<b>0</b>	<b>(73,220,093)</b>	<b>0</b>	<b>56,429,907</b>	<b>0</b>	<b>56,429,907</b>

**Background**

Grants from the Mashantucket Pequot/Mohegan Fund have ranged from \$61.6 million to \$61.8 million from FY 10 to FY 13. The FY 13 appropriation is \$61,779,907.

**Governor**

Reduce funding by \$129,650,000 (from the Current Services level of \$135,000,000) in both FY 14 and FY 15 to reflect the elimination of Pequot grants to all towns except: 1) The five towns near the casinos (Ledyard, North Stonington, Montville, Norwich, Preston); 2) The 21 member communities of the Southeastern Connecticut Regional Council of Governments; and 3) Distressed municipalities that are members of either the Windham Regional Council of Governments or the Northeastern Connecticut Council of Governments. Pequot grant funding of \$5.35 million in both FY 14 and FY 15 remains for those towns.

Municipalities no longer receiving grants from this fund will receive grants equal to their FY 13 Pequot grant amounts through the Local Capital Improvement Program (LoCIP).

**Legislative**

Reduce funding by \$73,220,093 in both FY 14 and FY 15 to reflect funding of Pequot grants at \$61,779,907, which is equal to the FY 13 appropriation.

**Adjust Funding for State-Owned Property PILOT**

Reimbursement to Towns for Loss of Taxes on State Property	0	0	0	0	0	73,641,646	0	73,641,646
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>73,641,646</b>	<b>0</b>	<b>73,641,646</b>

**Background**

The State-Owned Property PILOT provides payments to municipalities to reimburse them for a portion of taxes owed on state-owned property. The reimbursement rate varies from 45% to 100% depending on the type of property, and grants are pro-rated in years in which the appropriation is not enough to fully fund the program.

**Governor**

Transfer funding of \$73,641,646 million in both FY 14 and FY 15 from the State Owned Pilot grant to the Education Cost Sharing grant account. The State Owned Pilot funds will not be run through the ECS formula, and towns will receive the same amount of State Owned Pilot funds as they did in FY 13.

**Legislative**

Do not transfer funding of \$73,641,646 in both FY 14 and FY 15 from the State Owned PILOT grant to the Education Cost Sharing grant account. The State-Owned Property PILOT is maintained as its own grant, and is funded at the FY 13 appropriation.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for the Municipal Aid Adjustment Grant

Municipal Aid Adjustment	0	4,467,456	0	3,608,728	0	(42,753,676)	0	(27,950,506)
<b>Total - General Fund</b>	<b>0</b>	<b>4,467,456</b>	<b>0</b>	<b>3,608,728</b>	<b>0</b>	<b>(42,753,676)</b>	<b>0</b>	<b>(27,950,506)</b>

#### Background

PA 13-184, the FY 14 and FY 15 budget, establishes the Municipal Aid Adjustment Grant. Sec. 127 of PA 13-247, the general government implementer, lists the distribution of this grant to towns and other political subdivisions.

#### Governor

Provide funding of \$47,221,132 in FY 14 and \$31,559,234 in FY 15 for the Municipal Aid Adjustment Grant. This level of funding is provided to hold towns harmless as the governor proposes to eliminate the Municipal Revenue Sharing Account (MRSA), the Public School Transportation Grant (which is replaced with an incentive program), and the DECD PILOT and Tax Abatement programs. The elimination of these grants is partially offset by recommendations to increase funding for Town Aid Road and ECS.

The following grants are included in the town-by-town state aid calculation: 1) State Owned Property PILOT; 2) Colleges & Hospitals PILOT; 3) Pequot; 4) Town Aid Road; 5) LoCIP; 6) Public School Transportation; 6) Non-public School Transportation; 7) ECS; 8) Adult Education; 9) Priority School Districts; 10) DECD Tax Abatement; 11) DECD PILOT; and

12) Municipal Revenue Sharing Account (MRSA) payments.

#### Legislative

Provide funding of \$4,467,456 in FY 14 and \$3,608,728 in FY 15 for the Municipal Aid Adjustment Grant. The Municipal Aid Adjustment Grant is intended to provide municipalities with at least the same amount of state aid in FY 14 as they received in FY 13. This level of funding is provided to hold towns harmless due to 1) elimination of the Municipal Revenue Sharing Account (which is partially offset with the use of bonded payments in lieu of MRSA); 2) changes in the distribution of various grants.

In FY 15, most municipalities will receive half of the Municipal Aid Adjustment Grant amount they received in FY 14. Five towns (Branford, Groton, Guilford, Hartford and Sprague) will receive additional payments in both fiscal years. The following grants are included in the town-by-town state aid calculation: 1) State Owned Property PILOT; 2) Colleges & Hospitals PILOT; 3) Pequot; 4) Town Aid Road; 5) LoCIP; 6) Public School Transportation; 6) Non-public School Transportation; 7) ECS; 8) Adult Education; 9) Priority School Districts; 10) DECD Tax Abatement; 11) DECD PILOT; 12) Municipal Revenue Sharing Account (MRSA) payments; and 13) Vocational Agriculture. Each of these grants, except MRSA, is funded at or above FY 13 estimated expenditure levels.

### Transfer Funding for Renters' Rebate/ Elderly Tax Relief

Tax Relief For Elderly Renters	0	(26,888,781)	0	(28,703,774)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(26,888,781)</b>	<b>0</b>	<b>(28,703,774)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$26,888,781 in FY 14 and \$28,703,774 in FY 15 to reflect the reorganization of the Renters' Rebate/ Elderly Renters Tax Relief Program into the Department of Housing.

#### Legislative

Same as Governor

### Transfer Positions and Funding to Dept. of Housing

Personal Services	(2)	(173,386)	(2)	(178,785)	(2)	(173,386)	(2)	(178,785)
Main Street Investment Fund Administration	0	(71,250)	0	(71,250)	0	(71,250)	0	(71,250)
<b>Total - General Fund</b>	<b>(2)</b>	<b>(244,636)</b>	<b>(2)</b>	<b>(250,035)</b>	<b>(2)</b>	<b>(244,636)</b>	<b>(2)</b>	<b>(250,035)</b>

#### Legislative

Transfer two positions and funding of \$244,636 in FY 14 and \$250,035 in FY 15 to reflect the reorganization of various housing programs into the Department of Housing.

### Reorganize Statewide Human Resources

Personal Services	0	0	0	0	(37)	(3,328,815)	(37)	(3,438,544)
Other Expenses	0	0	0	0	0	(40,000)	0	(40,000)
Tuition Reimbursement - Training and Travel	0	0	0	0	0	(382,000)	0	(382,000)

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Labor - Management Fund	0	0	0	0	0	(75,000)	0	(75,000)
Quality of Work-Life	0	0	0	0	0	(350,000)	0	(350,000)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(37)</b>	<b>(4,175,815)</b>	<b>(37)</b>	<b>(4,285,544)</b>

**Governor**

Transfer 37 positions and funding of \$4,175,815 in FY 14 and \$4,285,544 in FY 15 to reflect the reorganization of the Statewide Human Resources Department and labor management accounts into the Office of Policy and Management.

**Legislative**

Maintain 37 positions and funding of \$4,175,815 in FY 14 and \$4,285,544 in FY 15 in the Department of Administrative Services.

**Adjust Positions and Funding to Reflect HR Reorganization**

Personal Services	0	0	0	0	4	292,587	4	301,365
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>292,587</b>	<b>4</b>	<b>301,365</b>

**Governor**

Reduce funding by \$292,587 in FY 14 and \$301,365 in FY 15 and eliminate four positions to reflect the savings associated with reorganizing statewide human resource functions from the Department of Administrative Services into the Office of Policy and Management.

**Legislative**

Maintain funding of \$292,587 in FY 14 and \$301,365 in FY 15 and four positions to reflect that statewide human resource functions are not being reorganized into OPM.

**Grants to Youth Services**

Youth Services Prevention	0	3,500,000	0	3,500,000	0	3,500,000	0	3,500,000
<b>Total - General Fund</b>	<b>0</b>	<b>3,500,000</b>	<b>0</b>	<b>3,500,000</b>	<b>0</b>	<b>3,500,000</b>	<b>0</b>	<b>3,500,000</b>

**Background**

Grant funding is provided to various nonprofit and governmental agencies for youth programs. Sec. 76 of PA 13-247, the general government implementer, specifies the distribution of the grant to 43 organizations in both fiscal years.

**Legislative**

Provide funding of \$3,500,000 in both FY 14 and FY 15 for nonprofits and government agencies around the state for youth services initiatives and programs.

**Adjust Funding for Focus Deterrence**

Focus Deterrence	0	0	0	0	0	475,000	0	475,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>475,000</b>	<b>0</b>	<b>475,000</b>

**Background**

This account provides funding for crime prevention that targets reduction in gun violence and gang-related crimes in specified areas.

**Governor**

Reduce funding by \$475,000 in both FY 14 and FY 15 to reflect elimination of the Focus Deterrence program.

**Legislative**

Maintain funding of \$475,000 in both FY 14 and FY 15 for Focus Deterrence.

**Provide Funding for Racial Profiling System Upgrade**

Other Expenses	0	300,000	0	0	0	300,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-74 establishes the Racial Profiling Prohibition Project Advisory Board and places it within OPM for administrative purposes only. OPM, in conjunction with this board, must establish a new standardized method for law enforcement agencies to report traffic stop information and agencies must report this information to OPM.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Provide \$300,000 in FY 14 to upgrade the electronic citation system for traffic stop information to allow for better tracking of data concerning the identification of racial profiling.

**Adjust Funding for Innovation Challenge Grant**

Innovation Challenge Grant Program	0	0	0	0	0	375,000	0	375,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>375,000</b>	<b>0</b>	<b>375,000</b>

**Background**

This account provides a grant to the Innovation Network, a freestanding not-for-profit that acts as a one-stop connection to resources for technology entrepreneurs to start and build a business. The Innovation Network includes: entrepreneurs, mentors, incubators, financing sources, access to unique equipment, access to university researchers, administrative services, and temporary assistance.

**Governor**

Reduce funding by \$375,000 in both FY 14 and FY 15 to reflect elimination of the Innovation Challenge grant.

**Legislative**

Maintain funding of \$375,000 in both FY 14 and FY 15 for the Innovation Challenge Grant.

**Reduce Funding for Other Expenses**

Other Expenses	0	(200,000)	0	(200,000)	0	(200,000)	0	(200,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(200,000)</b>	<b>0</b>	<b>(200,000)</b>	<b>0</b>	<b>(200,000)</b>	<b>0</b>	<b>(200,000)</b>

**Legislative**

Reduce funding for Other Expenses by \$200,000 in both FY 14 and FY 15 to achieve savings.

**Adjust Funding for Regional Planning Grant**

Regional Planning Agencies	0	0	0	0	0	175,000	0	175,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>175,000</b>	<b>0</b>	<b>175,000</b>

**Governor**

Reduce funding by \$175,000 in both FY 14 and FY 15 to reflect the elimination of General Fund funding of Regional Planning Grants-in-Aid. Funding for Regional Planning Organizations is available through the Regional Performance Incentive Account, a separate, non-lapsing account funded through portions of rental car surcharge and hotel tax revenue.

**Legislative**

Maintain funding of \$175,000 in both FY 14 and FY 15 for the Regional Planning Grant-in-Aid.

**Eliminate Funding for Revenue Maximization**

Revenue Maximization	0	(140,126)	0	(140,126)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(140,126)</b>	<b>0</b>	<b>(140,126)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

This initiative was established to maintain and maximize federal revenue. In particular, it sought to collect revenue of \$27 million in federal Medicaid recoupment related to matching claims on state Department of Mental Health and Addiction Services expenses for fiscal years 2004 - 2006.

**Governor**

Reduce funding by \$140,126 in both FY 14 and FY 15 to reflect elimination of the Revenue Maximization Initiative.

**Legislative**

Same as Governor

**Adjust Funding for Main Street Investment Fund Admin.**

Main Street Investment Fund Administration	0	0	0	0	0	71,250	0	71,250
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>71,250</b>	<b>0</b>	<b>71,250</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Main Street Investment Fund provides grants up to \$500,000 to municipalities with populations less than 30,000 or municipalities eligible for the small town economic assistance program (STEAP).

**Governor**

Reduce funding by \$71,250 in both FY 14 and FY 15 to reflect elimination of Main Street Investment Fund administration.

**Legislative**

Maintain funding of \$71,250 in both FY 14 and FY 15 for Main Street Investment Fund administration.

**Eliminate Vacant Positions**

Personal Services	(20)	(1,718,610)	(20)	(1,889,938)	0	0	0	0
<b>Total - General Fund</b>	<b>(20)</b>	<b>(1,718,610)</b>	<b>(20)</b>	<b>(1,889,938)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$1,718,610 in FY 14 and \$1,889,938 in FY 15 to reflect the elimination of 20 positions that are currently vacant.

**Legislative**

Same as Governor

**Rollout of FY 13 Rescissions**

Personal Services	0	(563,206)	0	(563,206)	0	0	0	0
Other Expenses	0	(106,357)	0	(106,357)	0	0	0	0
Automated Budget System and Data Base Link	0	(2,616)	0	(2,616)	0	0	0	0
Cash Management Improvement Act	0	(4)	0	(4)	0	0	0	0
Justice Assistance Grants	0	(56,567)	0	(56,567)	0	0	0	0
Innovation Challenge Grant Program	0	(25,000)	0	(25,000)	0	0	0	0
Revenue Maximization	0	(39,374)	0	(39,374)	0	0	0	0
Main Street Investment Fund Administration	0	(3,750)	0	(3,750)	0	0	0	0
Regional Planning Agencies	0	(25,000)	0	(25,000)	0	0	0	0
Focus Deterrence	0	(25,000)	0	(25,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(846,874)</b>	<b>0</b>	<b>(846,874)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding by \$846,874 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Rollout of FY 13 DMP**

Innovation Challenge Grant Program	0	(100,000)	0	(100,000)	0	0	0	0
Revenue Maximization	0	(608,000)	0	(608,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(708,000)</b>	<b>0</b>	<b>(708,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce funding by \$708,000 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Adjust Funding for Partnership for Long Term Care Position**

Personal Services	0	0	0	50,000	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$50,000 in FY 15 for a position previously paid through the Partnership for Long Term Care, a joint effort by the State and the private insurance industry to develop more cost-effective long-term care options.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(69,735)	0	(146,506)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(69,735)</b>	<b>0</b>	<b>(146,506)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$69,735 in FY 14 and \$146,506 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(3,790)	0	(3,790)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(3,790)</b>	<b>0</b>	<b>(3,790)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$3,790 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Adjust Funding to Streamline Budget Account Structure**

Other Expenses	0	0	0	0	0	(49,797)	0	(49,797)
Automated Budget System and Data Base Link	0	0	0	0	0	49,706	0	49,706
Cash Management Improvement Act	0	0	0	0	0	91	0	91
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

**Governor**

Transfer funding of \$49,797 in both FY 14 and FY 15 from the Automated Budget System and Database (\$49,706 in both fiscal years) and Cash Management Improvement (\$91 in both fiscal years) accounts to Other Expenses to reflect the streamlining of agency budgetary accounts.

**Legislative**

Do not streamline agency accounts. Maintain funding of \$49,706 in both FY 14 and FY 15 in the Automated Budget System and Data Link account and \$91 in both FY 14 and FY 15 in the Cash Management Improvement Act account.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Eliminate Inflationary Increases**

Other Expenses	0	(52,265)	0	(119,328)	0	0	0	0
Automated Budget System and Data Base Link	0	(1,135)	0	(2,686)	0	0	0	0
Cash Management Improvement Act	0	(2)	0	(5)	0	0	0	0
Justice Assistance Grants	0	(22,393)	0	(54,154)	0	0	0	0
Innovation Challenge Grant Program	0	(10,850)	0	(25,665)	0	0	0	0
Revenue Maximization	0	(17,089)	0	(40,422)	0	0	0	0
Main Street Investment Fund Administration	0	(1,628)	0	(3,850)	0	0	0	0
Regional Planning Agencies	0	(4,340)	0	(10,266)	0	0	0	0
Focus Deterrence	0	(10,850)	0	(25,665)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(120,552)</b>	<b>0</b>	<b>(282,041)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	(11)	0	(26)	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>(11)</b>	<b>0</b>	<b>(26)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$120,563 (\$120,552 in the General Fund and \$11 in the Insurance Fund) FY 14 and \$282,067 (\$282,041 in the General Fund and \$26 in the Insurance Fund) in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	24,550	0	0	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>24,550</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	0	0	2,321	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,321</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$24,550 (General Fund) in FY 14 and 2,321 in FY 15 (Insurance Fund) to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Carry Forward****Carry Forward Funding for Consulting Contracts**

Other Expenses	0	70,728	0	0	0	70,728	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>70,728</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>70,728</b>	<b>0</b>	<b>0</b>

**Legislative**

Section 32 of PA 13-184, the FY 14 and FY 15 Budget, carries forward funding of \$70,728 in OPM's Other Expenses account for health care and pension consulting contracts.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Carry Forward Criminal Justice Information System Funding

Criminal Justice Information System	0	1,546,049	0	0	0	1,546,049	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>1,546,049</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,546,049</b>	<b>0</b>	<b>0</b>

#### Legislative

Section 33 of PA 13-184, the FY 14 and FY 15 Budget, carries forward funding of \$1,546,049 in the Criminal Justice Information System account.

### Carry Forward for Litigation Costs

Litigation Settlement Costs	0	1,952,344	0	0	0	1,952,344	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>1,952,344</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,952,344</b>	<b>0</b>	<b>0</b>

#### Legislative

Sec. 48(a) - (j) of PA 13-184, the FY 14 - 15 budget bill, carries forward \$1,952,344 from various accounts within OPM and transfers these funds to the Litigation/Settlement account for FY 14 for ongoing and increased litigation costs.

### Carry Forward Funding for Certain Unfinished Projects

Other Expenses	0	196,298	0	0	0	196,298	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>196,298</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>196,298</b>	<b>0</b>	<b>0</b>

#### Legislative

Pursuant to CGS Sec. 4-89(c), an \$196,298 is carried forward into FY 14 in the Other Expenses account for unfinished projects. These projects include a management consulting contract, a review of cost allocation methodologies at the Connecticut Airport Authority, and the Public-Private Partnership, which is an effort to expand private investment in certain state projects.

### Carry Forward Funding for Focus Deterrence

Focus Deterrence	0	444,535	0	0	0	444,535	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>444,535</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>444,535</b>	<b>0</b>	<b>0</b>

#### Legislative

Pursuant to CGS Sec. 4-89(c), an \$444,535 is carried forward into FY 14 for Focus Deterrence.

### Litigation Settlement Statutory Carry Forward

Litigation Settlement Costs	0	2,097,607	0	0	0	2,097,607	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>2,097,607</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,097,607</b>	<b>0</b>	<b>0</b>

#### Legislative

Pursuant to CGS 4-89(e), \$2,097,607 is carried forward to FY 14 in the Litigation Settlement Account.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	146	263,426,545	146	263,426,545	0	0	0	0
Current Services	0	4,295,800	0	5,444,635	0	300,000	0	300,000
Policy Revisions	(22)	(22,649,098)	(22)	(26,062,356)	(35)	31,456,356	(35)	45,853,176
<b>Total Recommended - GF</b>	<b>124</b>	<b>245,073,247</b>	<b>124</b>	<b>242,808,824</b>	<b>(35)</b>	<b>31,756,356</b>	<b>(35)</b>	<b>46,153,176</b>
Governor Estimated - MF	0	61,779,907	0	61,779,907	0	0	0	0
Current Services	0	73,220,093	0	73,220,093	0	0	0	0
Policy Revisions	0	(73,220,093)	0	(73,220,093)	0	56,429,907	0	56,429,907
<b>Total Recommended - MF</b>	<b>0</b>	<b>61,779,907</b>	<b>0</b>	<b>61,779,907</b>	<b>0</b>	<b>56,429,907</b>	<b>0</b>	<b>56,429,907</b>
Governor Estimated - IF	2	353,588	2	353,588	0	0	0	0
Current Services	0	44,704	0	60,359	0	0	0	0
Policy Revisions	0	(11)	0	52,295	0	0	0	0
<b>Total Recommended - IF</b>	<b>2</b>	<b>398,281</b>	<b>2</b>	<b>466,242</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Other Significant Legislation****PA 13-247 An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2015 Concerning General Government**

Sections 249 – 330 of the act implement several recommendations of the Municipal Opportunities and Regional Efficiencies (MORE) Commission. The following provisions impact the Office of Policy and Management:

- The act changes the way money deposited into the Regional Performance Incentive Account (RPIA) is paid out. For FY 14, each regional planning organization (RPO) will receive \$125,000. Any RPOs that voluntarily consolidate prior to December 31, 2013 will each receive a grant of \$125,000 in FY 14.
- For FY 15 and annually thereafter, each RPO will receive \$125,000 plus \$0.50 per capita. Any RPO that voluntarily consolidates before December 31, 2013 will receive an additional \$125,000. It is anticipated that funds in the RPIA will be sufficient to cover grants to RPOs in FY 14 and FY 15.
- Funds are transferred from the Regional Performance Incentive Account to the newly created Municipal Reimbursement and Revenue Account in each of FY 14 (\$2.8 million), FY 15 (\$2.1 million) and FY 16 (1.9 million). This funding is intended to offset certain costs associated with the recommendations of the MORE Commission.
- The Regional Planning Grants-in-aid General Fund appropriation is eliminated. An estimated \$200,000 in such grants will be paid out in FY 13. This results in a revenue loss to regional planning organizations that are offset by payments from the RPIA, as described above.
- The act also requires the OPM, in consultation with SDE, the Connecticut Conference of Municipalities and the Council of Small Towns to develop and implement a uniform system of accounting (UCOA) for municipal revenues and expenditures. This has no cost to the state, as OPM and SDE are already developing a UCOA for local boards of education, pursuant to PA 12-116, AAC Education Reform.

**PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$61,357, a General Other Expenses Lapse of \$17,342, and a Statewide Hiring Reduction Lapse of \$33,901. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	11,518,762	(81,964)	11,436,798	0.71%
Other Expenses	2,117,001	(26,129)	2,090,872	1.23%
Justice Assistance Grants	1,076,943	(4,507)	1,072,436	0.42%

# Department of Veterans' Affairs

DVA21000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	279	253	248	248	248	248

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	21,821,017	21,838,133	21,974,165	23,055,692	21,974,165	23,055,692
Other Expenses	5,955,476	5,645,075	5,607,850	5,607,850	5,607,850	5,607,850
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Support Services for Veterans	180,499	190,000	520,200	520,200	180,500	180,500
<b>Other Than Payments to Local Governments</b>						
Burial Expenses	7,200	7,200	0	0	7,200	7,200
Headstones	327,700	350,000	0	0	332,500	332,500
GAAP Adjustments	0	0	75,705	137,388	75,705	137,388
<b>Agency Total - General Fund</b>	<b>28,291,891</b>	<b>28,030,409</b>	<b>28,177,921</b>	<b>29,321,131</b>	<b>28,177,921</b>	<b>29,321,131</b>
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	2,001,616	44,609	0	0	0	0
Private Contributions	1,675,182	1,600,000	1,617,700	1,648,300	1,617,700	1,648,300
<b>Agency Grand Total</b>	<b>31,968,689</b>	<b>29,675,018</b>	<b>29,795,621</b>	<b>30,969,431</b>	<b>29,795,621</b>	<b>30,969,431</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	798,315	0	1,913,277	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>798,315</b>	<b>0</b>	<b>1,913,277</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$798,315 in FY 14 and \$1,913,277 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	454,292	0	729,660	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>454,292</b>	<b>0</b>	<b>729,660</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding to the Other Expenses account by \$454,292 in FY 14 and an additional \$275,368 in FY 15 (for a cumulative total of \$729,660 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	121,525	0	139,374	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>121,525</b>	<b>0</b>	<b>139,374</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$121,525 in FY 14 and \$139,374 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Transfer DVA's IT Operations to DAS

Personal Services	(5)	(417,703)	(5)	(430,235)	0	0	0	0
Other Expenses	0	(35,000)	0	(35,000)	0	0	0	0
<b>Total - General Fund</b>	<b>(5)</b>	<b>(452,703)</b>	<b>(5)</b>	<b>(465,235)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Department of Administrative Services Bureau of Enterprise Systems and Technology provides coordinated technology services and solutions to executive branch agencies.

#### Governor

Reduce funding of \$452,703 in FY 14 and \$465,235 in FY 15 to reflect the transfer of the Department of Veteran Affairs' Information Technology operations to the Department of Administrative Services Bureau of Enterprise Systems and Technology.

#### Legislative

Same as Governor

### Transfer Funding to Streamline Budget Account Structure

Support Services for Veterans	0	0	0	0	0	(339,700)	0	(339,700)
Burial Expenses	0	0	0	0	0	7,200	0	7,200
Headstones	0	0	0	0	0	332,500	0	332,500
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

#### Governor

Transfer funding of \$339,700 in both FY 14 and FY 15 from the Burial Expenses account and the Headstones account to the Support Services for Veterans account to reflect the streamlining of agency budgetary accounts.

#### Legislative

Do not transfer funds to reflect streamlining of accounts.

### Rollout of FY 13 Rescissions

Personal Services	0	(150,000)	0	(150,000)	0	0	0	0
Support Services for Veterans	0	(9,500)	0	(9,500)	0	0	0	0
Headstones	0	(17,500)	0	(17,500)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(177,000)</b>	<b>0</b>	<b>(177,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$177,000 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Rollout of FY 13 DMP**

Personal Services	0	(75,592)	0	(75,592)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(75,592)</b>	<b>0</b>	<b>(75,592)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$75,592 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(454,292)	0	(729,660)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(454,292)</b>	<b>0</b>	<b>(729,660)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce the Other Expenses account by \$454,292 in FY 14 and \$729,660 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(45,820)	0	(1,986)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(45,820)</b>	<b>0</b>	<b>(1,986)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$45,820 in FY 14 and \$1,986 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(18,988)	0	(39,891)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(18,988)</b>	<b>0</b>	<b>(39,891)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$18,988 in FY 14 and \$39,891 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(2,225)	0	(2,225)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,225)</b>	<b>0</b>	<b>(2,225)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$2,225 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	253	28,030,409	253	28,030,409	0	0	0	0
Current Services	0	1,374,132	0	2,782,311	0	0	0	0
Policy Revisions	(5)	(1,226,620)	(5)	(1,491,589)	0	0	0	0
<b>Total Recommended - GF</b>	<b>248</b>	<b>28,177,921</b>	<b>248</b>	<b>29,321,131</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Other Significant Legislation

#### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$114,965, a General Other Expenses Lapse of \$45,938, and a Statewide Hiring Reduction Lapse of \$64,672. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	21,974,165	(156,361)	21,817,804	0.71%
Other Expenses	5,607,850	(69,214)	5,538,636	1.23%

# Department of Administrative Services

## DAS23000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	547	540	611	611	650	650
Permanent Full-Time - OF	124	86	86	86	86	86

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	39,022,268	38,788,855	45,547,684	48,278,057	48,997,323	51,845,696
Other Expenses	32,619,360	31,343,930	35,825,292	43,118,346	35,865,292	38,408,346
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Tuition Reimbursement - Training and Travel	597,201	0	0	0	382,000	382,000
Labor - Management Fund	0	0	0	0	75,000	75,000
Management Services	3,777,452	4,767,986	4,741,484	4,753,809	4,741,484	4,753,809
Loss Control Risk Management	107,767	120,898	114,854	114,854	114,854	114,854
Employees' Review Board	21,798	23,378	0	0	22,210	22,210
Surety Bonds for State Officials and Employees	6,631	82,000	0	0	63,500	5,600
Quality of Work-Life	18,900	0	0	0	350,000	350,000
Refunds Of Collections	1,394	27,076	25,723	25,723	25,723	25,723
Rents and Moving	9,456,943	11,924,000	14,183,335	14,100,447	12,183,335	12,100,447
Capitol Day Care Center	115,482	127,250	0	0	120,888	120,888
W. C. Administrator	5,250,000	5,250,000	5,250,000	5,250,000	5,250,000	5,250,000
Hospital Billing System	114,950	114,951	0	0	0	0
Connecticut Education Network	2,866,493	2,966,493	0	0	3,268,712	3,291,857
Claims Commissioner Operations	294,205	258,651	0	0	0	0
State Insurance and Risk Mgmt Operations	12,062,923	12,350,000	12,706,563	13,350,986	12,643,063	13,345,386
IT Services	12,471,084	13,806,786	17,052,382	17,141,108	13,783,670	13,849,251
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>734,264</b>	<b>729,894</b>	<b>734,264</b>	<b>729,894</b>
<b>Agency Total - General Fund</b>	<b>118,804,851</b>	<b>121,952,255</b>	<b>136,181,582</b>	<b>146,863,225</b>	<b>138,621,319</b>	<b>144,670,962</b>
<b>Other Current Expenses</b>						
State Insurance and Risk Mgmt Operations	5,395,778	7,335,373	7,364,543	7,916,074	7,364,543	7,916,074
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>203</b>	<b>3,839</b>	<b>203</b>	<b>3,839</b>
<b>Agency Total - Special Transportation Fund</b>	<b>5,395,778</b>	<b>7,335,373</b>	<b>7,364,746</b>	<b>7,919,913</b>	<b>7,364,746</b>	<b>7,919,913</b>
<b>Total - Appropriated Funds</b>	<b>124,200,629</b>	<b>129,287,628</b>	<b>143,546,328</b>	<b>154,783,138</b>	<b>145,986,065</b>	<b>152,590,875</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	1,564,463	0
Federal & Other Restricted Act	54,367,417	27,093,495	4,718,536	248,680	4,718,536	248,680
Private Contributions	15,029,369	15,879,412	9,623,538	8,913,304	9,623,538	8,913,304
<b>Agency Grand Total</b>	<b>193,597,415</b>	<b>172,260,535</b>	<b>157,888,402</b>	<b>163,945,122</b>	<b>161,892,602</b>	<b>161,752,859</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Current Services****Adjust Funding to Reflect Wage & Compensation Related Costs**

Personal Services	0	1,554,962	0	4,000,743	0	0	0	0
Claims Commissioner Operations	0	30,924	0	44,492	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,585,886</b>	<b>0</b>	<b>4,045,235</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$1,585,886 in FY 14 and \$4,045,235 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

**Legislative**

Same as Governor

**Apply Inflationary Increases**

Other Expenses	0	1,155,067	0	2,456,073	0	0	0	0
Management Services	0	235,237	0	459,332	0	0	0	0
Refunds Of Collections	0	588	0	1,390	0	0	0	0
Rents and Moving	0	137,829	0	237,111	0	0	0	0
Capitol Day Care Center	0	1,936	0	4,533	0	0	0	0
W. C. Administrator	0	52,500	0	52,500	0	0	0	0
Connecticut Education Network	0	53,075	0	142,326	0	0	0	0
Claims Commissioner Operations	0	8,930	0	12,313	0	0	0	0
IT Services	0	290,922	0	712,983	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,936,084</b>	<b>0</b>	<b>4,078,561</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

**Governor**

Increase funding for various accounts by \$1,936,084 in FY 14 and an additional \$2,142,477 in FY 15 (for a cumulative total of \$4,078,561 in the second year) to reflect inflationary increases.

**Legislative**

Same as Governor

**Provide Funding for GAAP**

Nonfunctional - Change to Accruals	0	658,522	0	266,757	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>658,522</b>	<b>0</b>	<b>266,757</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	2,901	0	923	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>2,901</b>	<b>0</b>	<b>923</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$661,423 (\$658,522 in the General Fund (GF) and \$2,901 in the Special Transportation Fund (STF)) in FY 14 and \$267,680 (\$266,757 in GF and \$923 in the STF) in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for Rents and Moving

Rents and Moving	0	3,159,384	0	3,180,344	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,159,384</b>	<b>0</b>	<b>3,180,344</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$3,159,384 in FY 14 and \$3,180,344 in FY 15 in the Rents and Moving account for increased rent.

#### Legislative

Same as Governor

### Adjust Funding for CB Contract Costs

Other Expenses	0	(90,955)	0	(201,969)	0	0	0	0
Tuition Reimbursement - Training and Travel	0	382,000	0	382,000	0	0	0	0
Labor - Management Fund	0	75,000	0	75,000	0	0	0	0
Management Services	0	(11,501)	0	(18,173)	0	0	0	0
Surety Bonds for State Officials and Employees	0	(2,110)	0	(2,535)	0	0	0	0
Quality of Work-Life	0	350,000	0	350,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>702,434</b>	<b>0</b>	<b>584,323</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Adjust funding by \$702,434 in FY 14 and \$584,323 in FY 15 in various accounts to reflect FY 14 and FY 15 projected costs. These costs include training and tuition costs per collective bargaining contracts.

#### Legislative

Same as Governor

### Adjust Funding for Insurance & Risk Operations

State Insurance and Risk Mgmt Operations	0	643,063	0	1,345,386	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>643,063</b>	<b>0</b>	<b>1,345,386</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Insurance and Risk Mgmt Operations	0	416,777	0	997,337	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>416,777</b>	<b>0</b>	<b>997,337</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$1,059,840 (\$643,063 in GF and \$416,777 in the STF) in FY 14 and \$2,342,723 (\$1,345,386 in GF and \$997,337 in STF) in FY 15 in the Insurance & Risk Operations account. This includes adjustments based on the current insurance market, value increase and historical claims.

#### Legislative

Same as Governor

### Adjust Funding for Security Guards

Other Expenses	0	2,617,416	0	2,682,678	0	0	0	0
Management Services	0	269,892	0	269,892	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,887,308</b>	<b>0</b>	<b>2,952,570</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$2,887,308 (\$2,617,416 in Other Expenses and \$269,892 in Management Services) in FY 14 and \$2,952,570 (\$2,682,678 in Other Expenses and \$269,892 in Management Services) in FY 15 for increased Security Guard costs. These costs include prevailing wages for Security Guard contracts at most state buildings.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Operating Expenses to Reflect Current Requirements

Connecticut Education Network	0	578,801	0	578,801	0	0	0	0
IT Services	0	567,223	0	632,804	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,146,024</b>	<b>0</b>	<b>1,211,605</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$1,146,024 in FY 14 and \$1,211,605 in FY 15 in various accounts to reflect FY 14 and FY 15 anticipated expenditure requirements. These costs include increased contractual costs for IT software licenses, hardware maintenance and support.

#### Legislative

Same as Governor

### Adjust Funding for Various Accounts

Other Expenses	0	85,404	0	90,398	0	0	0	0
Management Services	0	103,255	0	103,255	0	0	0	0
Surety Bonds for State Officials and Employees	0	(12,290)	0	(69,765)	0	0	0	0
Hospital Billing System	0	23,611	0	30,539	0	0	0	0
Claims Commissioner Operations	0	30,000	0	30,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>229,980</b>	<b>0</b>	<b>184,427</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$229,980 in FY 14 and \$184,427 in FY 15 in various accounts to reflect FY 14 and FY 15 anticipated expenditure requirements. These costs include: increased snow removal, increased contractual costs, arbitration and mediation services, real estate taxes on buildings leased by the state, housing unit appraisals, State Marshal background checks, clothing and training costs and surplus of state buildings.

#### Legislative

Same as Governor

### Provide Funding for UConn Staff Reimbursement

Connecticut Education Network	0	21,742	0	44,887	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>21,742</b>	<b>0</b>	<b>44,887</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Connecticut Education Network (CEN) is part of the State's secure 'Nutmeg Network', whose purpose is to deliver reliable, high-speed internet access, data transport, and value added services to its members throughout Connecticut.

#### Governor

Provide funding of \$21,742 in FY 14 and \$44,887 in FY 15 to CEN for UConn staff reimbursement costs. Reimbursement costs are for 4 positions and a portion of the Director's salary and salary increases.

#### Legislative

Same as Governor

### Adjust Funding for Shuttle Service

Other Expenses	0	220,000	0	220,000	0	0	0	0
Management Services	0	166,468	0	166,468	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>386,468</b>	<b>0</b>	<b>386,468</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The CT Fastrak is a 9.4 mile dedicated roadway for bus transit between New Britain and Hartford. CT Fastrak is set to start operating in January 2015.

#### Governor

Provide funding of \$386,468 in both FY 14 and FY 15 in Other Expenses to provide additional shuttle service routes due to Flower Street and Sigourney Street closures as a result of CT Fastrak.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Reduce Funding for Consultant Services

Management Services	0	(42,730)	0	(42,730)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(42,730)</b>	<b>0</b>	<b>(42,730)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$42,730 in both FY 14 and FY 15 for consultant services to achieve savings.

#### Legislative

Same as Governor

### Policy Revisions

### Provide Funding for Diversity Program Data Management System

Other Expenses	0	0	0	250,000	0	0	0	250,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>250,000</b>

#### Legislative

Provide funding of \$250,000 in FY 15 for the diversity program monitoring and compliance data management system.

### Rollout of FY 13 DMP

Personal Services	0	(82,582)	0	(82,582)	0	0	0	0
Other Expenses	0	(1,057,198)	0	(1,057,198)	0	0	0	0
Management Services	0	(200,000)	0	(200,000)	0	0	0	0
Surety Bonds for State Officials and Employees	0	(4,100)	0	(4,100)	0	0	0	0
Rents and Moving	0	(200,000)	0	(200,000)	0	0	0	0
Connecticut Education Network	0	(298,324)	0	(298,324)	0	0	0	0
State Insurance and Risk Mgmt Operations	0	(350,000)	0	(350,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,192,204)</b>	<b>0</b>	<b>(2,192,204)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

#### Governor

Reduce funding by \$2,192,204 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

#### Legislative

Same as Governor

### Rollout of FY 13 Rescissions

Personal Services	0	(700,000)	0	(700,000)	0	0	0	0
Other Expenses	0	(500,000)	0	(500,000)	0	0	0	0
Management Services	0	(238,399)	0	(238,399)	0	0	0	0
Loss Control Risk Management	0	(6,044)	0	(6,044)	0	0	0	0
Employees' Review Board	0	(1,168)	0	(1,168)	0	0	0	0
Refunds Of Collections	0	(1,353)	0	(1,353)	0	0	0	0
Rents and Moving	0	(596,200)	0	(596,200)	0	0	0	0
Capitol Day Care Center	0	(6,362)	0	(6,362)	0	0	0	0
IT Services	0	(690,339)	0	(690,339)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,739,865)</b>	<b>0</b>	<b>(2,739,865)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding by \$2,739,865 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Transfer Funding to Streamline Budget Account Structure**

Surety Bonds for State Officials and Employees	0	0	0	0	0	63,500	0	5,600
Connecticut Education Network	0	0	0	0	0	3,268,712	0	3,291,857
State Insurance and Risk Mgmt Operations	0	0	0	0	0	(63,500)	0	(5,600)
IT Services	0	0	0	0	0	(3,268,712)	0	(3,291,857)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

**Governor**

Transfer funding as follows to reflect the streamlining of agency budgetary accounts:

- \$3,268,712 in FY 14 and \$3,291,857 in FY 15 from the Connecticut Education Network account to the IT Services account.
- \$63,500 in FY 14 and \$5,600 in FY 15 from the Surety Bonds for State Officials and Employees account to the Insurance and Risk Operations.

**Legislative**

Do not streamline agency accounts. Maintain funding of \$3,268,712 in FY 14 and \$3,291,857 in FY 15 in the Connecticut Education Network account and \$63,500 in FY 14 and \$5,600 in FY 15 in the Surety Bonds for State Officials and Employees account.

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	75,742	0	463,137	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>75,742</b>	<b>0</b>	<b>463,137</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	(2,698)	0	2,916	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(2,698)</b>	<b>0</b>	<b>2,916</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$73,044 (\$75,742 increase in the GF and \$2,698 reduction in the STF) in FY 14 and \$466,053 (\$463,137 in the GF and \$2,916 in the STF) in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(1,155,067)	0	(2,456,073)	0	0	0	0
Management Services	0	(235,237)	0	(440,335)	0	0	0	0
Refunds Of Collections	0	(588)	0	(1,390)	0	0	0	0
Rents and Moving	0	(137,829)	0	(237,111)	0	0	0	0
Capitol Day Care Center	0	(1,936)	0	(4,533)	0	0	0	0
W. C. Administrator	0	(52,500)	0	(52,500)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Connecticut Education Network	0	(53,075)	0	(142,326)	0	0	0	0
Claims Commissioner Operations	0	(8,930)	0	(12,313)	0	0	0	0
IT Services	0	(290,922)	0	(712,983)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,936,084)</b>	<b>0</b>	<b>(4,059,564)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$1,936,084 in FY 14 and \$4,059,564 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Transfer Positions and Funding for DCS Reorganization**

Personal Services	100	9,372,950	100	9,818,336	2	120,824	2	129,095
Other Expenses	0	1,054,925	0	1,018,427	0	0	0	0
<b>Total - General Fund</b>	<b>100</b>	<b>10,427,875</b>	<b>100</b>	<b>10,836,763</b>	<b>2</b>	<b>120,824</b>	<b>2</b>	<b>129,095</b>

**Governor**

Transfer 98 positions and funding of \$10,307,051 (\$9,252,126 in Personal Services and \$1,054,925 in Other Expenses) in FY 14 and \$10,707,668 (\$9,689,241 in Personal Services and \$1,018,427 in Other Expenses) in FY 15 to reflect the reorganization of the Department of Construction Services (DCS) into the Department of Administrative Services (DAS). The Governor also recommended transferring two positions from DCS to Bond funds at the Board of Regents.

**Legislative**

Transfer 100 positions and funding of \$10,427,875 (\$9,372,950 in Personal Services and \$1,054,925 in Other Expenses) in FY 14 and \$10,836,763 (\$9,818,336 in Personal Services and \$1,018,427 in Other Expenses) in FY 15 to reflect the reorganization of DCS into DAS.

**Reduce Funding and Positions for DCS Reorganization**

Personal Services	(13)	(1,062,394)	(13)	(1,113,326)	0	0	0	0
<b>Total - General Fund</b>	<b>(13)</b>	<b>(1,062,394)</b>	<b>(13)</b>	<b>(1,113,326)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Eliminate 13 positions and reduce funding by \$1,062,394 in FY 14 and \$1,113,326 in FY 15 to reflect the savings associated with reorganizing DCS into DAS.

**Legislative**

Same as Governor

**Provide Funding for Acquisition of New Buildings**

Other Expenses	0	2,456,770	0	4,827,080	0	0	0	(5,000,000)
<b>Total - General Fund</b>	<b>0</b>	<b>2,456,770</b>	<b>0</b>	<b>4,827,080</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(5,000,000)</b>

**Governor**

Provide funding of \$2,456,770 in FY 14 and \$4,827,080 in FY 15 in Other Expenses for the acquisition of 2 new buildings located in Hartford at 55 Farmington Avenue and 450 Columbus Boulevard and a new data center.

**Legislative**

Provide funding of \$2,456,770 in FY 14 and \$4,827,080 in FY 15 in Other Expenses for the acquisition of 2 new buildings located in Hartford at 55 Farmington Avenue and 450 Columbus Boulevard and a new data center.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Transfer Statewide Human Resources to OPM**

Personal Services	0	0	0	0	37	3,328,815	37	3,438,544
Other Expenses	0	0	0	0	0	40,000	0	40,000
Tuition Reimbursement - Training and Travel	0	0	0	0	0	382,000	0	382,000
Labor - Management Fund	0	0	0	0	0	75,000	0	75,000
Quality of Work-Life	0	0	0	0	0	350,000	0	350,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>37</b>	<b>4,175,815</b>	<b>37</b>	<b>4,285,544</b>

**Governor**

Transfer 37 positions and funding of \$4,175,815 in FY 14 and \$4,285,544 in FY 15 to reflect the reorganization of the Statewide Human Resources Department and labor management accounts into the Office of Policy and Management (OPM).

**Legislative**

Maintain funding of \$4,175,815 in FY 14 and \$4,285,544 in FY 15 and 37 positions for the Statewide Human Resources Department and labor management accounts.

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Personal Services	18	485,107	18	485,107	0	0	0	0
<b>Total - General Fund</b>	<b>18</b>	<b>485,107</b>	<b>18</b>	<b>485,107</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$485,107 in both FY 14 and FY 15 and 18 positions across various agencies to reflect reorganizing the courier and central mail services into DAS.

**Legislative**

Same as Governor

**Transfer Funds for the Courier and Central Mail Services**

Personal Services	0	56,179	0	96,599	0	0	0	0
Other Expenses	0	200,000	0	200,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>256,179</b>	<b>0</b>	<b>296,599</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funds of \$256,179 (\$56,179 in Personal Services and \$200,000 in Other Expenses) in FY 14 and \$296,599 (\$96,599 in Personal Services and \$200,000 in Other Expenses) in FY 15 from DAS' general services revolving fund to the General Fund.

**Legislative**

Same as Governor

**Transfer DVA's IT Operations to DAS**

Personal Services	5	417,703	5	430,235	0	0	0	0
Other Expenses	0	35,000	0	35,000	0	0	0	0
<b>Total - General Fund</b>	<b>5</b>	<b>452,703</b>	<b>5</b>	<b>465,235</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

DAS' Bureau of Enterprise Systems and Technology provides coordinated technology services and solutions to executive branch agencies.

**Governor**

Transfer funding of \$452,703 (\$417,703 in Personal Services and \$35,000 in Other Expenses) in FY 14 and \$465,235 (\$430,235 in Personal Services and \$35,000 in Other Expenses) and 5 full-time positions to reflect the transfer of the Department of Veterans Affairs' Information Technology operations into the DAS' Bureau of Enterprise Systems and Technology.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Eliminate the Hospital Billing System Account**

Hospital Billing System	0	(138,562)	0	(145,490)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(138,562)</b>	<b>0</b>	<b>(145,490)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$138,562 in FY 14 and \$145,490 to reflect the elimination of the Hospital Billing System account.

**Legislative**

Same as Governor

**Adjust Funding for Capitol Child Development Center**

Capitol Day Care Center	0	0	0	0	0	120,888	0	120,888
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>120,888</b>	<b>0</b>	<b>120,888</b>

**Governor**

Transfer funding of \$120,888 in both FY 14 and FY 15 for the Capitol Child Development Center (CCDC) from the Department of Administrative Services to the Office of Legislative Management.

**Legislative**

Maintain funding of \$120,888 in both FY 14 and FY 15 for CCDC.

**Transfer the Claims Commissioner Costs to OSC**

Personal Services	0	219,575	0	233,143	0	0	0	0
Claims Commissioner Operations	0	(319,575)	0	(333,143)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(100,000)</b>	<b>0</b>	<b>(100,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Under current law the Claims Commissioner has the authority to order immediate payment of any just claim against the state in an amount not exceeding \$7,500. If a claim against the state has merit, but damages exceed \$7,500, a claimant must either get approval from the General Assembly for a higher award, or must pursue their claim through the Judicial Branch. The claims which the Commissioner orders for immediate payment is paid out of this account, while larger claims are paid out of the OSC's Adjudicated Claims account.

**Governor**

Transfer funding of \$100,000 in both FY 14 and FY 15 from DAS to the Office of the State Comptrollers Adjudicated Claims account. Transfer the balance of \$219,575 in FY 14 and \$233,143 in FY 15 from the Claims Commissioner account to the Personal Services account.

**Legislative**

Same as Governor

**Transfer Licensing Costs from Various Agencies to DAS**

IT Services	0	100,000	0	100,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Departments of Public Health, Consumer Protection, and Agriculture, and the Office of the Secretary of the State currently manage individual on-line licensing systems.

**Governor**

Transfer funding of \$100,000 in both FY 14 and FY 15 to reflect the reallocation of funds from various state agencies to DAS for licensing system costs.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Transfer the Employees' Review Board to DOL**

Employees' Review Board	0	0	0	0	0	22,210	0	22,210
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>22,210</b>	<b>0</b>	<b>22,210</b>

**Governor**

Transfer funding of \$22,210 in both FY 14 and FY 15 to reflect the transfer of the Employees' Review Board (ERB) to the Department of Labor.

**Legislative**

Maintain funding of \$22,210 in both FY 14 and FY 15 for ERB.

**Reduce Funding for Property Management and Rent**

Other Expenses	0	(500,000)	0	(500,000)	0	0	0	0
Management Services	0	(73,487)	0	(73,487)	0	0	0	0
Rents and Moving	0	(103,849)	0	(207,697)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(677,336)</b>	<b>0</b>	<b>(781,184)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$677,336 in FY 14 and \$781,184 in FY 15 in various accounts to reflect anticipated expenditure requirements. These reductions include reduced lease costs at 110 Sherman Street in Hartford, reduced utility costs due to building closures, and utilizing existing DAS for property maintenance rather than hiring contractors.

**Legislative**

Same as Governor

**Reduce Funding for Insurance and Risk Management**

State Insurance and Risk Mgmt Operations	0	(387,607)	0	(416,636)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(387,607)</b>	<b>0</b>	<b>(416,636)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$387,607 in FY 14 and \$416,636 in FY 15 in the Insurance and Risk Management account to reflect historical trends.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(53,032)	0	(111,414)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(53,032)</b>	<b>0</b>	<b>(111,414)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$53,032 in FY 14 and \$111,414 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Adjust Funding for Rents and Moving**

Rents and Moving	0	(2,000,000)	0	(2,000,000)	0	(2,000,000)	0	(2,000,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(2,000,000)</b>	<b>0</b>	<b>(2,000,000)</b>	<b>0</b>	<b>(2,000,000)</b>	<b>0</b>	<b>(2,000,000)</b>

**Legislative**

Reducing funding by \$2,000,000 in both FY 14 and FY 15 to achieve savings.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Carry Forward

#### Provide Carry Forward Funding for Various Accounts

Tuition Reimbursement - Training and Travel	0	31,665	0	0	0	31,665	0	0
Labor - Management Fund	0	512,632	0	0	0	512,632	0	0
Quality of Work-Life	0	1,020,166	0	0	0	1,020,166	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>1,564,463</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,564,463</b>	<b>0</b>	<b>0</b>

#### Legislative

Pursuant to CGS Sec. 5-278(e) and collective bargaining agreements, estimated funds totaling \$1,564,463 are carried forward into FY 14 (\$31,665 in Tuition Reimbursement Training and Travel; \$512,632 in Labor-Management Fund; and \$1,020,166 in Quality of Work-Life Fund).

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Governor Estimated - GF</b>	<b>540</b>	<b>121,952,255</b>	<b>540</b>	<b>121,952,255</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	13,314,165	0	18,237,833	0	0	0	0
Policy Revisions	110	3,354,899	110	4,480,874	39	2,439,737	39	(2,192,263)
<b>Total Recommended - GF</b>	<b>650</b>	<b>138,621,319</b>	<b>650</b>	<b>144,670,962</b>	<b>39</b>	<b>2,439,737</b>	<b>39</b>	<b>(2,192,263)</b>
<b>Governor Estimated - TF</b>	<b>0</b>	<b>7,335,373</b>	<b>0</b>	<b>7,335,373</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	419,678	0	998,260	0	0	0	0
Policy Revisions	0	(390,305)	0	(413,720)	0	0	0	0
<b>Total Recommended - TF</b>	<b>0</b>	<b>7,364,746</b>	<b>0</b>	<b>7,919,913</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Other Significant Legislation

#### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$353,309, a General Other Expenses Lapse of \$293,797, and a Statewide Hiring Reduction Lapse of \$144,204. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	48,997,323	(348,650)	48,648,673	0.71%
Other Expenses	35,865,292	(442,660)	35,422,632	1.23%

# Department of Construction Services

## DCS28000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	88	94	0	0	0	0
Permanent Full-Time - OF	63	8	0	0	0	0

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	0	8,894,319	0	0	0	0
Other Expenses	0	1,046,161	0	0	0	0
<b>Agency Total - General Fund</b>	<b>0</b>	<b>9,940,480</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Additional Funds Available</b>						
Private Contributions	719,748	1,428,656	0	0	0	0
<b>Agency Grand Total</b>	<b>719,748</b>	<b>11,369,136</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	559,660	0	1,024,620	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>559,660</b>	<b>0</b>	<b>1,024,620</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$559,660 in FY 14 and \$1,024,620 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Provide Funding for Software Upgrades

Other Expenses	0	48,201	0	0	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>48,201</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Some of the agency's computers have limited usefulness because the software is approximately 18 years old and is incompatible with newer applications.

#### Governor

Provide funding of \$48,201 in FY 14 in the Other Expenses account to upgrade computer software. The new software will move all of the agency's computer applications to the same platform.

#### Legislative

Same as Governor

#### Provide Funding for Replacement Vehicles

Other Expenses	0	13,297	0	25,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>13,297</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The agency obtains state-owned vehicles from the Department of Administrative Services (DAS) for its fire and building safety inspectors to use on inspections trips. The agency reimburses DAS for the use of the vehicles at a set rate and these funds are paid into the state revolving fund, which was used to initially purchase the vehicles.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Provide funding of \$13,297 in FY 14 and \$25,000 in FY 15 in Other Expenses for replacement vehicles. Of the total of 66 vehicles used by the agency, all but 12 are outside of their scheduled useful life. The older vehicles will be replaced when DAS determines that it is no longer cost effective to repair them. The agency will have to pay more for the replacement vehicles because the rates for new cars are higher.

**Legislative**

Same as Governor

**Apply Inflationary Increases**

Other Expenses	0	29,761	0	60,878	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>29,761</b>	<b>0</b>	<b>60,878</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

**Governor**

Increase funding for the Other Expenses account by \$29,761 in FY 14 and an additional \$31,117 in FY 15 (for a cumulative total of \$60,878 in the second year) to reflect inflationary increases.

**Legislative**

Same as Governor

**Provide Funding for GAAP**

Nonfunctional - Change to Accruals	0	49,541	0	37,912	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>49,541</b>	<b>0</b>	<b>37,912</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$49,541 in FY 14 and \$37,912 in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Policy Revisions****Transfer Positions and Funding to Reflect Reorganization**

Personal Services	(94)	(9,441,411)	(94)	(9,892,533)	(2)	(120,824)	(2)	(129,095)
Other Expenses	0	(1,054,925)	0	(1,018,427)	0	0	0	0
<b>Total - General Fund</b>	<b>(94)</b>	<b>(10,496,336)</b>	<b>(94)</b>	<b>(10,910,960)</b>	<b>(2)</b>	<b>(120,824)</b>	<b>(2)</b>	<b>(129,095)</b>

**Governor**

Transfer 92 positions and funding of \$10,375,512 in FY 14 and \$10,781,865 in FY 15 to reflect the reorganization of the Department of Construction Services into the Department of Administrative Services.

**Legislative**

Transfer 94 positions and funding of \$10,496,336 in FY 14 and \$10,910,960 in FY 15 to reflect the reorganization of the Department of Construction Services into the Department of Administrative Services. The difference between the Governor's proposal and the final budget are due to the fact that two positions: (1) were not transferred to the Board of Regents and (2) the method of funding was not changed to bond funds. This increases the number of positions and the dollar amounts consolidated into the Department of Administrative Services.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Positions to the Board of Regents

Personal Services	0	0	0	0	2	120,824	2	129,095
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>120,824</b>	<b>2</b>	<b>129,095</b>

#### Governor

Transfer two positions and associated Personal Services funding of \$120,824 in FY 14 and \$129,095 in FY 15 to the Board of Regents to assume responsibilities associated with the management of projects for the Vocational Technical High Schools, the Connecticut State Universities and the Community Technical Colleges. The method of funding the positions is changed from the resources of the General Fund to bond funds.

#### Legislative

Do not transfer positions to the Board of Regents and do not change the method of funding the positions. Both positions will maintain funding from the General Fund.

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(12,568)	0	(26,406)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(12,568)</b>	<b>0</b>	<b>(26,406)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$12,568 in FY 14 and \$26,406 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Rollout of FY 13 Rescissions

Other Expenses	0	(52,308)	0	(52,308)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(52,308)</b>	<b>0</b>	<b>(52,308)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$52,308 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Same as Governor

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(426)	0	(426)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(426)</b>	<b>0</b>	<b>(426)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$426 in FY 14 and \$426 in FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Eliminate Inflationary Increases

Other Expenses	0	(29,761)	0	(60,878)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(29,761)</b>	<b>0</b>	<b>(60,878)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce the Other Expenses account by \$29,761 in FY 14 and \$60,878 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(49,541)	0	(37,912)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(49,541)</b>	<b>0</b>	<b>(37,912)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$49,541 in FY 14 and \$37,912 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	94	9,940,480	94	9,940,480	0	0	0	0
Current Services	0	700,460	0	1,148,410	0	0	0	0
Policy Revisions	(94)	(10,640,940)	(94)	(11,088,890)	0	0	0	0
<b>Total Recommended - GF</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# Attorney General

## OAG29000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	288	298	298	298	303	303
Permanent Full-Time - OF	14	11	11	11	11	11

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	27,401,249	29,516,393	31,101,221	32,626,665	31,469,627	33,015,870
Other Expenses	1,013,759	940,920	951,319	949,319	1,141,319	1,139,319
Equipment	0	1	1	1	1	1
GAAP Adjustments	0	0	199,953	209,407	199,953	209,407
Agency Total - General Fund	28,415,008	30,457,314	32,252,494	33,785,392	32,810,900	34,364,597
Additional Funds Available						
Federal & Other Restricted Act	80,000	80,000	80,000	80,000	80,000	80,000
Private Contributions	155,277	157,000	162,000	167,000	162,000	167,000
Second Injury Fund	1,185,733	1,013,378	1,043,779	1,075,092	1,043,779	1,075,092
Agency Grand Total	29,836,018	31,707,692	33,538,273	35,107,484	34,096,679	35,686,689

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	1,915,016	0	3,473,692	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,915,016</b>	<b>0</b>	<b>3,473,692</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$1,915,016 in FY 14 and \$3,473,692 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	21,392	0	45,068	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>21,392</b>	<b>0</b>	<b>45,068</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$21,392 in FY 14 and an additional \$23,676 in FY 15 (for a cumulative total of \$45,068 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	217,038	0	212,164	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>217,038</b>	<b>0</b>	<b>212,164</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$217,038 in FY 14 and \$212,164 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

### Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	13,039	0	11,039	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>13,039</b>	<b>0</b>	<b>11,039</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$13,039 in FY 14 and \$11,039 in FY 15 to reflect anticipated expenditure requirements.

#### Legislative

Same as Governor

## Policy Revisions

### Rollout of FY 13 Rescissions

Personal Services	0	(300,000)	0	(300,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(300,000)</b>	<b>0</b>	<b>(300,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$300,000 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Same as Governor

### Provide Funding for Medicaid Fraud - False Claims Act

Personal Services	5	368,406	5	389,205	5	368,406	5	389,205
Other Expenses	0	10,000	0	10,000	0	10,000	0	10,000
<b>Total - General Fund</b>	<b>5</b>	<b>378,406</b>	<b>5</b>	<b>399,205</b>	<b>5</b>	<b>378,406</b>	<b>5</b>	<b>399,205</b>

#### Legislative

Provide funding of \$378,406 in FY 14 and \$399,205 in FY 15 to reduce Medicaid fraud in relation to the False Claims Act. Funding includes five positions (Assistant Attorney General (2), Forensic Fraud Examiner, Paralegal Specialist and Legal Investigator) and a related \$368,406 and \$389,205 in FY 14 and FY 15 respectively, plus \$10,000 in Other Expenses in each fiscal year.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(30,188)	0	(63,420)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(30,188)</b>	<b>0</b>	<b>(63,420)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$30,188 in FY 14 and \$63,420 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Eliminate Inflationary Increases

Other Expenses	0	(21,392)	0	(45,068)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(21,392)</b>	<b>0</b>	<b>(45,068)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce Other Expenses by \$21,392 in FY 14 and \$45,068 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(17,085)	0	(2,757)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(17,085)</b>	<b>0</b>	<b>(2,757)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$17,085 in FY 14 and \$2,757 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

#### Legislative

Same as Governor

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(2,640)	0	(2,640)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,640)</b>	<b>0</b>	<b>(2,640)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$2,640 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Adjust Other Expenses

Other Expenses	0	180,000	0	180,000	0	180,000	0	180,000
<b>Total - General Fund</b>	<b>0</b>	<b>180,000</b>	<b>0</b>	<b>180,000</b>	<b>0</b>	<b>180,000</b>	<b>0</b>	<b>180,000</b>

#### Legislative

Funds totaling \$180,000 are provided in Other Expenses to reflect anticipated litigation expenses related to the Tobacco Settlement (\$200,000), partially offset by a savings of \$20,000 due to a reduced need for office supplies.

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	298	30,457,314	298	30,457,314	0	0	0	0
Current Services	0	2,166,485	0	3,741,963	0	0	0	0
Policy Revisions	5	187,101	5	165,320	5	558,406	5	579,205
<b>Total Recommended - GF</b>	<b>303</b>	<b>32,810,900</b>	<b>303</b>	<b>34,364,597</b>	<b>5</b>	<b>558,406</b>	<b>5</b>	<b>579,205</b>



## *Other Significant Legislation*

### **PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$136,047, a General Other Expenses Lapse of \$9,349, and a Statewide Hiring Reduction Lapse of \$92,618. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	31,469,627	(223,928)	31,245,699	0.71%
Other Expenses	1,141,319	(14,086)	1,127,233	1.23%

## Division of Criminal Justice

### DCJ30000

#### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	494	483	482	482	487	487
Permanent Full-Time - OF	4	10	1	1	1	1
Permanent Full-Time - WF	4	4	4	4	4	4

#### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	45,446,893	43,351,437	45,917,986	48,113,796	45,026,046	47,166,648
Other Expenses	2,372,017	2,314,353	2,602,533	2,602,760	2,462,258	2,449,701
Equipment	1	23,401	1	1	26,883	1
<b>Other Current Expenses</b>						
Witness Protection	174,239	220,000	200,000	200,000	200,000	200,000
Training And Education	50,778	67,500	50,000	50,000	51,000	51,000
Expert Witnesses	348,371	286,000	350,000	350,000	350,000	350,000
Medicaid Fraud Control	676,971	1,155,149	1,151,372	1,191,890	1,421,372	1,471,890
Criminal Justice Commission	380	395	481	481	481	481
Cold Case Unit	0	355,072	0	0	249,910	264,844
Shooting Taskforce	0	1,062,621	0	0	1,009,495	1,066,178
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>301,793</b>	<b>293,139</b>	<b>301,793</b>	<b>293,139</b>
<b>Agency Total - General Fund</b>	<b>49,069,650</b>	<b>48,835,928</b>	<b>50,574,166</b>	<b>52,802,067</b>	<b>51,099,238</b>	<b>53,313,882</b>
Personal Services	282,626	407,580	358,609	382,159	358,609	382,159
Other Expenses	9,026	30,653	17,000	17,000	17,000	17,000
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Fringe Benefits	169,928	281,230	256,772	273,645	256,772	273,645
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,970</b>	<b>0</b>	<b>4,970</b>
<b>Agency Total - Workers' Compensation Fund</b>	<b>461,580</b>	<b>719,464</b>	<b>632,382</b>	<b>677,775</b>	<b>632,382</b>	<b>677,775</b>
<b>Total - Appropriated Funds</b>	<b>49,531,230</b>	<b>49,555,392</b>	<b>51,206,548</b>	<b>53,479,842</b>	<b>51,731,620</b>	<b>53,991,657</b>
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	2,143,165	2,412,443	479,127	211,180	479,127	211,180
<b>Additional Funds Available</b>						
Private Contributions	574,307	370,941	200,000	200,000	200,000	200,000
<b>Agency Grand Total</b>	<b>52,248,702</b>	<b>52,338,776</b>	<b>51,885,675</b>	<b>53,891,022</b>	<b>52,410,747</b>	<b>54,402,837</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	1,459,419	0	3,587,796	0	0	0	0
Medicaid Fraud Control	0	43,353	0	104,357	0	0	0	0
Cold Case Unit	0	(5,704)	0	12,378	0	0	0	0
Shooting Taskforce	0	37,591	0	94,274	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,534,659</b>	<b>0</b>	<b>3,798,805</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Personal Services	0	(48,971)	0	(25,421)	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>(48,971)</b>	<b>0</b>	<b>(25,421)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$1,534,659 in FY 14 and \$3,798,805 in FY 15 in the General Fund to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Reduce funding by \$48,971 in FY 14 and \$25,421 in FY 15 in the Workers' Compensation Fund to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Provide Funding for IT Infrastructure Upgrade

Other Expenses	0	141,269	0	137,312	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>141,269</b>	<b>0</b>	<b>137,312</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$141,269 in FY 14 and \$137,312 in FY 15 for annual maintenance and support costs associated with an IT infrastructure upgrade. This upgrade is needed for the development of a case management system that was purchased using five federal grants totaling \$3 million.

#### Legislative

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	75,612	0	155,642	0	0	0	0
Cold Case Unit	0	0	0	1,770	0	0	0	0
Shooting Taskforce	0	0	0	2,411	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>75,612</b>	<b>0</b>	<b>159,823</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for various accounts by \$75,612 in FY 14 and an additional \$84,211 in FY 15 (for a cumulative total of \$159,823 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

#### Adjust Operating Expenses to Reflect Current Requirements

Equipment	0	(23,400)	0	(23,400)	0	0	0	0
Witness Protection	0	(20,000)	0	(20,000)	0	0	0	0
Training And Education	0	(14,126)	0	(14,126)	0	0	0	0
Expert Witnesses	0	64,000	0	64,000	0	0	0	0
Medicaid Fraud Control	0	(47,130)	0	(67,616)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Criminal Justice Commission	0	105	0	105	0	0	0	0
Cold Case Unit	0	(30,807)	0	(30,807)	0	0	0	0
Shooting Taskforce	0	(37,586)	0	(37,586)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(108,944)</b>	<b>0</b>	<b>(129,430)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	(13,653)	0	(13,653)	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>(13,653)</b>	<b>0</b>	<b>(13,653)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$108,944 in FY 14 and \$129,430 FY 15 in various accounts of the General Fund to reflect current FY 13 expenditure trends for each account.

Reduce funding by \$13,653 in FY 14 and FY 15 in Other Expenses of the Workers' Compensation Fund to reflect anticipated expenditure requirements.

**Legislative**

Same as Governor

**Adjust Fringe Benefits and Indirect Overhead**

Fringe Benefits	0	(24,458)	0	(7,585)	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>(24,458)</b>	<b>0</b>	<b>(7,585)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

**Governor**

Reduce funding of \$24,458 in FY 14 and \$7,585 in FY 15 to reflect reduced needs in Fringe Benefits. This reduction is the result of the retirement of an Inspector.

**Legislative**

Same as Governor

**Provide Funding for GAAP**

Nonfunctional - Change to Accruals	0	250,880	0	275,445	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>250,880</b>	<b>0</b>	<b>275,445</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	0	0	4,970	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,970</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$250,880 in FY 14 and \$275,445 in FY 15 in the General Fund to reflect the implementation of GAAP in the budget.

Provide funding of \$4,970 in FY 15 to the Workers' Compensation Fund to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Policy Revisions

### Transfer Funding to Streamline Budget Account Structure

Personal Services	0	0	0	0	0	(186,687)	0	(199,849)
Other Expenses	0	0	0	0	0	(63,223)	0	(64,995)
Cold Case Unit	0	0	0	0	0	249,910	0	264,844
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

#### Governor

Transfer funding of \$249,910 in FY 14 and \$264,844 in FY 15 from the Cold Case Unit account to the Personal Services and Other Expenses accounts to reflect the streamlining of agency budgetary accounts.

#### Legislative

Maintain agency account structure.

### Transfer Funding to Streamline Budget Account Structure

Personal Services	0	0	0	0	0	(920,443)	0	(974,714)
Other Expenses	0	0	0	0	0	(89,052)	0	(91,464)
Shooting Taskforce	0	0	0	0	0	1,009,495	0	1,066,178
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

#### Governor

Transfer funding of \$1,009,495 in FY 14 and \$1,066,178 in FY 15 from the Shooting Taskforce account to the Personal Services and Other Expenses accounts to reflect the streamlining of agency budgetary accounts.

#### Legislative

Maintain agency account structure.

### Eliminate Inflationary Increases

Other Expenses	0	(75,612)	0	(155,642)	0	0	0	0
Cold Case Unit	0	0	0	(1,770)	0	0	0	0
Shooting Taskforce	0	0	0	(2,411)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(75,612)</b>	<b>0</b>	<b>(159,823)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce various accounts by \$75,612 in FY 14 and \$159,823 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(5,364)	0	(5,364)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(5,364)</b>	<b>0</b>	<b>(5,364)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$5,364 in FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Rollout of FY 13 DMP**

Cold Case Unit	(1)	(50,898)	(1)	(54,046)	0	0	0	0
<b>Total - General Fund</b>	<b>(1)</b>	<b>(50,898)</b>	<b>(1)</b>	<b>(54,046)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$50,898 in FY 14 and \$54,046 in FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Rollout of FY 13 Rescissions**

Training And Education	0	(3,374)	0	(3,374)	0	0	0	0
Criminal Justice Commission	0	(19)	0	(19)	0	0	0	0
Cold Case Unit	0	(17,753)	0	(17,753)	0	0	0	0
Shooting Taskforce	0	(53,131)	0	(53,131)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(74,277)</b>	<b>0</b>	<b>(74,277)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$74,277 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	50,913	0	17,694	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>50,913</b>	<b>0</b>	<b>17,694</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$50,913 in FY 14 and \$17,694 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Provide Funding for Medicaid Fraud**

Medicaid Fraud Control	3	270,000	3	280,000	3	270,000	3	280,000
<b>Total - General Fund</b>	<b>3</b>	<b>270,000</b>	<b>3</b>	<b>280,000</b>	<b>3</b>	<b>270,000</b>	<b>3</b>	<b>280,000</b>

**Background**

The Medicaid Fraud Control Unit was established in the Office of the Chief State's Attorney in 1978 as a result of federal legislation authorizing the states to investigate and prosecute fraud and abuse in Medicaid.

**Legislative**

Provide funding for three positions and \$270,000 in FY 14 and \$280,000 in FY 15 to expand the Medicaid Fraud Control Unit.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Domestic Violence Positions

Personal Services	2	215,190	2	227,415	2	215,190	2	227,415
Other Expenses	0	12,000	0	3,400	0	12,000	0	3,400
Equipment	0	26,882	0	0	0	26,882	0	0
Training And Education	0	1,000	0	1,000	0	1,000	0	1,000
<b>Total - General Fund</b>	<b>2</b>	<b>255,072</b>	<b>2</b>	<b>231,815</b>	<b>2</b>	<b>255,072</b>	<b>2</b>	<b>231,815</b>

#### Legislative

Provide funding of \$255,072 in FY 14 and \$231,815 in FY 15 for two domestic violence prevention positions. This funding was provided due to the Domestic Violence Prosecution RBA report card, which indicated that a dedicated domestic violence position would lead to a long term effect of decreased domestic violence incidents.

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Governor Estimated - GF</b>	<b>483</b>	<b>48,835,928</b>	<b>483</b>	<b>48,835,928</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	1,893,476	0	4,241,955	0	0	0	0
Policy Revisions	4	369,834	4	235,999	5	525,072	5	511,815
<b>Total Recommended - GF</b>	<b>487</b>	<b>51,099,238</b>	<b>487</b>	<b>53,313,882</b>	<b>5</b>	<b>525,072</b>	<b>5</b>	<b>511,815</b>
<b>Governor Estimated - WF</b>	<b>4</b>	<b>719,464</b>	<b>4</b>	<b>719,464</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	(87,082)	0	(41,689)	0	0	0	0
<b>Total Recommended - WF</b>	<b>4</b>	<b>632,382</b>	<b>4</b>	<b>677,775</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Other Significant Legislation

#### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$208,268, a General Other Expenses Lapse of \$20,170, and a Statewide Hiring Reduction Lapse of \$132,516. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	45,026,046	(320,391)	44,705,655	0.71%
Other Expenses	2,462,258	(30,390)	2,431,868	1.23%
Medicaid Fraud Control	1,421,372	(5,948)	1,415,424	0.42%
Shooting Taskforce	1,009,495	(4,225)	1,005,270	0.42%

# Department of Emergency Services and Public Protection

## DPS32000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	1,634	1,670	1,670	1,670	1,694	1,694
Permanent Full-Time - OF	107	85	85	85	85	85

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	131,072,514	126,077,292	129,512,477	131,485,282	131,117,477	132,850,282
Other Expenses	28,682,454	28,744,276	31,309,694	31,941,518	30,069,428	26,289,428
Equipment	0	4	106,022	93,990	106,022	93,990
<b>Other Current Expenses</b>						
Stress Reduction	31,108	23,354	23,354	23,354	23,354	23,354
Fleet Purchase	5,659,932	4,271,474	0	0	4,870,266	5,692,090
Gun Law Enforcement Task Force	0	0	0	0	1,000,000	0
Workers' Compensation Claims	4,283,660	4,238,787	4,238,787	4,238,787	4,238,787	4,238,787
COLLECT	0	4,892	0	0	0	0
<b>Other Than Payments to Local Governments</b>						
Fire Training School - Willimantic	153,709	161,798	0	0	153,709	153,709
Maintenance of County Base Fire Radio Network	23,918	25,176	0	0	23,918	23,918
Maintenance of State-Wide Fire Radio Network	15,919	16,756	0	0	15,919	15,919
Police Association of Connecticut	152,665	190,000	180,500	180,500	190,000	190,000
Connecticut State Firefighter's Association	152,433	194,711	184,976	184,976	194,711	194,711
Fire Training School - Torrington	77,299	81,367	0	0	77,299	77,299
Fire Training School - New Haven	45,946	48,364	0	0	45,946	45,946
Fire Training School - Derby	35,283	37,139	0	0	35,283	35,283
Fire Training School - Wolcott	95,154	100,162	0	0	95,154	95,154
Fire Training School - Fairfield	66,876	70,395	0	0	66,876	66,876
Fire Training School - Hartford	160,870	169,336	0	0	160,870	160,870
Fire Training School - Middletown	56,101	59,053	0	0	56,101	56,101
Fire Training School - Stamford	52,661	55,432	0	0	52,661	52,661
Regional Fire Training School Grants	0	0	743,899	743,899	0	0
Maintenance of Fire Radio Networks	0	0	39,837	39,837	0	0
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>731,031</b>	<b>678,000</b>	<b>731,031</b>	<b>678,000</b>
<b>Agency Total - General Fund</b>	<b>170,818,501</b>	<b>164,569,768</b>	<b>167,070,577</b>	<b>169,610,143</b>	<b>173,324,812</b>	<b>171,034,378</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	51,591	500
Federal & Other Restricted Act	57,951,677	87,993,609	60,611,447	50,250,473	60,611,447	50,250,473
Private Contributions	39,469,945	51,198,777	43,160,350	33,378,033	43,160,350	33,378,033
Special Funds, Non-Appropriated	62,556	1,270,804	200,000	200,000	200,000	200,000
<b>Agency Grand Total</b>	<b>268,302,679</b>	<b>305,032,958</b>	<b>271,042,374</b>	<b>253,438,649</b>	<b>277,348,201</b>	<b>254,863,385</b>



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust for FY 13 Deficiency

Other Expenses	0	(2,500,000)	0	(2,500,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,500,000)</b>	<b>0</b>	<b>(2,500,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Section 62 of PA 13-184, AAC Expenditures and Revenues for the Biennium Ending June 30, 2015 results in an increase to the General Fund of \$142 million in FY 13. The bill includes \$13.8 million in deficiency funding in FY 13 for this agency in Personal Services. This funding is required due to overtime costs incurred by DESPP.

#### Governor

Reduce funding by \$2.5 million to reflect the one-time nature of the FY 13 deficiency requirements.

#### Legislative

Same as Governor

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	5,462,455	0	7,294,742	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>5,462,455</b>	<b>0</b>	<b>7,294,742</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$5,462,455 in FY 14 and \$7,294,742 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	1,139,410	0	2,030,369	0	0	0	0
Workers' Compensation Claims	0	183,576	0	374,454	0	0	0	0
Fire Training School - Willimantic	0	3,511	0	8,305	0	0	0	0
Fire Training School - Torrington	0	1,766	0	7,177	0	0	0	0
Fire Training School - New Haven	0	1,050	0	2,483	0	0	0	0
Fire Training School - Derby	0	806	0	1,906	0	0	0	0
Fire Training School - Wolcott	0	2,173	0	5,141	0	0	0	0
Fire Training School - Fairfield	0	1,528	0	3,614	0	0	0	0
Fire Training School - Hartford	0	3,675	0	8,692	0	0	0	0
Fire Training School - Middletown	0	1,282	0	3,032	0	0	0	0
Fire Training School - Stamford	0	1,203	0	2,845	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,339,980</b>	<b>0</b>	<b>2,448,018</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for various accounts by \$1,339,980 in FY 14 and an additional \$1,108,038 in FY 15 (for a cumulative total of \$2,448,018 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Adjust Funding for Helicopter Maintenance**

Other Expenses	0	250,000	0	0	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$250,000 in FY 14 in Other Expense account to reflect the need to perform required 5,000 hour maintenance on the DESPP helicopter fleet.

**Legislative**

Same as Governor

**Adjust Funding to Reflect Increased Cost of Ammunition**

Other Expenses	0	146,921	0	196,921	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>146,921</b>	<b>0</b>	<b>196,921</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$146,921 in FY 14 and \$196,921 in FY 15 in Other Expense account to reflect increases in the cost of ammunition above inflation. Ammunition costs have increased approximately 33% above inflation due to increased national demand.

**Legislative**

Same as Governor

**Reduce Funding for Other Expenses**

Other Expenses	0	(120,991)	0	(120,991)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(120,991)</b>	<b>0</b>	<b>(120,991)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$120,991 in both FY 14 and FY 15 to reflect a reduction in the Other Expense account resulting from a one-time expenditure in FY 13.

**Legislative**

Same as Governor

**Adjust Funding for Required Equipment**

Equipment	0	106,018	0	93,986	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>106,018</b>	<b>0</b>	<b>93,986</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$106,018 in FY 14 and \$93,986 in FY 15 in Equipment account to allow purchase of new or replacement non-CEPF items including both in-vehicle and laboratory equipment.

**Legislative**

Same as Governor

**Provide Additional Funding for Vehicle Replacement**

Fleet Purchase	0	598,792	0	1,420,616	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>598,792</b>	<b>0</b>	<b>1,420,616</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Increase funding for Other Expenses account by \$598,792 in FY 14 and \$1,420,616 in FY 15 to reflect increases in the cost of replacement vehicles for troopers in the Division of State Police.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Reduce Funding to Reflect Current Requirements

COLLECT	0	(4,892)	0	(4,892)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(4,892)</b>	<b>0</b>	<b>(4,892)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

DESPP utilizes the Connecticut On-line Law Enforcement Communication Teleprocessing (COLLECT) system to gather and share information between the Division of State Police and municipal police departments.

#### Governor

Reduce funding by \$4,892 in both FY 14 and FY 15 in the COLLECT account to reflect recent expenditure levels.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	1,355,109	0	644,757	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,355,109</b>	<b>0</b>	<b>644,757</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$1,355,109 in FY 14 and \$644,757 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Eliminate Inflationary Increases

Other Expenses	0	(1,139,410)	0	(2,030,369)	0	0	0	0
Workers' Compensation Claims	0	(183,576)	0	(374,454)	0	0	0	0
Fire Training School - Willimantic	0	(3,511)	0	(8,305)	0	0	0	0
Fire Training School - Torrington	0	(1,766)	0	(7,177)	0	0	0	0
Fire Training School - New Haven	0	(1,050)	0	(2,483)	0	0	0	0
Fire Training School - Derby	0	(806)	0	(1,906)	0	0	0	0
Fire Training School - Wolcott	0	(2,173)	0	(5,141)	0	0	0	0
Fire Training School - Fairfield	0	(1,528)	0	(3,614)	0	0	0	0
Fire Training School - Hartford	0	(3,675)	0	(8,692)	0	0	0	0
Fire Training School - Middletown	0	(1,282)	0	(3,032)	0	0	0	0
Fire Training School - Stamford	0	(1,203)	0	(2,845)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,339,980)</b>	<b>0</b>	<b>(2,448,018)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce various accounts by \$1,339,980 in FY 14 and \$2,448,018 in FY 15 to reflect the elimination of inflationary increases

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Transfer Funding to Streamline Budget Account Structure**

Other Expenses	0	0	0	0	0	(4,870,266)	0	(5,692,090)
Fleet Purchase	0	0	0	0	0	4,870,266	0	5,692,090
Fire Training School - Willimantic	0	0	0	0	0	153,709	0	153,709
Maintenance of County Base Fire Radio Network	0	0	0	0	0	23,918	0	23,918
Maintenance of State-Wide Fire Radio Network	0	0	0	0	0	15,919	0	15,919
Fire Training School - Torrington	0	0	0	0	0	77,299	0	77,299
Fire Training School - New Haven	0	0	0	0	0	45,946	0	45,946
Fire Training School - Derby	0	0	0	0	0	35,283	0	35,283
Fire Training School - Wolcott	0	0	0	0	0	95,154	0	95,154
Fire Training School - Fairfield	0	0	0	0	0	66,876	0	66,876
Fire Training School - Hartford	0	0	0	0	0	160,870	0	160,870
Fire Training School - Middletown	0	0	0	0	0	56,101	0	56,101
Fire Training School - Stamford	0	0	0	0	0	52,661	0	52,661
Regional Fire Training School Grants	0	0	0	0	0	(743,899)	0	(743,899)
Maintenance of Fire Radio Networks	0	0	0	0	0	(39,837)	0	(39,837)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

**Governor**

Transfer funding in the following accounts to reflect the streamlining of agency budget account structure:

- Transfer funding of \$4,870,266 in FY 14 and \$5,692,090 in FY 15 from the Fleet Purchase account to the Other Expenses account.
- Transfer \$743,899 in both FY 14 and FY 15 from various Fire Training School accounts to the new Regional Fire Training School Grants account.
- Transfer funding of \$39,837 in both FY 14 and FY 15 from the Maintenance of County Base Fire Radio and Maintenance of Statewide Fire Radio Network accounts to the new Maintenance of Fire Radio Networks account.

**Legislative**

Do not transfer funds to reflect streamlining of accounts.

**Fund PA 13-3 AAC Gun Violence Prevention & Children's Safety**

Personal Services	24	1,605,000	24	1,365,000	24	1,605,000	24	1,365,000
Other Expenses	0	1,630,000	0	40,000	0	1,630,000	0	40,000
Gun Law Enforcement Task Force	0	1,000,000	0	0	0	1,000,000	0	0
<b>Total - General Fund</b>	<b>24</b>	<b>4,235,000</b>	<b>24</b>	<b>1,405,000</b>	<b>24</b>	<b>4,235,000</b>	<b>24</b>	<b>1,405,000</b>

**Legislative**

Provide funding of \$4,235,000 for various accounts in FY 14 and \$1,405,000 for various accounts in FY 15 and 24 positions for the provisions of PA 13-3. This funding specifically includes:

- \$1,235,000 (\$1,215,000 in Personal Services and \$20,000 in Other Expenses) in FY 14 and \$1,225,000 (\$1,215,000 in Personal Services and \$10,000 in Other Expenses) in FY 15 and 21 positions for provisions relating to the establishment of eligibility certificates for long gun and ammunition purchases.
- \$1,710,000 (\$150,000 in Personal Services and \$1,560,000 in Other Expenses) in FY 14 and \$175,000 (\$150,000 in Personal

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Services and \$25,000 in Other Expenses) in FY 15 and three positions for establishment and operation of the deadly weapon offender registry.

- \$1,000,000 in FY 14 for the Gun Law Enforcement Task Force account to support operations of the statewide firearm trafficking task force.
- \$50,000 in Personal Services and \$25,000 in Other Expenses in FY 14 for development of the school security and safety plan standards.
- \$25,000 in FY 14 and \$5,000 in FY 15 in Other Expenses for creation of the on-line school security and safety consultant database.
- \$190,000 in FY 14 to conduct security and safety audits of Connecticut's 22 higher education campuses.

### Provide Funding for Forensic Lab Outsourcing

Other Expenses	0	2,000,000	0	0	0	2,000,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>0</b>

#### Legislative

Provide funding in Other Expenses account of \$2,000,000 in FY 14 to enable the State Police Crime Lab to utilize contracted services at certified labs to reduce the backlog of cases in the DNA and Firearms sections of the lab.

### Reduce Funding to Reflect Overtime Savings

Personal Services	0	(503,239)	0	(503,239)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(503,239)</b>	<b>0</b>	<b>(503,239)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-1 increased funding by \$2,201,710 in FY 13 to the Division of Scientific Services to add staffing at the State Crime Lab. The increase in funding was intended to reduce the backlog at the Lab and the associated need for overtime spending which totaled \$559,506 in FY 12.

#### Governor

Reduce funding by \$503,239 in both FY 14 and FY 15 in the Personal Services account to reflect a reduction in the amount of overtime required at the State Crime Lab.

#### Legislative

Same as Governor

### Continue Consolidation of Dispatch Operations

Personal Services	0	(300,000)	0	(300,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(300,000)</b>	<b>0</b>	<b>(300,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$300,000 in both FY 14 and FY 15 in Personal Services account to reflect savings achieved through the continued consolidation of dispatch operations in the Central and East regions.

#### Legislative

Same as Governor

### Restaff Background and Special Licensing and Firearms Units

Personal Services	0	(250,000)	0	(250,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(250,000)</b>	<b>0</b>	<b>(250,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Background and Special Licensing and Firearms units perform background checks, review requests for firearm transactions, and review firearm permit applications.

#### Governor

Reduce funding by \$250,000 in both FY 14 and FY 15 in Personal Services account to reflect the re-staffing of the Background and Special Licensing and Firearms units with non-sworn personnel. Five sworn officers will be redeployed to law enforcement duties as a result.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Reduce Funding to Reflect FEMA Reimbursement of Storm Costs**

Personal Services	0	(200,000)	0	0	0	0	0	0
Other Expenses	0	(10,000)	0	0	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(210,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$210,000 (\$200,000 in Personal Services and \$10,000 in Other Expenses) in FY 14 to reflect reimbursement from FEMA of administrative costs incurred by the Department of Emergency Services and Public Protection (DESPP) related to storms Irene and Alfred.

**Legislative**

Same as Governor

**Reduce Funding to Reflect Reduced IT Contracted Services**

Other Expenses	0	(21,709)	0	(21,709)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(21,709)</b>	<b>0</b>	<b>(21,709)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$21,709 in both FY 14 and FY 15 in Other Expense account to reflect a reduction in the use of contracted IT maintenance and support, postage, and the deferral of maintenance of related facilities in the Division of Emergency Management and Homeland Security.

**Legislative**

Same as Governor

**Reduce Funding to Reflect Telecommunications Cost Savings**

Other Expenses	0	(13,000)	0	(13,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(13,000)</b>	<b>0</b>	<b>(13,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

An analysis by Department of Administrative (DAS) Services' Bureau of Enterprise Services indicated that DESPP could reduce operating costs through a reduction in the number of phone lines without compromising the Department's ability to deliver services.

**Governor**

Reduce funding by \$13,000 in both FY 14 and FY 15 in Other Expense account to reflect savings achieved by implementing the phone line recommendations of DAS.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(54,031)	0	(113,513)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(54,031)</b>	<b>0</b>	<b>(113,513)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$54,031 in FY 14 and \$113,513 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(36,069)	0	(36,069)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(36,069)</b>	<b>0</b>	<b>(36,069)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$36,069 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Rollout of FY 13 Rescissions**

Fire Training School - Willimantic	0	(8,089)	0	(8,089)	0	0	0	0
Maintenance of County Base Fire Radio Network	0	(1,258)	0	(1,258)	0	0	0	0
Maintenance of State-Wide Fire Radio Network	0	(837)	0	(837)	0	0	0	0
Police Association of Connecticut	0	0	0	0	0	9,500	0	9,500
Connecticut State Firefighter's Association	0	0	0	0	0	9,735	0	9,735
Fire Training School - Torrington	0	(4,068)	0	(4,068)	0	0	0	0
Fire Training School - New Haven	0	(2,418)	0	(2,418)	0	0	0	0
Fire Training School - Derby	0	(1,856)	0	(1,856)	0	0	0	0
Fire Training School - Wolcott	0	(5,008)	0	(5,008)	0	0	0	0
Fire Training School - Fairfield	0	(3,519)	0	(3,519)	0	0	0	0
Fire Training School - Hartford	0	(8,466)	0	(8,466)	0	0	0	0
Fire Training School - Middletown	0	(2,952)	0	(2,952)	0	0	0	0
Fire Training School - Stamford	0	(2,771)	0	(2,771)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(41,242)</b>	<b>0</b>	<b>(41,242)</b>	<b>0</b>	<b>19,235</b>	<b>0</b>	<b>19,235</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding by \$60,477 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Reduce funding by \$41,242 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions. Maintain funding of \$9,735 in both FY 14 and FY 15 for Connecticut State Firefighters Association and maintain funding of \$9,500 in both FY 14 and FY 15 for Police Association of Connecticut.

**Rollout of FY 13 DMP**

Personal Services	0	(720,000)	0	(720,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(720,000)</b>	<b>0</b>	<b>(720,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$720,000 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(624,078)	0	33,243	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(624,078)</b>	<b>0</b>	<b>33,243</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$624,078 in FY 14 and provide funding of \$33,243 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Fill 15 Funded Positions in Forensic Crime Lab

#### Background

PA 12-104 increased staffing at the State Crime Lab by 21 positions and provided funding for 15 positions previously funded by Federal grants, for a total increase of 36 positions. These additional positions were intended to help reduce overtime while allowing the lab to address its significant backlog. On January 22, 2013, the Office of Policy and Management introduced hiring restrictions that limited the DESPP's ability to fill the funded vacancies in the Crime Lab. Since these restrictions were imposed, DESPP has been unable to fill the vacant positions.

#### Legislative

Remove the hiring restrictions on the 15 funded positions in the State Crime Lab.

## Carry Forward

### Carry Forward Funding for Medal of Honor

Other Expenses	0	25,000	0	500	0	25,000	0	500
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>500</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>500</b>

#### Legislative

Section 43 of PA 13-184, the FY 14 and FY 15 Budget, carries forward funding of \$25,500 in the Fleet Purchase account and transfers to the Other Expenses account (\$25,000 in FY 14 and \$500 in FY 15) for a civilian medal of honor.

### Carry Forward for Stress Reduction

Stress Reduction	0	26,591	0	0	0	26,591	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>26,591</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>26,591</b>	<b>0</b>	<b>0</b>

#### Background

The stress reduction account funds activities that assist in the reduction of occupational stress associated with law enforcement duties.

#### Legislative

Pursuant to Connecticut General Statutes and applicable collective bargaining agreements, an estimated \$26,591 is carried forward for FY 14 in the Stress Reduction account.

## Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	1,670	164,569,768	1,670	164,569,768	0	0	0	0
Current Services	0	6,633,392	0	9,473,157	0	0	0	0
Policy Revisions	24	2,121,652	24	(3,008,547)	24	6,254,235	24	1,424,235
<b>Total Recommended - GF</b>	<b>1,694</b>	<b>173,324,812</b>	<b>1,694</b>	<b>171,034,378</b>	<b>24</b>	<b>6,254,235</b>	<b>24</b>	<b>1,424,235</b>

## Other Significant Legislation

### PA 13-170 An Act Concerning the Policing of Indian Tribal Land

The Act allows the Commissioner of DESPP to enter into or revoke memoranda of agreement with the Mashantucket Pequot Tribe and Mohegan Tribe of Indians of Connecticut regarding law enforcement powers.

### PA 13-256 An Act Transferring Certain Functions and Operations of the Department of Construction Services and Regulating Special Effects Displays

The Act returns statutory responsibility for regulating rocketry, explosives and blasting agents, and fireworks and special effects to the DESPP from the Department of Construction Services. The Act conforms statute to current practice.



**PA 13-259 An Act Concerning Mixed Martial Arts**

The Act legalizes amateur and professional mixed martial arts (MMA) and generally subjects such matches to the same laws that govern professional boxing, including regulation by DESPP, licensing, taxing, match rules, condition of fighters, age limits, and violations and penalties.

**PA 13-275 An Act Concerning Coordinated Long-Term Disaster Relief and Recovery**

The Act establishes the Connecticut Coordinated Assistance and Recovery Endowment foundation (CT CARE) under section 501(c)(3) of the Internal Revenue Code and outlines its mission and function. The Act designates DESPP as the state agency for which the foundation is established and requires the foundation to have a written agreement with DESPP covering its use of DESPP's facilities and resources. This bill has no fiscal impact as CT CARE would be solely responsible for: its operating costs; employee costs and expenses; any expenses incurred by DESPP.

**PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$671,906, a General Other Expenses Lapse of \$246,319, and a Statewide Hiring Reduction Lapse of \$385,892. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	131,117,477	(932,991)	130,184,486	0.71%
Other Expenses	30,069,428	(371,126)	29,698,302	1.23%

# Department of Motor Vehicles

## DMV35000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	3	3	0	0	3	4
Permanent Full-Time - OF	73	60	60	60	60	60
Permanent Full-Time - TF	572	572	579	581	577	596

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	270,750	258,466	0	0	209,950	244,342
Other Expenses	199,475	200,393	0	0	190,374	194,722
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>755</b>
<b>Agency Total - General Fund</b>	<b>470,225</b>	<b>458,859</b>	<b>0</b>	<b>0</b>	<b>400,324</b>	<b>439,819</b>
Personal Services	39,640,952	39,761,005	43,368,927	45,771,380	43,238,195	46,037,478
Other Expenses	13,707,001	13,255,626	15,204,903	15,404,751	14,814,529	15,171,471
Equipment	430,000	600,000	648,153	514,000	648,153	514,000
<b>Other Current Expenses</b>						
Real Time Online Registration System	214,420	0	0	0	0	0
Commercial Vehicle Information Systems and Networks Project	35,306	296,289	205,445	208,666	205,445	208,666
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>272,024</b>	<b>295,860</b>	<b>272,024</b>	<b>295,105</b>
<b>Agency Total - Special Transportation Fund</b>	<b>54,027,678</b>	<b>53,912,920</b>	<b>59,699,452</b>	<b>62,194,657</b>	<b>59,178,346</b>	<b>62,226,720</b>
<b>Total - Appropriated Funds</b>	<b>54,497,904</b>	<b>54,371,779</b>	<b>59,699,452</b>	<b>62,194,657</b>	<b>59,578,670</b>	<b>62,666,539</b>
<b>Additional Funds Available</b>						
Carry Forward TF	0	0	0	0	13,577,694	0
Emissions Enterprise Fund-EEF	5,463,485	7,985,000	7,985,000	7,985,000	7,985,000	7,985,000
Federal & Other Restricted Act	2,907,459	4,369,617	1,760,208	1,760,208	1,760,208	1,760,208
Private Contributions	5,620	3,073,058	3,071,038	3,071,038	3,071,038	3,071,038
<b>Agency Grand Total</b>	<b>62,874,468</b>	<b>69,799,454</b>	<b>72,515,698</b>	<b>75,010,903</b>	<b>85,972,610</b>	<b>75,482,785</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Provide Funding for Replacement Equipment

Equipment	0	248,153	0	114,000	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>248,153</b>	<b>0</b>	<b>114,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$248,153 in FY 14 and \$114,000 in FY 15 for new and replacement equipment for Real ID and the Connecticut Integrated Vehicle and Licensing System (CIVLS).

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding in Other Expenses for Lease Increases

Other Expenses	0	41,396	0	48,396	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>41,396</b>	<b>0</b>	<b>48,396</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding in the Other Expenses account of \$41,396 in FY 14 and \$48,396 in FY 15 due to an increase to the Department of Motor Vehicles lease agreements on various buildings.

#### Legislative

Same as Governor

### Annualize Previous Year Partial Funding

Other Expenses	0	1,746,739	0	1,935,239	0	0	0	0
Commercial Vehicle Information Systems and Networks Project	0	(90,844)	0	(87,623)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>1,655,895</b>	<b>0</b>	<b>1,847,616</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period. Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year.

#### Governor

Provide funding of \$1.7 million in FY 14 and \$1.8 million in FY 15 to reflect full year funding for Real ID and Connecticut Integrated Vehicle and Licensing System (CIVLS).

#### Legislative

Same as Governor

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	(48,516)	0	(36,571)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(48,516)</b>	<b>0</b>	<b>(36,571)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Personal Services	5	3,496,848	6	5,913,561	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>5</b>	<b>3,496,848</b>	<b>6</b>	<b>5,913,561</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$48,516 in FY 14 and \$36,571 in FY 15 in the General Fund and \$3.5 million in FY 14 and \$5.9 million in FY 15 in the Special Transportation Fund to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	4,348	0	10,286	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>4,348</b>	<b>0</b>	<b>10,286</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	329,803	0	724,893	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>329,803</b>	<b>0</b>	<b>724,893</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for the Other Expenses account by \$4,348 in FY 14 and an additional \$5,938 in FY 15 in the General Fund and

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

\$329,803 in FY 14 and \$724,893 in FY 15 in the Special Transportation Fund to reflect inflationary increases.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	0	0	755	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>755</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	268,218	0	351,194	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>268,218</b>	<b>0</b>	<b>351,194</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$755 in FY 15 in the General Fund and \$268,218 in FY 14 and \$351,194 in FY 15 in the Special Transportation Fund to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	3,806	0	(56,089)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>3,806</b>	<b>0</b>	<b>(56,089)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$3,806 in FY 14 and reduce funding by \$56,089 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

#### Legislative

Same as Governor

### Transfer Boating Fund Operation Expenses to STF

Personal Services	0	0	0	0	3	209,950	3	221,895
Other Expenses	0	0	0	0	0	190,374	0	194,722
Nonfunctional - Change to Accruals	0	0	0	0	0	0	0	755
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>400,324</b>	<b>3</b>	<b>417,372</b>
Personal Services	0	0	0	0	(3)	(209,950)	(3)	(221,895)
Other Expenses	0	0	0	0	0	(190,374)	0	(194,722)
Nonfunctional - Change to Accruals	0	0	0	0	0	0	0	(755)
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(3)</b>	<b>(400,324)</b>	<b>(3)</b>	<b>(417,372)</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Department of Motor Vehicles currently administers the Boating Fund which consist of registration and renewal of vessels. The Boating Fund is a separate non-lapsing account within the General Fund. In FY 12, there were 95,096 new and renewal vessel registrations which generated \$5.0 million in revenue.

**Governor**

Transfer the Boating Fund operational expenses of \$400,324 in FY 14 and \$417,372 in FY 15 from the General Fund to the Special Transportation Fund.

**Legislative**

Maintain the Boating Fund and operational expenses in the General Fund.

**Reduce Funding for Equipment**

Equipment	0	(200,000)	0	(200,000)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(200,000)</b>	<b>0</b>	<b>(200,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding of \$200,000 in both FY 14 and FY 15 in the Equipment account.

**Legislative**

Same as Governor

**Transfer Affirmative Action Planning Function to CHRO**

Personal Services	0	0	0	0	1	79,218	0	83,782
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>79,218</b>	<b>0</b>	<b>83,782</b>

**Governor**

Transfer one position and funding of \$79,218 in FY 14 and \$83,782 in FY 15 to reflect the centralization of Affirmative Action planning functions in the Commission on Human Rights and Opportunities (CHRO).

**Legislative**

Maintain one position and funding of \$79,218 in FY 14 and \$83,782 in FY 15 for Affirmative Action planning functions.

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(19,658)	0	(41,299)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(19,658)</b>	<b>0</b>	<b>(41,299)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$19,658 in FY 14 and \$41,299 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(4,348)	0	(5,938)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(4,348)</b>	<b>0</b>	<b>(5,938)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	(329,803)	0	(724,893)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(329,803)</b>	<b>0</b>	<b>(724,893)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce the Other Expenses account by \$4,348 in FY 14 and \$5,938 in FY 15 in the General Fund and \$329,803 in FY 14 and \$724,893 in FY 15 in the Special Transportation Fund to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(29,232)	0	(29,232)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(29,232)</b>	<b>0</b>	<b>(29,232)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$29,232 in FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Rollout of FY 13 Rescissions

Other Expenses	0	(10,019)	0	(10,019)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(10,019)</b>	<b>0</b>	<b>(10,019)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$10,019 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Same as Governor

### Reduce Other Expenses Accounts

Other Expenses	0	(200,000)	0	(200,000)	0	(200,000)	0	(200,000)
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(200,000)</b>	<b>0</b>	<b>(200,000)</b>	<b>0</b>	<b>(200,000)</b>	<b>0</b>	<b>(200,000)</b>

#### Legislative

Reduce funding by \$200,000 in both FY 14 and FY 15. The reductions by OE category are:

- \$100,000 in both FY 14 and FY 15 for commodities-resale manufacture
- \$100,000 in both FY 14 and FY 15 for general office supplies

### Provide Position for Vessel Title System

Personal Services	0	0	1	22,447	0	0	1	22,447
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>22,447</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>22,447</b>

#### Background

HB 6341, AAC the Uniform Certificate of Title for Vessels Act, requires the Department of Motor Vehicles to administer and maintain a vessel title system. The vessel title system creates a certificate of title for certain vessels that are principally used on Connecticut waters.

#### Legislative

Provide funding of \$22,447 in FY 15 for a half year position to maintain the vessel title system. HB 6341, only passed the House chamber during the 2013 Legislative Session and did not become law.

### Provide Funding for PA 13-89

Personal Services	0	0	18	404,211	0	0	18	404,211
Other Expenses	0	0	0	161,442	0	0	0	161,442
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>0</b>	<b>18</b>	<b>565,653</b>	<b>0</b>	<b>0</b>	<b>18</b>	<b>565,653</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

PA 13-89, AAC The Issuance of Motor Vehicle Operator's Licenses, allows people who cannot provide the Department of Motor Vehicles (DMV) with proof of legal residence in the U.S. or a Social Security Number (SSN) to obtain a driver's licenses for driving purposes only. The licenses cannot be used for federal identification purposes or as proof of identity in order to vote.

**Legislative**

Provide funding of \$404,211 in the Personal Services account and \$161,442 in the Other Expenses account in FY 15 for eighteen half year positions to provide DMV with the resources to implement PA 13-89.

**Carry Forward****Carry Forward Funding- Commercial Vehicle Information System**

Commercial Vehicle Information Systems and Networks Project	0	335,581	0	0	0	335,581	0	0
<b>Total - Carry Forward TF</b>	<b>0</b>	<b>335,581</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>335,581</b>	<b>0</b>	<b>0</b>

**Background**

The Commercial Vehicle Information System and Network Project (CVISN) is part of a national Intelligence Transportation System/Commercial Vehicle Operations effort to link commercial motor carriers, state regulatory agencies (DMV and the Department of Transportation) and roadside safety screening and inspection stations. The CVISN network allows commercial motor carriers to electronically apply for, pay and receive registration, fuel tax and oversize/overweight permits and other credentials.

**Legislative**

Section 36 of PA 13-184, the FY 14 and FY 15 Budget, carries forward funding of approximately \$335,581 for Commercial Vehicle Information System and Network Project. This project is ongoing and the last phase is expected to be completed in FY 14.

**Carry Forward Funding for Real Time Online Registration**

Real Time Online Registration System	0	12,392,113	0	0	0	12,392,113	0	0
<b>Total - Carry Forward TF</b>	<b>0</b>	<b>12,392,113</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>12,392,113</b>	<b>0</b>	<b>0</b>

**Background**

DMV is currently implementing the Integrated Transaction Processing System (ITPS) which will integrate more than 40 standalone systems as real time online registration systems for vehicle registration, the cashiering system, and the driver license system.

**Legislative**

Section 37 of PA 13-184, the FY 14 and FY 15 Budget, carries forward \$12,392,113 million in funding in the Real Time Registration account for use of upgrading DMV's registration and driver license data processing system.

**Carry Forward Funding for PA 13-89**

Other Expenses	0	750,000	0	0	0	750,000	0	0
Equipment	0	100,000	0	0	0	100,000	0	0
<b>Total - Carry Forward TF</b>	<b>0</b>	<b>850,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>850,000</b>	<b>0</b>	<b>0</b>

**Background**

PA 13-89, AAC The Issuance of Motor Vehicle Operator's Licenses, allows people who cannot provide the Department of Motor Vehicles (DMV) with proof of legal residence in the U.S. or a Social Security Number (SSN) to obtain a driver's licenses for driving purposes only. The licenses cannot be used for federal identification purposes or as proof of identity in order to vote.

**Legislative**

Section 51 of PA 13-184, the FY 14 and FY 15 Budget, as amended by Section 379 of HB 6706, the General Government Implementer, carries forward funding of (1) \$750,000 from the Personal Services account and transfers to the Other Expenses account and (2) \$100,000 from the Personal Services account and transfers to the Equipment account for information technology costs.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	3	458,859	3	458,859	0	0	0	0
Current Services	0	(44,168)	0	(25,530)	0	0	0	0
Policy Revisions	0	(14,367)	1	6,490	3	400,324	4	439,819
<b>Total Recommended - GF</b>	<b>3</b>	<b>400,324</b>	<b>4</b>	<b>439,819</b>	<b>3</b>	<b>400,324</b>	<b>4</b>	<b>439,819</b>
Governor Estimated - TF	572	53,912,920	572	53,912,920	0	0	0	0
Current Services	5	6,040,313	6	8,999,660	0	0	0	0
Policy Revisions	0	(774,887)	18	(685,860)	(2)	(521,106)	15	32,063
<b>Total Recommended - TF</b>	<b>577</b>	<b>59,178,346</b>	<b>596</b>	<b>62,226,720</b>	<b>(2)</b>	<b>(521,106)</b>	<b>15</b>	<b>32,063</b>

**Other Significant Legislation****PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$790, and a General Other Expenses Lapse of \$1,559. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Other Expenses	190,374	(2,349)	188,025	1.23%



# Military Department

MIL36000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	46	42	42	42	42	42
Permanent Full-Time - OF	70	71	71	71	71	71

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	3,275,628	2,785,205	2,958,725	3,130,954	2,958,725	3,130,954
Other Expenses	2,679,495	2,739,394	2,806,808	2,988,728	2,831,808	2,993,728
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Honor Guards	301,300	319,500	471,526	471,526	471,526	471,526
Veteran's Service Bonuses	46,300	160,000	312,000	172,000	312,000	172,000
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>20,182</b>	<b>19,610</b>	<b>20,182</b>	<b>19,610</b>
<b>Agency Total - General Fund</b>	<b>6,302,723</b>	<b>6,004,100</b>	<b>6,569,242</b>	<b>6,782,819</b>	<b>6,594,242</b>	<b>6,787,819</b>
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	23,395,655	20,392,608	21,330,534	22,452,368	21,330,534	22,452,368
Private Contributions	54,769	44,000	48,000	43,000	48,000	43,000
<b>Agency Grand Total</b>	<b>29,753,147</b>	<b>26,440,708</b>	<b>27,947,776</b>	<b>29,278,187</b>	<b>27,972,776</b>	<b>29,283,187</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Provide Funding for New Building Costs

Other Expenses	0	0	0	136,235	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>136,235</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$136,235 in the Other Expenses account in FY15 for heating and cooling, maintenance, and water and sewer costs of a new building.

#### Legislative

Same as Governor

#### Annualize Previous Year Partial Funding

Other Expenses	0	116,258	0	116,258	0	0	0	0
Veteran's Service Bonuses	0	160,000	0	20,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>276,258</b>	<b>0</b>	<b>136,258</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period.

Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year.

#### Governor

Provide funding of \$276,258 in FY 14 and \$136,258 in FY 15 to reflect full year funding for Other Expenses and Veteran Service Bonuses.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Same as Governor

**Adjust Funding to Reflect Wage & Compensation Related Costs**

Personal Services	0	190,589	0	381,609	0	0	0	0
Honor Guards	0	168,000	0	168,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>358,589</b>	<b>0</b>	<b>549,609</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$358,589 in FY 14 and \$549,609 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

**Legislative**

Same as Governor

**Apply Inflationary Increases**

Other Expenses	0	111,399	0	216,498	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>111,399</b>	<b>0</b>	<b>216,498</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

**Governor**

Increase funding for the Other Expenses account by \$111,399 in FY 14 and an additional \$105,099 in FY 15 (for a cumulative total of \$216,498 in the second year) to reflect inflationary increases.

**Legislative**

Same as Governor

**Provide Funding for GAAP**

Nonfunctional - Change to Accruals	0	22,797	0	18,598	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>22,797</b>	<b>0</b>	<b>18,598</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$22,797 in FY 14 and \$18,598 in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Policy Revisions****Reduce Other Expenses for Building Repair and Maintenance**

Other Expenses	0	(46,000)	0	0	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(46,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding in the Other Expenses account by \$46,000 in FY 14 for repair and maintenance to the Military building.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(17,069)	0	(35,860)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(17,069)</b>	<b>0</b>	<b>(35,860)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$17,069 in FY 14 and \$35,860 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Eliminate Inflationary Increases

Other Expenses	0	(111,633)	0	(217,047)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(111,633)</b>	<b>0</b>	<b>(217,047)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce the Other Expenses account by \$111,633 in FY 14 and \$217,047 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(2,615)	0	1,012	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,615)</b>	<b>0</b>	<b>1,012</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$2,615 in FY 14 and provide \$1,012 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

#### Legislative

Same as Governor

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(2,610)	0	(2,610)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,610)</b>	<b>0</b>	<b>(2,610)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$2,610 in FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Rollout of FY 13 Rescissions

Honor Guards	0	(15,974)	0	(15,974)	0	0	0	0
Veteran's Service Bonuses	0	(8,000)	0	(8,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(23,974)</b>	<b>0</b>	<b>(23,974)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$23,974 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Establish the Medal of Achievement

Other Expenses	0	25,000	0	5,000	0	25,000	0	5,000
<b>Total - General Fund</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>5,000</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>5,000</b>

#### Background

The Medal of Achievement will be awarded to Connecticut National Guard members for outstanding achievement during military service ordered by the Governor, including state service, federal service, and emergency service in other states.

#### Legislative

Provide funding of \$25,000 in FY 14 and \$5,000 in FY 15 for the award program. Funding in FY 14 includes one-time anticipated costs to develop and design the medal. Sections 114-117 of PA 13-247, the General Government Implementer, creates the Medal of achievement.

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	42	6,004,100	42	6,004,100	0	0	0	0
Current Services	0	769,043	0	1,057,198	0	0	0	0
Policy Revisions	0	(178,901)	0	(273,479)	0	25,000	0	5,000
<b>Total Recommended - GF</b>	<b>42</b>	<b>6,594,242</b>	<b>42</b>	<b>6,787,819</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>5,000</b>

### Other Significant Legislation

#### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$26,073, a General Other Expenses Lapse of \$23,197, and a Statewide Hiring Reduction Lapse of \$8,708. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	2,958,725	(21,054)	2,937,671	0.71%
Other Expenses	2,831,808	(34,951)	2,796,857	1.23%
Honor Guards	471,526	(1,973)	469,553	0.42%

# Department of Banking

DOB37000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - BF	120	118	115	115	116	116

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	10,024,495	10,222,794	10,194,067	10,666,571	10,284,067	10,756,571
Other Expenses	1,300,548	1,482,802	1,460,390	1,454,990	1,466,890	1,461,490
Equipment	132,807	37,200	37,200	37,200	40,700	37,200
<b>Other Current Expenses</b>						
Fringe Benefits	6,265,109	7,054,228	7,141,112	7,477,660	7,201,412	7,537,960
Indirect Overhead	726,727	215,207	120,739	126,172	120,739	126,172
GAAP Adjustments	0	0	72,709	111,996	72,709	111,996
<b>Agency Total - Banking Fund</b>	<b>18,449,686</b>	<b>19,012,231</b>	<b>19,026,217</b>	<b>19,874,589</b>	<b>19,186,517</b>	<b>20,031,389</b>
<b>Additional Funds Available</b>						
Private Contributions	301,029	0	0	0	0	0
<b>Agency Grand Total</b>	<b>18,750,715</b>	<b>19,012,231</b>	<b>19,026,217</b>	<b>19,874,589</b>	<b>19,186,518</b>	<b>20,031,389</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	65,413	0	552,446	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>65,413</b>	<b>0</b>	<b>552,446</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$65,413 in FY 14 and \$552,446 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	34,080	0	76,478	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>34,080</b>	<b>0</b>	<b>76,478</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for various accounts by \$34,080 in FY 14 and an additional \$42,398 in FY 15 (for a cumulative total of \$76,478 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	147,517	0	488,440	0	0	0	0
Indirect Overhead	0	(94,468)	0	(89,035)	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>53,049</b>	<b>0</b>	<b>399,405</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

#### Governor

Provide funding of \$53,049 in FY 14 and \$399,405 in FY 15 to ensure sufficient funds for fringe benefits and indirect overhead.

#### Legislative

Same as Governor

### Provide Funding for Increased Lease Costs

Other Expenses	0	15,794	0	16,394	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>15,794</b>	<b>0</b>	<b>16,394</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$15,794 in FY 14 and \$16,394 in FY 15 for increased hosting charges by the Bureau of Enterprise Systems and Technology (BEST), a bureau within the Department of Administrative Services.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	80,751	0	114,039	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>80,751</b>	<b>0</b>	<b>114,039</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$80,751 in FY 14 and \$114,039 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Eliminate Vacant Positions

Personal Services	(3)	(86,619)	(3)	(92,869)	0	0	0	0
Fringe Benefits	0	(60,633)	0	(65,008)	0	0	0	0
<b>Total - Banking Fund</b>	<b>(3)</b>	<b>(147,252)</b>	<b>(3)</b>	<b>(157,877)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$147,252 in FY 14 and \$157,877 in FY 15 to reflect the elimination of three positions that are currently vacant. The reduction includes \$86,619 in Personal Services and \$60,633 in Fringe Benefits in FY 14 and \$92,869 in Personal Services and \$65,008 in Fringe Benefits in FY 15.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(7,521)	0	(15,800)	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>(7,521)</b>	<b>0</b>	<b>(15,800)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$7,521 in FY 14 and \$15,800 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(34,080)	0	(76,478)	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>(34,080)</b>	<b>0</b>	<b>(76,478)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce Other Expenses by \$34,080 in FY 14 and \$76,478 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(8,042)	0	(2,043)	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>(8,042)</b>	<b>0</b>	<b>(2,043)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$8,042 in FY 14 and \$2,043 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

**Legislative**

Same as Governor

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(1,706)	0	(1,706)	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>(1,706)</b>	<b>0</b>	<b>(1,706)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$1,706 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Reduce Funding for Other Expenses**

Other Expenses	0	(36,500)	0	(42,500)	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>(36,500)</b>	<b>0</b>	<b>(42,500)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding for Other Expenses by \$36,500 in FY 14 and \$42,500 in FY 15 associated with reduced training support.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Monitoring Exchange Facilitators

Personal Services	1	90,000	1	90,000	1	90,000	1	90,000
Other Expenses	0	6,500	0	6,500	0	6,500	0	6,500
Equipment	0	3,500	0	0	0	3,500	0	0
Fringe Benefits	0	60,300	0	60,300	0	60,300	0	60,300
<b>Total - Banking Fund</b>	<b>1</b>	<b>160,300</b>	<b>1</b>	<b>156,800</b>	<b>1</b>	<b>160,300</b>	<b>1</b>	<b>156,800</b>

#### Legislative

Provide funding of \$160,300 in FY 14 and \$156,800 in FY 15 to provide consumer protection to clients of exchange facilitators for tax deferred exchanges. Funding supports one full-time and part-time Bank Examiner positions along with the associated fringe benefit costs and related one-time equipment. Staff will monitor the actions of exchange facilitators and ensure the standards for facilitated transactions are met. This supports PA 13-135, AAC Banks, Loan Production Offices, Exchange Facilitators, Public Deposits and Real Property Tax Liens.

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - BF	118	19,012,231	118	19,012,231	0	0	0	0
Current Services	0	249,087	0	1,158,762	0	0	0	0
Policy Revisions	(2)	(74,801)	(2)	(139,604)	1	160,300	1	156,800
<b>Total Recommended - BF</b>	<b>116</b>	<b>19,186,517</b>	<b>116</b>	<b>20,031,389</b>	<b>1</b>	<b>160,300</b>	<b>1</b>	<b>156,800</b>



# Insurance Department

DOI37500

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - IF	141	159	159	159	159	159
Permanent Full-Time - OF	1	0	0	0	0	0

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	12,530,292	13,642,468	14,060,426	14,712,168	14,060,426	14,712,168
Other Expenses	2,021,279	2,022,453	2,027,428	2,027,428	2,052,428	2,052,428
Equipment	73,855	40,060	119,750	52,600	119,750	52,600
<b>Other Current Expenses</b>						
Fringe Benefits	7,659,481	9,440,147	9,853,241	10,321,507	9,853,241	10,321,507
Indirect Overhead	59,593	472,973	602,646	629,765	602,646	629,765
GAAP Adjustments	0	0	142,818	165,870	142,818	165,870
<b>Agency Total - Insurance Fund</b>	<b>22,344,501</b>	<b>25,618,101</b>	<b>26,806,309</b>	<b>27,909,338</b>	<b>26,831,309</b>	<b>27,934,338</b>
<b>Additional Funds Available</b>						
Private Contributions	214,151	220,000	0	0	0	0
<b>Agency Grand Total</b>	<b>22,558,652</b>	<b>25,838,101</b>	<b>26,806,309</b>	<b>27,909,338</b>	<b>26,831,309</b>	<b>27,934,338</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	433,591	0	1,102,543	0	0	0	0
Fringe Benefits	0	413,094	0	881,360	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>846,685</b>	<b>0</b>	<b>1,983,903</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$846,685 in FY 14 and \$1,983,903 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	22,827	0	49,027	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>22,827</b>	<b>0</b>	<b>49,027</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$22,827 in FY 14 and an additional \$26,200 in FY 15 (for a cumulative total of \$49,027 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Replacement Equipment

Equipment	0	79,690	0	12,540	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>79,690</b>	<b>0</b>	<b>12,540</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide \$79,690 in FY 14 and \$12,540 in FY 15 for replacement equipment in this agency.

#### Legislative

Same as Governor

### Adjust Fringe Benefits and Indirect Overhead

Indirect Overhead	0	129,673	0	156,792	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>129,673</b>	<b>0</b>	<b>156,792</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

#### Governor

Provide funding of \$129,673 in FY 14 and \$156,792 in FY 15 to ensure sufficient funds for fringe benefits and indirect overhead.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	143,761	0	167,047	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>143,761</b>	<b>0</b>	<b>167,047</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$143,761 in FY 14 and \$167,047 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(15,633)	0	(32,843)	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>(15,633)</b>	<b>0</b>	<b>(32,843)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$15,633 in FY 14 and \$32,843 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Eliminate Inflationary Increases

Other Expenses	0	(17,827)	0	(44,027)	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>(17,827)</b>	<b>0</b>	<b>(44,027)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce Other Expenses by \$17,827 in FY 14 and \$44,027 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(943)	0	(1,177)	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>(943)</b>	<b>0</b>	<b>(1,177)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$943 in FY 14 and \$1,177 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(25)	0	(25)	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>(25)</b>	<b>0</b>	<b>(25)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$25 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Provide Funding for Consumer Affairs**

Other Expenses	0	25,000	0	25,000	0	25,000	0	25,000
<b>Total - Insurance Fund</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>25,000</b>

**Background**

The Consumer Affairs program investigates insurance related complaints to resolve disputes, recover consumers' funds and enforce insurance laws and regulations.

**Legislative**

Provide Other Expenses funding of \$25,000 in each year of the biennium for additional advertising and marketing of the Consumer Affairs program, based on Results Based Accountability reporting,

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - IF	159	25,618,101	159	25,618,101	0	0	0	0
Current Services	0	1,222,636	0	2,369,309	0	0	0	0
Policy Revisions	0	(9,428)	0	(53,072)	0	25,000	0	25,000
<b>Total Recommended - IF</b>	<b>159</b>	<b>26,831,309</b>	<b>159</b>	<b>27,934,338</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>25,000</b>

# Office of Consumer Counsel

DCC38100

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - PF	14	17	13	13	13	13

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	1,147,011	1,362,827	1,226,668	1,279,373	1,226,668	1,279,373
Other Expenses	343,513	396,029	351,657	344,032	351,657	344,032
Equipment	4,375	5,600	2,200	2,200	2,200	2,200
<b>Other Current Expenses</b>						
Fringe Benefits	703,607	933,437	863,463	905,635	863,463	905,635
Indirect Overhead	364,667	67,695	69,625	72,758	69,625	72,758
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>14,439</b>	<b>0</b>	<b>14,439</b>
<b>Agency Total - Consumer Counsel and Public Utility Control Fund</b>	<b>2,563,173</b>	<b>2,765,588</b>	<b>2,513,613</b>	<b>2,618,437</b>	<b>2,513,613</b>	<b>2,618,437</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	126,818	0	205,997	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>126,818</b>	<b>0</b>	<b>205,997</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$126,818 in FY 14 and \$205,997 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	0	0	7,779	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>7,779</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$7,779 in FY 15 to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Reduce Funding for Equipment

Equipment	0	(3,400)	0	(3,400)	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>(3,400)</b>	<b>0</b>	<b>(3,400)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$3,400 in both FY 14 and FY 15 in the Equipment Account.

#### Legislative

Same as Governor

### Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	(24,281)	0	(24,281)	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>(24,281)</b>	<b>0</b>	<b>(24,281)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$24,281 in both FY 14 and FY 15 in Other Expenses to reflect anticipated expenditure requirements. This reduction includes reduced lease costs to reflect the transfer of the building lease to the Department of Energy and Environmental Protection and the Siting Council.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	22,020	0	19,531	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>22,020</b>	<b>0</b>	<b>19,531</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$22,020 in FY 14 and \$19,531 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

### Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	109,315	0	164,740	0	0	0	0
Indirect Overhead	0	1,930	0	5,063	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>111,245</b>	<b>0</b>	<b>169,803</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

#### Governor

Provide funding of \$111,245 (\$109,315 in Fringe Benefits and \$1,930 in Indirect Overhead) in FY 14 and \$169,803 (\$164,740 in Fringe Benefits and \$5,063 in Indirect Overhead) in FY 15 to ensure sufficient funds.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Policy Revisions

### Reduce Funding for Other Expenses

Other Expenses	0	(20,091)	0	(27,716)	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>(20,091)</b>	<b>0</b>	<b>(27,716)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$20,091 in FY 14 and \$27,716 in FY 15 to reflect reduced travel and consultant costs.

#### Legislative

Same as Governor

### Eliminate Inflationary Increases

Other Expenses	0	0	0	(7,779)	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(7,779)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce Other Expenses by \$7,779 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(22,020)	0	(5,092)	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>(22,020)</b>	<b>0</b>	<b>(5,092)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$22,020 in FY 14 and \$5,092 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

#### Legislative

Same as Governor

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(6,850)	0	(14,391)	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>(6,850)</b>	<b>0</b>	<b>(14,391)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$6,850 in FY 14 and \$14,391 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Eliminate Vacant Positions

Personal Services	(4)	(256,127)	(4)	(275,060)	0	0	0	0
Fringe Benefits	0	(179,289)	0	(192,542)	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>(4)</b>	<b>(435,416)</b>	<b>(4)</b>	<b>(467,602)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$435,416 (\$256,127 in Personal Services and \$179,289 in Fringe Benefits) in FY 14 and \$467,602 (\$275,060 in Personal Services and \$192,542 in Fringe Benefits) in FY 15 to reflect the elimination of 4 positions that are currently vacant.

#### Legislative

Same as Governor

***Totals***

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - PF	17	2,765,588	17	2,765,588	0	0	0	0
Current Services	0	232,402	0	375,429	0	0	0	0
Policy Revisions	(4)	(484,377)	(4)	(522,580)	0	0	0	0
<b>Total Recommended - PF</b>	<b>13</b>	<b>2,513,613</b>	<b>13</b>	<b>2,618,437</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# Office of the Healthcare Advocate

MCO39400

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - IF	9	18	13	13	17	17

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	720,459	1,268,100	993,680	1,022,421	1,293,734	1,339,621
Other Expenses	136,281	157,442	366,417	317,699	374,985	326,267
Equipment	1,400	6,700	0	5,000	0	5,000
<b>Other Current Expenses</b>						
Fringe Benefits	451,597	841,954	700,272	725,559	910,309	947,599
Indirect Overhead	117,473	19,211	26,056	27,229	26,056	27,229
GAAP Adjustments	0	0	0	12,157	0	12,157
Agency Total - Insurance Fund	1,427,211	2,293,407	2,086,425	2,110,065	2,605,084	2,657,873
Total - Appropriated Funds	1,427,211	2,293,407	2,086,425	2,110,065	2,605,084	2,657,873
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	70,000	0
Federal & Other Restricted Act	228,529	150,000	200,000	200,000	200,000	200,000
Agency Grand Total	1,655,740	2,443,407	2,286,425	2,310,065	2,875,084	2,857,873

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	107,147	0	165,458	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>107,147</b>	<b>0</b>	<b>165,458</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$107,147 in FY 14 and \$165,458 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, annualization, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	2,256	0	5,439	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>2,256</b>	<b>0</b>	<b>5,439</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$2,256 in FY 14 and an additional \$3,183 in FY 15 (for a cumulative total of \$5,439 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	217,543	0	168,825	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>217,543</b>	<b>0</b>	<b>168,825</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$217,543 in FY 14 and \$168,825 in FY 15 in Other Expenses to reflect FY 14 and FY 15 anticipated expenditure requirements. These include lease and moving costs as well as costs for additional software licenses.

#### Legislative

Same as Governor

### Adjust Funding for Replacement Equipment

Equipment	0	(6,700)	0	(1,700)	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>(6,700)</b>	<b>0</b>	<b>(1,700)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$6,700 in FY 14 and \$1,700 in FY 15 to reflect current equipment requirements in this agency.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	24,899	0	18,255	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>24,899</b>	<b>0</b>	<b>18,255</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$24,899 in FY 14 and \$18,255 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

### Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	120,719	0	161,537	0	0	0	0
Indirect Overhead	0	6,845	0	8,018	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>127,564</b>	<b>0</b>	<b>169,555</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

#### Governor

Provide funding of \$127,564 in FY 14 and \$169,555 in FY 15 to ensure sufficient funds for fringe benefits and indirect overhead.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Policy Revisions

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(24,899)	0	(6,098)	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>(24,899)</b>	<b>0</b>	<b>(6,098)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$24,899 in FY 14 and \$6,098 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

#### Legislative

Same as Governor

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(6,708)	0	(14,092)	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>(6,708)</b>	<b>0</b>	<b>(14,092)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$6,708 in FY 14 and \$14,092 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Eliminate Vacant Positions

Personal Services	(1)	(74,805)	(1)	(79,845)	0	0	0	0
Fringe Benefits	0	(52,364)	0	(55,892)	0	0	0	0
<b>Total - Insurance Fund</b>	<b>(1)</b>	<b>(127,169)</b>	<b>(1)</b>	<b>(135,737)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$127,169 in FY 14 and \$135,737 in FY 15 to reflect the elimination of one position that is currently vacant.

#### Legislative

Same as Governor

### Eliminate Inflationary Increases

Other Expenses	0	(2,256)	0	(5,439)	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>(2,256)</b>	<b>0</b>	<b>(5,439)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce Other Expenses by \$2,256 in FY 14 and \$5,439 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

### Maintain Enhanced Medicaid Recoveries Unit

Personal Services	0	0	0	0	4	300,054	4	317,200
Other Expenses	0	0	0	0	0	8,568	0	8,568
Fringe Benefits	0	0	0	0	0	210,037	0	222,040
<b>Total - Insurance Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>518,659</b>	<b>4</b>	<b>547,808</b>

#### Background

The FY 13 revised budget included funding and four positions to allow the Office of the Healthcare Advocate (OHA) to pursue private insurance payment for rejected claims for Medicaid eligible individuals.

#### Governor

Reduce funding by \$518,659 in FY 14 and \$547,808 in FY 15 and eliminate four positions to reflect the elimination of the Enhanced Medicaid Recoveries Unit.

#### Legislative

Maintain four positions and funding of \$518,659 in FY 14 and \$547,808 in FY 15 for the Medicaid recoveries unit.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Carry Forward

#### Carry Forward Funds for Equipment

Equipment	0	70,000	0	0	0	70,000	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>70,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>70,000</b>	<b>0</b>	<b>0</b>

#### Legislative

Section 95 of PA 13-247, the general government implementer, carries forward funding of \$70,000 in the Personal Services account and transfers it to the Equipment account for voice and data wiring and a computer network switch during the fiscal year ending June 30, 2014.

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	0	0	0	0	0	0	0	0
Policy Revisions	0	0	0	0	0	0	0	0
<b>Total Recommended - GF</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Governor Estimated - IF	18	2,293,407	18	2,293,407	0	0	0	0
Current Services	0	472,709	0	525,832	0	0	0	0
Policy Revisions	(1)	(161,032)	(1)	(161,366)	4	518,659	4	547,808
<b>Total Recommended - IF</b>	<b>17</b>	<b>2,605,084</b>	<b>17</b>	<b>2,657,873</b>	<b>4</b>	<b>518,659</b>	<b>4</b>	<b>547,808</b>

# Department of Consumer Protection

## DCP39500

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	215	230	232	232	235	235
Permanent Full-Time - OF	59	37	37	37	37	37

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	12,678,422	14,073,407	14,559,102	15,226,846	14,797,102	15,464,846
Other Expenses	1,372,821	1,445,457	1,193,900	1,193,900	1,193,900	1,193,900
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Gaming Policy Board	1,437	2,758	0	0	0	0
GAAP Adjustments	0	0	83,225	97,562	83,225	97,562
Agency Total - General Fund	14,052,680	15,521,623	15,836,228	16,518,309	16,074,228	16,756,309
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	317,081	442,590	448,982	254,907	448,982	254,907
Private Contributions	9,403,519	8,155,258	7,865,577	8,138,381	7,865,577	8,138,381
Agency Grand Total	23,773,280	24,119,471	24,150,787	24,911,597	24,388,787	25,149,597

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust for FY 13 Deficiency

Personal Services	0	(900,000)	0	(900,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(900,000)</b>	<b>0</b>	<b>(900,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Section 62 of PA 13-184, AAC Expenditures and Revenues for the Biennium Ending June 30, 2015 results in an increase to the General Fund of \$142 million in FY 13. Although the Department of Consumer Protection was included in the original Deficiency Bill, the agency required no funding through a deficiency appropriation by the end of the fiscal year as it was able to manage Personal Services expenditures through the year by delaying the filling of vacancies and other internal measures.

#### Governor

Reduce funding by \$900,000 in Personal Services in FY 14 and FY 15 to reflect the adjustment associated with the FY 13 deficiency.

#### Legislative

Same as Governor

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	916,817	0	1,637,862	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>916,817</b>	<b>0</b>	<b>1,637,862</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$916,817 in FY 14 and \$1,637,862 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for Casino Reimbursements

Personal Services	6	777,861	6	764,213	0	0	0	0
Other Expenses	0	77,519	0	79,692	0	0	0	0
<b>Total - General Fund</b>	<b>6</b>	<b>855,380</b>	<b>6</b>	<b>843,905</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$855,380 in FY 14 and \$843,905 in FY 15 for expenses and positions previously paid through casino reimbursements. The Department of Consumer Protection provides various services to the casinos in the areas of gambling and liquor control. In FY 13 the revenue from casino reimbursements was reduced by approximately \$900,000 due to a renegotiated assessment. In response the agency is altering staffing levels and moving some personnel costs to the General Fund that were previously paid through casino reimbursements.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	50,410	0	98,988	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>50,410</b>	<b>0</b>	<b>98,988</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding to Other Expenses by \$50,410 in FY 14 and an additional \$48,578 in FY 15 (for a cumulative total of \$98,988 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

### Reduce Funding for Decommissioned Buildings

Other Expenses	0	(193,983)	0	(193,983)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(193,983)</b>	<b>0</b>	<b>(193,983)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$193,983 in Other Expenses to reflect the decommissioning of the Russell Road building in Newington (former Special Revenue Offices).

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	140,839	0	100,938	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>140,839</b>	<b>0</b>	<b>100,938</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$140,839 in FY 14 and \$100,938 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Policy Revisions

### Eliminate Vacant Positions

Personal Services	(4)	(289,587)	(4)	(307,888)	0	0	0	0
<b>Total - General Fund</b>	<b>(4)</b>	<b>(289,587)</b>	<b>(4)</b>	<b>(307,888)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$289,587 in FY 14 and \$307,888 in FY 15 to reflect the elimination of 4 positions that are currently vacant along with savings associated with the revised lottery drawing process.

#### Legislative

Same as Governor

### Transfer Vapor Recovery from DEEP

Personal Services	3	238,000	3	238,000	3	238,000	3	238,000
<b>Total - General Fund</b>	<b>3</b>	<b>238,000</b>	<b>3</b>	<b>238,000</b>	<b>3</b>	<b>238,000</b>	<b>3</b>	<b>238,000</b>

#### Legislative

Funds totaling \$238,000 along with an associated three positions are provided through a transfer from the Department of Energy and Environmental Protection to the Department of Consumer Protection for testing and subsequent decommissioning of any gasoline dispensing facility of stage II vapor recovery systems under PA 13-120, AAC Gasoline Vapor Recovery Systems.

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(19,396)	0	(40,748)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(19,396)</b>	<b>0</b>	<b>(40,748)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$19,396 in FY 14 and \$40,748 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(22,821)	0	(22,821)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(22,821)</b>	<b>0</b>	<b>(22,821)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$22,821 in FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Rollout of FY 13 Rescissions

Other Expenses	0	(72,272)	0	(72,272)	0	0	0	0
Gaming Policy Board	0	(137)	0	(137)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(72,409)</b>	<b>0</b>	<b>(72,409)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$72,409 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Transfer On-line Licensing Costs to DAS**

Other Expenses	0	(40,000)	0	(40,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(40,000)</b>	<b>0</b>	<b>(40,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$40,000 in FY 14 and FY 15 to the Department of Administrative Services (DAS) to reflect centralizing the on-line licensing system.

**Legislative**

Same as Governor

**Eliminate Funding for Gaming Policy Board**

Gaming Policy Board	0	(2,621)	0	(2,621)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,621)</b>	<b>0</b>	<b>(2,621)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$2,621 to reflect the elimination of funding for the Gaming Policy Board.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(57,614)	0	(3,376)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(57,614)</b>	<b>0</b>	<b>(3,376)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$57,614 in FY 14 and \$3,376 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(50,410)	0	(101,161)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(50,410)</b>	<b>0</b>	<b>(101,161)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce Other Expenses by \$50,410 in FY 14 and \$101,161 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	230	15,521,623	230	15,521,623	0	0	0	0
Current Services	6	869,463	6	1,587,710	0	0	0	0
Policy Revisions	(1)	(316,858)	(1)	(353,024)	3	238,000	3	238,000
<b>Total Recommended - GF</b>	<b>235</b>	<b>16,074,228</b>	<b>235</b>	<b>16,756,309</b>	<b>3</b>	<b>238,000</b>	<b>3</b>	<b>238,000</b>

## *Other Significant Legislation*

### **PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$66,697, a General Other Expenses Lapse of \$9,780, and a Statewide Hiring Reduction Lapse of \$43,549. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	14,797,102	(105,291)	14,691,811	0.71%
Other Expenses	1,193,900	(14,735)	1,179,165	1.23%



# Labor Department

DOL40000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	219	213	185	185	185	185
Permanent Full-Time - OF	643	743	743	743	743	743

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	7,994,370	5,735,646	8,482,128	8,839,335	8,482,128	8,839,335
Other Expenses	991,615	1,021,670	964,324	964,324	964,324	964,324
Equipment	2	2	1	1	1	1
<b>Other Current Expenses</b>						
CETC Workforce	747,288	850,000	663,697	670,595	763,697	770,595
Workforce Investment Act	29,217,254	29,154,000	29,154,000	29,154,000	28,481,350	28,481,350
Job Funnels Projects	403,750	425,000	0	0	853,750	853,750
Employees' Review Board	0	0	22,210	22,210	0	0
Connecticut's Youth Employment Program	3,475,000	4,500,000	4,500,000	4,500,000	4,500,000	4,500,000
Jobs First Employment Services	17,035,230	17,657,471	17,826,769	17,660,859	18,826,769	18,660,859
Opportunity Industrial Centers	475,000	0	0	0	0	0
Individual Development Accounts	90,250	0	0	0	0	0
STRIDE	525,000	590,000	0	0	590,000	590,000
Apprenticeship Program	581,347	595,867	0	0	595,824	618,019
Spanish-American Merchants Association	570,000	600,000	570,000	570,000	570,000	570,000
Connecticut Career Resource Network	103,822	157,880	0	0	155,579	160,054
21st Century Jobs	387,918	447,955	0	0	427,447	429,178
Incumbent Worker Training	427,270	450,000	0	0	377,500	377,500
STRIVE	256,500	270,000	0	0	270,000	270,000
Film Industry Training Program	225,626	368,750	0	0	0	0
ARRA-WIA	5,477	0	0	0	0	0
Intensive Support Services	0	0	946,260	946,260	304,000	304,000
Employment Services	0	0	1,582,600	1,611,001	0	0
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>119,149</b>	<b>76,564</b>	<b>119,149</b>	<b>76,564</b>
<b>Agency Total - General Fund</b>	<b>63,512,719</b>	<b>62,824,241</b>	<b>64,831,138</b>	<b>65,015,149</b>	<b>66,281,518</b>	<b>66,465,529</b>
<b>Other Current Expenses</b>						
Opportunity Industrial Centers	0	500,000	400,000	400,000	500,000	500,000
Individual Development Accounts	0	100,000	100,000	100,000	200,000	200,000
Customized Services	500,000	500,000	400,000	400,000	1,000,000	1,000,000
<b>Agency Total - Banking Fund</b>	<b>500,000</b>	<b>1,100,000</b>	<b>900,000</b>	<b>900,000</b>	<b>1,700,000</b>	<b>1,700,000</b>
<b>Other Current Expenses</b>						
Occupational Health Clinics	651,783	682,731	683,262	683,653	683,262	683,653
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>107</b>	<b>106</b>	<b>107</b>	<b>106</b>
<b>Agency Total - Workers' Compensation Fund</b>	<b>651,783</b>	<b>682,731</b>	<b>683,369</b>	<b>683,759</b>	<b>683,369</b>	<b>683,759</b>
<b>Total - Appropriated Funds</b>	<b>64,664,502</b>	<b>64,606,972</b>	<b>66,414,507</b>	<b>66,598,908</b>	<b>68,664,887</b>	<b>68,849,288</b>

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Additional Funds Available						
Carry Forward Funding	0	0	0	0	9,133,772	0
Employment Security-Special Administration	99,324,657	120,210,001	120,844,565	126,048,430	120,844,565	126,048,430
Federal & Other Restricted Act	887,739	1,820,887	22,680	23,360	22,680	23,360
Private Contributions	1,180,803	1,619,287	1,268,104	1,303,046	1,268,104	1,303,046
Special Funds, Non-Appropriated	404,060	486,306	500,716	515,790	500,716	515,790
Agency Grand Total	166,461,761	188,743,453	189,050,572	194,489,534	200,434,724	196,739,914

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	771,802	0	1,156,883	0	0	0	0
CETC Workforce	0	6,197	0	13,095	0	0	0	0
Jobs First Employment Services	0	25,798	0	52,388	0	0	0	0
Apprenticeship Program	0	49,957	0	72,152	0	0	0	0
Connecticut Career Resource Network	0	5,593	0	10,068	0	0	0	0
21st Century Jobs	0	1,889	0	3,620	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>861,236</b>	<b>0</b>	<b>1,308,206</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Occupational Health Clinics	0	531	0	922	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>531</b>	<b>0</b>	<b>922</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$861,767 (\$861,236 in the General Fund and \$531 in the Workers' Compensation Fund) in FY 14 and \$1,309,128 (\$1,308,206 in the General Fund and \$922 in the Workers' Compensation Fund) in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Restore Funding Previously Provided with Carry Forward Funds

Personal Services	0	2,000,000	0	2,000,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Workforce Investment Act (WIA) provides federal funds appropriated by the state to the Department of Labor to administer a variety of job search and job training services to adults, low-income youth who meet certain requirements, and workers who have been or will be laid off. WIA funds that are not spent at the end of a fiscal year are allowed to carry forward into the next fiscal year. PA 12-104 transferred \$2 million of the WIA FY 12 carry forward to the Department of Labor's Personal Services (PS) account for FY 13 only.

#### Governor

Provide funding of \$2 million to DOL's PS account in both FY 14 and FY 15 in lieu of WIA Carryforward funding.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Apply Inflationary Increases

Other Expenses	0	23,736	0	53,972	0	0	0	0
CETC Workforce	0	5,851	0	18,928	0	0	0	0
Job Funnels Projects	0	8,986	0	20,738	0	0	0	0
Connecticut's Youth Employment Program	0	95,144	0	219,583	0	0	0	0
Jobs First Employment Services	0	362,706	0	836,576	0	0	0	0
STRIDE	0	12,474	0	28,790	0	0	0	0
Apprenticeship Program	0	987	0	2,279	0	0	0	0
Spanish-American Merchants Association	0	12,686	0	29,278	0	0	0	0
Connecticut Career Resource Network	0	1,132	0	2,620	0	0	0	0
21st Century Jobs	0	8,476	0	19,561	0	0	0	0
Incumbent Worker Training	0	9,514	0	21,958	0	0	0	0
STRIVE	0	5,709	0	13,175	0	0	0	0
Film Industry Training Program	0	7,796	0	17,994	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>555,197</b>	<b>0</b>	<b>1,285,452</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Opportunity Industrial Centers	0	10,500	0	35,515	0	0	0	0
Individual Development Accounts	0	2,100	0	7,103	0	0	0	0
Customized Services	0	10,500	0	24,500	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>23,100</b>	<b>0</b>	<b>67,118</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Occupational Health Clinics	0	14,230	0	32,842	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>14,230</b>	<b>0</b>	<b>32,842</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

### Governor

Increase funding for various accounts by \$592,527 (\$555,197 in the General Fund, \$23,100 in the Banking Fund and \$14,230 in the Workers' Compensation Fund) in FY 14 and an additional \$792,885 in FY 15 for a cumulative total of \$1,385,412 (\$1,285,452 in the General Fund, \$67,118 in the Banking Fund and \$32,842 in the Workers' Compensation Fund) in the second year to reflect inflationary increases.

### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	190,951	0	94,325	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>190,951</b>	<b>0</b>	<b>94,325</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	133	0	146	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>133</b>	<b>0</b>	<b>146</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$191,084 (\$190,951 in the General Fund and \$133 in the Workers' Compensation Fund) in FY 14 and \$94,471 (\$94,325 in the General Fund and \$146 in the Workers' Compensation Fund) in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

### Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1)</b>	<b>0</b>	<b>(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

#### Governor

Remove funding of \$1 in both FY 14 and FY 15 for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

#### Legislative

Same as Governor

## Policy Revisions

### Adjust Funding for Jobs First Employment Services

Jobs First Employment Services	0	1,000,000	0	1,000,000	0	1,000,000	0	1,000,000
<b>Total - General Fund</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>

#### Background

Jobs First Employment Services (JFES) provides employment services to recipients of Temporary Aid for Needy Families (TANF) to assist them in preparing for, finding and maintaining employment.

#### Legislative

Provide funding of \$1 million in both FY 14 and FY 15 for additional programming and evaluation of the Workforce Investment Boards.

### Provide Additional Funding to Jobs First Employment Services

Jobs First Employment Services	0	747,500	0	555,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>747,500</b>	<b>0</b>	<b>555,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

In July 2012, the federal Department of Health & Human Services granted states the opportunity to pursue a waiver from certain TANF requirements if states could demonstrate new ways of achieving better employment outcomes for needy families. Under this option, the department, in conjunction with DSS, will implement an accelerated adult education program, a standardized employment assessment, and evaluation methods for the new programs.

#### Governor

Provide funding of \$747,500 in FY 14 and \$555,000 in FY 15 for administration and evaluation of new Jobs First programs. This includes \$222,500 in both FY 14 and FY 15 for accelerated adult education, \$500,000 in FY 14 and \$300,000 in FY 15 for employment assessment, and \$25,000 in FY 14 and \$32,500 in FY 15 for assessment of the two initiatives.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for Certain JFES Participants

Intensive Support Services	0	0	0	0	0	(642,260)	0	(642,260)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(642,260)</b>	<b>0</b>	<b>(642,260)</b>

#### Background

Currently, the Department of Social Services (DSS) provides funds for the Employment Success program to provide case management and early intervention support to active Temporary Family Assistance clients that are participating in the Jobs First Employment Services Program. Similarly, the Department of Labor (DOL), as part of its Jobs First Employment Services program, provides funds for job barrier intervention plans and case management to the same population that may be at risk of losing their TFA benefits due to non-compliance and sanctions.

#### Governor

Transfer funding of \$642,260 from the Department of Social Services in both FY 14 and FY 15 to reflect the reorganization of the Department of Social Services' Employment Success program into the Department of Labor's Intensive Support Services program.

#### Legislative

Maintain funding of \$642,260 in both FY 14 and FY 15 in DSS. The Employment Success program is not transferred to DOL.

### Transfer Funding to Intensive Support Services account

Jobs First Employment Services	0	(304,000)	0	(304,000)	0	0	0	0
Intensive Support Services	0	304,000	0	304,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$304,000 from the DOL's JFES account in both FY 14 and FY 15 to reflect the reorganization of the DSS' Employment Success program and various aspects of the DOL's JFES program into DOL's Intensive Support Services program.

#### Legislative

Transfer funding of \$304,000 from DOL's JFES account in both FY 14 and FY 15 to reflect the transfer of certain aspects of the JFES program to the new Intensive Support Services account. DSS' Employment Success program is not reorganized into DOL.

### Provide Funding for Job Funnels Account

Job Funnels Projects	0	450,000	0	450,000	0	450,000	0	450,000
<b>Total - General Fund</b>	<b>0</b>	<b>450,000</b>	<b>0</b>	<b>450,000</b>	<b>0</b>	<b>450,000</b>	<b>0</b>	<b>450,000</b>

#### Background

The Job Funnels program is a collaboration with the regional Workforce Investment Boards and other partners to place individuals into careers in specific industries, such as construction. The program focuses on job creation in Hartford, Waterbury, New Haven, Bridgeport, and Norwich.

#### Legislative

Provide funding of \$450,000 in both FY 14 and FY 15 for the New Haven Works.

### Reduce WIA to reflect Anticipated Federal Appropriation

Workforce Investment Act	0	(672,650)	0	(672,650)	0	(672,650)	0	(672,650)
<b>Total - General Fund</b>	<b>0</b>	<b>(672,650)</b>	<b>0</b>	<b>(672,650)</b>	<b>0</b>	<b>(672,650)</b>	<b>0</b>	<b>(672,650)</b>

#### Legislative

Reduce Workforce Investment Act (WIA) funding by \$672,650 in both FY 14 and FY 15 to reflect an anticipated reduction in the Federal WIA grant in both fiscal years.

### Adjust STRIDE Funding

STRIDE	0	0	0	0	0	560,500	0	560,500
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>560,500</b>	<b>0</b>	<b>560,500</b>

#### Background

STRIDE is a reentry workforce development program for men and women at York Correctional Institute in Niantic and Bergin Correctional Institute in Storrs. The program is administered by Quinebaug Valley Community College.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce funding by \$560,500 in both FY 14 and FY 15 to reflect elimination of STRIDE.

**Legislative**

Maintain funding of \$560,500 in both FY 14 and FY 15 for STRIDE.

**Adjust Funding for Incumbent Worker Training**

Incumbent Worker Training	0	0	0	0	0	377,500	0	377,500
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>377,500</b>	<b>0</b>	<b>377,500</b>

**Background**

This program provides job training for workers whose businesses have identified them as needing new or upgraded skills in order to keep the business and the worker competitive.

**Governor**

Reduce funding by \$377,500 in both FY 14 and FY 15 to reflect elimination of the Incumbent Worker Training program.

**Legislative**

Maintain funding of \$377,500 in both FY 14 and FY 15 for Incumbent Worker Training.

**Adjust STRIVE Funding**

STRIVE	0	0	0	0	0	256,500	0	256,500
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>256,500</b>	<b>0</b>	<b>256,500</b>

**Background**

STRIVE is a program operated by nonprofit organizations in Bridgeport, New Haven and Hartford. It involves four weeks of training that includes employability preparation, and job search assistance. It also includes two years of support services. Priority is given to ex-offenders, non-custodial parents, veterans and the disabled

**Governor**

Reduce funding by \$256,500 in both FY 14 and FY 15 to reflect elimination of STRIVE.

**Legislative**

Maintain funding of \$256,500 for STRIVE in both FY 14 and FY 15.

**Adjust CETC Workforce Funding**

CETC Workforce	0	0	0	0	0	100,000	0	100,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>

**Background**

The Connecticut Employment and Training Commission (CETC) is the statewide counterpart to the five regional Workforce Investment Boards, and it is responsible for coordinating statewide workforce-related investment, strategies, programs. Members of the commission represent businesses, state agencies, and other community organizations. The Department of Labor (DOL) provides staff, support, and technical assistance.

**Governor**

Reduce funding by \$100,000 in both FY 14 and FY 15 to achieve savings.

**Legislative**

Maintain funding of \$100,000 in both FY 14 and FY 15 for CETC.

**Adjust Funding for Customized Services**

Customized Services	0	500,000	0	500,000	0	600,000	0	600,000
<b>Total - Banking Fund</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>600,000</b>	<b>0</b>	<b>600,000</b>

**Background**

This program provides employment assistance and other services to people who are experiencing difficulty with mortgage payments. Individuals who are more than 60 days past due on their mortgage, whose household income is less than

\$120,000, and who are referred by the Connecticut Housing Finance Authority or who demonstrate an imminent need for these services is eligible for the program.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce funding by \$100,000 in both FY 14 and FY 15 to achieve savings.

**Legislative**

Maintain funding of \$100,000 in both FY 14 and FY 15 and provide additional funding of \$500,000 in both FY 14 and FY 15 for a total appropriation of \$1 million in both fiscal years.

**Adjust Funding for Opportunity Industrial Centers**

Opportunity Industrial Centers	0	0	0	0	0	100,000	0	100,000
<b>Total - Banking Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>

**Background**

Opportunity Industrial Centers (OICs) are part of the nonprofit Opportunity Industrial Centers of America that provide employment services to people with significant barriers to employment, such as criminal history or history of drug abuse. Connecticut OIC affiliates are in New Haven, Bridgeport, New Britain, Waterbury, and New London.

**Governor**

Reduce funding to Opportunity Industrial Centers by \$100,000 in both FY 14 and FY 15 to decrease available grants to the five OIC affiliates.

**Legislative**

Maintain funding of \$100,000 in both FY 14 and FY 15 for the Opportunity Industrial Centers.

**Adjust Funding for Individual Development Accounts**

Individual Development Accounts	0	100,000	0	100,000	0	100,000	0	100,000
<b>Total - Banking Fund</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>

**Background**

Individual Development Accounts provide funding to low-income workers to purchase certain assets. The program includes a five-year grant, and education on financial literacy and asset management. The appropriation funds DOL administration, clearinghouse costs, and Housing Trust Fund program administration.

**Legislative**

Provide funding of \$100,000 in both FY 14 and FY 15 for a total of \$200,000 in both fiscal years.

**Reduce Apprenticeship Program Funding**

Apprenticeship Program	0	(50,000)	0	(50,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(50,000)</b>	<b>0</b>	<b>(50,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

This account administers the state's apprenticeship system for employers and labor/management organizations.

**Governor**

Reduce Apprenticeship Program funding by \$50,000 in both FY 14 and FY 15 to reflect anticipated expenditures.

**Legislative**

Same as Governor

**Eliminate Vacant Positions**

Jobs First Employment Services	(28)	0	(28)	0	0	0	0	0
<b>Total - General Fund</b>	<b>(28)</b>	<b>0</b>	<b>(28)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Eliminate 28 positions that are currently vacant.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Rollout of FY 13 DMP

Other Expenses	0	(21,082)	0	(21,082)	0	0	0	0
CETC Workforce	0	(50,000)	0	(50,000)	0	0	0	0
Jobs First Employment Services	0	(100,000)	0	(100,000)	0	0	0	0
Incumbent Worker Training	0	(50,000)	0	(50,000)	0	0	0	0
Film Industry Training Program	0	(350,313)	0	(350,313)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(571,395)</b>	<b>0</b>	<b>(571,395)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

#### Governor

Reduce funding by \$571,395 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

#### Legislative

Same as Governor

### Rollout of FY 13 Rescissions

Other Expenses	0	(30,000)	0	(30,000)	0	0	0	0
CETC Workforce	0	(42,500)	0	(42,500)	0	0	0	0
Job Funnels Projects	0	(21,250)	0	(21,250)	0	0	0	0
Jobs First Employment Services	0	(200,000)	0	(200,000)	0	0	0	0
STRIDE	0	0	0	0	0	29,500	0	29,500
Spanish-American Merchants Association	0	(30,000)	0	(30,000)	0	0	0	0
Connecticut Career Resource Network	0	(7,894)	0	(7,894)	0	0	0	0
21st Century Jobs	0	(22,397)	0	(22,397)	0	0	0	0
Incumbent Worker Training	0	(22,500)	0	(22,500)	0	0	0	0
STRIVE	0	0	0	0	0	13,500	0	13,500
Film Industry Training Program	0	(18,437)	0	(18,437)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(394,978)</b>	<b>0</b>	<b>(394,978)</b>	<b>0</b>	<b>43,000</b>	<b>0</b>	<b>43,000</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$437,978 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Reduce funding of \$394,978 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions. Maintain funding of \$43,000 in both FY 14 and FY 15 to reflect restoration of FY 13 rescissions to STRIDE (\$29,500 in both FY 14 and FY 15) and STRIVE (\$13,500 in both FY 14 and FY 15)

### Transfer Employees' Review Board from DAS

Employees' Review Board	0	0	0	0	0	(22,210)	0	(22,210)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(22,210)</b>	<b>0</b>	<b>(22,210)</b>

#### Background

The Employees' Review Board decides personnel appeals of state managers and confidential employees, or groups of such employees,



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

who are not included in any collective bargaining unit of state employees. Appeals can involve such issues as dismissal, suspension, demotion, denial of promotion, lay off or claimed misapplication of a specific state personnel statute or regulation.

#### Governor

Transfer funding of \$22,210 in both FY 14 and FY 15 to reflect the reorganization of the Employees' Review Board into the Department of Labor.

#### Legislative

Do not transfer funding of \$22,210 in both FY 14 and FY 15. Maintain the Employees' Review Board in the Department of Administrative Services.

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(25,320)	0	(53,194)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(25,320)</b>	<b>0</b>	<b>(53,194)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$25,320 in FY 14 and \$53,194 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(71,802)	0	(17,761)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(71,802)</b>	<b>0</b>	<b>(17,761)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	(26)	0	(40)	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>(26)</b>	<b>0</b>	<b>(40)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$71,828 (\$71,802 in the General Fund and \$26 in the Workers' Compensation Fund) in FY 14 and \$17,801 (\$17,761 in the General Fund and \$40 in the Workers' Compensation Fund) in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

#### Legislative

Same as Governor

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(6,264)	0	(6,264)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(6,264)</b>	<b>0</b>	<b>(6,264)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$6,264 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Eliminate Inflationary Increases

Other Expenses	0	(23,736)	0	(53,972)	0	0	0	0
CETC Workforce	0	(5,851)	0	(18,928)	0	0	0	0
Job Funnels Projects	0	(8,986)	0	(20,738)	0	0	0	0
Connecticut's Youth Employment Program	0	(95,144)	0	(219,583)	0	0	0	0
Jobs First Employment Services	0	(362,706)	0	(836,576)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
STRIDE	0	(12,474)	0	(28,790)	0	0	0	0
Apprenticeship Program	0	(987)	0	(2,279)	0	0	0	0
Spanish-American Merchants Association	0	(12,686)	0	(29,278)	0	0	0	0
Connecticut Career Resource Network	0	(1,132)	0	(2,620)	0	0	0	0
21st Century Jobs	0	(8,476)	0	(19,561)	0	0	0	0
Incumbent Worker Training	0	(9,514)	0	(21,958)	0	0	0	0
STRIVE	0	(5,709)	0	(13,175)	0	0	0	0
Film Industry Training Program	0	(7,796)	0	(17,994)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(555,197)</b>	<b>0</b>	<b>(1,285,452)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Opportunity Industrial Centers	0	(10,500)	0	(35,515)	0	0	0	0
Individual Development Accounts	0	(2,100)	0	(7,103)	0	0	0	0
Customized Services	0	(10,500)	0	(24,500)	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>(23,100)</b>	<b>0</b>	<b>(67,118)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Occupational Health Clinics	0	(14,230)	0	(32,842)	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>(14,230)</b>	<b>0</b>	<b>(32,842)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$592,527 (\$555,197 in the General Fund, \$23,100 in the Banking Fund, and \$14,230 in the Workers' Compensation Fund) in FY 14 and \$1,358,412 (\$1,285,452 in the General Fund, \$67,118 in the Banking Fund and \$32,842 in the Workers' Compensation Fund) in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Transfer Funding to Streamline Budget Account Structure**

Job Funnels Projects	0	0	0	0	0	403,750	0	403,750
Apprenticeship Program	0	0	0	0	0	595,824	0	618,019
Connecticut Career Resource Network	0	0	0	0	0	155,579	0	160,054
21st Century Jobs	0	0	0	0	0	427,447	0	429,178
Employment Services	0	0	0	0	0	(1,582,600)	0	(1,611,001)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

**Governor**

Transfer funding of \$1,582,600 in FY 14 and \$1,611,001 in FY 15 to the Employment Services Account from the Jobs Funnel Project (\$403,750 in both FY 14 and FY 15), Apprenticeship Program (\$595,824 in FY 14 and \$618,019 in FY 15), Connecticut Career Resource Network (\$155,579 in FY 14 and \$160,054 in FY 15), and 21st Century Jobs (\$427,447 in FY 14 and \$429,178 in FY 15) to reflect the streamlining of agency budgetary accounts.

**Legislative**

Do not streamline agency budgetary accounts.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Carry Forward

### Carry Forward WIA Funding

Workforce Investment Act	0	9,133,772	0	0	0	9,133,772	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>9,133,772</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>9,133,772</b>	<b>0</b>	<b>0</b>

### Legislative

Pursuant to C.G.S. Sec. 4-89(h) an estimated \$9,133,772 is carried forward into FY 14 in the Workforce Investment Act account.

## Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	213	62,824,241	213	62,824,241	0	0	0	0
Current Services	0	3,607,383	0	4,687,982	0	0	0	0
Policy Revisions	(28)	(150,106)	(28)	(1,046,694)	0	1,450,380	0	1,450,380
<b>Total Recommended - GF</b>	<b>185</b>	<b>66,281,518</b>	<b>185</b>	<b>66,465,529</b>	<b>0</b>	<b>1,450,380</b>	<b>0</b>	<b>1,450,380</b>
Governor Estimated - BF	0	1,100,000	0	1,100,000	0	0	0	0
Current Services	0	23,100	0	67,118	0	0	0	0
Policy Revisions	0	576,900	0	532,882	0	800,000	0	800,000
<b>Total Recommended - BF</b>	<b>0</b>	<b>1,700,000</b>	<b>0</b>	<b>1,700,000</b>	<b>0</b>	<b>800,000</b>	<b>0</b>	<b>800,000</b>
Governor Estimated - WF	0	682,731	0	682,731	0	0	0	0
Current Services	0	14,894	0	33,910	0	0	0	0
Policy Revisions	0	(14,256)	0	(32,882)	0	0	0	0
<b>Total Recommended - WF</b>	<b>0</b>	<b>683,369</b>	<b>0</b>	<b>683,759</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## Other Significant Legislation

### PA 13-168, An Act Concerning Certain Operators of Motor Vehicles and Eligibility for Unemployment Benefits

The act exempts from unemployment compensation professional truck drivers who 1) drive a vehicle weighing over 10,000 pounds; 2) own or lease the vehicle; and 3) meet other requirements of an independent contractor. This precludes these truck drivers from collecting unemployment benefits, and precludes trucking companies from paying unemployment compensation taxes on their wages. There is a potential net revenue loss to the Unemployment Compensation Fund which would vary based on the number of truck drivers impacted by the act.

### PA 13-141, An Act Concerning the Requirement for Electronic Filing of Quarterly Unemployment Taxes

The act requires all employers with 250 or fewer employees, subject to unemployment compensation law, to submit quarterly wage reports electronically, and requires reimbursing employers with fewer than 250 employees to pay electronically. This results in an estimated savings to the Employment Security Administration Fund of \$100,000 in FY 14 and \$200,000 in FY 15 and annually thereafter. Approximately 35,000 to 45,000 employers file tax returns by paper each quarter.

### PA 13-288, An Act Improving the Timeliness and Efficiency of the Department of Labor's Unemployment Insurance Tax

The act requires employers to electronically notify the Department of Labor (DOL) within 30 days of becoming subject to unemployment compensation law, or acquiring a business subject to such law. It establishes a \$50 penalty for violating either of these notice requirements, and also establishes a \$25 fee for filing quarterly wage reports under an improper registration number. This results in a revenue gain to DOL, the extent of which will depend on how the fines impact compliance. In FY 12, 3,500 companies that were required to report becoming subject to unemployment compensation law did so after 30 days. Additionally, in FY 12, approximately 2,000 companies filed quarterly wage reports without a proper registration number.

### PA 13-141, An Act Concerning Unemployment Conformity

The act makes several changes to unemployment compensation law to conform it with changes to Federal law. It; 1) imposes a penalty on unemployment compensation claimants who knowingly make false statements resulting in fraudulent overpayments; 2) eliminates penalty weeks, which are weeks for which a person who makes a false claim is ineligible for unemployment compensation; 3) prohibits any relief from unemployment charges against an employer whose failure to adequately respond to a request for information led to a claimant's overpayment; 4) expands the ways the labor commissioner can recover unemployment benefit overpayments; and 5) makes changes to the quarterly statements the labor commissioner must provide to employers for combined wage claims paid under the unemployment law of another state.

As there were over 17,000 fraud cases in 2012, amounting to \$15 million in overpayments, the penalties for false statements are anticipated to result in a significant revenue gain. The act allocates 35% of the penalty to the state's Unemployment Compensation Trust Fund and 65% to the state's Employment Security Administration Fund.

This revenue gain is offset, in the short term, by the significant cost to the Unemployment Compensation Fund of elimination of penalty weeks. The extent of the offset depends on the number of people who have previously committed fraud and then subsequently file for unemployment benefits. As unemployment benefits are not paid out of the Employment Security Administration Fund, there is no cost increase to this fund

### PA 13-117, An Act Increasing the Fair Minimum Wage

The act increases the hourly minimum wage from its current \$ 8.25 to \$ 8.70 on January 1, 2014 and from \$ 8.70 to \$ 9.00 on January 1, 2015.

This results in increased payroll and contract costs to the state and municipalities. State payroll and fringe costs are not anticipated to be significant, (estimated at \$12,000 in FY 14 and \$28,000 in FY 15. It is estimated that state contracts could be impacted by as much as \$250,000 in FY 14 and \$500,000 in FY 15.

The impact of the minimum wage increase to municipalities will vary depending on the number of minimum wage workers they employ and the extent of the services they provide. It is estimated that the City of New Haven would incur costs of approximately \$50,000 in FY 14 and \$150,000 in FY 15. As an additional example, it is estimated that the Town of Avon would incur costs of \$5,000 in FY 14 and \$16,000 in FY 15 as a result of the act.

### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$123,872, a General Other Expenses Lapse of \$7,899, and a Statewide Hiring Reduction Lapse of \$24,964. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	8,482,128	(60,356)	8,421,772	0.71%
Other Expenses	964,324	(11,902)	952,422	1.23%
CETC Workforce	763,697	(3,196)	760,501	0.42%
Jobs First Employment Services	18,826,769	(78,788)	18,747,981	0.42%
Apprenticeship Program	595,824	(2,493)	593,331	0.42%

# Commission on Human Rights and Opportunities

## HRO41100

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	80	74	83	83	79	79

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	4,984,901	5,196,701	6,045,198	6,324,466	5,590,665	5,934,143
Other Expenses	268,312	382,211	309,155	309,155	305,337	302,837
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Martin Luther King, Jr. Commission	6,650	6,650	0	0	6,318	6,318
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>60,156</b>	<b>39,012</b>	<b>60,156</b>	<b>39,012</b>
<b>Agency Total - General Fund</b>	<b>5,259,862</b>	<b>5,585,563</b>	<b>6,414,510</b>	<b>6,672,634</b>	<b>5,962,477</b>	<b>6,282,311</b>
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	77,130	78,600	78,600	78,600	78,600	78,600
Private Contributions	2,986	3,100	3,100	3,100	3,100	3,100
<b>Agency Grand Total</b>	<b>5,339,978</b>	<b>5,667,263</b>	<b>6,496,210</b>	<b>6,754,334</b>	<b>6,044,177</b>	<b>6,364,011</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### *Current Services*

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	246,716	0	521,867	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>246,716</b>	<b>0</b>	<b>521,867</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### **Governor**

Provide funding of \$246,716 in FY 14 and \$521,867 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, annualization, turnover, and other compensation-related adjustments.

#### **Legislative**

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	8,405	0	19,867	0	0	0	0
Martin Luther King, Jr. Commission	0	139	0	472	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>8,544</b>	<b>0</b>	<b>20,339</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### **Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### **Governor**

Increase funding for various accounts by \$8,544 in FY 14 and an additional \$11,795 in FY 15 (for a cumulative total of \$20,339 in the second year) to reflect inflationary increases.

#### **Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	35,523	0	36,645	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>35,523</b>	<b>0</b>	<b>36,645</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$35,523 in FY 14 and \$36,645 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Transfer Affirmative Action Planning Function to CHRO

Personal Services	0	0	0	0	(9)	(664,441)	(9)	(693,503)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(9)</b>	<b>(664,441)</b>	<b>(9)</b>	<b>(693,503)</b>

#### Governor

Transfer funding of \$664,441 in FY 14 and \$693,503 in FY 15 and nine positions to CHRO to centralize the Affirmative Action planning function. This transfer involves one position each from the Departments of Revenue Services, Motor Vehicles, Social Services, Corrections, and Children and Families and two positions from both the Departments of Transportation and Mental Health and Addiction Services.

#### Legislative

Do not transfer Affirmative Action planning function to CHRO.

### Provide Funding for Compliance Monitoring at MDC

Personal Services	2	116,636	2	116,636	2	116,636	2	116,636
Other Expenses	0	2,500	0	0	0	2,500	0	0
<b>Total - General Fund</b>	<b>2</b>	<b>119,136</b>	<b>2</b>	<b>116,636</b>	<b>2</b>	<b>119,136</b>	<b>2</b>	<b>116,636</b>

#### Legislative

Provide funding of \$119,136 in FY 14 and \$116,636 in FY 15 and two positions to support the implementation of the requirements of PA 13-247 which subjects the Metropolitan District Commission to certain contracting requirements.

### Provide Funding for Legal Division

Personal Services	3	93,272	3	186,544	3	93,272	3	186,544
<b>Total - General Fund</b>	<b>3</b>	<b>93,272</b>	<b>3</b>	<b>186,544</b>	<b>3</b>	<b>93,272</b>	<b>3</b>	<b>186,544</b>

#### Legislative

Provide funding of \$93,272 in FY 14 (half-year funding) and \$186,544 in FY 15 and three positions to support CHRO's Legal Division.

### Reduce Funding to Match Prior Year's Expenditure Levels

Other Expenses	0	(50,000)	0	(50,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(50,000)</b>	<b>0</b>	<b>(50,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$50,000 in both FY14 and FY 15 in Other Expense account to reflect actual expenditure levels.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Rollout of FY 13 Rescissions**

Personal Services	0	(40,000)	0	(40,000)	0	0	0	0
Other Expenses	0	(19,110)	0	(19,110)	0	0	0	0
Martin Luther King, Jr. Commission	0	(332)	0	(332)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(59,442)</b>	<b>0</b>	<b>(59,442)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding by \$59,442 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(22,660)	0	(47,605)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(22,660)</b>	<b>0</b>	<b>(47,605)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$22,660 in FY 14 and \$47,605 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(10,264)	0	(10,264)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(10,264)</b>	<b>0</b>	<b>(10,264)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$10,264 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(8,405)	0	(19,867)	0	0	0	0
Martin Luther King, Jr. Commission	0	(139)	0	(472)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(8,544)</b>	<b>0</b>	<b>(20,339)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$8,544 in FY 14 and \$20,339 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Transfer Funding to Streamline Budget Account Structure**

Other Expenses	0	0	0	0	0	(6,318)	0	(6,318)
Martin Luther King, Jr. Commission	0	0	0	0	0	6,318	0	6,318
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

**Governor**

Transfer funding of \$6,318 in both FY 14 and FY 15 from the Martin Luther King Jr. Commission account to the Other Expenses account to reflect the streamlining of agency budgetary accounts.

**Legislative**

Do not transfer funds to reflect streamlining of accounts.

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	24,633	0	2,367	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>24,633</b>	<b>0</b>	<b>2,367</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$24,633 in FY 14 and \$2,367 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	74	5,585,563	74	5,585,563	0	0	0	0
Current Services	0	290,783	0	578,851	0	0	0	0
Policy Revisions	5	86,131	5	117,897	(4)	(452,033)	(4)	(390,323)
<b>Total Recommended - GF</b>	<b>79</b>	<b>5,962,477</b>	<b>79</b>	<b>6,282,311</b>	<b>(4)</b>	<b>(452,033)</b>	<b>(4)</b>	<b>(390,323)</b>

**Other Significant Legislation****PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$24,595, a General Other Expenses Lapse of \$2,501, and a Statewide Hiring Reduction Lapse of \$16,454. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	5,590,665	(39,782)	5,550,883	0.71%
Other Expenses	305,337	(3,768)	301,569	1.23%



# Protection and Advocacy for Persons with Disabilities

OPA41200

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	31	31	31	31	31	31
Permanent Full-Time - OF	14	0	0	0	0	0

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	2,306,109	2,219,908	2,229,783	2,278,257	2,229,783	2,278,257
Other Expenses	174,869	210,856	203,190	203,190	203,190	203,190
Equipment	0	1	1	1	1	1
GAAP Adjustments	0	0	8,425	10,351	8,425	10,351
Agency Total - General Fund	2,480,978	2,430,765	2,441,399	2,491,799	2,441,399	2,491,799
Additional Funds Available						
Federal & Other Restricted Act	1,458,176	1,503,874	1,549,311	1,543,411	1,549,311	1,543,411
Agency Grand Total	3,939,154	3,934,639	3,990,710	4,035,210	3,990,710	4,035,210

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	83,001	0	182,213	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>83,001</b>	<b>0</b>	<b>182,213</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$83,001 in FY 14 and \$182,213 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	5,256	0	11,522	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>5,256</b>	<b>0</b>	<b>11,522</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for various accounts by \$5,256 in FY 14 and an additional \$6,266 in FY 15 (for a cumulative total of \$11,522 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	11,666	0	13,008	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>11,666</b>	<b>0</b>	<b>13,008</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$11,666 in FY 14 and \$13,008 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(6,126)	0	(12,869)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(6,126)</b>	<b>0</b>	<b>(12,869)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$6,126 in FY 14 and \$12,869 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(3,241)	0	(2,657)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(3,241)</b>	<b>0</b>	<b>(2,657)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$3,241 in FY 14 and \$2,657 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

#### Legislative

Same as Governor

### Rollout of FY 13 Rescissions

Other Expenses	0	(6,000)	0	(6,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(6,000)</b>	<b>0</b>	<b>(6,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$6,000 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Eliminate Inflationary Increases**

Other Expenses	0	(4,689)	0	(10,955)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(4,689)</b>	<b>0</b>	<b>(10,955)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$4,689 in FY 14 and \$10,955 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Rollout of FY 13 DMP**

Personal Services	0	(67,000)	0	(110,995)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(67,000)</b>	<b>0</b>	<b>(110,995)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$67,000 in FY 14 and \$110,995 in FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(2,233)	0	(2,233)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,233)</b>	<b>0</b>	<b>(2,233)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$2,233 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Governor Estimated - GF</b>	<b>31</b>	<b>2,430,765</b>	<b>31</b>	<b>2,430,765</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	99,923	0	206,743	0	0	0	0
Policy Revisions	0	(89,289)	0	(145,709)	0	0	0	0
<b>Total Recommended - GF</b>	<b>31</b>	<b>2,441,399</b>	<b>31</b>	<b>2,491,799</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## *Other Significant Legislation*

### **PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$10,147, a General Other Expenses Lapse of \$1,664, and a Statewide Hiring Reduction Lapse of \$6,562. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	2,229,783	(15,866)	2,213,917	0.71%
Other Expenses	203,190	(2,507)	200,683	1.23%

# Workers' Compensation Commission

## WCC42000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - WF	117	117	112	112	117	117

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	8,490,584	8,758,024	8,948,704	9,232,373	9,034,093	9,328,657
Other Expenses	2,268,577	2,284,102	2,368,057	2,269,233	2,510,057	2,461,233
Equipment	0	15,900	28,625	52,000	1,028,625	2,052,000
<b>Other Current Expenses</b>						
Fringe Benefits	4,462,743	6,045,052	6,264,093	6,462,661	6,527,477	6,740,127
Indirect Overhead	959,286	716,918	575,355	601,246	575,355	601,246
GAAP Adjustments	0	0	98,183	96,325	98,183	96,325
<b>Agency Total - Workers' Compensation Fund</b>	<b>16,181,190</b>	<b>17,819,996</b>	<b>18,283,017</b>	<b>18,713,838</b>	<b>19,773,790</b>	<b>21,279,588</b>
<b>Additional Funds Available</b>						
Private Contributions	102,688	102,410	102,410	102,410	102,410	102,410
<b>Agency Grand Total</b>	<b>16,283,878</b>	<b>17,922,406</b>	<b>18,385,427</b>	<b>18,816,248</b>	<b>19,876,200</b>	<b>21,381,998</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	276,069	0	570,633	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>276,069</b>	<b>0</b>	<b>570,633</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$276,069 in FY 14 and \$570,633 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	17,656	0	38,849	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>17,656</b>	<b>0</b>	<b>38,849</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$17,656 in FY 14 and \$38,849 in FY 15 to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	240,907	0	192,083	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>240,907</b>	<b>0</b>	<b>192,083</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$240,907 in FY 14 and \$192,083 in FY 15 in Other Expenses to reflect FY 14 and FY 15 anticipated expenditure requirements. These costs include increases in leases, hosting charges and computers.

#### Legislative

Same as Governor

### Provide Funding for Replacement Equipment

Equipment	0	12,725	0	36,100	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>12,725</b>	<b>0</b>	<b>36,100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide \$12,725 in FY 14 and \$36,100 in FY 15 for replacement laptops, printers and conference recorders.

#### Legislative

Same as Governor

### Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	278,813	0	485,008	0	0	0	0
Indirect Overhead	0	(141,563)	0	(115,672)	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>137,250</b>	<b>0</b>	<b>369,336</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

#### Governor

Provide funding of \$137,250 in FY 14 and \$369,336 in FY 15 to ensure sufficient funds for fringe benefits and indirect overhead.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	115,328	0	88,825	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>115,328</b>	<b>0</b>	<b>88,825</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional – Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$115,328 in FY 14 and \$88,825 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Policy Revisions

### Reassign Caseload to Other District Offices

Personal Services	0	0	0	0	5	85,389	5	96,284
Other Expenses	0	0	0	0	0	142,000	0	192,000
Fringe Benefits	0	0	0	0	0	59,772	0	67,399
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>287,161</b>	<b>5</b>	<b>355,683</b>

#### Background

The Workers' Compensation Commission currently holds hearings to resolve disputes in workers' compensation cases in eight district offices located throughout the state (Hartford, New Britain, Waterbury, Middletown, Norwich, New Haven, Bridgeport, and Stamford).

#### Governor

Eliminate 5 vacant positions and reduce funding by \$287,161 in FY 14 and \$355,683 in FY 15 to reflect the savings associated with closing the Middletown district office as of July 1, 2013. Staff currently employed at the Middletown Office will fill vacancies within one of the other seven district offices. The wage (\$85,389) in FY 14 and (\$96,284) in FY 15 and associated fringe benefit savings (\$59,772) in FY 14 and (\$67,399) in FY 15 are associated with the elimination of 5 vacant positions. The remainder of the savings (\$142,000) in FY 14 and (\$192,000) are associated with lease savings less moving costs.

#### Legislative

Maintain 5 funded vacancies and funding of \$287,161 in FY 14 and \$355,683 in FY 15 for the Middletown District Office.

### Eliminate Inflationary Increases

Other Expenses	0	(17,656)	0	(38,849)	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>(17,656)</b>	<b>0</b>	<b>(38,849)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce Other Expenses by \$17,656 in FY 14 and \$38,849 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(14,952)	0	(14,952)	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>(14,952)</b>	<b>0</b>	<b>(14,952)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$14,952 in FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(17,145)	0	7,500	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>(17,145)</b>	<b>0</b>	<b>7,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$17,145 in FY 14 and increase funding by \$7,500 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Provide Funding for Implementation of E-File**

Equipment	0	1,000,000	0	2,000,000	0	1,000,000	0	2,000,000
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>2,000,000</b>

**Background**

The Judicial Branch currently offers various electronic services, including electronic filing in civil and small claims matters, electronic short calendar markings entry and other services. The Workers' Compensation Commission (WCC) currently manages claims through a paper filing process. The E-File system for WCC will be modeled after the civil filing system in the Judicial Branch. It is anticipated full implementation of E-File will take approximately seven years.

**Legislative**

Provide funding of \$1.0 million in FY 14 and \$2.0 million in FY 15 to implement E-File for the Workers' Compensation Commission.

**Adjust Funding to Reflect Fringe Benefit Expenditures**

Fringe Benefits	0	203,612	0	210,067	0	203,612	0	210,067
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>203,612</b>	<b>0</b>	<b>210,067</b>	<b>0</b>	<b>203,612</b>	<b>0</b>	<b>210,067</b>

**Legislative**

Provide funding of \$203,612 in FY 14 and \$210,067 in FY 15 to reflect fringe benefit requirements.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - WF	117	17,819,996	117	17,819,996	0	0	0	0
Current Services	0	799,935	0	1,295,826	0	0	0	0
Policy Revisions	0	1,153,859	0	2,163,766	5	1,490,773	5	2,565,750
<b>Total Recommended - WF</b>	<b>117</b>	<b>19,773,790</b>	<b>117</b>	<b>21,279,588</b>	<b>5</b>	<b>1,490,773</b>	<b>5</b>	<b>2,565,750</b>



# Department of Agriculture

## DAG42500

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	51	48	49	49	49	49
Permanent Full-Time - OF	8	0	0	0	0	0
Permanent Full-Time - RF	7	7	7	7	7	7

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	3,540,940	3,317,987	3,636,369	3,798,976	3,604,488	3,767,095
Other Expenses	684,458	669,279	743,214	743,214	722,045	652,045
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Vibrio Bacterium Program	0	1	0	0	1	1
Senior Food Vouchers	272,766	384,275	0	0	365,062	363,016
Environmental Conservation	0	90,000	0	0	85,500	85,500
<b>Other Than Payments to Local Governments</b>						
Collection of Agricultural Statistics	0	1,026	0	0	975	975
Tuberculosis and Brucellosis Indemnity	0	900	0	0	855	855
Fair Testing	3,007	4,040	0	0	3,838	3,838
Connecticut Grown Product Promotion	9,500	10,000	0	0	0	0
WIC Coupon Program for Fresh Produce	167,404	184,090	0	0	174,886	174,886
WIC and Senior Voucher Programs	0	0	508,067	506,021	0	0
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>25,369</b>	<b>21,028</b>	<b>25,369</b>	<b>21,028</b>
<b>Agency Total - General Fund</b>	<b>4,678,075</b>	<b>4,661,599</b>	<b>4,913,020</b>	<b>5,069,240</b>	<b>4,983,020</b>	<b>5,069,240</b>
Personal Services	348,848	386,193	380,287	399,028	380,287	399,028
Other Expenses	271,494	273,007	273,007	273,007	273,007	273,007
Equipment	3,495	1	1	1	1	1
<b>Other Current Expenses</b>						
Fringe Benefits	227,230	266,473	266,201	266,201	266,201	266,201
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>2,184</b>	<b>3,261</b>	<b>2,184</b>	<b>3,261</b>
<b>Agency Total - Regional Market Operation Fund</b>	<b>851,066</b>	<b>925,674</b>	<b>921,680</b>	<b>941,498</b>	<b>921,680</b>	<b>941,498</b>
<b>Total - Appropriated Funds</b>	<b>5,529,141</b>	<b>5,587,273</b>	<b>5,834,700</b>	<b>6,010,738</b>	<b>5,904,700</b>	<b>6,010,738</b>
<b>Additional Funds Available</b>						
Federal Funds	450,414	459,423	459,423	459,423	0	0
Federal & Other Restricted Act	1,191,635	1,215,468	1,215,468	1,215,468	1,239,777	1,264,573
Private Contributions	8,345,330	8,522,880	8,522,880	8,522,880	8,693,321	8,867,975
<b>Agency Grand Total</b>	<b>15,516,520</b>	<b>15,785,044</b>	<b>16,032,471</b>	<b>16,208,509</b>	<b>15,837,798</b>	<b>16,143,286</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Operating Expenses to Reflect Current Requirements

Senior Food Vouchers	0	0	0	(2,046)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,046)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

##### Governor

Reduce funding by \$2,046 in FY 15 to reflect reduced costs for printing and binding.

##### Legislative

Same as Governor

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	200,750	0	367,855	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>200,750</b>	<b>0</b>	<b>367,855</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Personal Services	0	(5,906)	0	12,835	0	0	0	0
<b>Total - Regional Market Operation Fund</b>	<b>0</b>	<b>(5,906)</b>	<b>0</b>	<b>12,835</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

##### Governor

Provide funding of \$194,844 in FY 14 and \$380,690 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, annualization, turnover, and other compensation-related adjustments.

##### Legislative

Same as Governor

#### Transfer Analyst from DEEP to Dept. of Agriculture

Personal Services	1	102,634	1	105,713	0	0	0	0
<b>Total - General Fund</b>	<b>1</b>	<b>102,634</b>	<b>1</b>	<b>105,713</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

##### Governor

Transfer funding of \$102,634 in FY 14 and \$105,713 in FY 15 and associated Environmental Analyst position to reflect the realignment of funding and position with duties.

##### Legislative

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	20,789	0	41,653	0	0	0	0
Collection of Agricultural Statistics	0	22	0	52	0	0	0	0
Tuberculosis and Brucellosis Indemnity	0	20	0	47	0	0	0	0
Fair Testing	0	88	0	208	0	0	0	0
Connecticut Grown Product Promotion	0	217	0	513	0	0	0	0
WIC Coupon Program for Fresh Produce	0	3,995	0	9,449	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>25,131</b>	<b>0</b>	<b>51,922</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	8,767	0	17,996	0	0	0	0
<b>Total - Regional Market Operation Fund</b>	<b>0</b>	<b>8,767</b>	<b>0</b>	<b>17,996</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

##### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Increase funding for various accounts by \$33,898 in FY 14 and an additional \$36,020 in FY 15 (for a cumulative total of \$69,918 in the second year) to reflect inflationary increases.

**Legislative**

Same as Governor

**Provide Funding for GAAP**

Nonfunctional - Change to Accruals	0	21,370	0	20,951	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>21,370</b>	<b>0</b>	<b>20,951</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	3,054	0	3,279	0	0	0	0
<b>Total - Regional Market Operation Fund</b>	<b>0</b>	<b>3,054</b>	<b>0</b>	<b>3,279</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Each agency's budget will include a new line item account called "Nonfunctional – Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$24,424 in FY 14 and \$24,230 in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Adjust Funding for Fringe Benefits**

Fringe Benefits	0	(272)	0	(272)	0	0	0	0
<b>Total - Regional Market Operation Fund</b>	<b>0</b>	<b>(272)</b>	<b>0</b>	<b>(272)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Non-General Fund agencies are budgeted directly for employee fringe benefits.

**Governor**

Reduce funding of \$272 in both FY 14 and FY 15 for fringe benefits.

**Legislative**

Same as Governor

**Policy Revisions****Eliminate Inflationary Increases**

Other Expenses	0	(20,789)	0	(41,653)	0	0	0	0
Collection of Agricultural Statistics	0	(22)	0	(52)	0	0	0	0
Tuberculosis and Brucellosis Indemnity	0	(20)	0	(47)	0	0	0	0
Fair Testing	0	(88)	0	(208)	0	0	0	0
Connecticut Grown Product Promotion	0	(217)	0	(513)	0	0	0	0
WIC Coupon Program for Fresh Produce	0	(3,995)	0	(9,449)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(25,131)</b>	<b>0</b>	<b>(51,922)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	(8,767)	0	(17,996)	0	0	0	0
<b>Total - Regional Market Operation Fund</b>	<b>0</b>	<b>(8,767)</b>	<b>0</b>	<b>(17,996)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce various accounts by \$33,898 in FY 14 and \$69,918 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Rollout of FY 13 Rescissions**

Personal Services	0	(10,000)	0	(10,000)	0	0	0	0
Senior Food Vouchers	0	(19,213)	0	(19,213)	0	0	0	0
Environmental Conservation	0	(4,500)	0	(4,500)	0	0	0	0
Collection of Agricultural Statistics	0	(51)	0	(51)	0	0	0	0
Tuberculosis and Brucellosis Indemnity	0	(45)	0	(45)	0	0	0	0
Fair Testing	0	(202)	0	(202)	0	0	0	0
Connecticut Grown Product Promotion	0	(10,000)	0	(10,000)	0	0	0	0
WIC Coupon Program for Fresh Produce	0	(9,204)	0	(9,204)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(53,215)</b>	<b>0</b>	<b>(53,215)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$53,215 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Transfer Funding to Streamline Budget Account Structure**

Personal Services	0	0	0	0	0	(31,881)	0	(31,881)
Other Expenses	0	0	0	0	0	(91,169)	0	(91,169)
Vibrio Bacterium Program	0	0	0	0	0	1	0	1
Senior Food Vouchers	0	0	0	0	0	365,062	0	363,016
Environmental Conservation	0	0	0	0	0	85,500	0	85,500
Collection of Agricultural Statistics	0	0	0	0	0	975	0	975
Tuberculosis and Brucellosis Indemnity	0	0	0	0	0	855	0	855
Fair Testing	0	0	0	0	0	3,838	0	3,838
WIC Coupon Program for Fresh Produce	0	0	0	0	0	174,886	0	174,886
WIC and Senior Voucher Programs	0	0	0	0	0	(508,067)	0	(506,021)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

**Governor**

Transfer funding of \$631,117 in FY 14 and \$629,071 in FY 15 from various programmatic accounts to the agency's Personal Services, Other Expenses and WIC Senior Food Voucher accounts to reflect the streamlining of agency budgetary accounts.

**Legislative**

Do not reflect the streamlining of agency budgetary accounts.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(6,883)	0	(14,460)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(6,883)</b>	<b>0</b>	<b>(14,460)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$6,883 in FY 14 and \$14,460 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(7,234)	0	(7,234)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(7,234)</b>	<b>0</b>	<b>(7,234)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$7,234 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Transfer Costs for On-Line Licensing

Other Expenses	0	(10,000)	0	(10,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(10,000)</b>	<b>0</b>	<b>(10,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$10,000 in both FY 14 and FY 15 to the Department of Administrative Services (DAS) to reflect centralizing the on-line licensing system.

#### Legislative

Same as Governor

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	3,999	0	77	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,999</b>	<b>0</b>	<b>77</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	(870)	0	(18)	0	0	0	0
<b>Total - Regional Market Operation Fund</b>	<b>0</b>	<b>(870)</b>	<b>0</b>	<b>(18)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$3,129 in FY 14 and \$59 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

#### Legislative

Same as Governor

### Provide Funding for Vibrio Bacteria Testing Equipment

Other Expenses	0	70,000	0	0	0	70,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>70,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>70,000</b>	<b>0</b>	<b>0</b>

#### Background

Vibrio vulnificus is a bacterium in the same family as those that cause cholera. The bacterium is usually found in warmer coastal areas and is found in higher concentrations in the summer months as water becomes warmer.

#### Legislative

Provide funding of \$70,000 in FY 14 for vibrio bacteria testing equipment.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	48	4,661,599	48	4,661,599	0	0	0	0
Current Services	1	349,885	1	544,395	0	0	0	0
Policy Revisions	0	(28,464)	0	(136,754)	0	70,000	0	0
<b>Total Recommended - GF</b>	<b>49</b>	<b>4,983,020</b>	<b>49</b>	<b>5,069,240</b>	<b>0</b>	<b>70,000</b>	<b>0</b>	<b>0</b>
Governor Estimated - RF	7	925,674	7	925,674	0	0	0	0
Current Services	0	5,643	0	33,838	0	0	0	0
Policy Revisions	0	(9,637)	0	(18,014)	0	0	0	0
<b>Total Recommended - RF</b>	<b>7</b>	<b>921,680</b>	<b>7</b>	<b>941,498</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Other Significant Legislation****PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$18,037, a General Other Expenses Lapse of \$5,915, and a Statewide Hiring Reduction Lapse of \$10,608. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	3,604,488	(25,648)	3,578,840	0.71%
Other Expenses	722,045	(8,912)	713,133	1.23%

# Department of Energy and Environmental Protection

## DEP43000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	727	669	669	669	669	669
Permanent Full-Time - OF	341	267	265	265	265	265
Permanent Full-Time - PF	125	125	127	127	127	127

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	29,352,891	29,015,253	30,731,389	32,001,864	30,412,459	31,668,528
Other Expenses	4,222,641	3,771,656	5,097,422	5,097,721	3,895,422	3,820,422
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Stream Gaging	199,561	199,561	0	0	189,583	189,583
Mosquito Control	272,144	259,168	0	0	253,028	262,547
State Superfund Site Maintenance	128,365	541,100	0	0	514,046	514,046
Laboratory Fees	170,309	170,309	0	0	161,794	161,794
Dam Maintenance	130,164	120,737	0	0	133,574	138,760
Emergency Spill Response	7,801,292	6,798,977	7,286,647	7,538,207	7,286,647	7,538,207
Solid Waste Management	2,627,255	2,360,398	3,829,572	3,957,608	3,829,572	3,957,608
Underground Storage Tank	1,303,410	975,276	952,363	999,911	952,363	999,911
Clean Air	4,499,871	4,829,325	0	0	4,454,787	4,586,375
Environmental Conservation	9,158,432	8,495,636	0	0	9,261,679	9,466,633
Boating Account	0	0	0	0	0	0
Environmental Quality	9,937,945	9,753,982	0	0	10,024,734	10,327,745
Pheasant Stocking Account	0	160,000	0	0	160,000	160,000
Greenways Account	0	1	0	0	2	2
Natural Resources and Outdoor Recreation	0	0	9,271,770	9,476,724	0	0
Environmental Management and Assurance	0	0	14,717,521	15,152,120	0	0
Conservation Districts & Soil and Water Councils	0	0	0	0	300,000	300,000
<b>Other Than Payments to Local Governments</b>						
Interstate Environmental Commission	48,783	48,783	0	0	48,783	48,783
Agreement USGS - Hydrological Study	155,456	155,456	0	0	147,683	147,683
New England Interstate Water Pollution Commission	28,827	28,827	0	0	28,827	28,827
Northeast Interstate Forest Fire Compact	3,295	3,295	0	0	3,295	3,295
Connecticut River Valley Flood Control Commission	32,395	32,395	0	0	32,395	32,395
Thames River Valley Flood Control Commission	48,281	48,281	0	0	48,281	48,281
Agreement USGS-Water Quality Stream Monitoring	215,412	215,412	0	0	204,641	204,641
Operation Fuel	1,100,000	0	0	0	0	0

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Grant Payments to Local Governments						
Lobster Restoration	0	200,000	0	0	0	0
GAAP Adjustments	0	0	0	289,533	0	289,533
Agency Total - General Fund	71,436,728	68,183,829	71,886,685	74,513,689	72,343,596	74,895,600
Personal Services	10,293,230	11,602,054	11,022,629	11,495,649	11,022,629	11,495,649
Other Expenses	2,259,086	1,650,391	1,789,156	1,789,156	2,289,156	1,789,156
Equipment	0	26,000	19,500	19,500	19,500	19,500
Other Current Expenses						
Fringe Benefits	6,256,702	8,009,565	7,736,625	8,090,619	7,736,625	8,090,619
Indirect Overhead	1,140,433	197,792	150,000	156,750	150,000	156,750
Other Than Payments to Local Governments						
Operation Fuel	0	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000
GAAP Adjustments	0	0	37,304	114,090	37,304	114,090
Agency Total - Consumer Counsel and Public Utility Control Fund	19,949,451	22,585,802	21,855,214	22,765,764	22,355,214	22,765,764
Total - Appropriated Funds	91,386,179	90,769,631	93,741,899	97,279,453	94,698,810	97,661,364
Additional Funds Available						
Carry Forward Funding	0	0	0	0	75,000	75,000
Carry Forward - PUC	0	0	0	0	2,100,000	0
Federal & Other Restricted Act	68,457,753	50,538,540	38,816,321	37,734,543	38,816,321	37,734,543
Private Contributions	26,182,081	19,547,410	18,878,303	18,774,820	18,878,303	18,774,820
Agency Grand Total	186,026,013	160,855,581	151,436,523	153,788,816	154,568,435	154,245,727

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Current Services****Adjust Funding to Reflect Wage & Compensation Related Costs**

Personal Services	0	1,731,077	0	3,021,472	0	0	0	0
Mosquito Control	0	6,527	0	15,747	0	0	0	0
Dam Maintenance	0	3,400	0	8,586	0	0	0	0
Emergency Spill Response	0	254,912	0	512,272	0	0	0	0
Solid Waste Management	0	183,647	0	308,477	0	0	0	0
Underground Storage Tank	0	25,850	0	73,398	0	0	0	0
Clean Air	0	45,558	0	174,851	0	0	0	0
Environmental Conservation	0	446,881	0	651,835	0	0	0	0
Environmental Quality	0	586,907	0	955,833	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,284,759</b>	<b>0</b>	<b>5,722,471</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Personal Services	2	(549,733)	2	(44,027)	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>2</b>	<b>(549,733)</b>	<b>2</b>	<b>(44,027)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$2,735,026 in FY 14 (a General Fund (GF) increase of \$3,284,759 and a reduction of \$549,733 in the PUC Fund) and \$5,678,444 in FY 15 (a GF increase of \$5,722,471 and reduction of \$44,027 in the PUC Fund) to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Same as Governor

**Apply Inflationary Increases**

Other Expenses	0	197,374	0	347,725	0	0	0	0
Stream Gaging	0	4,330	0	10,243	0	0	0	0
Mosquito Control	0	1,598	0	3,283	0	0	0	0
State Superfund Site Maintenance	0	11,743	0	27,775	0	0	0	0
Laboratory Fees	0	3,696	0	8,742	0	0	0	0
Dam Maintenance	0	324	0	725	0	0	0	0
Emergency Spill Response	0	33,030	0	68,223	0	0	0	0
Underground Storage Tank	0	623	0	1,461	0	0	0	0
Clean Air	0	39,580	0	80,698	0	0	0	0
Environmental Conservation	0	83,967	0	188,308	0	0	0	0
Environmental Quality	0	51,955	0	119,629	0	0	0	0
Interstate Environmental Commission	0	1,059	0	2,504	0	0	0	0
Agreement USGS - Hydrological Study	0	3,373	0	7,979	0	0	0	0
New England Interstate Water Pollution Commission	0	625	0	1,479	0	0	0	0
Northeast Interstate Forest Fire Compact	0	71	0	169	0	0	0	0
Connecticut River Valley Flood Control Commission	0	703	0	1,662	0	0	0	0
Thames River Valley Flood Control Commission	0	1,047	0	2,478	0	0	0	0
Agreement USGS-Water Quality Stream Monitoring	0	4,674	0	11,056	0	0	0	0
Lobster Restoration	0	4,340	0	10,266	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>444,112</b>	<b>0</b>	<b>894,405</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	36,451	0	92,795	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>36,451</b>	<b>0</b>	<b>92,795</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

**Governor**

Increase funding for various accounts by \$480,563 in FY 14 (a GF increase of \$444,112 and \$36,451 in the PUC Fund) and an additional \$987,200 in FY 15 (a GF increase of \$894,405 and \$92,795 in the PUC Fund), for a cumulative total of \$1,467,763 in the second year, to reflect inflationary increases.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	431,264	0	379,083	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>431,264</b>	<b>0</b>	<b>379,083</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	38,749	0	115,580	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>38,749</b>	<b>0</b>	<b>115,580</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$470,013 in FY 14 (a GF increase of \$431,264 and \$38,749 in the PUC Fund) and \$494,663 in FY 15 (a GF increase of \$379,083 and \$115,580 in the PUC Fund) to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

### Transfer Funding - Env. Quality to Env. Conservation

Environmental Conservation	0	138,000	0	138,000	0	0	0	0
Environmental Quality	0	(138,000)	0	(138,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Office of Attorney General (OAG) provides legal services to state agencies. The FY 13 revised budget transferred funding from DEEP to OAG to eliminate reimbursements for attorney positions.

#### Governor

Transfer funding of \$138,000 in both FY 14 and FY 15 from the Environmental Quality (EQ) account to the Environmental Conservation (EC) account to reflect proper account funding.

#### Legislative

Same as Governor

### Adjust Funding Between Clean Air & Solid Waste Accounts

Solid Waste Management	0	180,436	0	180,436	0	0	0	0
Clean Air	0	(180,436)	0	(180,436)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$180,436 in both FY 14 and FY 15 from the Clean Air account to the Solid Waste account to more accurately reflect expenditure needs.

#### Legislative

Same as Governor

### Reduce Funding for Equipment

Equipment	0	(6,500)	0	(6,500)	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>(6,500)</b>	<b>0</b>	<b>(6,500)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$6,500 in the PUC Fund in both FY 14 and FY 15 in the Equipment account.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for SEAT Spill Remediation

Emergency Spill Response	0	432,758	0	432,758	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>432,758</b>	<b>0</b>	<b>432,758</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Southeast Area Transit (SEAT) is a provider of local bus service in ten municipalities in the southeastern portion state: East Lyme, Griswold, the town and city of Groton, Ledyard, Montville, New London, Norwich, Stonington, and Waterford. In August 2010, 1,000 gallons of diesel fuel was discovered leaking from the bus headquarters into the Poquetanuck Cove, a tributary of the Thames River.

#### Governor

Provide funding of \$432,758 in both FY 14 and FY 15 for additional lab supplies associated with clean-up costs of the SEAT spill.

#### Legislative

Same as Governor

### Adjust Funding for Various Accounts

Mosquito Control	0	291	0	590	0	0	0	0
Dam Maintenance	0	9,437	0	9,437	0	0	0	0
Clean Air	0	(1,660)	0	635	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>8,068</b>	<b>0</b>	<b>10,662</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$8,068 in FY 14 and \$10,662 in FY 15 for various accounts. Of this total, \$291 in FY 14 and \$590 in FY 15 is provided for the increased cost of mosquito spray; \$9,437 is provided in both FY 14 and FY 15 for the maintenance of all dams in the state; and a funding adjustment of (\$1,660) in FY 14 and \$635 in FY 15 for the Clean Air account.

#### Legislative

Same as Governor

### Transfer Position and Salary to Dept. of Agriculture

Personal Services	(1)	(102,634)	(1)	(105,713)	0	0	0	0
<b>Total - General Fund</b>	<b>(1)</b>	<b>(102,634)</b>	<b>(1)</b>	<b>(105,713)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$102,634 in FY 14 and FY \$105,713 in FY 15 and one associated Environmental Analyst position to the Department of Agriculture (DAG) to realign position with duties. The position is transferred from the Air Management Bureau at DEEP into the Commissioner's Office at DAG.

#### Legislative

Same as Governor

### Provide Funding for Increased Lease Requirements

Other Expenses	0	138,765	0	138,765	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>138,765</b>	<b>0</b>	<b>138,765</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Increase funding by \$138,765 in the PUC Fund in both FY 14 and FY 15 to reflect anticipated expenditure requirements. This increase includes additional lease costs to reflect the transfer of the building lease from the Department of Consumer Counsel.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	(272,940)	0	81,054	0	0	0	0
Indirect Overhead	0	(47,792)	0	(41,042)	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>(320,732)</b>	<b>0</b>	<b>40,012</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

#### Governor

Reduce funding by \$320,732 in FY 14 and increase funding by \$40,012 in FY 15 to ensure sufficient funds for fringe benefits and indirect overhead.

#### Legislative

Same as Governor

### Adjust Funding for Fish Food

Environmental Conservation	0	181,162	0	181,162	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>181,162</b>	<b>0</b>	<b>181,162</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Global commodity and energy prices result in increasing costs for fish feed costs, specifically increased costs for wheat, rice, fish oil, and fishmeal.

#### Governor

Provide funding of \$181,162 in both FY 14 and FY 15 to reflect increased costs in fish food for the state's fish hatcheries.

#### Legislative

Same as Governor

### Provide Funding for General Permit Advertising

Environmental Quality	0	33,056	0	23,978	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>33,056</b>	<b>0</b>	<b>23,978</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$33,056 in FY 14 and \$23,978 in FY 15 to reflect increased costs of advertising for environmental quality general permits.

#### Legislative

Same as Governor

## Policy Revisions

### Eliminate Inflationary Increases

Other Expenses	0	(197,374)	0	(347,725)	0	0	0	0
Stream Gaging	0	(4,330)	0	(10,243)	0	0	0	0
Mosquito Control	0	(1,598)	0	(3,283)	0	0	0	0
State Superfund Site Maintenance	0	(11,743)	0	(27,775)	0	0	0	0
Laboratory Fees	0	(3,696)	0	(8,742)	0	0	0	0
Dam Maintenance	0	(324)	0	(725)	0	0	0	0
Emergency Spill Response	0	(33,030)	0	(68,223)	0	0	0	0
Underground Storage Tank	0	(623)	0	(1,461)	0	0	0	0
Clean Air	0	(39,580)	0	(80,698)	0	0	0	0
Environmental Conservation	0	(83,967)	0	(188,308)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Environmental Quality	0	(51,955)	0	(119,629)	0	0	0	0
Interstate Environmental Commission	0	(1,059)	0	(2,504)	0	0	0	0
Agreement USGS - Hydrological Study	0	(3,373)	0	(7,979)	0	0	0	0
New England Interstate Water Pollution Commission	0	(625)	0	(1,479)	0	0	0	0
Northeast Interstate Forest Fire Compact	0	(71)	0	(169)	0	0	0	0
Connecticut River Valley Flood Control Commission	0	(703)	0	(1,662)	0	0	0	0
Thames River Valley Flood Control Commission	0	(1,047)	0	(2,478)	0	0	0	0
Agreement USGS-Water Quality Stream Monitoring	0	(4,674)	0	(11,056)	0	0	0	0
Lobster Restoration	0	(4,340)	0	(10,266)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(444,112)</b>	<b>0</b>	<b>(894,405)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	(36,451)	0	(92,795)	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>(36,451)</b>	<b>0</b>	<b>(92,795)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$480,563 in FY 14 (\$444,112 in the GF and \$36,451 in the PUC Fund) and \$987,200 in FY 15 (\$894,405 in the GF and \$92,795 in the PUC Fund) to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Rollout of FY 13 DMP**

Stream Gaging	0	(9,978)	0	(9,978)	0	0	0	0
Agreement USGS - Hydrological Study	0	(7,773)	0	(7,773)	0	0	0	0
Agreement USGS-Water Quality Stream Monitoring	0	(10,771)	0	(10,771)	0	0	0	0
Lobster Restoration	0	(190,000)	0	(190,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(218,522)</b>	<b>0</b>	<b>(218,522)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$218,522 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Rollout of FY 13 Rescissions**

Mosquito Control	0	(12,958)	0	(12,958)	0	0	0	0
State Superfund Site Maintenance	0	(27,054)	0	(27,054)	0	0	0	0
Laboratory Fees	0	(8,515)	0	(8,515)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Underground Storage Tank	0	(48,763)	0	(48,763)	0	0	0	0
Lobster Restoration	0	(10,000)	0	(10,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(107,290)</b>	<b>0</b>	<b>(107,290)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$107,290 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Transfer Funding to Streamline Budget Account Structure**

Personal Services	0	0	0	0	0	(318,930)	0	(333,336)
Other Expenses	0	0	0	0	0	(1,447,000)	0	(1,447,299)
Stream Gaging	0	0	0	0	0	189,583	0	189,583
Mosquito Control	0	0	0	0	0	253,028	0	262,547
State Superfund Site Maintenance	0	0	0	0	0	514,046	0	514,046
Laboratory Fees	0	0	0	0	0	161,794	0	161,794
Dam Maintenance	0	0	0	0	0	133,574	0	138,760
Clean Air	0	0	0	0	0	4,692,787	0	4,824,375
Environmental Conservation	0	0	0	0	0	9,111,769	0	9,316,723
Environmental Quality	0	0	0	0	0	10,024,734	0	10,327,745
Pheasant Stocking Account	0	0	0	0	0	160,000	0	160,000
Greenways Account	0	0	0	0	0	1	0	1
Natural Resources and Outdoor Recreation	0	0	0	0	0	(9,271,770)	0	(9,476,724)
Environmental Management and Assurance	0	0	0	0	0	(14,717,521)	0	(15,152,120)
Interstate Environmental Commission	0	0	0	0	0	48,783	0	48,783
Agreement USGS - Hydrological Study	0	0	0	0	0	147,683	0	147,683
New England Interstate Water Pollution Commission	0	0	0	0	0	28,827	0	28,827
Northeast Interstate Forest Fire Compact	0	0	0	0	0	3,295	0	3,295
Connecticut River Valley Flood Control Commission	0	0	0	0	0	32,395	0	32,395
Thames River Valley Flood Control Commission	0	0	0	0	0	48,281	0	48,281
Agreement USGS-Water Quality Stream Monitoring	0	0	0	0	0	204,641	0	204,641
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Transfer funding of \$25,755,248 in FY 14 and \$26,409,479 in FY 15 from the agency's various programmatic accounts to the newly created 1) Natural Resources and Outdoor Recreation account, 2) Environmental Management and Assurance account, 3) Personal Services, and 4) Other Expenses to reflect the streamlining of agency budgetary accounts.

**Legislative**

Do not reflect the streamlining of agency budgetary accounts.

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(431,264)	0	(89,550)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(431,264)</b>	<b>0</b>	<b>(89,550)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	(1,445)	0	(1,490)	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>(1,445)</b>	<b>0</b>	<b>(1,490)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$432,709 in FY 14 (\$431,264 GF and \$1,445 PUC Fund) and \$91,040 in FY 15 (\$89,550 GF and \$1,490 PUC Fund) to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(1,234)	0	(1,234)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,234)</b>	<b>0</b>	<b>(1,234)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$1,234 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Adjust Operating Expenses to Reflect Current Requirements**

Environmental Quality	0	(205,000)	0	(255,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(205,000)</b>	<b>0</b>	<b>(255,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$205,000 in FY 14 and \$255,000 in FY 15 in the Environmental Quality (EQ) account to reflect FY 14 and FY 15 anticipated expenditure requirements. These reductions include savings in storage, paper reduction, and telephone and mailing costs as a result of implementation of new information technology initiatives.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(22,702)	0	(47,693)	0	0	0	0
Environmental Quality	0	(6,211)	0	(13,048)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(28,913)</b>	<b>0</b>	<b>(60,741)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Personal Services	0	(29,692)	0	(62,378)	0	0	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>(29,692)</b>	<b>0</b>	<b>(62,378)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$58,605 in FY 14 (a reduction of \$28,913 GF and \$29,692 PUC Fund) and \$123,119 in FY 15 (a reduction of \$60,741 GF and \$62,378 PUC Fund) to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Eliminate Funding for Warehouse Lease**

Other Expenses	0	(120,000)	0	(120,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(120,000)</b>	<b>0</b>	<b>(120,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$120,000 in both FY 14 and FY 15 to reflect termination of the warehouse lease in West Hartford. Supplies are currently being moved to the agency's new warehouse location in Portland.

**Legislative**

Same as Governor

**Provide Funding for Greenways Account**

Greenways Account	0	1	0	1	0	1	0	1
<b>Total - General Fund</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>

**Legislative**

Provide \$1 in both FY 14 and FY 15 for the Greenways Account.

**Provide Funding for CRRA Landfills**

Solid Waste Management	1	1,105,091	1	1,108,297	0	0	0	0
<b>Total - General Fund</b>	<b>1</b>	<b>1,105,091</b>	<b>1</b>	<b>1,108,297</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide one Environmental Analyst III position and associated funding of \$1,105,091 in FY 14 and \$1,108,297 in FY 15 for the transfer of care and control of five CRRA post-closure landfills to the state. The analyst will manage outside contracts, which represents the majority of the costs, for ongoing testing and maintenance of the landfills in Hartford, Ellington, Waterbury, Wallingford, and Shelton.

**Legislative**

Section 236 of PA 13 - 247, the general government implementer, requires that DEEP enter into a memorandum of understanding (MOU) with CRRA requiring DEEP to legally assume all required obligations resulting from the closure of these landfills. In addition, Section 99 of PA 13-184, the FY 14 - FY 15 budget bill, provides for a one-time revenue transfer of up to \$35.0 million from CRRA to the state, in FY 14 only, for this purpose.

**Adjust Funding for the Burlington Fish Hatchery**

Environmental Conservation	0	0	0	0	0	149,910	0	149,910
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>149,910</b>	<b>0</b>	<b>149,910</b>

**Background**

The Burlington Trout Hatchery produces approximately 80,000 brown and rainbow trout annually and approximately 45,000 brook trout are raised annually for stocking into streams. Approximately 200,000 land-locked sock-eye salmon fry are raised for stocking in suitable lakes in Connecticut.

**Governor**

Reduce funding by \$149,910 in both FY 14 and FY 15 for costs associated with the Burlington Fish Hatchery.

**Legislative**

Maintain funding of \$149,910 in both FY 14 and FY 15 for the Burlington Fish Hatchery.

**Adjust Overtime Funding for Park Staff & EnCon Officers**

Personal Services	0	(208,535)	0	(214,791)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(208,535)</b>	<b>0</b>	<b>(214,791)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$208,535 in FY 14 and \$214,791 in FY 15 to reflect a reduction in the number of overtime hours worked by state park staff and Environmental Conservation (EnCon) officers.

**Legislative**

Same as Governor



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Funding for Vapor Recovery to DCP

Clean Air	0	(238,000)	0	(238,000)	0	(238,000)	0	(238,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(238,000)</b>	<b>0</b>	<b>(238,000)</b>	<b>0</b>	<b>(238,000)</b>	<b>0</b>	<b>(238,000)</b>

#### Legislative

Transfer funding of \$238,000 in both FY 14 and FY 15 from DEEP to the Department of Consumer Protection (DCP) for the testing and subsequent decommissioning of any gasoline dispensing facility of stage II vapor recovery systems under PA 13-120, AAC Gasoline Vapor Recovery Systems.

### Provide Funding for Conservation Districts

Conservation Districts & Soil and Water Councils	0	300,000	0	300,000	0	300,000	0	300,000
<b>Total - General Fund</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>300,000</b>

#### Background

Conservation Districts were created by the legislature, under 22a-315 and are mandated to assist DEEP in providing technical services and education to municipalities and individuals in identifying and solving problems associated with soil and water conservation. The Districts are locally governed, natural resource based non-profit agencies.

#### Legislative

Provide funding of \$300,000 in both FY 14 and FY 15 for Conservation Districts.

### Provide Funding for Lobster Restoration

Other Expenses	0	75,000	0	0	0	75,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>75,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>75,000</b>	<b>0</b>	<b>0</b>

#### Legislative

Provide funding of \$75,000 in FY 14 to establish a program for the prohibition on the use or application of methoprene or resmethrin in any storm drain.

### Provide Funding for West River Comprehensive Watershed Plan

Other Expenses	0	150,000	0	150,000	0	150,000	0	150,000
<b>Total - General Fund</b>	<b>0</b>	<b>150,000</b>	<b>0</b>	<b>150,000</b>	<b>0</b>	<b>150,000</b>	<b>0</b>	<b>150,000</b>

#### Background

The West River is a 13.5-mile-long freshwater stream in southern Connecticut that flows through the towns of Bethany, Woodbridge, and New Haven, before discharging into the West Haven Harbor. While the river's natural channel winds along the western edge of the West River Memorial Park, a very straight channel cuts through its middle and terminates at the park's northern edge (Derby Avenue). There is a public canoe launch, maintained by the City of New Haven Department of Parks, Recreation, and Trees, in the constructed channel at Derby Avenue.

#### Legislative

Provide funding of \$150,000 in both FY 14 and FY 15 for the West River Comprehensive Watershed Plan.

### Provide Funding for West River Neighborhood Services Corp.

Other Expenses	0	20,000	0	20,000	0	20,000	0	20,000
<b>Total - General Fund</b>	<b>0</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>

#### Background

The West River Neighborhood Services Corporation (WRNSC) is a 501(c)(3) established and incorporated in September 2005. The mission of the West River Neighborhood Services Corporation is: (1) To promote a productive community that provides an environment that is safe, secure, vital, and full of activity; and (2) to create a beautiful place that encourages a positive and active attitude among its residents and visitors in the area known as the West River neighborhood.

#### Legislative

Provide funding of \$20,000 in both FY 14 and FY 15 for the West River Neighborhood Services Corporation.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for Drinking Water

Emergency Spill Response	0	(200,000)	0	(205,800)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(200,000)</b>	<b>0</b>	<b>(205,800)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The agency provides potable water (bottled water, small residential treatment systems, and in certain cases extensions of water lines) to some residents whose domestic water supplies have been contaminated by pollution where the responsible party cannot be identified, or the municipality cannot provide drinking water. Annually, the program provides for bottled water at approximately 40 private homes and 215 small water systems whose well-water has become contaminated above standards set by the Department of Public Health.

#### Governor

Reduce funding by \$200,000 in FY 14 and \$205,800 FY 15 for potable water to residences and elementary and secondary schools under certain conditions to achieve savings. These savings were included under Section 37 of PA 13 - 247, the general government implementer.

#### Legislative

Same as Governor

### Provide Funding for Weatherization Program

Other Expenses	0	500,000	0	0	0	500,000	0	0
<b>Total - Consumer Counsel and Public Utility Control Fund</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>0</b>

#### Background

The Weatherization Assistance Program is funded by the US Department of Energy, and assists low-income persons to minimize energy-related costs and fuel usage in their homes through retrofits and home improvement measures. Connecticut's Weatherization Program is administered by the DEEP in partnership with the statewide Community Action Agency network. Local Community Action Agencies qualify households and coordinate services; DEEP provides overall program management and technical assistance.

#### Legislative

Provide funding of \$500,000 in FY 14 for the Weatherization program.

## Carry Forward

### Provide Carry Forward Funding For Long Island Sound Assembly

Environmental Conservation	0	75,000	0	75,000	0	75,000	0	75,000
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>75,000</b>	<b>0</b>	<b>75,000</b>	<b>0</b>	<b>75,000</b>	<b>0</b>	<b>75,000</b>

#### Background

The Long Island Sound Assembly was established by the legislature under Sec. 25-155 of the Connecticut General Statutes (CGS). The CGS reviews reports of the Assembly for compatibility with the reports of the other councils and for coordination with federal and state law and the activities of the Bi-State Long Island Sound Marine Resources Committee.

#### Legislative

Funding of \$150,000 is carried forward into the Environmental Conservation account for the Long Island Sound Assembly. Section 75 of PA 13-247, the general government implementer, provides for \$75,000 in both FY 14 and FY 15 for this purpose.

### Provide Carry Forward Funds for Various PUC Accounts

Other Expenses	0	1,415,000	0	0	0	1,415,000	0	0
Equipment	0	685,000	0	0	0	685,000	0	0
<b>Total - Carry Forward - PUC</b>	<b>0</b>	<b>2,100,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,100,000</b>	<b>0</b>	<b>0</b>

#### Legislative

Funds totaling \$2.1 million are carried forward from FY 13 into FY 14 for the Public Utilities Control (PUC) fund:

- Up to \$1,242,604 is carried forward from Personal Services and is transferred to Other Expenses. Section 54(a) of PA 13-184, the FY 14 and FY 15 budget bill, implements this provision.
- Up to \$172,396 is carried forward from Fringe Benefits and is transferred to Other Expenses. Section 54(b) of PA 13-184, the FY 14 and FY 15 budget bill, implements this provision.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

- Up to \$685,000 is carried forward from Fringe Benefits and is transferred to Equipment. Section 54(c) of PA 13-184, the FY 14 and FY 15 budget bill, implements this provision.

## Provide Funding for Operation Fuel

### Legislative

Provide funding of \$1,000,000 for Operation Fuel from the Systems Benefits Charge. Of this total, \$900,000 is for the emergency energy assistance grant and \$100,000 is for the operating costs incurred in the administration of emergency energy assistance. The Systems Benefits Charge is a charge imposed against all end use customers of each electric distribution company and is a non-appropriated account. Section 41 of PA 13-184, the FY 14 and FY 15 budget bill, implements this provision.

## Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	669	68,183,829	669	68,183,829	0	0	0	0
Current Services	(1)	4,712,545	(1)	7,538,806	0	0	0	0
Policy Revisions	1	(552,778)	1	(827,035)	0	456,911	0	381,911
<b>Total Recommended - GF</b>	<b>669</b>	<b>72,343,596</b>	<b>669</b>	<b>74,895,600</b>	<b>0</b>	<b>456,911</b>	<b>0</b>	<b>381,911</b>
Governor Estimated - PF	125	22,585,802	125	22,585,802	0	0	0	0
Current Services	2	(663,000)	2	336,625	0	0	0	0
Policy Revisions	0	432,412	0	(156,663)	0	500,000	0	0
<b>Total Recommended - PF</b>	<b>127</b>	<b>22,355,214</b>	<b>127</b>	<b>22,765,764</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>0</b>

## Other Significant Legislation

### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$293,987, a General Other Expenses Lapse of \$31,910, and a Statewide Hiring Reduction Lapse of \$89,507. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	30,412,459	(216,406)	30,196,053	0.71%
Other Expenses	3,895,422	(48,078)	3,847,344	1.23%
Mosquito Control	253,028	(1,059)	251,969	0.42%
Emergency Spill Response	7,286,647	(30,494)	7,256,153	0.42%
Solid Waste Management	3,829,572	(16,026)	3,813,546	0.42%
Underground Storage Tank	952,363	(3,986)	948,377	0.42%
Clean Air	4,454,787	(18,643)	4,436,144	0.42%
Environmental Conservation	9,261,679	(38,759)	9,222,920	0.42%
Environmental Quality	10,024,734	(41,953)	9,982,781	0.42%

# Council on Environmental Quality

## CEQ45000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	2	2	0	0	2	2

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	161,488	160,867	0	0	163,401	170,396
Other Expenses	1,335	3,547	0	0	1,812	1,812
Equipment	0	1	0	0	1	1
<b>Agency Total - General Fund</b>	<b>162,824</b>	<b>164,415</b>	<b>0</b>	<b>0</b>	<b>165,214</b>	<b>172,209</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	2,534	0	9,529	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,534</b>	<b>0</b>	<b>9,529</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$2,534 in FY 14 and \$9,529 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Provide Inflationary Increases

Other Expenses	0	78	0	182	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>78</b>	<b>0</b>	<b>182</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for the Other Expenses account by \$78 in FY 14 and an additional \$104 in FY 15 (for a cumulative total of \$182 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

#### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	709	0	943	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>709</b>	<b>0</b>	<b>943</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Provide funding of \$709 in FY 14 and \$943 in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Policy Revisions****Eliminate Inflationary Increases**

Other Expenses	0	(78)	0	(182)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(78)</b>	<b>0</b>	<b>(182)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce Other Expenses by \$78 in FY 14 and \$182 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Adjust Operating Expenses to Reflect Current Requirements**

Other Expenses	0	(1,558)	0	(1,558)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,558)</b>	<b>0</b>	<b>(1,558)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$1,558 in both FY 14 and FY 15 in the Other Expenses account to bring funding in-line with recent expenditure levels.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(709)	0	(943)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(709)</b>	<b>0</b>	<b>(943)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$709 in FY 14 and \$943 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Rollout FY 13 Rescissions**

Other Expenses	0	(177)	0	(177)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(177)</b>	<b>0</b>	<b>(177)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding by \$177 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Positions & Funding to Reflect Reorganization

Personal Services	0	0	0	0	1	104,201	1	107,157
Other Expenses	0	0	0	0	0	1,812	0	1,812
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>106,013</b>	<b>1</b>	<b>108,969</b>

#### Governor

Transfer one position and funding by \$106,013 in FY 14 (\$104,201 in Personal Services and \$1,812 in Other Expenses) and \$108,969 in FY 15 (\$107,157 in Personal Services and \$1,812 in Other Expenses) to reflect the reorganization of the Council on Environmental Quality (CEQ) to the Office of Governmental Accountability.

#### Legislative

Maintain the Council on Environmental Quality (CEQ) as an independent agency.

### Adjust Positions & Funding to Reflect Reorganization

Personal Services	0	0	0	0	1	59,200	1	63,239
Equipment	0	0	0	0	0	1	0	1
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>59,201</b>	<b>1</b>	<b>63,240</b>

#### Governor

Reduce funding by \$59,201 in FY 14 and \$63,240 in FY 15 to reflect the elimination of one position associated with the reorganization of CEQ into OGA.

#### Legislative

Do not eliminate position as CEQ remains an independent agency.

## Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	2	164,415	2	164,415	0	0	0	0
Current Services	0	3,321	0	10,654	0	0	0	0
Policy Revisions	0	(2,522)	0	(2,860)	2	165,214	2	172,209
<b>Total Recommended - GF</b>	<b>2</b>	<b>165,214</b>	<b>2</b>	<b>172,209</b>	<b>2</b>	<b>165,214</b>	<b>2</b>	<b>172,209</b>

## Other Significant Legislation

### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$8, and a General Other Expenses Lapse of \$15. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Other Expenses	1,812	(23)	1,789	1.27%

# Department of Economic and Community Development

## ECD46000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	103	103	89	89	91	91
Permanent Full-Time - OF	50	52	38	38	38	38

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	8,600,142	8,254,749	7,982,848	8,307,352	7,901,060	8,229,087
Other Expenses	1,020,457	814,873	15,671,717	15,671,717	586,717	586,717
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Elderly Rental Registry and Counselors	1,036,679	1,098,171	0	0	0	0
Statewide Marketing	12,519,911	11,475,000	0	0	12,000,000	12,000,000
Innovation Challenge Grant Program	500,000	0	0	0	0	0
Nanotechnology Study	113,050	119,000	0	0	0	0
Small Business Incubator Program	425,000	0	0	0	387,093	387,093
CT Association for the Performing Arts/ Shubert Theater	359,777	378,712	0	0	0	0
Hartford Urban Arts Grant	359,777	378,712	359,776	359,776	359,776	359,776
New Britain Arts Council	71,956	75,743	71,956	71,956	71,956	71,956
Fair Housing	278,750	308,750	0	0	0	0
Main Street Initiatives	243,565	171,000	0	0	162,450	162,450
Office of Military Affairs	151,331	453,508	430,833	430,834	430,833	430,834
Hydrogen/Fuel Cell Economy	182,192	0	0	0	175,000	175,000
Southeast CT Incubator	141,313	0	0	0	0	0
SBIR Matching Grants	90,844	95,625	0	0	0	0
Ivoryton Playhouse	142,500	150,000	0	0	0	0
CCAT-CT Manufacturing Supply Chain	242,250	0	0	0	732,256	732,256
Economic Development Grants	0	1,742,937	827,895	827,895	0	0
Garde Arts Theatre	285,000	300,000	0	0	0	0
Capitol Region Development Authority	0	5,920,145	6,620,145	6,170,145	6,620,145	6,170,145
Neighborhood Music School	0	0	0	0	50,000	50,000
<b>Other Than Payments to Local Governments</b>						
Subsidized Assisted Living Demonstration	1,730,000	1,880,000	0	0	0	0
Congregate Facilities Operation Costs	6,539,126	7,087,047	0	0	0	0
Housing Assistance and Counseling Program	399,463	438,500	0	0	0	0
Elderly Congregate Rent Subsidy	2,110,198	2,389,796	0	0	0	0
Nutmeg Games	0	25,000	24,000	24,000	24,000	24,000
Discovery Museum	359,777	378,712	359,776	359,776	359,776	359,776
National Theatre for the Deaf	143,910	151,484	143,910	143,910	143,910	143,910
CONNSTEP	613,700	0	0	0	588,382	588,382
Development Research and Economic Assistance	143,836	0	0	0	137,902	137,902

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Culture, Tourism, and Arts Grant	1,879,618	2,000,000	1,797,830	1,797,830	0	0
CT Trust for Historic Preservation	199,877	210,396	199,876	199,876	199,876	199,876
Connecticut Science Center	599,073	630,603	599,073	599,073	599,073	599,073
Bushnell Theater	0	250,000	237,500	237,500	0	0
CT Flagship Producing Theaters Grant	0	500,000	475,000	475,000	475,000	475,000
Women's Business Center	0	0	0	0	500,000	500,000
Performing Arts Centers	0	0	0	0	1,439,104	1,439,104
CT Asso. Performing Arts/Schubert Theater	0	0	359,776	359,776	0	0
Ivoryton Playhouse	0	0	142,500	142,500	0	0
Garde Arts Theatre	0	0	285,000	285,000	0	0
Performing Theaters Grant	0	0	0	0	452,857	452,857
Arts Commission	0	0	0	0	1,797,830	1,797,830
<b>Grant Payments to Local Governments</b>						
Tax Abatement	1,704,880	1,704,890	0	0	0	0
Payment In Lieu Of Taxes	2,196,325	2,204,000	0	0	0	0
Greater Hartford Arts Council	89,944	94,677	89,943	89,943	89,943	89,943
Stamford Center for the Arts	359,777	378,712	359,776	359,776	0	0
Stepping Stones Museum for Children	42,080	44,294	42,079	42,079	42,079	42,079
Maritime Center Authority	504,949	531,525	504,949	504,949	504,949	504,949
Basic Cultural Resources Grant	1,510,328	0	0	0	0	0
Tourism Districts	1,420,817	1,495,596	0	0	1,435,772	1,435,772
Connecticut Humanities Council	2,049,752	0	0	0	0	0
Amistad Committee for the Freedom Trail	42,080	44,294	42,079	42,079	45,000	45,000
Amistad Vessel	359,777	378,712	359,776	359,776	359,776	359,776
New Haven Festival of Arts and Ideas	757,423	797,287	757,423	757,423	757,423	757,423
New Haven Arts Council	89,944	94,677	89,943	89,943	89,943	89,943
Palace Theater	359,777	378,712	359,776	359,776	0	0
Beardsley Zoo	336,633	354,350	336,632	336,632	372,539	372,539
Mystic Aquarium	589,107	620,112	589,106	589,106	589,106	589,106
Quinebaug Tourism	39,046	41,101	0	0	39,457	39,457
Northwestern Tourism	39,046	41,101	0	0	39,457	39,457
Eastern Tourism	39,046	41,101	0	0	39,457	39,457
Central Tourism	39,046	41,101	0	0	39,457	39,457
Twain/Stowe Homes	90,891	95,674	90,890	90,890	90,890	90,890
Cultural Alliance of Fairfield County	0	0	0	0	89,943	89,943
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>25,848</b>	<b>50,013</b>	<b>25,848</b>	<b>50,013</b>
<b>Agency Total - General Fund</b>	<b>54,143,740</b>	<b>57,060,380</b>	<b>40,237,632</b>	<b>40,136,302</b>	<b>40,846,036</b>	<b>40,748,229</b>
<b>Other Current Expenses</b>						
Fair Housing	168,639	168,639	0	0	0	0
<b>Agency Total - Banking Fund</b>	<b>168,639</b>	<b>168,639</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total - Appropriated Funds</b>	<b>54,312,379</b>	<b>57,229,019</b>	<b>40,237,632</b>	<b>40,136,302</b>	<b>40,846,036</b>	<b>40,748,229</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	843,259	25,000
Federal & Other Restricted Act	43,402,735	38,821,432	3,058,312	3,193,650	3,058,312	3,193,650
Private Contributions	16,392,334	4,485,375	3,296,430	3,392,785	3,296,430	3,392,785
<b>Agency Grand Total</b>	<b>114,107,448</b>	<b>100,535,826</b>	<b>46,592,374</b>	<b>46,722,737</b>	<b>48,044,038</b>	<b>47,359,664</b>



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	(6)	478,033	(6)	853,807	0	0	0	0
Office of Military Affairs	0	5,950	0	12,501	0	0	0	0
<b>Total - General Fund</b>	<b>(6)</b>	<b>483,983</b>	<b>(6)</b>	<b>866,308</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$483,983 in FY 14 and \$866,308 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	20,519	0	43,724	0	0	0	0
Elderly Rental Registry and Counselors	0	23,830	0	56,369	0	0	0	0
Statewide Marketing	0	249,008	0	589,005	0	0	0	0
CT Association for the Performing Arts/ Shubert Theater	0	8,218	0	19,439	0	0	0	0
Hartford Urban Arts Grant	0	8,218	0	19,439	0	0	0	0
New Britain Arts Council	0	1,644	0	3,888	0	0	0	0
Fair Housing	0	6,700	0	15,848	0	0	0	0
Main Street Initiatives	0	3,711	0	8,778	0	0	0	0
Office of Military Affairs	0	174	0	421	0	0	0	0
Ivoryton Playhouse	0	3,255	0	7,699	0	0	0	0
Economic Development Grants	0	37,822	0	89,464	0	0	0	0
Garde Arts Theatre	0	6,510	0	15,399	0	0	0	0
Capitol Region Development Authority	0	128,467	0	303,877	0	0	0	0
Congregate Facilities Operation Costs	0	151,791	0	359,042	0	0	0	0
Housing Assistance and Counseling Program	0	9,516	0	22,509	0	0	0	0
Elderly Congregate Rent Subsidy	0	48,159	0	113,915	0	0	0	0
Nutmeg Games	0	543	0	1,284	0	0	0	0
Discovery Museum	0	8,218	0	19,439	0	0	0	0
National Theatre for the Deaf	0	3,287	0	7,776	0	0	0	0
Culture, Tourism, and Arts Grant	0	43,400	0	102,659	0	0	0	0
CT Trust for Historic Preservation	0	4,566	0	10,799	0	0	0	0
Connecticut Science Center	0	13,684	0	32,368	0	0	0	0
Bushnell Theater	0	5,425	0	12,833	0	0	0	0
CT Flagship Producing Theaters Grant	0	10,850	0	25,665	0	0	0	0
Greater Hartford Arts Council	0	2,054	0	4,860	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Stamford Center for the Arts	0	8,218	0	19,439	0	0	0	0
Stepping Stones Museum for Children	0	961	0	2,274	0	0	0	0
Maritime Center Authority	0	11,534	0	27,283	0	0	0	0
Tourism Districts	0	32,455	0	76,769	0	0	0	0
Amistad Committee for the Freedom Trail	0	961	0	2,274	0	0	0	0
Amistad Vessel	0	8,218	0	19,439	0	0	0	0
New Haven Festival of Arts and Ideas	0	17,301	0	40,924	0	0	0	0
New Haven Arts Council	0	2,054	0	4,860	0	0	0	0
Palace Theater	0	8,218	0	19,439	0	0	0	0
Beardsley Zoo	0	7,689	0	18,189	0	0	0	0
Mystic Aquarium	0	13,456	0	31,830	0	0	0	0
Quinebaug Tourism	0	892	0	2,110	0	0	0	0
Northwestern Tourism	0	892	0	2,110	0	0	0	0
Eastern Tourism	0	892	0	2,110	0	0	0	0
Central Tourism	0	892	0	2,110	0	0	0	0
Twain/Stowe Homes	0	2,076	0	4,911	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>916,278</b>	<b>0</b>	<b>2,162,570</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Fair Housing	0	3,660	0	8,657	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>3,660</b>	<b>0</b>	<b>8,657</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

**Governor**

Increase funding for various accounts by \$919,938 in FY 14 and an additional \$1,251,289 in FY 15 (for a cumulative total of \$2,171,227 in the second year) to reflect inflationary increases.

**Legislative**

Same as Governor

**Annualize Previous Year Partial Funding**

Capitol Region Development Authority	0	700,000	0	250,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>700,000</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period.

Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year.

**Governor**

Provide funding of \$700,000 in FY 14 and \$250,000 in FY 15 to reflect full year funding for the Capital Region Development Authority. Of this total, provide \$400,000 for the Connecticut Tennis Center in FY 14 and provide \$300,000 in FY 14 and \$250,000 in FY 15 for general administrative costs.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for Subsidized Assisted Living Demonstration

Subsidized Assisted Living Demonstration	0	298,000	0	465,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>298,000</b>	<b>0</b>	<b>465,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Under the Subsidized Assisted Living Demonstration program, the Department of Economic and Community Development (DECD) provides grants to owners/managers of affordable housing units in the program on behalf of low or very-low income elderly residents. Pursuant to CGS 17b-347e, DECD joined a Memorandum of Agreement (MOA) with the Office of Policy and Management, the Department of Public Health, and the Connecticut Housing Finance Authority to fund the Subsidized Assisted Living Demonstration program. DECD may set the rental subsidy in a manner consistent with the program.

#### Governor

Increase funding for the Subsidized Assisted Living Demonstration by \$298,000 in FY 14 and \$465,000 in FY 15 to reflect the current need of the program pursuant to the Memorandum of Agreement.

#### Legislative

Same as Governor

### Adjust Funding for Congregate Facilities Operation Costs

Congregate Facilities Operation Costs	0	195,346	0	545,582	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>195,346</b>	<b>0</b>	<b>545,582</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Connecticut has 24 state-funded elderly congregate housing facilities for low- and moderate-income seniors age 62 and older who are able to live independently but require some assistance. Funding from this account provides grants to housing authorities who own/operate state-financed congregate rental housing for the elderly, to offset the cost of social and supplementary services that would have occurred if they were prematurely placed in a nursing home.

#### Governor

Increase funding for the Congregate Facilities Operation Costs account by \$195,346 in FY 14 and \$545,582 in FY 15 as a result of (1) tenant turnover and (2) the construction of new housing units which will be fully occupied in FY 15.

#### Legislative

Same as Governor

### Adjust Funding for Elderly Congregate Rental Subsidy

Elderly Congregate Rent Subsidy	0	(248,301)	0	(227,292)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(248,301)</b>	<b>0</b>	<b>(227,292)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Elderly Congregate Rental Assistance Payments Program Subsidy provides rental assistance to residents of state-funded elderly housing developments. Participants must spend more than 30% of their income on rent and utilities to qualify. If funds are insufficient, then each sponsor is asked to select a method for distributing those limited funds across the eligible residents at their facility.

#### Governor

Decrease funding by \$248,301 in FY 14 and \$227,292 in FY 15 for the Elderly Congregate Rental Subsidy to reflect the current need of the program.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	67,784	0	56,923	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>67,784</b>	<b>0</b>	<b>56,923</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Increase funding by \$67,784 in FY 14 and \$56,923 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

#### Legislative

Same as Governor

### Policy Revisions

### Transfer Positions and Funding to Reflect Reorganization

Personal Services	(7)	(857,000)	(7)	(877,532)	1	(131,788)	1	(128,265)
Other Expenses	0	(70,000)	0	(70,000)	0	0	0	0
Elderly Rental Registry and Counselors	0	(1,122,001)	0	(1,154,540)	0	0	0	0
Fair Housing	0	(315,450)	0	(324,598)	0	0	0	0
Subsidized Assisted Living Demonstration	0	(2,178,000)	0	(2,345,000)	0	0	0	0
Congregate Facilities Operation Costs	0	(7,434,184)	0	(7,991,671)	0	0	0	0
Housing Assistance and Counseling Program	0	(448,016)	0	(461,009)	0	0	0	0
Elderly Congregate Rent Subsidy	0	(2,189,654)	0	(2,276,419)	0	0	0	0
Tax Abatement	0	(1,704,890)	0	(1,704,890)	0	0	0	0
Payment In Lieu Of Taxes	0	(2,204,000)	0	(2,204,000)	0	0	0	0
<b>Total - General Fund</b>	<b>(7)</b>	<b>(18,523,195)</b>	<b>(7)</b>	<b>(19,409,659)</b>	<b>1</b>	<b>(131,788)</b>	<b>1</b>	<b>(128,265)</b>
Fair Housing	0	(172,299)	0	(177,296)	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>(172,299)</b>	<b>0</b>	<b>(177,296)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer eight positions and funding of \$18,563,706 in FY 14 and \$19,458,690 in FY 15 to reflect the reorganization of the Department of Economic and Community Development's housing programs into the Department of Housing.

#### Legislative

Transfer seven positions and funding of \$18,695,494 in FY 14 and \$19,586,955 in FY 15 to reflect the reorganization of the Department of Economic and Community Development's (DECD) housing programs into the Department of Housing (DOH). In effect, this transfer is the same as Governor's proposed transfer; however, one position with associated funding will remain in DECD and an additional \$235,000 required for a state match for the Small Cities Community Development Block Grant will transfer to DOH in order to more accurately reflect funding and positions associated with DECD's housing programs.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for Tourism Districts

Tourism Districts	0	0	0	0	0	1,435,772	0	1,435,772
Quinebaug Tourism	0	0	0	0	0	39,457	0	39,457
Northwestern Tourism	0	0	0	0	0	39,457	0	39,457
Eastern Tourism	0	0	0	0	0	39,457	0	39,457
Central Tourism	0	0	0	0	0	39,457	0	39,457
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,593,600</b>	<b>0</b>	<b>1,593,600</b>

#### Background

CGS 10-397 establishes three regional tourism districts: the eastern regional district, the central regional district, and the western district. The state provides funding to these regional tourism districts through these accounts.

#### Governor

Eliminate funding for the tourism districts for a savings of \$1,593,600 in FY 14 and FY 15.

#### Legislative

Maintain funding of \$1,593,600 in both FY 14 and FY 15 for all tourism districts.

### Adjust Funding for Statewide Marketing

Statewide Marketing	0	525,000	0	525,000	0	(3,000,000)	0	(3,000,000)
<b>Total - General Fund</b>	<b>0</b>	<b>525,000</b>	<b>0</b>	<b>525,000</b>	<b>0</b>	<b>(3,000,000)</b>	<b>0</b>	<b>(3,000,000)</b>

#### Background

The legislature appropriated \$15,000,001 in the FY 12 budget and \$11,475,000 in the revised FY 13 budget to the Statewide Marketing account. This funding supports the "Still Revolutionary" campaign that promotes Connecticut tourism.

#### Governor

Restore funding to the Statewide Marketing account by \$3,525,000 in FY 14 and FY 15 to reflect the FY 12 appropriation level of \$15 million. This represents a 30.7% increase from the revised FY 13 appropriation.

#### Legislative

Increase funding by \$525,000 in FY 14 and FY 15 for a total appropriation of \$12 million in each fiscal year. This represents a 5.5% increase from the revised FY 13 appropriation (post the Governor's rescissions and the Deficit Mitigation Plan).

### Adjust Funding for the Economic Development Grants Account

Economic Development Grants	0	0	0	0	0	827,896	0	827,896
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>827,896</b>	<b>0</b>	<b>827,896</b>

#### Background

The Department of Economic and Community Development offers economic development grants to support businesses and industries in the state. Six programs are intended to receive funding through a competitive basis in FY 13, utilizing the Results Based Accountability process. Prior to FY 13 each program received funding through a direct line item.

The programs include: Small Business Incubator Program, Hydrogen/Fuel Cell Economy, CCAT-CT Manufacturing Supply Chain, CONNSTEP, and the Development Research and Economic Assistance program.

#### Governor

Reduce funding for the Economic Development Grants account by \$827,896 in FY 14 and FY 15. This represents a 50% decrease from the available FY 13 appropriation (post the Governor's December 2012 rescissions and the Deficit Mitigation legislation).

#### Legislative

Maintain funding of \$827,896 in both FY 14 and FY 15.

### Redirect Economic Development Grants

Small Business Incubator Program	0	387,093	0	387,093	0	387,093	0	387,093
Hydrogen/Fuel Cell Economy	0	174,675	0	174,675	0	174,675	0	174,675
Southeast CT Incubator	0	135,483	0	135,483	0	135,483	0	135,483

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
CCAT-CT Manufacturing Supply Chain	0	232,256	0	232,256	0	232,256	0	232,256
Economic Development Grants	0	(1,655,791)	0	(1,655,791)	0	(1,655,791)	0	(1,655,791)
CONNSTEP	0	588,382	0	588,382	0	588,382	0	588,382
Development Research and Economic Assistance	0	137,902	0	137,902	0	137,902	0	137,902
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Department of Economic and Community Development offers economic development grants to support businesses and industries in the state. Six programs are intended to receive funding through a competitive basis in FY 13, utilizing the Results Based Accountability process. Prior to FY 13 each program received funding through a direct line item.

The programs include: Small Business Incubator Program, Southeast CT Incubator program, Hydrogen/Fuel Cell Economy, CCAT-CT Manufacturing Supply Chain, CONNSTEP, and the Development Research and Economic Assistance program.

**Legislative**

Redistribute funding from Economic Development Grant account to the original line item accounts at a level pro-rated to the FY 12 appropriation.

**Increase Funding for CCAT-CT Manufacturing Supply Chain**

CCAT-CT Manufacturing Supply Chain	0	500,000	0	500,000	0	500,000	0	500,000
<b>Total - General Fund</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>

**Background**

The Connecticut Center for Advanced Technology's (CCAT) Manufacturing and Supply Chain Initiative seeks to strengthen small and medium-sized manufacturers in the state that are suppliers to the aerospace and defense industries so that they may compete effectively in the global economy. The program assists these manufacturers in boosting productivity, expanding new business opportunities, and improving both the quality and number of workers trained in advanced manufacturing techniques.

**Legislative**

Increase funding for CCAT-CT Manufacturing Supply Chain by \$500,000 in FY 14 and FY 15. The total appropriation is therefore \$732,256 in FY 14 and FY 15.

**Eliminate Funding for Southeast CT Incubator**

Southeast CT Incubator	0	(135,483)	0	(135,483)	0	(135,483)	0	(135,483)
<b>Total - General Fund</b>	<b>0</b>	<b>(135,483)</b>	<b>0</b>	<b>(135,483)</b>	<b>0</b>	<b>(135,483)</b>	<b>0</b>	<b>(135,483)</b>

**Background**

The Southeast CT Business Incubator assists in accelerating young start-up businesses from an emerging concept to a viable enterprise. This business incubator program is specific to the industries of the southeast region of the state, including maritime science and homeland security industries, while also supporting diversification of industries in the region. They nurture the development of entrepreneurial companies, helping them survive and grow during the start-up period, when they are most vulnerable. DECD provides grants the ease to high financial burden of growing a start-up tech company.

**Legislative**

Eliminate funding for this program for a savings of \$135,483 in both FY 14 and FY 15.

**Adjust Funding for Hydrogen Fuel Cell**

Hydrogen/Fuel Cell Economy	0	325	0	325	0	325	0	325
<b>Total - General Fund</b>	<b>0</b>	<b>325</b>	<b>0</b>	<b>325</b>	<b>0</b>	<b>325</b>	<b>0</b>	<b>325</b>

**Background**

Through this account, the state provides funds to the Connecticut Center for Advanced Technology (CCAT) to administer the Connecticut Hydrogen-Fuel Cell Coalition/Cluster. CCAT and the Connecticut Hydrogen-Fuel Cell Coalition work to enhance economic growth through the development, manufacture, and deployment of fuel cell and hydrogen technologies and associated fueling systems in Connecticut.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Provide an additional \$325 in both FY 14 and FY 15 to the Hydrogen/Fuel Cell Economy account for a total appropriation of \$175,000 in each fiscal year.

**Eliminate Funding for Nanotechnology Study Account**

Nanotechnology Study	0	(113,050)	0	(113,050)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(113,050)</b>	<b>0</b>	<b>(113,050)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Nanotechnology Study account helps finance the Connecticut Small Business Innovation Research (CT SBIR) Office's efforts to 1) assist nanotechnology businesses in competing for federal awards and 2) facilitate commercialization by collaborating with industry, government, and academia.

**Governor**

Eliminate funding for the Nanotechnology Study account for a savings of \$113,050 in FY 14 and FY 15.

**Legislative**

Same as Governor

**Eliminate Funding for the SBIR Matching Grant Account**

SBIR Matching Grants	0	(90,844)	0	(90,844)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(90,844)</b>	<b>0</b>	<b>(90,844)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Small Business Innovation Research (SBIR) Matching Grant account helps finance the Connecticut Small Business Innovation Research (CT SBIR) Office's efforts to 1) assist high tech small businesses in competing for federal awards and 2) provide matching grant programs for linking small businesses with (a) large tech-based companies, (b) university researchers, and (c) suppliers and vendors.

**Governor**

Eliminate funding for the SBIR Matching Grant account for a savings of \$90,844 in FY 14 and FY 15.

**Legislative**

Same as Governor

**Provide Funding for Women's Business Center**

Women's Business Center	0	500,000	0	500,000	0	500,000	0	500,000
<b>Total - General Fund</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>

**Background**

The mission of the Women's Business Development Council, Inc.'s (WBDC) Women's Business Centers is to help women achieve economic self-reliance by educating and counseling them to start and grow businesses, resulting in job creation and community vitality. Through their services that promote microenterprise as a viable option for personal economic stability, especially for low/moderate income women in Connecticut, WBDC's Women's Business Center programs provide resources for business development training and counseling services in Connecticut.

**Legislative**

Provide \$500,000 in both FY 14 and FY 15 to the Women's Business Development Council to support their Women's Business Centers program.

**Provide Funding for Veterans' Small Business Registry**

Personal Services	1	50,000	1	50,000	1	50,000	1	50,000
<b>Total - General Fund</b>	<b>1</b>	<b>50,000</b>	<b>1</b>	<b>50,000</b>	<b>1</b>	<b>50,000</b>	<b>1</b>	<b>50,000</b>

**Background**

PA 13-247, the general government implementer, requires the Department of Economic and Community Development to create and maintain a data registry that tracks small businesses in the state that are owned and controlled by veterans and by service-disabled veterans (those with service-connected disabilities). The registry shall include, but not be limited to, (1) the name of the veteran or veterans who own the business; (2) the location of the business; and (3) the type of business. This information shall be reported to joint standing committee of the General Assembly having cognizance of matters relating to military and veterans' affairs.

For purposes of this registry, 'small business concern owned and controlled by veterans' and 'small business concern owned and controlled by service-disabled veterans' have the same meanings as provided in 15 USC 632(q), as amended from time to time.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Provide one position and \$50,000 in FY 14 and FY 15 to create and maintain the small business veteran registry described above.

**Adjust Funding for Main Street Initiatives Account**

Main Street Initiatives	0	0	0	0	0	162,450	0	162,450
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>162,450</b>	<b>0</b>	<b>162,450</b>

**Background**

The Connecticut Main Street Program provides services and training for the revitalization of downtown districts to spur economic development within the context of historic preservation.

Specifically, these funds provide operating grants to the Westville Village Renaissance Alliance, Inc. and the Ansonia Nature Center.

**Governor**

Eliminate funding for the Main Street Initiatives account for a savings of \$162,450 in FY 14 and FY 15.

**Legislative**

Maintain funding in the Main Street Initiatives program in FY 14 and FY 15 and provide \$23,750 to the Ansonia Nature Center and \$138,700 to the Westville Village Renaissance Alliance.

**Increase Funding for Beardsley Zoo**

Beardsley Zoo	0	35,907	0	35,907	0	35,907	0	35,907
<b>Total - General Fund</b>	<b>0</b>	<b>35,907</b>	<b>0</b>	<b>35,907</b>	<b>0</b>	<b>35,907</b>	<b>0</b>	<b>35,907</b>

**Background**

Beardsley Zoo, located in Bridgeport, is Connecticut's only zoo. The mission of Beardsley Zoo is to acquaint the public to the balance of living things and their environment through education, conservation, and recreation programs. The Connecticut Zoological Society, a 501(c) 3 nonprofit organization, owns and operates the zoo.

**Legislative**

Increase funding for the Beardsley Zoo by \$35,907 in both FY 14 and FY 15 for a total appropriation of \$372,539 in each fiscal year. This represents a 10.7% increase from the available FY 13 appropriation (post the Governor's December 2012 rescission and Deficit Mitigation legislation).

**Provide Funding for Cultural Alliance of Fairfield County**

Cultural Alliance of Fairfield County	0	89,943	0	89,943	0	89,943	0	89,943
<b>Total - General Fund</b>	<b>0</b>	<b>89,943</b>	<b>0</b>	<b>89,943</b>	<b>0</b>	<b>89,943</b>	<b>0</b>	<b>89,943</b>

**Background**

The mission of the Cultural Alliance of Fairfield County is to support cultural organizations, artists and creative businesses by providing promotion, services and advocacy. The goals of the Alliance include:

- Building professional capacity by linking cultural organizations, artists and creative businesses to capacity-building resources;
- Cultural marketing of Fairfield County cultural attractions;
- Advocacy to create a pro-active, unified voice for the cultural sector and establish vehicles for collective action.

**Legislative**

Establish a new direct line item account entitled 'Cultural Alliance of Fairfield County' and provide funding of \$89,943 in both FY 14 and FY 15 to the Cultural Alliance of Fairfield County.

**Provide Funding for Neighborhood Music School**

Neighborhood Music School	0	50,000	0	50,000	0	50,000	0	50,000
<b>Total - General Fund</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>

**Background**

The Neighborhood Music School is a community arts organization in New Haven that provides instruction in music and dance in an accessible way to people of all ages, backgrounds, economic means and levels of ability.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Provide a grant of \$50,000 in both FY 14 and FY 15 to the Neighborhood Music School.

**Increase Funding for Amistad Freedom Trail**

Amistad Committee for the Freedom Trail	0	2,921	0	2,921	0	2,921	0	2,921
<b>Total - General Fund</b>	<b>0</b>	<b>2,921</b>	<b>0</b>	<b>2,921</b>	<b>0</b>	<b>2,921</b>	<b>0</b>	<b>2,921</b>

**Background**

The Connecticut Freedom Trail documents and designates sites that embody the struggle toward freedom and human dignity, celebrate the accomplishments of the state's African American community and promote heritage tourism. The Trail officially opened in September 1996, and as of fall 2010, there were more than 130 sites in more than 50 towns.

**Legislative**

Increase funding for the Amistad Committee for the Freedom Trail by \$2,921 in both FY 14 and FY 15 for a total appropriation of \$45,000 in each fiscal year. This represents a 6.9% increase from the available FY 13 appropriation (post the Governor's rescissions in December 2012 and Deficit Mitigation legislation).

**Eliminate Inflationary Increases**

Other Expenses	0	(20,519)	0	(43,724)	0	0	0	0
Statewide Marketing	0	(249,008)	0	(589,005)	0	0	0	0
CT Association for the Performing Arts/ Shubert Theater	0	(8,218)	0	(19,439)	0	0	0	0
Hartford Urban Arts Grant	0	(8,218)	0	(19,439)	0	0	0	0
New Britain Arts Council	0	(1,644)	0	(3,888)	0	0	0	0
Main Street Initiatives	0	(3,711)	0	(8,778)	0	0	0	0
Office of Military Affairs	0	(174)	0	(422)	0	0	0	0
Ivoryton Playhouse	0	(3,255)	0	(7,699)	0	0	0	0
Economic Development Grants	0	(37,822)	0	(89,464)	0	0	0	0
Garde Arts Theatre	0	(6,510)	0	(15,399)	0	0	0	0
Capitol Region Development Authority	0	(128,467)	0	(303,877)	0	0	0	0
Nutmeg Games	0	(543)	0	(1,284)	0	0	0	0
Discovery Museum	0	(8,218)	0	(19,439)	0	0	0	0
National Theatre for the Deaf	0	(3,287)	0	(7,776)	0	0	0	0
Culture, Tourism, and Arts Grant	0	(43,400)	0	(102,659)	0	0	0	0
CT Trust for Historic Preservation	0	(4,566)	0	(10,799)	0	0	0	0
Connecticut Science Center	0	(13,684)	0	(32,368)	0	0	0	0
Bushnell Theater	0	(5,425)	0	(12,833)	0	0	0	0
CT Flagship Producing Theaters Grant	0	(10,850)	0	(25,665)	0	0	0	0
Greater Hartford Arts Council	0	(2,054)	0	(4,860)	0	0	0	0
Stamford Center for the Arts	0	(8,218)	0	(19,439)	0	0	0	0
Stepping Stones Museum for Children	0	(961)	0	(2,274)	0	0	0	0
Maritime Center Authority	0	(11,534)	0	(27,283)	0	0	0	0
Tourism Districts	0	(32,455)	0	(76,769)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Amistad Committee for the Freedom Trail	0	(961)	0	(2,274)	0	0	0	0
Amistad Vessel	0	(8,218)	0	(19,439)	0	0	0	0
New Haven Festival of Arts and Ideas	0	(17,301)	0	(40,924)	0	0	0	0
New Haven Arts Council	0	(2,054)	0	(4,860)	0	0	0	0
Palace Theater	0	(8,218)	0	(19,439)	0	0	0	0
Beardsley Zoo	0	(7,689)	0	(18,189)	0	0	0	0
Mystic Aquarium	0	(13,456)	0	(31,830)	0	0	0	0
Quinebaug Tourism	0	(892)	0	(2,110)	0	0	0	0
Northwestern Tourism	0	(892)	0	(2,110)	0	0	0	0
Eastern Tourism	0	(892)	0	(2,110)	0	0	0	0
Central Tourism	0	(892)	0	(2,110)	0	0	0	0
Twain/Stowe Homes	0	(2,076)	0	(4,911)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(676,282)</b>	<b>0</b>	<b>(1,594,888)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$676,282 in FY 14 and \$1,594,888 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Rollout of FY 13 Rescissions**

Other Expenses	0	(40,743)	0	(40,743)	0	0	0	0
Nanotechnology Study	0	(5,950)	0	(5,950)	0	0	0	0
CT Association for the Performing Arts/ Shubert Theater	0	(15,148)	0	(15,148)	0	0	0	0
Hartford Urban Arts Grant	0	(15,148)	0	(15,148)	0	0	0	0
New Britain Arts Council	0	(3,030)	0	(3,030)	0	0	0	0
Main Street Initiatives	0	(8,550)	0	(8,550)	0	0	0	0
Office of Military Affairs	0	(22,675)	0	(22,675)	0	0	0	0
SBIR Matching Grants	0	(4,781)	0	(4,781)	0	0	0	0
Ivoryton Playhouse	0	(6,000)	0	(6,000)	0	0	0	0
Economic Development Grants	0	(87,146)	0	(87,146)	0	0	0	0
Garde Arts Theatre	0	(12,000)	0	(12,000)	0	0	0	0
Nutmeg Games	0	(1,000)	0	(1,000)	0	0	0	0
Discovery Museum	0	(15,148)	0	(15,148)	0	0	0	0
National Theatre for the Deaf	0	(6,059)	0	(6,059)	0	0	0	0
Culture, Tourism, and Arts Grant	0	(80,000)	0	(80,000)	0	0	0	0
CT Trust for Historic Preservation	0	(8,416)	0	(8,416)	0	0	0	0
Connecticut Science Center	0	(25,224)	0	(25,224)	0	0	0	0
Bushnell Theater	0	(10,000)	0	(10,000)	0	0	0	0
CT Flagship Producing Theaters Grant	0	(20,000)	0	(20,000)	0	0	0	0
Greater Hartford Arts Council	0	(3,787)	0	(3,787)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Stamford Center for the Arts	0	(15,148)	0	(15,148)	0	0	0	0
Stepping Stones Museum for Children	0	(1,772)	0	(1,772)	0	0	0	0
Maritime Center Authority	0	(21,261)	0	(21,261)	0	0	0	0
Tourism Districts	0	(59,824)	0	(59,824)	0	0	0	0
Amistad Committee for the Freedom Trail	0	(1,772)	0	(1,772)	0	0	0	0
Amistad Vessel	0	(15,148)	0	(15,148)	0	0	0	0
New Haven Festival of Arts and Ideas	0	(31,891)	0	(31,891)	0	0	0	0
New Haven Arts Council	0	(3,787)	0	(3,787)	0	0	0	0
Palace Theater	0	(15,148)	0	(15,148)	0	0	0	0
Beardsley Zoo	0	(14,174)	0	(14,174)	0	0	0	0
Mystic Aquarium	0	(24,804)	0	(24,804)	0	0	0	0
Quinebaug Tourism	0	(1,644)	0	(1,644)	0	0	0	0
Northwestern Tourism	0	(1,644)	0	(1,644)	0	0	0	0
Eastern Tourism	0	(1,644)	0	(1,644)	0	0	0	0
Central Tourism	0	(1,644)	0	(1,644)	0	0	0	0
Twain/Stowe Homes	0	(3,827)	0	(3,827)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(605,937)</b>	<b>0</b>	<b>(605,937)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$605,937 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Rollout of FY 13 DMP**

Other Expenses	0	(25,000)	0	(25,000)	0	0	0	0
CT Association for the Performing Arts/ Shubert Theater	0	(3,788)	0	(3,788)	0	0	0	0
Hartford Urban Arts Grant	0	(3,788)	0	(3,788)	0	0	0	0
New Britain Arts Council	0	(757)	0	(757)	0	0	0	0
Ivoryton Playhouse	0	(1,500)	0	(1,500)	0	0	0	0
Garde Arts Theatre	0	(3,000)	0	(3,000)	0	0	0	0
Discovery Museum	0	(3,788)	0	(3,788)	0	0	0	0
National Theatre for the Deaf	0	(1,515)	0	(1,515)	0	0	0	0
Culture, Tourism, and Arts Grant	0	(20,000)	0	(20,000)	0	0	0	0
CT Trust for Historic Preservation	0	(2,104)	0	(2,104)	0	0	0	0
Connecticut Science Center	0	(6,306)	0	(6,306)	0	0	0	0
Bushnell Theater	0	(2,500)	0	(2,500)	0	0	0	0
CT Flagship Producing Theaters Grant	0	(5,000)	0	(5,000)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Greater Hartford Arts Council	0	(947)	0	(947)	0	0	0	0
Stamford Center for the Arts	0	(3,788)	0	(3,788)	0	0	0	0
Stepping Stones Museum for Children	0	(443)	0	(443)	0	0	0	0
Maritime Center Authority	0	(5,315)	0	(5,315)	0	0	0	0
Amistad Committee for the Freedom Trail	0	(443)	0	(443)	0	0	0	0
Amistad Vessel	0	(3,788)	0	(3,788)	0	0	0	0
New Haven Festival of Arts and Ideas	0	(7,973)	0	(7,973)	0	0	0	0
New Haven Arts Council	0	(947)	0	(947)	0	0	0	0
Palace Theater	0	(3,788)	0	(3,788)	0	0	0	0
Beardsley Zoo	0	(3,544)	0	(3,544)	0	0	0	0
Mystic Aquarium	0	(6,202)	0	(6,202)	0	0	0	0
Twain/Stowe Homes	0	(957)	0	(957)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(117,181)</b>	<b>0</b>	<b>(117,181)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$117,181 in FY 14 and in FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(41,936)	0	(6,910)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(41,936)</b>	<b>0</b>	<b>(6,910)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$41,936 in FY 14 and \$6,910 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Reduce Other Expenses Accounts**

Other Expenses	0	(85,000)	0	(85,000)	0	(85,000)	0	(85,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(85,000)</b>	<b>0</b>	<b>(85,000)</b>	<b>0</b>	<b>(85,000)</b>	<b>0</b>	<b>(85,000)</b>

**Legislative**

Reduce funding for Other Expenses by \$85,000 in FY 14 and FY 15. The reductions by OE category are:

- \$40,000 in both FY 14 and FY 15 management consulting services;
- \$20,000 in both FY 14 and FY 15 for out-of-state travel;
- \$20,000 in both FY 14 and FY 15 for sponsorship;
- \$5,000 in both FY 14 and FY 15 for general office supplies.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(24,722)	0	(51,937)	0	0	0	0
Office of Military Affairs	0	(5,950)	0	(12,499)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(30,672)</b>	<b>0</b>	<b>(64,436)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$30,672 in FY 14 and \$64,436 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(7,413)	0	(7,413)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(7,413)</b>	<b>0</b>	<b>(7,413)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$7,413 in FY 14 and in FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Transfer Funding to Streamline Budget Account Structure

Other Expenses	0	0	0	0	0	(15,000,000)	0	(15,000,000)
Statewide Marketing	0	0	0	0	0	15,000,000	0	15,000,000
CT Association for the Performing Arts/ Shubert Theater	0	0	0	0	0	359,776	0	359,776
Ivoryton Playhouse	0	0	0	0	0	142,500	0	142,500
Garde Arts Theatre	0	0	0	0	0	285,000	0	285,000
CT Asso. Performing Arts/ Schubert Theater	0	0	0	0	0	(359,776)	0	(359,776)
Ivoryton Playhouse	0	0	0	0	0	(142,500)	0	(142,500)
Garde Arts Theatre	0	0	0	0	0	(285,000)	0	(285,000)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

#### Governor

Transfer funding of \$15,000,000 in FY 14 and in FY 15 from the Statewide Marketing account to the Other Expenses account to reflect the streamlining of agency budgetary accounts.

Additionally, realign the following accounts into new SID accounts for the purpose of consistency with state accounting standards.

- CT Association for Performing Arts/Schubert Theater
- Ivoryton Playhouse
- Garde Arts Theater

#### Legislative

Maintain these accounts in their current form.

### Reduce Funding for the Culture, Tourism, and Arts Grant

Culture, Tourism, and Arts Grant	0	(102,170)	0	(102,170)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(102,170)</b>	<b>0</b>	<b>(102,170)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

This account supports a competitive grant available to a variety of arts, culture, and tourism institutions and initiatives. The recipients are generally institutions, non-profits, artists, and other entities which have a stake in the arts, culture, or tourism industries of Connecticut. The funds are generally used to support the operations of the recipient.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce funding for the Culture, Tourism, and Arts Grant account by \$102,170 in FY 14 and FY 15. This represents a 5.4% decrease from the available FY 13 appropriation (post the Governor's December 2012 rescissions and the Deficit Mitigation legislation).

**Legislative**

Same as Governor

**Establish the CT Arts Council**

Culture, Tourism, and Arts Grant	0	(1,797,830)	0	(1,797,830)	0	(1,797,830)	0	(1,797,830)
Arts Commission	0	1,797,830	0	1,797,830	0	1,797,830	0	1,797,830
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Legislative**

PA 13 - 247, the general government implementer, establishes a Connecticut Arts Council and transfers funding from the Culture, Tourism, and Arts grant account (\$1,797,830 in both FY 14 and FY 15) to the Arts Commission account.

The Council shall consist of five members appointed by the Governor, six members appointed by legislative leaders, the Commissioner of the Department of Economic and Community Development (DECD), and one staff from DECD responsible for arts and culture. The Act also establishes the Connecticut Arts Council Foundation to (1) receive donations, compensation, and reimbursements and (2) disburse funding of any source for the purpose of supporting the arts in the state.

**Consolidate Performing Arts Centers Grants**

CT Association for the Performing Arts/ Shubert Theater	0	(359,776)	0	(359,776)	0	(359,776)	0	(359,776)
Bushnell Theater	0	(237,500)	0	(237,500)	0	(237,500)	0	(237,500)
Performing Arts Centers	0	1,439,104	0	1,439,104	0	1,439,104	0	1,439,104
Stamford Center for the Arts	0	(359,776)	0	(359,776)	0	(359,776)	0	(359,776)
Palace Theater	0	(359,776)	0	(359,776)	0	(359,776)	0	(359,776)
<b>Total - General Fund</b>	<b>0</b>	<b>122,276</b>	<b>0</b>	<b>122,276</b>	<b>0</b>	<b>122,276</b>	<b>0</b>	<b>122,276</b>

**Legislative**

Consolidate the direct line-item accounts for the following theaters into the new 'Performing Arts Centers Grants' account and provide \$1,439,104 in FY 14 and FY 15 and distribute funds as follows:

- Bushnell Theater - \$359,776
- CT Association for the Performing Arts/Shubert Theater - \$359,776
- Palace Theater - \$359,776
- Stamford Center for the Arts - \$359,776

In effect, the Bushnell Theater will receive an additional \$122,276 in both FY 14 and FY 15 above the Governor's recommendation. The remaining three theaters shall receive the same funding amount in each year as proposed by the Governor.

**Establish the Performing Theaters Grant Account**

Ivoryton Playhouse	0	(142,500)	0	(142,500)	0	(142,500)	0	(142,500)
Garde Arts Theatre	0	(285,000)	0	(285,000)	0	(285,000)	0	(285,000)
Performing Theaters Grant	0	452,857	0	452,857	0	452,857	0	452,857
<b>Total - General Fund</b>	<b>0</b>	<b>25,357</b>	<b>0</b>	<b>25,357</b>	<b>0</b>	<b>25,357</b>	<b>0</b>	<b>25,357</b>

**Legislative**

Establish the 'Performing Theaters Grant' account and provide \$452,857 in both FY 14 and FY 15 and distribute the funds as follows:

- Garde Arts Theater - \$285,000
- Ivoryton Playhouse - \$100,000
- Warner Theater - \$67,857

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

The Performing Theaters Grant account will replace the Ivoryton Playhouse account and the Garde Arts Theater accounts. These two accounts currently provide funding directly to their respective theaters. In effect, the Garde Arts Theater shall receive the same level of funding as proposed by the Governor and Ivoryton Playhouse shall receive \$42,500 less than proposed by the Governor in each year. The Warner Theater shall receive the same level of funding as available in FY 13 (post the Governor's rescissions and the Deficit Mitigation Plan).

## Modify the Local Theatre Grant Account

### Background

PA 12-1 of the June Special Session requires the revised FY 13 appropriation of \$500,000 in the 'Local Theatre Grant' account to be evenly dispersed amongst seven theaters at \$71,428 each: Long Wharf Theatre of New Haven, Hartford Stage of Hartford, Eugene O'Neill Theater Center of Waterford, Goodspeed Opera House of East Haddam, Yale Repertory Theatre of New Haven, Warner Theatre of Torrington, and Westport Country Playhouse of Westport. As a result of the Governor's rescissions in December and the Deficit Mitigation Plan, each theater shall receive \$67,857 in FY 13.

### Legislative

Rename the 'Local Theatre Grant' account to the 'CT Flagship Producing Theater Grant' account. Provide funding of \$475,000 in both FY 14 and FY 15, as recommended by the Governor, equally amongst six theaters of the seven theaters.

- Long Wharf Theatre of New Haven - \$79,166,
- Hartford Stage of Hartford - \$79,166,
- Eugene O'Neill Theater Center of Waterford - \$79,166,
- Goodspeed Opera House of East Haddam - \$79,166,
- Yale Repertory Theatre of New Haven - \$79,166,
- Westport Country Playhouse of Westport - \$79,166.

The seventh theater, the Warner Theater in Torrington, will receive funding of \$67,857 in both FY 14 and FY 15 through the new 'Performing Theater Grant' account.

## Carry Forward

### Carry Forward Funding for Arte, Inc.

Office of Military Affairs	0	25,000	0	25,000	0	25,000	0	25,000
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>25,000</b>

### Legislative

Section 47 of PA 13-184, the FY 14 and FY 15 Budget, carries forward funding of up to \$50,000 in the Office of Military Affairs account (\$25,000 in FY 14 and \$25,000 in FY 15) for a grant to Arte, Inc. in New Haven. Arte, Inc. is a non-profit organization in New Haven that promotes programs, events, exhibits, and educational opportunities involving Latino arts and culture.

## Statutory Carry Forward for Various Accounts

Other Expenses	0	50,000	0	0	0	50,000	0	0
Statewide Marketing	0	768,259	0	0	0	768,259	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>818,259</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>818,259</b>	<b>0</b>	<b>0</b>

### Legislative

Pursuant to CGS Sec. 4-89(c), an estimated \$768,259 in the Statewide Marketing account and an estimated \$50,000 in the Other Expenses account is carried forward into FY 14.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	103	57,060,380	103	57,060,380	0	0	0	0
Current Services	(6)	2,413,090	(6)	4,119,091	0	0	0	0
Policy Revisions	(6)	(18,627,434)	(6)	(20,431,242)	2	608,404	2	611,927
<b>Total Recommended - GF</b>	<b>91</b>	<b>40,846,036</b>	<b>91</b>	<b>40,748,229</b>	<b>2</b>	<b>608,404</b>	<b>2</b>	<b>611,927</b>
Governor Estimated - BF	0	168,639	0	168,639	0	0	0	0
Current Services	0	3,660	0	8,657	0	0	0	0
Policy Revisions	0	(172,299)	0	(177,296)	0	0	0	0
<b>Total Recommended - BF</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Other Significant Legislation****PA 13-234, An Act Implementing the Governor's Budget Recommendations for Housing, Human Services, and Public Health**

Consolidates various housing programs from the Departments of Economic and Community Development, Social Services, and the Office of Policy and Management into the Department of Housing.

**PA 13-2, An Act Making Manufacturing Assistance Act Funds Available for Small Business Express Program**

Authorizes an additional \$60 million in general obligation bonds for the Small Business Express Program with portions of the bonds previously authorized for different purposes under the Manufacturing Assistance Act program (MAA).

**PA 13-268, An Act Concerning the Prevention of Urban Youth Delinquency and Violence**

Requires the Department of Economic and Community Development to establish a Connecticut Young Adult Conservation Corps program within available appropriations. The program's purpose is to employ youth and young adults at the three organizations that receive bond proceeds under the act.

It should be noted that CGS Sec. 3-21 and CGS Sec. 2-27b require that all bills containing new General Obligation bond authorizations be accompanied by a certificate of indebtedness calculation, which is issued by the Office of the State Treasurer. PA 13-268 was passed by the legislature without a certificate, so the funds are not currently available for allocation through the State Bond Commission.

**PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$35,403, a General Other Expenses Lapse of \$4,806, and a Statewide Hiring Reduction Lapse of \$23,254. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	7,901,060	(56,222)	7,844,838	0.71%
Other Expenses	586,717	(7,241)	579,476	1.23%



# Department of Housing

DOH46900

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	0	2	15	15	20	20
Permanent Full-Time - OF	0	0	21	21	21	21

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	0	180,000	1,364,835	1,400,703	1,913,586	1,969,658
Other Expenses	0	0	1,826,067	1,826,067	140,000	140,000
<b>Other Current Expenses</b>						
Elderly Rental Registry and Counselors	0	0	0	0	1,058,144	1,058,144
Fair Housing	0	0	0	0	293,313	293,313
Main Street Investment Fund Administration	0	0	0	0	71,250	71,250
<b>Other Than Payments to Local Governments</b>						
Tax Relief For Elderly Renters	0	0	24,860,000	24,860,000	24,860,000	24,860,000
Subsidized Assisted Living Demonstration	0	0	0	0	2,178,000	2,345,000
Congregate Facilities Operation Costs	0	0	0	0	7,282,393	7,784,420
Housing Assistance and Counseling Program	0	0	0	0	438,500	438,500
Elderly Congregate Rent Subsidy	0	0	0	0	2,141,495	2,162,504
Housing/Homeless Services	0	0	0	0	58,815,972	63,440,480
Housing Supports	0	0	48,272,721	53,297,229	0	0
Shelters and Congregate Facilities	0	0	22,289,427	22,979,463	0	0
<b>Grant Payments to Local Governments</b>						
Tax Abatement	0	0	0	0	1,444,646	1,444,646
Payment In Lieu Of Taxes	0	0	0	0	1,873,400	1,873,400
Housing/Homeless Services - Municipality	0	0	0	0	640,398	640,398
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>55,377</b>	<b>7,043</b>	<b>55,377</b>	<b>7,043</b>
<b>Agency Total - General Fund</b>	<b>0</b>	<b>180,000</b>	<b>98,668,427</b>	<b>104,370,505</b>	<b>103,206,474</b>	<b>108,528,756</b>
<b>Other Current Expenses</b>						
Fair Housing	0	0	168,639	168,639	168,639	168,639
<b>Agency Total - Banking Fund</b>	<b>0</b>	<b>0</b>	<b>168,639</b>	<b>168,639</b>	<b>168,639</b>	<b>168,639</b>
<b>Total - Appropriated Funds</b>		<b>180,000</b>	<b>98,837,066</b>	<b>104,539,144</b>	<b>103,375,113</b>	<b>108,697,395</b>
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	0	0	116,929,715	124,747,593	116,929,715	124,747,593
<b>Agency Grand Total</b>	<b>0</b>	<b>180,000</b>	<b>215,766,781</b>	<b>229,286,737</b>	<b>220,304,828</b>	<b>233,444,988</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	76,632	0	92,211	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>76,632</b>	<b>0</b>	<b>92,211</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$76,632 in FY 14 and \$92,211 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

## Policy Revisions

### Transfer Positions and Funding to Reflect Reorganization

Personal Services	18	1,666,871	18	1,718,280	5	548,751	5	568,955
Other Expenses	0	141,519	0	143,593	0	0	0	0
Elderly Rental Registry and Counselors	0	1,122,001	0	1,154,540	0	0	0	0
Fair Housing	0	315,450	0	324,598	0	0	0	0
Main Street Investment Fund Administration	0	71,250	0	71,250	0	71,250	0	71,250
Tax Relief For Elderly Renters	0	26,888,781	0	28,703,774	0	0	0	0
Subsidized Assisted Living Demonstration	0	2,178,000	0	2,345,000	0	0	0	0
Congregate Facilities Operation Costs	0	7,434,184	0	7,991,671	0	0	0	0
Housing Assistance and Counseling Program	0	448,016	0	461,009	0	0	0	0
Elderly Congregate Rent Subsidy	0	2,189,654	0	2,276,419	0	0	0	0
Housing/Homeless Services	0	56,810,972	0	60,935,480	0	400,000	0	0
Tax Abatement	0	1,704,890	0	1,704,890	0	0	0	0
Payment In Lieu Of Taxes	0	2,204,000	0	2,204,000	0	0	0	0
Housing/Homeless Services - Municipality	0	640,398	0	640,398	0	0	0	0
<b>Total - General Fund</b>	<b>18</b>	<b>103,815,986</b>	<b>18</b>	<b>110,674,902</b>	<b>5</b>	<b>1,020,001</b>	<b>5</b>	<b>640,205</b>
Fair Housing	0	172,299	0	177,296	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>172,299</b>	<b>0</b>	<b>177,296</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer 13 positions and funding of \$102,968,284 in FY 14 and \$110,211,993 in FY 15 to reflect the reorganization of housing programs currently in the Department of Economic and Community Development, the Department of Social Services, and the Office of Policy and Management into the Department of Housing.

#### Legislative

Transfer 18 positions and funding of \$103,988,285 in FY 14 and \$110,852,198 in FY 15 to reflect the reorganization of various housing programs from the following agencies into the Department of Housing (DOH):

- from the Department of Social Services: \$58,331,673 in FY 14 and \$62,488,730 and nine positions;
- from the Department of Economic and Community Development: \$18,523,195 in FY 14 and \$19,409,659 in FY 15 and seven positions;
- from the Office of Policy and Management: \$27,133,417 in FY 14 and \$28,953,809 in FY 15 and two positions.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

In effect, these transfers are the same as Governor's proposed transfers. However the transfers are modified in order to more accurately reflect funding and positions associated with the housing programs: (1) one position with associated funding will remain in DECD and an additional \$235,000 required for a state match for the Small Cities Community Development Block Grant will transfer to DOH, (2) four additional DSS positions with associated funding will transfer to DOH, (3) two positions will transfer from OPM with associated funding as well as the Main Street Investment Fund Administration account which is used to hire consultants to administer to Main Street Investment Fund program.

This transfer from DSS also includes \$400,000 pertaining to Rental Assistance Program (RAP) certificates for a medical respite program for homeless persons in the City of New Haven.

### Transfer Rental Assistance Program to Reflect Reorganization

Housing/Homeless Services	0	1,105,000	0	1,105,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,105,000</b>	<b>0</b>	<b>1,105,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Rental Assistance Program (RAP) is the major state-funded program for assisting very-low-income families to afford decent, safe, and sanitary housing in the private market.

#### Governor

Transfer funding of \$1,105,000 in both FY 14 and FY 15 from the Department of Mental Health and Addiction Services (DMHAS) to the Department of Housing for Rental Assistance Program (RAP) certificates. DMHAS RAPS support the Mental Health Home and Community Based Services waiver and Frequent Users Systems Engagement (FUSE) supportive housing program.

#### Legislative

Same as Governor

### Transfer Funding for DCF Family Reunification RAPs

Housing/Homeless Services	0	500,000	0	500,000	0	500,000	0	500,000
<b>Total - General Fund</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>

#### Background

The Rental Assistance Program (RAP) is a state-funded program which assists low-income families to afford decent and safe housing in the private market.

#### Legislative

Funding of \$500,000 in both FY 14 and FY 15 is transferred from the Department of Children and Families (DCF) the Department of Housing to support 50 RAPs for DCF families seeking to be reunified with their children in the care and custody of DCF.

### Transfer Funding from DDS for Supportive Housing

Housing/Homeless Services	0	150,000	0	150,000	0	(300,000)	0	(300,000)
<b>Total - General Fund</b>	<b>0</b>	<b>150,000</b>	<b>0</b>	<b>150,000</b>	<b>0</b>	<b>(300,000)</b>	<b>0</b>	<b>(300,000)</b>

#### Background

The state's supportive housing initiatives provide permanent, affordable housing to families who are at risk of homelessness and facing barriers to housing and employment stability.

#### Governor

Transfer funding of \$450,000 in FY 14 and FY 15 from the Department of Developmental Services (DDS) to the Department of Housing to support 15 units of supportive housing specifically for individuals currently served by DDS in residential placements. The funds may support Rental Assistance Program (RAP) certificates for these units.

#### Legislative

Transfer funding of \$150,000 in FY 14 and FY 15 from the Department of Developmental Services (DDS) to the Department of Housing to support 15 units of supportive housing specifically for individuals currently served by DDS in residential placements. The funds may support Rental Assistance Program (RAP) certificates for these units.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for 100 Additional Supportive Housing Units

Housing/Homeless Services	0	0	0	500,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The state's supportive housing initiatives provide permanent, affordable housing to families who are at risk of homelessness and facing barriers to housing and employment stability.

Over the past 15 years the state has established over 2,000 units of supportive housing through a collaborative, multi-agency partnership. PA 13-239, the bond bill, invests \$20 million of capital funding in FY 14 to build an additional 100 units. PA 13-247, the general government implementer, includes funding of \$500,000 in FY 15 in the Department of Mental Health and Addiction Services (DMHAS) for wrap-around services.

#### Governor

Provide \$500,000 in FY 15 to support Rental Assistance Program (RAP) certificates for a proposed additional 100 supportive housing units.

#### Legislative

Provide \$500,000 in FY 15 to support Rental Assistance Program (RAP) certificates for a proposed additional 100 supportive housing units, 50 of which are designated for Department of Children and Families serviced clients.

### Provide Funding for Rapid Re-housing

Housing/Homeless Services	0	250,000	0	250,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Rapid Re-housing is a mechanism to assist families who currently are homeless or are at imminent risk of becoming homeless find stable housing rather than emergency shelter.

In 2009 through the American Recovery and Reinvestment Act, the state received a total of \$17 million to initiate a Homeless Prevention and Rapid Re-Housing Program throughout the state. This funding allowed the state to provide financial assistance to families as well as provide social and outreach services.

#### Governor

Provide funding of \$250,000 in FY 14 and FY 15 to support rapid re-housing activities.

#### Legislative

Provide funding of \$250,000 in FY 14 and FY 15 to the Norwich/New London Continuum of Care to facilitate rapid re-housing and homelessness prevention in Southeastern Connecticut.

### Limit Eligibility to the Renters' Rebate Program

Tax Relief For Elderly Renters	0	(2,028,781)	0	(3,843,774)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,028,781)</b>	<b>0</b>	<b>(3,843,774)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Renters' Rebate Program provides reimbursement for Connecticut renters who are elderly or totally disabled, and whose incomes do not exceed certain limits. Renters' rebates can be up to \$900 for married couples and \$700 for single persons. The renters' rebate amount is based on a graduated income scale and the amount of rent and utility payments (excluding telephone) made in the calendar year prior to the year in which the renter applies.

#### Governor

Suspend funding for new applicants to the program in order to achieve savings of \$2,028,781 in FY 14 and \$3,843,774 in FY

HB 6354, the Governor's proposed general government implementer, proposed to close the program to new applicants as of July 1, 2013, with an exception for spouses of current participants.

#### Legislative

Suspend funding for certain applicants to the program in order to achieve savings of \$2,028,781 in FY 14 and \$3,843,774 in FY 15. PA 13-234, the health and human services implementer, suspends rental rebate program applications by specifying that an individual who did not receive a grant for calendar year 2011 is not eligible to apply for another grant. An individual who received a calendar year 2011 grant continues to be eligible to apply. But if such an individual does not receive a grant in any subsequent year, he or she is no longer eligible to apply.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for the PILOT Program

Payment In Lieu Of Taxes	0	0	0	0	0	1,873,400	0	1,873,400
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,873,400</b>	<b>0</b>	<b>1,873,400</b>

#### Background

The Payment-in-lieu-of-taxes (PILOT) account provides funding for local housing authorities to keep family rental units under the Moderate Rental Housing Program affordable. The Department of Economic and Community Development makes PILOT payments to the municipality on land and improvements owned or leased by the local housing authority.

#### Governor

Suspend funding for the PILOT program for a savings of \$1,873,400 in FY 14 and FY 15.

#### Legislative

Maintain funding for the PILOT program in FY 14 and FY 15.

### Adjust Funding for the Tax Abatement Program

Tax Abatement	0	0	0	0	0	1,444,646	0	1,444,646
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,444,646</b>	<b>0</b>	<b>1,444,646</b>

#### Background

Through the Tax Abatement program, the state makes partial tax payments to municipalities on behalf of non-profit owners of eligible rental housing in order to maintain rent at an affordable level for tenants. The program funds only those municipalities originally in the program; no new applicants are currently accepted.

#### Governor

Suspend funding for the Tax Abatement program for a savings of \$1,444,646 in FY 14 and FY 15.

#### Legislative

Maintain funding for the Tax Abatement program in FY 14 and FY 15.

### Rollout of FY 13 Rescissions

Fair Housing	0	(15,437)	0	(15,437)	0	0	0	0
Tax Abatement	0	(85,244)	0	(85,244)	0	0	0	0
Payment In Lieu Of Taxes	0	(110,200)	0	(110,200)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(210,881)</b>	<b>0</b>	<b>(210,881)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$210,881 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Same as Governor

### Rollout of FY 13 DMP

Elderly Rental Registry and Counselors	0	(40,027)	0	(40,027)	0	0	0	0
Tax Abatement	0	(175,000)	0	(175,000)	0	0	0	0
Payment In Lieu Of Taxes	0	(220,400)	0	(220,400)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(435,427)</b>	<b>0</b>	<b>(435,427)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce funding by \$435,427 in FY 14 and in FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(1,519)	0	(3,593)	0	0	0	0
Elderly Rental Registry and Counselors	0	(23,830)	0	(56,369)	0	0	0	0
Fair Housing	0	(6,700)	0	(15,848)	0	0	0	0
Congregate Facilities Operation Costs	0	(151,791)	0	(207,251)	0	0	0	0
Housing Assistance and Counseling Program	0	(9,516)	0	(22,509)	0	0	0	0
Elderly Congregate Rent Subsidy	0	(48,159)	0	(113,915)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(241,515)</b>	<b>0</b>	<b>(419,485)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Fair Housing	0	(3,660)	0	(8,657)	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>(3,660)</b>	<b>0</b>	<b>(8,657)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$245,175 in FY 14 and \$428,142 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(9,917)	0	(20,833)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(9,917)</b>	<b>0</b>	<b>(20,833)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$9,917 in FY 14 and \$20,833 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	55,377	0	7,043	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>55,377</b>	<b>0</b>	<b>7,043</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$55,377 in FY 14 and \$7,043 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Transfer Funding to Streamline Budget Account Structure**

Other Expenses	0	0	0	0	0	(1,686,067)	0	(1,686,067)
Elderly Rental Registry and Counselors	0	0	0	0	0	1,058,144	0	1,058,144
Fair Housing	0	0	0	0	0	293,313	0	293,313
Subsidized Assisted Living Demonstration	0	0	0	0	0	2,178,000	0	2,345,000

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Congregate Facilities Operation Costs	0	0	0	0	0	7,282,393	0	7,784,420
Housing Assistance and Counseling Program	0	0	0	0	0	438,500	0	438,500
Elderly Congregate Rent Subsidy	0	0	0	0	0	2,141,495	0	2,162,504
Housing/Homeless Services	0	0	0	0	0	58,215,972	0	63,240,480
Housing Supports	0	0	0	0	0	(48,272,721)	0	(53,297,229)
Shelters and Congregate Facilities	0	0	0	0	0	(22,289,427)	0	(22,979,463)
Housing/Homeless Services - Municipality	0	0	0	0	0	640,398	0	640,398
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

**Governor**

Transfer the following funding to reflect the streamlining of agency budgetary accounts:

- \$48,272,721 in FY 14 and \$53,297,229 in FY 15 from the Housing/Homeless Services account to the Housing Supports account;
- \$22,289,427 in FY 14 and \$22,979,463 in FY 15 from the Housing/Homeless Services, Subsidized Assisted Living Demonstration, Housing Assistance and Counseling Program, Elderly Congregate Rental Subsidy, and Congregate Facilities Operation Costs accounts to the Shelters and Congregate Facilities account;
- \$1,686,067 in FY 14 and in FY 15 from the Housing/Homeless Services, Elderly Rental Registry and Counselors, and Fair Housing accounts to the Other Expenses account.

**Legislative**

Maintain these accounts in their current form.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Governor Estimated - GF</b>	<b>2</b>	<b>180,000</b>	<b>2</b>	<b>180,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	76,632	0	92,211	0	0	0	0
Policy Revisions	18	102,949,842	18	108,256,545	5	4,538,047	5	4,158,251
<b>Total Recommended - GF</b>	<b>20</b>	<b>103,206,474</b>	<b>20</b>	<b>108,528,756</b>	<b>5</b>	<b>4,538,047</b>	<b>5</b>	<b>4,158,251</b>
<b>Governor Estimated - BF</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Policy Revisions	0	168,639	0	168,639	0	0	0	0
<b>Total Recommended - BF</b>	<b>0</b>	<b>168,639</b>	<b>0</b>	<b>168,639</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Other Significant Legislation****PA 13-234, An Act Implementing the Governor's Budget Recommendations for Housing, Human Services, and Public Health**

Consolidates various housing programs from the Departments of Economic and Community Development, Social Services, and the Office of Policy and Management into the Department of Housing.

**PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$8,566, a General Other Expenses Lapse of \$1,147, and a Statewide Hiring Reduction Lapse of \$5,632. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	1,913,586	(13,617)	1,899,969	0.71 %
Other Expenses	140,000	(1,728)	138,272	1.23 %



# Agricultural Experiment Station

AES48000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	67	69	69	69	69	69
Permanent Full-Time - OF	24	26	26	26	26	26

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	5,652,832	5,379,259	6,394,507	6,749,403	5,959,626	6,293,102
Other Expenses	899,601	901,360	1,028,324	1,028,324	901,360	901,360
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Mosquito Control	232,424	459,952	0	0	473,853	490,203
Wildlife Disease Prevention	88,139	89,571	0	0	87,992	93,062
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>36,578</b>	<b>43,362</b>	<b>36,578</b>	<b>43,362</b>
<b>Agency Total - General Fund</b>	<b>6,872,996</b>	<b>6,830,143</b>	<b>7,459,410</b>	<b>7,821,090</b>	<b>7,459,410</b>	<b>7,821,090</b>
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	3,129,983	3,512,500	3,557,500	3,592,500	3,557,500	3,592,500
Private Contributions	892,158	487,500	482,500	492,500	482,500	492,500
Special Funds, Non-Appropriated	187,932	242,500	197,500	197,500	197,500	197,500
<b>Agency Grand Total</b>	<b>11,083,069</b>	<b>11,072,643</b>	<b>11,696,910</b>	<b>12,103,590</b>	<b>11,696,910</b>	<b>12,103,590</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	590,970	0	936,117	0	0	0	0
Mosquito Control	0	13,901	0	30,251	0	0	0	0
Wildlife Disease Prevention	0	2,900	0	7,970	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>607,771</b>	<b>0</b>	<b>974,338</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$607,771 in FY 14 and \$974,338 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, annualization, turnover and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	49,727	0	93,215	0	0	0	0
Mosquito Control	0	3,846	0	8,846	0	0	0	0
Wildlife Disease Prevention	0	331	0	782	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>53,904</b>	<b>0</b>	<b>102,843</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

**Governor**

Increase funding for various accounts by \$53,904 in FY 14 and an additional \$48,939 in FY 15 (for a cumulative total of \$102,843 in the second year) to reflect inflationary increases.

**Legislative**

Same as Governor

**Provide Funding for GAAP**

Nonfunctional - Change to Accruals	0	53,521	0	46,128	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>53,521</b>	<b>0</b>	<b>46,128</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Each agency's budget will include a new line item account called "Nonfunctional – Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$53,521 in FY 14 and \$46,128 in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Policy Revisions****Eliminate Inflationary Increases**

Other Expenses	0	(49,727)	0	(93,215)	0	0	0	0
Mosquito Control	0	(3,846)	0	(8,846)	0	0	0	0
Wildlife Disease Prevention	0	(331)	0	(782)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(53,904)</b>	<b>0</b>	<b>(102,843)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$53,904 in FY 14 and \$102,843 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(10,603)	0	(22,274)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(10,603)</b>	<b>0</b>	<b>(22,274)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$10,603 in FY 14 and \$22,274 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(16,943)	0	(2,766)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(16,943)</b>	<b>0</b>	<b>(2,766)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$16,943 in FY 14 and \$2,766 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Funding to Streamline Budget Account Structure

Personal Services	0	0	0	0	0	(434,881)	0	(456,301)
Other Expenses	0	0	0	0	0	(126,964)	0	(126,964)
Mosquito Control	0	0	0	0	0	473,853	0	490,203
Wildlife Disease Prevention	0	0	0	0	0	87,992	0	93,062
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

#### Governor

Transfer funding of \$561,845 in FY 14 and \$583,265 in FY 15 from the Mosquito Control and Wildlife Disease Prevention accounts into the Personal Services and Other Expenses accounts to reflect the streamlining of agency budgetary accounts.

#### Legislative

Do not reflect the streamlining of agency budgetary accounts.

### Rollout of FY 13 DMP

Wildlife Disease Prevention	0	(4,479)	0	(4,479)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(4,479)</b>	<b>0</b>	<b>(4,479)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

#### Governor

Reduce funding by \$4,479 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

#### Legislative

Same as Governor

## Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Governor Estimated - GF</b>	<b>69</b>	<b>6,830,143</b>	<b>69</b>	<b>6,830,143</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	715,196	0	1,123,309	0	0	0	0
Policy Revisions	0	(85,929)	0	(132,362)	0	0	0	0
<b>Total Recommended - GF</b>	<b>69</b>	<b>7,459,410</b>	<b>69</b>	<b>7,821,090</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## Other Significant Legislation

### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$30,591, a General Other Expenses Lapse of \$7,384, and a Statewide Hiring Reduction Lapse of \$17,540. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	5,959,626	(42,405)	5,917,221	0.71%
Other Expenses	901,360	(11,125)	890,235	1.23%
Mosquito Control	473,853	(1,983)	471,870	0.42%

# Department of Public Health

DPH48500

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	524	506	512	472	516	476
Permanent Full-Time - OF	350	302	300	295	300	295

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	31,851,041	32,409,747	37,433,276	37,202,720	34,643,549	34,383,489
Other Expenses	3,882,330	8,034,224	6,615,726	6,816,313	6,571,032	6,771,619
Equipment	0	1,278	1	1	1	1
<b>Other Current Expenses</b>						
Needle and Syringe Exchange Program	418,654	457,244	0	0	459,416	459,416
Children's Health Initiatives	2,717,664	2,433,365	3,042,115	3,042,115	2,051,217	2,065,957
Childhood Lead Poisoning	71,250	75,377	0	0	72,362	72,362
Aids Services	4,350,782	4,975,686	4,975,686	4,975,686	4,975,686	4,975,686
Breast and Cervical Cancer Detection and Treatment	2,068,045	2,230,275	1,922,815	1,922,815	2,209,922	2,222,917
Children with Special Health Care Needs	1,208,045	1,278,016	0	0	1,220,505	1,220,505
Medicaid Administration	2,666,935	3,963,583	0	0	2,637,563	2,784,617
Fetal and Infant Mortality Review	0	20,000	0	0	19,000	19,000
Immunization Services	0	0	30,076,656	31,361,117	30,076,656	31,361,117
Infectious Disease Prevention and Control	0	0	1,841,926	1,841,926	0	0
<b>Other Than Payments to Local Governments</b>						
Community Health Services	5,742,359	6,717,155	6,163,866	5,670,796	6,298,866	5,855,796
Rape Crisis	417,700	441,893	422,008	422,008	422,008	422,008
X-Ray Screening and Tuberculosis Care	1,193,803	1,201,026	0	0	1,195,148	1,195,148
Genetic Diseases Programs	786,591	832,908	795,427	795,427	795,427	795,427
Loan Repayment Program	22,438	0	0	0	0	0
Immunization Services	9,009,776	18,387,336	0	0	0	0
<b>Grant Payments to Local Governments</b>						
Local and District Departments of Health	4,466,965	4,662,487	4,676,836	4,676,836	4,669,173	4,669,173
Venereal Disease Control	185,450	196,191	0	0	187,362	187,362
School Based Health Clinics	9,846,807	11,543,438	9,973,797	9,815,050	12,747,463	12,638,716
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>201,698</b>	<b>147,102</b>	<b>201,698</b>	<b>147,102</b>
<b>Agency Total - General Fund</b>	<b>80,906,634</b>	<b>99,861,229</b>	<b>108,141,833</b>	<b>108,689,912</b>	<b>111,454,054</b>	<b>112,247,418</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	250,000	0
Federal & Other Restricted Act	134,316,496	144,474,837	148,960,173	140,643,912	148,960,173	130,940,603
Private Contributions	33,894,894	33,377,793	28,458,131	27,566,866	28,458,131	27,566,866
<b>Agency Grand Total</b>	<b>249,118,024</b>	<b>277,713,859</b>	<b>285,560,137</b>	<b>276,900,690</b>	<b>289,122,358</b>	<b>270,754,887</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Current Services****Annualize Previous Year Partial Funding**

Personal Services	3	183,832	3	195,851	0	0	0	0
Other Expenses	0	4,250	0	5,000	0	0	0	0
Community Health Services	0	100,000	0	100,000	0	0	0	0
Immunization Services	0	8,530,950	0	8,530,950	0	0	0	0
School Based Health Clinics	0	1,258,663	0	1,258,663	0	0	0	0
<b>Total - General Fund</b>	<b>3</b>	<b>10,077,695</b>	<b>3</b>	<b>10,090,464</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

1. PA 10-38 authorized the creation of a new professional licensure category, Master Social Worker, to be administered by DPH within available appropriations. A Processing Technician position and associated Personal Services, Other Expenses, and Equipment account funding was provided in the FY 13 Revised Budget to begin the implementation of this licensure category by DPH.
2. The FY 13 revised budget provided \$100,000 in new, half year funding under DPH's Community Health Services account to support the CIFC Community Health Center (CHC) of Greater Danbury.
3. The appropriation for Immunization Services was increased by \$9,342,386 in the FY 13 Revised Budget for two purposes: (1) to accommodate for mandatory health care provider participation in the Connecticut Vaccine Program (CVP) and (2) to expand CVP's ability to purchase three additional CDC-recommended childhood vaccines: influenza, hepatitis A, and pneumococcal conjugate. As of 7/1/12 CVP has supplied influenza vaccine for all children six through 59 months of age. As of 10/1/12 CVP has supplied hepatitis A vaccine for all children 12 through 23 months of age. As of 3/1/13 CVP has supplied pneumococcal conjugate vaccine for children two through 71 months of age.
4. New funding of \$1,341,200 was authorized in the FY 13 Revised Budget for competitive grants to ten educational reform school districts to support the establishment or expansion of up to two School Based Health Centers (SBHCs) in each of those districts for half the school year in FY 13. In addition to this, for half the school year in FY 13 \$61,902 in new funding was authorized to support a SBHC at Church Street School in Hamden and \$61,901 in new funding was authorized to support a SBHC at Pawcatuck Middle School in Stonington.

**Governor**

Provide funding of \$10.1 million in both FY 14 and FY 15 to reflect full year funding. This includes:

1. Authorization of three positions (a Special Investigator position and two Staff Attorney I positions - one as a prosecutor and one as a hearing officer) and associated Personal Services account funding of \$183,832 in FY 14 and \$195,851 in FY 15 as well as Other Expenses account funding of \$4,250 in FY 14 and \$5,000 in FY 15 to support the full implementation of the Master Social Worker licensure category by DPH.
2. Funding of \$100,000 in both FY 14 and FY 15 to reflect full year funding for CIFC CHC of Greater Danbury.
3. Funding of \$8.5 million in both FY 14 and FY 15 to reflect full year funding for the expanded CVP.
4. Funding of \$1.3 million in both FY 14 and FY 15 to reflect full year funding for new, expanded, or newly-funded SBHCs.

**Legislative**

Same as Governor

**Adjust Funding to Reflect Wage & Compensation Related Costs**

Personal Services	1	1,794,173	1	3,588,619	0	0	0	0
Children's Health Initiatives	(1)	4,664	(1)	19,404	0	0	0	0
Breast and Cervical Cancer Detection and Treatment	0	37,393	0	50,388	0	0	0	0
Medicaid Administration	0	(1,323,290)	0	(1,176,236)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>512,940</b>	<b>0</b>	<b>2,482,175</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$512,940 in FY 14 and \$2,482,175 in FY 15 and reduce one authorized position under the Children's Health Initiatives account to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Same as Governor

**Support for the FY 13 Expansion of the CT Vaccine Program**

Immunization Services	3	140,041	3	152,196	0	0	0	0
<b>Total - General Fund</b>	<b>3</b>	<b>140,041</b>	<b>3</b>	<b>152,196</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The appropriation for Immunization Services was increased by \$9,342,386 in the FY 13 Revised Budget for two purposes: (1) to accommodate for mandatory health care provider participation in the Connecticut Vaccine Program (CVP) and (2) to expand CVP's ability to purchase three additional CDC-recommended childhood vaccines: influenza, hepatitis A, and pneumococcal conjugate. As of 7/1/12 CVP has supplied influenza vaccine for all children six through 59 months of age. As of 10/1/12 CVP has supplied hepatitis A vaccine for all children 12 through 23 months of age. As of 3/1/13 CVP has supplied pneumococcal conjugate vaccine for children two through 71 months of age.

**Governor**

Provide three administrative positions and associated funding in the Immunization Services account of \$140,041 in FY 14 and \$152,196 in FY 15 to support the expansion of CVP under the FY 13 Revised Budget. As provided in the CVP Results Based Accountability (RBA) report card, among other responsibilities these positions will initiate provider training on the new web-based CT Immunization Registry and Tracking System (CIRTS). It should be noted that, pursuant to Section 142 of PA 13-234, the health and human services implementer, this funding will be matched by revenue generated from an annual Insurance Department assessment of domestic insurance entities.

**Legislative**

Same as Governor

**Adjust Operating Expenses to Reflect Current Requirements**

Other Expenses	0	(1,437,371)	0	(1,224,934)	0	0	0	0
Equipment	0	(1,277)	0	(1,277)	0	0	0	0
Children's Health Initiatives	0	(397,682)	0	(397,682)	0	0	0	0
Breast and Cervical Cancer Detection and Treatment	0	(42,858)	0	(42,858)	0	0	0	0
Medicaid Administration	0	(2,730)	0	(2,730)	0	0	0	0
Immunization Services	0	1,711,378	0	2,983,684	0	0	0	0
Local and District Departments of Health	0	6,686	0	6,686	0	(7,663)	0	(7,663)
Venereal Disease Control	0	(1)	0	(1)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(163,855)</b>	<b>0</b>	<b>1,320,888</b>	<b>0</b>	<b>(7,663)</b>	<b>0</b>	<b>(7,663)</b>

**Governor**

Reduce funding by \$156,192 in FY 14 and provide funding of \$1.3 million in FY 15 in various accounts to reflect FY 14 and FY 15 anticipated expenditure requirements. These costs include the elimination of a one-time telephone installation charge from the Other Expenses account, revised property maintenance costs for the new state laboratory in Rocky Hill, elimination of unobligated grant funding from the Children's Health Initiatives account, elimination of a one-time advertising charge under the Breast and Cervical Cancer Detection and Treatment account, a vaccine pricing adjustment to the Immunization Services account and an adjustment to the Local and District Health Department account related to updated population estimates.

**Legislative**

Reduce funding by \$163,855 in FY 14 and provide funding of \$1.3 million in FY 15 in various accounts to reflect FY 14 and FY 15 anticipated expenditure requirements. These costs include the elimination of a one-time telephone installation charge from the Other Expenses account, revised property maintenance costs for the new state laboratory in Rocky Hill, elimination of unobligated grant funding from the Children's Health Initiatives account, elimination of a one-time advertising charge under the Breast and Cervical Cancer Detection and Treatment account, a vaccine pricing adjustment to the Immunization Services account and an adjustment to the Local and District Health Department account related to updated population estimates.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Apply Inflationary Increases

Other Expenses	0	90,518	0	211,172	0	0	0	0
Aids Services	0	8,158	0	19,297	0	0	0	0
Medicaid Administration	0	1,708	0	3,288	0	0	0	0
Fetal and Infant Mortality Review	0	174	0	410	0	0	0	0
X-Ray Screening and Tuberculosis Care	0	40,460	0	81,529	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>141,018</b>	<b>0</b>	<b>315,696</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for various accounts by \$141,018 in FY 14 and \$315,696 in FY 15 to reflect inflationary increases.

#### Legislative

Same as Governor

### Offset Lost Federal Revenue for CHIP Vaccination

Immunization Services	0	1,306,951	0	1,306,951	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,306,951</b>	<b>0</b>	<b>1,306,951</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Federal Vaccines for Children program (VFC) provides all 16 Centers for Disease Control and Prevention (CDC) recommended vaccines, free of charge, to children who are Medicaid-eligible, uninsured, underinsured, Native Alaskan, and/or American Indian. From FY 14 onward DPH's Connecticut Vaccine Program will not be able to cover the cost of vaccines purchased for children under the state Children's Health Insurance Program (CHIP - also known as HUSKY B) through VFC funding, per CDC instruction.

#### Governor

Provide funding of \$1.3 million in both FY 14 and FY 15 to offset the loss of federal revenue associated with the purchase vaccines for CHIP children, which were formerly funded under VFC. 65% of CHIP expenditures are matched by federal revenue through the Department of Social Services. Funding is provided to maintain positive performance trends reported by CVP in its Results Based Accountability report card.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	181,663	0	272,404	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>181,663</b>	<b>0</b>	<b>272,404</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals." This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$181,663 in FY 14 and \$272,404 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Annualize Private Provider COLA

Needle and Syringe Exchange Program	0	2,172	0	2,172	0	0	0	0
Children's Health Initiatives	0	10,870	0	10,870	0	0	0	0
Childhood Lead Poisoning	0	377	0	377	0	0	0	0
Breast and Cervical Cancer Detection and Treatment	0	9,990	0	9,990	0	0	0	0
Children with Special Health Care Needs	0	6,389	0	6,389	0	0	0	0
Community Health Services	0	31,655	0	31,655	0	0	0	0
Rape Crisis	0	2,209	0	2,209	0	0	0	0
X-Ray Screening and Tuberculosis Care	0	1,026	0	1,026	0	0	0	0
Genetic Diseases Programs	0	4,164	0	4,164	0	0	0	0
Venereal Disease Control	0	981	0	981	0	0	0	0
School Based Health Clinics	0	50,381	0	50,381	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>120,214</b>	<b>0</b>	<b>120,214</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The FY 13 Revised Budget included \$8.5 million to reflect a 1% cost of living increase (COLA) for private providers effective 1/1/13. The funding was provided to the following departments that have contracts with private providers: Children and Families, Correction, Developmental Services, Judicial, Mental Health and Addiction Services, Public Health, Social Services and Rehabilitation Services.

#### Governor

Provide funding of \$120,214 in both FY 14 and FY 15 to annualize the 1% private provider COLA.

#### Legislative

Same as Governor

## Policy Revisions

### Support School Based Health Center Funding Expansion

School Based Health Clinics	0	0	0	0	0	2,723,666	0	2,723,666
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,723,666</b>	<b>0</b>	<b>2,723,666</b>

#### Background

New funding of \$1,341,200 was authorized in the FY 13 Revised Budget for competitive grants to ten educational reform school districts to support the establishment or expansion of up to two School Based Health Centers (SBHCs) in each of those districts for half the school year in FY 13. In addition to this, for half the school year in FY 13 \$61,902 in new funding was authorized to support a SBHC at Church Street School in Hamden and \$61,901 in new funding was authorized to support a SBHC at Pawcatuck Middle School in Stonington.

#### Governor

Reduce funding by \$2.7 million in both FY 14 and FY 15 in the School Based Health Clinics account to reflect the elimination of funding for new, expanded, or newly funded SBHCs as authorized in the FY 13 revised budget.

#### Legislative

Maintain funding of \$2.7 million in both FY 14 and FY 15 for the FY 13 new or expanded SBHCs.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Functions to the Office of Early Childhood

Personal Services	0	0	(40)	(2,170,721)	0	0	0	0
Other Expenses	0	0	0	(106,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>(40)</b>	<b>(2,276,721)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 11-181, AAC Early Childhood Education and the Establishment of a Coordinated System of Early Care and Education and Child Development, includes provisions for a coordinated system of early care, education and child development.

#### Governor

Transfer funding of \$2.3 million in FY 15 and 40 positions to the Office of Early Childhood. This reflects transferring this agency's early childhood related functions (including regulation of child day care and administration of the Federal Affordable Care Act's Maternal and Infant Home Visitation program) to the new agency for a coordinated system of early childhood care and education.

#### Legislative

Same as Governor

### Reduce Direct Care Funding

Community Health Services	0	(256,587)	0	(699,657)	0	50,000	0	100,000
School Based Health Clinics	0	(105,019)	0	(213,766)	0	50,000	0	100,000
<b>Total - General Fund</b>	<b>0</b>	<b>(361,606)</b>	<b>0</b>	<b>(913,423)</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>200,000</b>

#### Governor

Reduce funding by \$461,606 in FY 14 and \$1.1 million in FY 15. This reflects a reduction of \$306,587 in FY 14 and \$799,657 in FY 15 in the Community Health Services account and \$155,019 in FY 14 and \$313,766 in FY 15 from the School Based Health Clinics account. This funding reduction reflects an anticipated expansion in the insured patient population treated by entities that are provided funding under these accounts.

#### Legislative

Reduce funding by \$361,606 in FY 14 and \$913,423 in FY 15. This reflects a reduction of \$256,587 in FY 14 and \$699,657 in FY 15 in the Community Health Services account and \$105,019 in FY 14 and \$213,766 in FY 15 from the School Based Health Clinics account. This funding reduction anticipates an expansion in the insured patient population treated by entities that are provided funding under these accounts.

### Provide Funding for Tattoo Technician Licensure

Personal Services	2	117,644	2	227,770	2	117,644	2	227,770
Other Expenses	0	10,000	0	10,000	0	10,000	0	10,000
<b>Total - General Fund</b>	<b>2</b>	<b>127,644</b>	<b>2</b>	<b>237,770</b>	<b>2</b>	<b>127,644</b>	<b>2</b>	<b>237,770</b>

#### Legislative

Provide funding of \$127,644 in FY 14 and \$237,770 in FY 15 and two full-time positions to support the implementation of Tattoo Technician licensure by DPH, pursuant to Sections 131 - 138 of PA 13-234, the health and human services implementer. This funding also reflects support for one half-time position in FY 14 and three half-time positions in FY 15.

### Provide Support for the Office of Injury Prevention

Personal Services	2	105,477	2	140,636	2	105,477	2	140,636
Other Expenses	0	100	0	100	0	100	0	100
<b>Total - General Fund</b>	<b>2</b>	<b>105,577</b>	<b>2</b>	<b>140,736</b>	<b>2</b>	<b>105,577</b>	<b>2</b>	<b>140,736</b>

#### Background

Per CGS 19a-4i, the Office of Injury Prevention within DPH coordinates and expands prevention and control activities related to intentional and unintentional injuries. The duties of said office include, but are not limited to, the following: (1) To serve as a data coordinator and analysis source of mortality and injury statistics for other state agencies, (2) to integrate an injury and violence prevention focus within the Department of Public Health, (3) to develop collaborative relationships with other state agencies and private and community organizations to establish programs promoting injury prevention, awareness and education to reduce automobile, motorcycle and bicycle injuries and interpersonal violence, including homicide, child abuse, youth violence, domestic

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

violence, sexual assault and elderly abuse, (4) to support the development of comprehensive community-based injury and violence prevention initiatives within cities and towns of the state and (5) to develop sources of funding to establish and continue programs to promote prevention of intentional and unintentional injuries.

No state or federal funds have supported this Office since August 2011.

#### Legislative

Provide two positions (a Health Program Associate and an Epidemiologist I) and associated Personal Services and Other Expenses funding of \$105,577 in FY 14 (reflecting a 10/1/13 hire date) and \$140,736 in FY 15 to support the Office of Injury Prevention.

### Adjust Support for Various Accounts

Childhood Lead Poisoning	0	0	0	0	0	72,362	0	72,362
Fetal and Infant Mortality Review	0	0	0	0	0	19,000	0	19,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>91,362</b>	<b>0</b>	<b>91,362</b>

#### Background

Funding of \$20,000 was authorized in the FY 13 Revised Budget to support for the creation of an infant mortality and morbidity prevention plan to be completed through DPH. In FY 09, five Fetal and Infant Mortality Review (FIMR) contractors conducted a total of 57 fetal/infant death case reviews. Since FY 10, no FIMR contracts have been issued or executed.

Funding of \$75,000 was authorized in the FY 13 Revised Budget to support a childhood lead poisoning education and outreach campaign. In prior years funding has been awarded to Waterbury's, New Haven's, and Bridgeport's boards of education. In FY 12 and FY 13 funding was awarded to the State Department of Education.

#### Governor

Reduce funding by \$91,362 in both FY 14 and FY 15 for the following:

- Reduce funding by \$19,000 in both FY 14 and FY 15 to reflect the elimination of FIMR.
- Reduce funding by \$72,362 in both FY 14 and FY 15 to reflect the elimination of funding to support a childhood lead poisoning education and outreach campaign.

#### Legislative

Maintain funding of \$91,362 in both FY 14 and FY 15 for the following:

- To reflect support for DPH chart review of mothers that died in childbirth - \$19,000 in both FY 14 and FY 15 is provided under FIMR.
- To continue support for a childhood lead poisoning education and outreach campaign - \$72,362 in both FY 14 and FY 15 is provided under the Childhood Lead Poisoning account.

### Continue Administrative Support for Stem Cell Research

Personal Services	0	70,000	0	70,000	0	0	0	0
Other Expenses	0	15,000	0	130,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>85,000</b>	<b>0</b>	<b>200,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 05-149, AA Permitting Stem Cell Research and Banning the Cloning of Human Beings, authorized the expenditure of up to \$10 million annually from a Stem Cell Research Trust Fund (SCRF) established by CGA Sec. 19a-32e for embryonic and human adult stem cell research. Section 24 of PA 11-6, the biennial budget act, authorized DPH to expend up to \$200,000 in both of FY 12 and FY 13 from SCRF to support DPH administrative staff and other expenses.

#### Governor

Provide funding of \$70,000 in both FY 14 and FY 15 in the Personal Services account and \$15,000 in FY 14 and \$130,000 in FY 15 in the Other Expenses account to support DPH administrative staff and other expenses related to SCRF. Up to \$115,000 of the balance of SCRF will be available to supplement this funding in FY 14 pursuant to Section 14 of PA 13-184.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Online Licensing System Costs to DAS

Other Expenses	0	(40,000)	0	(40,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(40,000)</b>	<b>0</b>	<b>(40,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer annual online licensing system costs of \$40,000 to the Department of Administrative Services.

#### Legislative

Same as Governor

### Reflect Savings from Required Online License Renewal

Other Expenses	0	(8,600)	0	(30,200)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(8,600)</b>	<b>0</b>	<b>(30,200)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce Other Expenses account funding by \$8,600 in FY 14 and \$30,200 in FY 15 to reflect savings associated with requiring, effective 10/1/13, all doctors, dentists and nurses to renew their professional licenses on-line. Savings represent averted bank processing fees and averted postage costs. Section 139 of PA 13-234, the health and human services implementer, authorizes this change.

#### Legislative

Same as Governor

### Rollout of FY 13 Rescissions

Breast and Cervical Cancer Detection and Treatment	0	(24,878)	0	(24,878)	0	86,635	0	86,635
Children with Special Health Care Needs	0	(63,900)	0	(63,900)	0	0	0	0
Fetal and Infant Mortality Review	0	(1,000)	0	(1,000)	0	0	0	0
Community Health Services	0	(293,357)	0	(293,357)	0	42,500	0	42,500
Rape Crisis	0	(22,094)	0	(22,094)	0	0	0	0
X-Ray Screening and Tuberculosis Care	0	(6,904)	0	(6,904)	0	0	0	0
Genetic Diseases Programs	0	(41,645)	0	(41,645)	0	0	0	0
Venereal Disease Control	0	(9,809)	0	(9,809)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(463,587)</b>	<b>0</b>	<b>(463,587)</b>	<b>0</b>	<b>129,135</b>	<b>0</b>	<b>129,135</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$592,722 in both FY 14 and FY 15 to reflect the partial rollout of the Governor's FY 13 rescissions.

#### Legislative

Reduce funding of \$463,587 in both FY 14 and FY 15 to reflect the partial rollout of the Governor's FY 13 rescissions. Maintain funding of \$86,635 in the Breast and Cervical Cancer Detection and Treatment account and \$42,500 in the Community Health Services account to reflect support for the implementation of a charitable dental program. The FY 13 Revised Budget included funding of \$85,000 for a charitable dental program. Half of this amount (\$42,500) was eliminated under the Governor's November 2012 rescissions and the other half (\$42,500) was eliminated in the December 2012 Deficit Mitigation Plan (DMP).

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Immunization from Grants to Other Current Expenses

Immunization Services	0	29,936,615	0	31,208,921	0	0	0	0
Immunization Services	0	(29,936,615)	0	(31,208,921)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer Immunization Services account funding of \$29.9 million in FY 14 and \$31.2 million in FY 15 from 'Grants - Other' to the 'Other Current Expenses' section of the budget to more accurately reflect types of spending in this account.

#### Legislative

Same as Governor

### Rollout of FY 13 DMP

Childhood Lead Poisoning	0	(3,392)	0	(3,392)	0	0	0	0
Community Health Services	0	0	0	0	0	42,500	0	42,500
<b>Total - General Fund</b>	<b>0</b>	<b>(3,392)</b>	<b>0</b>	<b>(3,392)</b>	<b>0</b>	<b>42,500</b>	<b>0</b>	<b>42,500</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

#### Governor

Reduce funding by \$45,892 in both FY 14 and FY 15 to reflect the partial rollout of expenditure reductions in PA 12-1 DSS.

#### Legislative

Reduce funding by \$3,392 in both FY 14 and FY 15 to reflect the partial rollout of expenditure reductions in PA 12-1 DSS. Funding of \$42,500 is maintained in the Community Health Services account to support the implementation of a charitable dental program. The FY 13 Revised Budget included funding of \$85,000 for a charitable dental program. Half of this amount (\$42,500) was eliminated under the Governor's November 2012 rescissions and the other half (\$42,500) was eliminated in the December 2012 Deficit Mitigation Plan (DMP).

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(37,324)	0	(78,413)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(37,324)</b>	<b>0</b>	<b>(78,413)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding of \$37,324 in FY 14 and \$78,413 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(6,571)	0	(6,571)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(6,571)</b>	<b>0</b>	<b>(6,571)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$6,571 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS)

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Eliminate Inflationary Increases

Other Expenses	0	(90,518)	0	(211,172)	0	0	0	0
Aids Services	0	(8,158)	0	(19,297)	0	0	0	0
Medicaid Administration	0	(1,708)	0	(3,288)	0	0	0	0
Fetal and Infant Mortality Review	0	(174)	0	(410)	0	0	0	0
X-Ray Screening and Tuberculosis Care	0	(40,460)	0	(81,529)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(141,018)</b>	<b>0</b>	<b>(315,696)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce various accounts by \$141,018 in FY 14 and \$315,696 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	20,035	0	(125,302)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>20,035</b>	<b>0</b>	<b>(125,302)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$20,035 in FY 14 and reduce funding by \$125,302 to reflect changes to GAAP accruals as a result of policy changes.

#### Legislative

Same as Governor

### Transfer Funding to Streamline Budget Account Structure

Personal Services	0	0	0	0	0	(3,012,848)	0	(3,187,637)
Other Expenses	0	0	0	0	0	(54,794)	0	(54,794)
Needle and Syringe Exchange Program	0	0	0	0	0	459,416	0	459,416
Children's Health Initiatives	0	0	0	0	0	(990,898)	0	(976,158)
Breast and Cervical Cancer Detection and Treatment	0	0	0	0	0	200,472	0	213,467
Children with Special Health Care Needs	0	0	0	0	0	1,220,505	0	1,220,505
Medicaid Administration	0	0	0	0	0	2,637,563	0	2,784,617
Infectious Disease Prevention and Control	0	0	0	0	0	(1,841,926)	0	(1,841,926)
X-Ray Screening and Tuberculosis Care	0	0	0	0	0	1,195,148	0	1,195,148
Venereal Disease Control	0	0	0	0	0	187,362	0	187,362
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

#### Governor

Transfer funding as follows to reflect the streamlining of agency budgetary accounts:

- \$2,637,563 in FY 14 and \$2,784,617 in FY 15 from the Medicaid Administration account to the Personal Services account (\$2,582,769 in FY 14 and \$2,729,823 in FY 15) and the Other Expenses account (\$54,794 in both FY 14 and FY 15).
- \$229,607 in FY 14 and \$244,347 in FY 15 from the Children's Health Initiatives account (CHI) to the Personal Services (PS) account

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

- \$200,472 in FY 14 and \$213,467 in FY 15 from the Breast & Cervical Cancer Detection & Treatment account to PS.
- \$1.2 million in both FY 14 and FY 15 from the Children with Special Health Care Needs account to CHI.
- \$459,416 in both FY 14 and FY 15 from the Needle and Syringe Exchange Program, \$1.2 million in both FY 14 and FY 15 from the X-Ray Screening & Tuberculosis account, and \$187,362 in both FY 14 and FY 15 from the Venereal Disease Control account to the a new account, Infectious Disease Control and Prevention.

#### Legislative

Maintain agency account structure.

### Increase Newborn Screening Fee Revenue Transfer to DPH

#### Background

PA 09-3 JSS increased the newborn screening minimum fee from \$28 per infant to \$56 per infant. Approximately \$2 million in revenue was collected in FY 12 from newborn screening fees. Pursuant to CGS Sec. 19a-55a, a portion of this revenue is made available to DPH annually to cover the cost of newborn screening. The remainder is deposited into the General Fund as unrestricted revenue. Section 39 of PA 11-48 authorized \$1,121,713 in both FY 12 and FY 13 be made available to DPH for this purpose.

#### Legislative

Section 45 of PA 13-184 increases the transfer of funding from newborn screening fee revenue to \$1,150,000 in both FY 14 and FY 15 to accommodate higher costs of testing. This is an increase of \$28,287 from the FY 12 and FY 13 transfer amount.

### Tobacco and Health Trust Fund (THTF) Transfers

#### Governor

CGS Sec. 4-28e authorized the annual disbursement from the Tobacco Settlement Fund to the THTF in the amount of \$12 million. In addition to this amount, any funds that are remaining in the Tobacco Settlement Fund following all other statutory disbursements are deposited into the THTF.

#### Legislative

Section 71 of PA 13-184 reduces the annual disbursement from the Tobacco Settlement Fund to the THTF from \$12 million in each fiscal year to \$6 million. Pursuant to Section 109 of PA 13-184, \$3.5 million is transferred from the THTF to the resources of the General Fund in FY 14. Section 151 of PA 13-234, the health and human services implementer, limits the THTF Board recommendations for authorization of disbursements from the THTF to up \$3 million in both FY 14 and FY 15.

Total disbursements from the THTF of \$5.7 million in FY 14 and \$5.9 million in FY 15 are authorized by PA 13-184 as follows. Disbursements listed below reflect the amount in both FY 14 and FY 15, except as otherwise noted.

- \$3.4 million for the Department of Social Services (DSS) - Medicaid smoking cessation programs,
- \$500,000 for Regional Emergency Medical Services Coordinators,
- \$500,000 to UConn Health Center for the CT Health Information Network,
- \$400,000 for Easy Breathing (\$250,000 to address children's asthma and \$150,000 to address adult asthma),
- \$200,000 to DSS' Other Expenses account to support UConn's Medicaid Partnership,
- \$150,000 for asthma outreach by the CT Coalition for Environmental Justice and
- \$500,000 in FY 14 and \$750,000 in FY 15 is provided for DDS to implement recommendations resulting from a study and to improve services and supports for individuals with autism.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Carry Forward

### Carry Forward Funding to Decommission the Former PHL

Other Expenses	0	250,000	0	0	0	250,000	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>0</b>

#### Background

The former State Public Health Laboratory (PHL) structure at 10 Clinton Street in downtown Hartford was built in 1965. An architectural and engineering study commissioned by the Department of Public Works in 2001 concluded that the building was obsolete and in poor internal and external condition. By April 2010 the State Bond Commission had allocated \$75.9 million to build a new PHL in Rocky Hill. The Katherine A. Kelley PHL in Rocky Hill opened in 2012.

The Katherine A. Kelley PHL's Biological Science Services Division provides testing for bacterial, viral, fungal and parasitic agents of diseases, serves as a reference center for microbiological aspects of infectious diseases and screens Connecticut newborns for certain genetic diseases. Its Environmental Chemistry and Microbiology Services Division evaluates toxic inorganic/organic chemicals in the air, river and lake waters, wastewater, drinking water, fish and shellfish, landfills, industrial waste, spills, consumer products, dairy, food and soils. Some services of the PHL, such as investigations of food-borne pathogens, identification of new strains of influenza, newborn screening and rabies testing are not available in private or hospital laboratories. The PHL does not charge its sister agencies or local health departments for its services.

#### Legislative

Pursuant to CGS Sec. 4-89(c), \$250,000 is carried forward into FY 14 in the Other Expenses account to support the decommissioning of the former PHL in Hartford.

## Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	506	99,861,229	506	99,861,229	0	0	0	0
Current Services	6	12,316,667	6	16,060,988	0	(7,663)	0	(7,663)
Policy Revisions	4	(723,842)	(36)	(3,674,799)	4	3,319,884	4	3,565,169
<b>Total Recommended - GF</b>	<b>516</b>	<b>111,454,054</b>	<b>476</b>	<b>112,247,418</b>	<b>4</b>	<b>3,312,221</b>	<b>4</b>	<b>3,557,506</b>

## Other Significant Legislation

### PA 13-55, An Act Concerning an Advisory Council on Palliative Care

Establishes, within available appropriations, a 13-member Palliative Care Advisory Council within DPH. The council must (1) analyze the current state of palliative care in Connecticut and (2) advise DPH on matters related to improving palliative care and the quality of life for people with serious or chronic illnesses.

### PA 13-142, An Act Concerning Birth Certificates for Homeless Youth

Allows certified homeless youth and emancipated minors to access or receive their birth certificates. It sets conditions for how youth are certified as homeless for this purpose. Existing law did not allow minors to access or receive their birth certificates but their parents, guardians and certain other family members could obtain birth certificates for them.

### PA13-187, An Act Concerning a School Nurse Advisory Council and an Advisory Council on Pediatric Autoimmune Neuropsychiatric Disorder Associated with Streptococcal Infections

Establishes an advisory council on pediatric autoimmune neuropsychiatric disorder associated with streptococcal infections and pediatric acute neuropsychiatric syndrome. This council must advise the DPH commissioner on research, diagnosis, treatment and education relating to these conditions and beginning 1/1/14 it must annually report to the Public Health Committee.

### **PA 13-208, An Act Concerning Various Revisions to the Public Health Statutes**

Makes numerous substantive and minor changes to DPH-related statutes and programs. Among other provisions, it requires that up to 2% of the balance of the Biomedical Research Trust Fund be made available to DPH for administrative purposes. It establishes biennial licensing and inspection fees as follows: (1) for short-term hospitals special hospice - \$940 per site and \$7.50 per bed and (2) for hospice inpatient facilities - \$440 per site and \$5 per bed. The act also increases the income limit for DPH's Breast and Cervical Cancer Early Detection and Treatment Referral Program from 200% of the federal poverty level to 250% of the federal poverty level. It retains the existing requirements that participants also be 21 to 64 years old and lack health insurance coverage for breast cancer screening mammography or cervical cancer screening services. By law the program provides, within existing appropriations, participants with clinical breast exams, screening mammograms and pap tests and a pap test every six months for women who have tested HIV positive.

### **PA 13-239, An Act Authorizing and Adjusting Bonds of the State for Capital Improvements, Transportation, Elimination of the Accumulated GAAP Deficit and Other Purposes**

Authorizes \$10 million in General Obligation bonds in both FY 14 and FY 15 to support the Stem Cell Research Trust Fund. In parallel, Section 71 of PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015, eliminates a statutory transfer of \$10 million from the Tobacco Settlement Fund to the Stem Cell Research Fund in FY 14 and FY 15 and increases by that amount the "Transfer from Tobacco Settlement" in the General Fund revenue schedule, authorized under Section 113 of PA 13-184, thereby increasing General Fund resources by this amount in both fiscal years.

### **PA 13-242, An Act Concerning Newborn Screening for Adrenoleukodystrophy**

Requires all health care institutions caring for newborn infants to test them for adrenoleukodystrophy (unless their parents object on religious grounds as allowed by law) after both of the following occur: (1) a reliable ALD screening method is developed and validated that uses dried blood spots and quality assurance testing methods or the federal Food and Drug Administration approves an ALD test that uses dried blood spots and (2) any reagents necessary for the screening test are available.

### **PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$200,697, a General Other Expenses Lapse of \$53,828, and a Statewide Hiring Reduction Lapse of \$101,960. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	34,643,549	(246,513)	34,397,036	0.71%
Other Expenses	6,571,032	(81,102)	6,489,930	1.23%
Children's Health Initiatives	2,051,217	(8,584)	2,042,633	0.42%
Breast and Cervical Cancer Detection and Treatment	2,209,922	(9,248)	2,200,674	0.42%
Medicaid Administration	2,637,563	(11,038)	2,626,525	0.42%



# Office of the Chief Medical Examiner

## CME49500

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	58	53	53	53	53	53

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	4,290,212	4,317,148	4,447,470	4,674,075	4,447,470	4,674,075
Other Expenses	904,571	684,544	711,931	727,860	884,544	900,443
Equipment	14,726	15,500	19,226	19,226	19,226	19,226
<b>Other Current Expenses</b>						
Medicolegal Investigations	27,393	28,828	0	0	27,387	27,417
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>21,176</b>	<b>26,603</b>	<b>21,176</b>	<b>26,603</b>
<b>Agency Total - General Fund</b>	<b>5,236,902</b>	<b>5,046,020</b>	<b>5,199,803</b>	<b>5,447,764</b>	<b>5,399,803</b>	<b>5,647,764</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	148,022	0	394,112	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>148,022</b>	<b>0</b>	<b>394,112</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$148,022 in FY 14 and \$394,112 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	200,000	0	200,000	0	200,000	0	200,000
<b>Total - General Fund</b>	<b>0</b>	<b>200,000</b>	<b>0</b>	<b>200,000</b>	<b>0</b>	<b>200,000</b>	<b>0</b>	<b>200,000</b>

#### Legislative

Provide funding of \$200,000 in both FY 14 and FY 15 in the Other Expenses account to reflect anticipated expenditure requirements.

#### Apply Inflationary Increases

Other Expenses	0	15,899	0	36,611	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>15,899</b>	<b>0</b>	<b>36,611</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for the Other Expenses account by \$15,899 in FY 14 and an additional \$20,712 in FY 15 (for a cumulative total of \$36,611 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Equipment

Equipment	0	4,500	0	4,500	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>4,500</b>	<b>0</b>	<b>4,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$4,500 in both FY 14 and FY 15 for equipment purchases, such as autopsy saws.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	21,932	0	27,579	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>21,932</b>	<b>0</b>	<b>27,579</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional – Change to Accruals." This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$21,932 in FY 14 and \$27,579 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Transfer Funding to Streamline Budget Account Structure

Other Expenses	0	0	0	0	0	(27,387)	0	(27,417)
Medicolegal Investigations	0	0	0	0	0	27,387	0	27,417
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

#### Governor

Transfer funding of \$27,387 in FY 14 and \$27,417 in FY 15 from the Medicolegal Investigations account to the Other Expenses account to reflect the streamlining of agency budgetary accounts.

#### Legislative

Maintain agency account structure.

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(17,700)	0	(37,185)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(17,700)</b>	<b>0</b>	<b>(37,185)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$17,700 in FY 14 and \$37,185 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Eliminate Inflationary Increases**

Other Expenses	0	(15,899)	0	(20,712)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(15,899)</b>	<b>0</b>	<b>(20,712)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce the Other Expenses account by \$15,899 in FY 14 and \$20,712 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Rollout of FY 13 DMP**

Medicolegal Investigations	0	(1,441)	0	(1,411)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,441)</b>	<b>0</b>	<b>(1,411)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$1,441 in FY 14 and \$1,411 in FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Rollout of FY 13 Rescissions**

Equipment	0	(774)	0	(774)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(774)</b>	<b>0</b>	<b>(774)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$774 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(756)	0	(976)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(756)</b>	<b>0</b>	<b>(976)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$756 in FY 14 and \$976 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

**Legislative**

Same as Governor

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	53	5,046,020	53	5,046,020	0	0	0	0
Current Services	0	390,353	0	662,802	0	200,000	0	200,000
Policy Revisions	0	(36,570)	0	(61,058)	0	0	0	0
<b>Total Recommended - GF</b>	<b>53</b>	<b>5,399,803</b>	<b>53</b>	<b>5,647,764</b>	<b>0</b>	<b>200,000</b>	<b>0</b>	<b>200,000</b>

## *Other Significant Legislation*

### **PA 13-234, An Act Implementing the Governor's Budget Recommendations for Housing, Human Services and Public Health**

Section 145 allows the Office of Policy and Management Secretary, at the Chief Medical Examiner's request, to waive the \$150 cremation certificate fee required for the cremation of a body for which a death certificate has been issued.

### **PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$22,228, a General Other Expenses Lapse of \$7,246, and a Statewide Hiring Reduction Lapse of \$13,089. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	4,447,470	(31,646)	4,415,824	0.71%
Other Expenses	884,544	(10,917)	873,627	1.23%

# Department of Developmental Services

DDS50000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	3,617	3,322	3,325	3,320	3,325	3,327
Permanent Full-Time - OF	13	8	8	0	8	8

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	260,679,872	246,714,526	255,814,066	265,508,596	255,201,408	265,451,852
Other Expenses	22,325,307	21,942,944	26,606,025	26,450,681	22,302,444	22,196,100
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Human Resource Development	208,801	208,801	0	0	198,361	198,361
Family Support Grants	2,974,609	3,116,091	0	0	2,860,287	2,860,287
Cooperative Placements Program	21,928,520	22,923,542	23,088,551	24,079,717	23,088,551	24,079,717
Clinical Services	4,766,804	4,320,720	0	0	4,300,720	4,300,720
Early Intervention	36,288,242	34,862,523	37,286,804	0	37,286,804	37,286,804
Community Temporary Support Services	63,950	63,950	0	0	60,753	60,753
Community Respite Care Programs	308,093	313,828	0	0	558,137	558,137
Workers' Compensation Claims	15,894,871	15,246,035	15,246,035	15,246,035	15,246,035	15,246,035
Pilot Program for Autism Services	1,125,913	1,631,873	1,637,528	1,637,528	1,637,528	1,637,528
Voluntary Services	29,308,751	31,381,907	32,376,869	32,376,869	32,376,869	32,376,869
Supplemental Payments for Medical Services	11,940,390	13,400,000	13,400,000	13,400,000	5,978,116	5,978,116
<b>Other Than Payments to Local Governments</b>						
Rent Subsidy Program	4,545,937	4,537,554	4,437,554	4,437,554	5,050,212	5,150,212
Family Reunion Program	98,500	128,156	0	0	121,749	121,749
Employment Opportunities and Day Services	181,276,587	200,341,298	214,325,283	224,141,170	212,763,749	222,857,347
Community Residential Services	419,447,218	437,859,368	434,901,326	453,347,020	435,201,326	453,647,020
Family Supports	0	0	3,600,926	3,600,926	0	0
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>982,585</b>	<b>0</b>	<b>982,585</b>	<b>2,500,118</b>
<b>Agency Total - General Fund</b>	<b>1,013,182,366</b>	<b>1,038,993,117</b>	<b>1,063,703,553</b>	<b>1,064,226,097</b>	<b>1,055,215,635</b>	<b>1,096,507,726</b>
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	11,168,525	4,787,594	3,500,000	1,442,000	3,500,000	3,500,000
Private Contributions	45,561	41,500	541,500	791,500	541,500	791,500
<b>Additional Funds Available</b>						
<b>Agency Grand Total</b>	<b>1,024,396,452</b>	<b>1,043,822,211</b>	<b>1,067,745,053</b>	<b>1,066,459,597</b>	<b>1,059,257,135</b>	<b>1,100,799,226</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Current Services****Adjust Funding to Reflect Wage & Compensation Related Costs**

Personal Services	0	11,716,366	0	23,249,477	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>11,716,366</b>	<b>0</b>	<b>23,249,477</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$11,716,366 in FY 14 and \$23,249,477 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

**Legislative**

Same as Governor

**Adjust Operating Expenses to Reflect Current Requirements**

Other Expenses	0	298,836	0	359,548	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>298,836</b>	<b>0</b>	<b>359,548</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Increase of funding by \$298,836 in FY 14 and \$359,548 in FY 15 to reflect the anticipated expenditure requirements in Other Expenses. This adjustment includes increase costs for consulting services and rents.

**Legislative**

Same as Governor

**Annualize Previous Year Partial Funding**

Cooperative Placements Program	0	702,216	0	702,216	0	0	0	0
Employment Opportunities and Day Services	0	7,100,430	0	7,100,430	0	0	0	0
Community Residential Services	0	2,615,577	0	2,615,577	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>10,418,223</b>	<b>0</b>	<b>10,418,223</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period. Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year.

**Governor**

Provide funding of \$10,418,223 in both FY 14 and FY 15 to reflect full year funding for FY 13 caseload as follows:

- 6 Cooperative Placements
- 337 High School Graduates
- 83 Age Outs

This also includes a downward adjustment of \$2,607,262 in Community Residential Services to reflect Southbury Training School MFP placements that were funded in FY 13 but not placed.

**Legislative**

Same as Governor

**Annualize Private Provider COLA**

Cooperative Placements Program	0	113,427	0	113,427	0	0	0	0
Early Intervention	0	174,281	0	174,281	0	0	0	0
Pilot Program for Autism Services	0	5,655	0	5,655	0	0	0	0
Voluntary Services	0	156,882	0	156,882	0	0	0	0
Employment Opportunities and Day Services	0	990,276	0	990,276	0	0	0	0
Community Residential Services	0	2,170,020	0	2,170,020	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,610,541</b>	<b>0</b>	<b>3,610,541</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The FY 13 Revised Budget included \$8.5 million to reflect a 1% cost of living increase (COLA) for private providers effective 1/1/13. The funding was provided to the following departments that have contracts with private providers: Children and Families, Correction, Developmental Services, Judicial, Mental Health and Addiction Services, Public Health, Social Services and Rehabilitation Services.

**Governor**

Provide funding of \$3,610,541 in both FY 14 and FY 15 to annualize the 1% private provider COLA.

**Legislative**

Same as Governor

**Provide Funding for Age Outs**

Employment Opportunities and Day Services	0	2,790,173	0	5,900,001	0	(930,057)	0	(726,590)
Community Residential Services	0	6,364,255	0	19,429,533	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>9,154,428</b>	<b>0</b>	<b>25,329,534</b>	<b>0</b>	<b>(930,057)</b>	<b>0</b>	<b>(726,590)</b>

**Background**

In accordance with interagency agreements, DDS is responsible for developing residential placements and day services for individuals who are aging out of the Department of Children and Families and residential schools.

**Governor**

Provide funding of \$10,084,485 in FY 14 and \$26,056,124 in FY 15 to fund individuals aging out of DCF and residential schools. Additionally, the state receives federal reimbursement for this Medicaid waived program. Full year funding is provided for both day and residential programs in both FY 14 and FY 15. The program breakdown for that funding is shown as follows:

- Day Programs for 117 in FY 14
- Day Programs for 91 in FY 15
- Residential Services for 94 in FY 14
- Residential Services for 101 in FY 15

**Legislative**

Provide funding of \$9,154,428 in FY 14 and \$25,329,534 in FY 15 to fund individuals aging out of DCF and residential schools. The start date for day programs may vary due to the individual's needs, funding supports on average day programs starting on October 1. Additionally, the state receives federal reimbursement for this Medicaid waived program. FY 15 funding includes full year support of placements that started in FY 14. The program breakdown for that funding is shown as follows:

- Day Programs for 117 in FY 14
- Day Programs for 91 in FY 15
- Residential Services for 94 in FY 14
- Residential Services for 101 in FY 15

**Provide Funding for High School Grads**

Employment Opportunities and Day Services	0	5,051,811	0	12,035,581	0	(631,477)	0	(557,233)
<b>Total - General Fund</b>	<b>0</b>	<b>5,051,811</b>	<b>0</b>	<b>12,035,581</b>	<b>0</b>	<b>(631,477)</b>	<b>0</b>	<b>(557,233)</b>

**Background**

DDS provides and funds programs in a community based setting that give individuals an opportunity to perform work in an integrated setting or pursue skill building and community activities. Each year individuals completing special education programs with the school system are graduating and in need of day programs supported by the department.

**Governor**

Provide funding of \$5,683,288 in FY 14 and \$12,592,814 in FY 15 to fund day programs for new high school graduates. Funding supports 290 high school graduates in FY 14 and 254 in FY 15 with an effective date of October 1 in each year. Additionally, the state receives federal reimbursement for this Medicaid waived program. FY 15 funding includes the full cost of placements started in FY 14.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Provide funding of \$5,051,811 in FY 14 and \$12,035,581 in FY 15 to fund day programs for new high school graduates. The start date for day programs for high school graduates may vary due to the individuals' needs, funding supports on average day programs that start on November 1 for 290 high school graduates in FY 14 and 254 in FY 15. Additionally, the state receives federal reimbursement for this Medicaid waived program. FY 15 funding includes the full cost of placements started in FY 14.

**Provide Funding for STS Community Placements**

Community Residential Services	0	2,727,887	0	8,858,303	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,727,887</b>	<b>0</b>	<b>8,858,303</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

In 2010 a federal judge signed an Order approving the Settlement Agreement in the 1994 class action Messier v. Southbury Training School (STS). The agreement was negotiated by the parties, which includes The Arc of Connecticut as a plaintiff and the Department of Developmental Services (DDS) as a defendant. As a result of the Order approving the Messier Settlement Agreement, the DDS affirms the commitment that professional judgment will be rendered by each interdisciplinary team at STS for each class member, and will include recommendations for the "most integrated setting" appropriate to the individual's needs.

**Governor**

Provide funding of \$2,727,887 in FY 14 and \$8,858,303 in FY 15 in the Community Residential Services account to fund community placements for individuals choosing to leave STS.

The types of placements are provided as follows:

- 32 Money Follows the Person (MFP) placements in FY 14
- 27 MFP placements in FY 15
- 10 Non- MFP placements (more than a 4 person setting) in FY 14 and FY 15

**Legislative**

Same as Governor

**Provide Funding for Birth to Three Program**

Early Intervention	0	2,250,000	0	2,250,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,250,000</b>	<b>0</b>	<b>2,250,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

DDS is responsible for the administrative oversight of the statewide interagency Birth to Three System to ensure that eligible children and their families receive early intervention services.

**Governor**

Provide funding of \$2,250,000 in both FY 14 and FY 15 in the Early Intervention account to reflect the current utilization trend of services in the Birth to Three Program.

**Legislative**

Same as Governor

**Provide Funding for Cooperative Placements**

Cooperative Placements Program	0	495,543	0	1,486,629	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>495,543</b>	<b>0</b>	<b>1,486,629</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$495,543 in FY 14 and \$1,486,629 in FY 15 to fund six new placements in each year for six months. FY 15 funding includes the full year cost of the placements started in FY 14.

**Legislative**

Same as Governor



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding For Autism Services (VSP)

Voluntary Services	0	1,188,680	0	1,188,680	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,188,680</b>	<b>0</b>	<b>1,188,680</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

In 2012, the department received approval for a new Autism Waiver, which will allow federal reimbursement for 50% of the costs of services under the Home and Community Based Services waiver program. Children and adolescents who are currently receiving services through the Department of Children and Families (DCF) Voluntary Services Program (VSP) who have a diagnosis of autism spectrum disorder but do not have intellectual disability may be eligible for the Autism Waiver.

#### Governor

Increase funding by \$1,188,680 in both FY 14 and FY 15 to reflect the transfer of 25 children and adolescents from DCF to DDS who are eligible under the Autism Waiver.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	1,148,113	0	1,984,799	0	0	0	0
Human Resource Development	0	483	0	976	0	0	0	0
Cooperative Placements Program	0	8,880	0	15,268	0	0	0	0
Clinical Services	0	32,849	0	67,244	0	0	0	0
Workers' Compensation Claims	0	671,687	0	1,365,295	0	0	0	0
Pilot Program for Autism Services	0	2,344	0	5,545	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,864,356</b>	<b>0</b>	<b>3,439,127</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for various accounts by \$1,864,356 in FY 14 and an additional \$1,574,771 in FY 15 (for a cumulative total of \$3,439,127 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	2,185,733	0	1,625,681	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,185,733</b>	<b>0</b>	<b>1,625,681</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$2,185,733 in FY 14 and \$1,625,681 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Policy Revisions

### Transfer of Functions to the Office of Early Childhood

Personal Services	0	0	0	0	0	0	7	655,914
Other Expenses	0	0	0	0	0	0	0	49,000
Early Intervention	0	0	0	0	0	0	0	37,286,804
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>7</b>	<b>37,991,718</b>

#### Background

PA 11-181 AAC Early Childhood Education and the Establishment of a Coordinated System of Early Care and Education and Child Development, established provisions for a coordinated system of early care and education and child development.

#### Governor

Transfer funding of \$37,991,718 in FY 15 and 7 positions to the Office of Early Childhood. This reflects transferring this agency's early childhood related functions (including: the Birth to Three program) to the new agency for a coordinated system of early childhood care and education.

#### Legislative

The Birth to Three program is to remain in the Department of Developmental Services (DDS) for FY 15. It should be noted that Governor Malloy's Executive Order #35 requires the Office of Early Childhood to study the feasibility of moving the Birth to Three program from DDS to the Office Early Childhood by July 1, 2014. The results of the study are to be presented to the Governor and the Appropriations Committee by January 1, 2014.

### Transfer Funding to Streamline Budget Account Structure

Other Expenses	0	0	0	0	0	(4,499,081)	0	(4,499,081)
Human Resource Development	0	0	0	0	0	198,361	0	198,361
Family Support Grants	0	0	0	0	0	2,860,287	0	2,860,287
Clinical Services	0	0	0	0	0	4,300,720	0	4,300,720
Community Temporary Support Services	0	0	0	0	0	60,753	0	60,753
Community Respite Care Programs	0	0	0	0	0	558,137	0	558,137
Family Reunion Program	0	0	0	0	0	121,749	0	121,749
Family Supports	0	0	0	0	0	(3,600,926)	0	(3,600,926)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

#### Governor

Transfer funding of \$8,100,007 in FY 14 and FY 15 from various accounts to the Other Expenses account and a new grant account called Family Supports to reflect the streamlining of agency budgetary accounts.

#### Legislative

Maintain agency account structure.

### Transfer Case Management from DCF to DDS

Personal Services	4	260,642	8	531,710	0	0	0	0
<b>Total - General Fund</b>	<b>4</b>	<b>260,642</b>	<b>8</b>	<b>531,710</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$260,642 in FY 14 and \$531,710 in FY 15 from DCF to support 4 new case manager positions in FY 14 and 8 case manager positions in FY 15 for individuals in the Voluntary Services Program.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Reduce Funding Due to Case Management Savings

Personal Services	(1)	(145,642)	(3)	(223,710)	0	0	0	0
<b>Total - General Fund</b>	<b>(1)</b>	<b>(145,642)</b>	<b>(3)</b>	<b>(223,710)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$145,642 and one position in FY 14 and \$223,710 and three positions in FY 15 to reflect the savings associated with the transfer of case management services from DCF for individuals in the Voluntary Services Program.

#### Legislative

Same as Governor

### Achieve Savings Through Audit of Services

Other Expenses	0	300,000	0	300,000	0	0	0	0
Family Support Grants	0	(100,000)	0	(100,000)	0	0	0	0
Community Residential Services	0	(2,900,000)	0	(2,900,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,700,000)</b>	<b>0</b>	<b>(2,700,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Funding by \$300,000 is provided in both FY 14 and FY 15 in Other Expenses to contract for auditing services. Funding is reduce by \$3,000,000 in various accounts in both FY 14 and FY 15 to reflect savings anticipated by decreasing overpayment in individual budget and family grants through auditing services. The net impact is a reduction of \$2,700,000 in both FY 14 and FY15.

#### Legislative

Same as Governor

### Achieve Savings Through Use of Supportive Housing Model

Community Residential Services	0	(2,100,000)	0	(2,100,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,100,000)</b>	<b>0</b>	<b>(2,100,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The state's supportive housing initiatives provide permanent, affordable housing to families who are at risk of homelessness and facing barriers to housing and employment stability. The Department of Housing (DOH) could provide RAP certificates to individuals who are currently served by DDS in residential placements. DDS would evaluate their clients to determine whether there are individuals who would be appropriate for these certificates. FY 13 funding was reduced by \$850,000 in the Community Residential Services account in the Deficit Mitigation Plan based on implementation of the supportive housing model.

#### Governor

Reduce funding of \$2,100,000 in the Community Residential Services account in both FY 14 and FY 15 to reflect the use of the supportive housing model for new and existing DDS clients.

#### Legislative

Same as Governor

### Transfer Supportive Housing Funds

Community Residential Services	0	(150,000)	0	(150,000)	0	300,000	0	300,000
<b>Total - General Fund</b>	<b>0</b>	<b>(150,000)</b>	<b>0</b>	<b>(150,000)</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>300,000</b>

#### Background

The state's supportive housing initiatives provide permanent, affordable housing to families who are at risk of homelessness and facing barriers to housing and employment stability.

#### Governor

Transfer funding of \$450,000 from the Community Residential Services account in both FY 14 and FY 15 to the Department of Housing (DOH). Funding is for DOH to promote the use of the supportive housing model for DDS clients.

#### Legislative

Transfer funding of \$150,000 from the Community Residential Services account in both FY 14 and FY 15 to the Department of

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Housing (DOH). Funding is for DOH to promote the use of the supportive housing model for 15 DDS clients. DDS provides wrap-around services to support individuals in this setting.

### Close Public Residential Settings

Personal Services	0	(1,214,611)	0	(2,569,366)	0	0	0	0
Other Expenses	0	(94,000)	0	(195,515)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,308,611)</b>	<b>0</b>	<b>(2,764,881)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$1,308,611 in FY 14 and \$2,764,881 in FY 15 through attrition and reorganization in state operated programs. Three state-run group homes and three residential units at Southbury Training School will be closed. Clients currently living in these locations will remain in residential placements in alternate locations.

#### Legislative

Same as Governor

### Develop Incentives for Providers To Increase Service

Personal Services	0	(100,000)	0	(103,000)	0	0	0	0
Other Expenses	0	(10,000)	0	(10,000)	0	0	0	0
Community Residential Services	0	(750,000)	0	(1,500,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(860,000)</b>	<b>0</b>	<b>(1,613,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$860,000 in FY 14 and \$1,613,000 in FY 15 in various accounts to reflect the development of incentives for providers to serve additional individuals in group homes.

#### Legislative

Same as Governor

### Modify User Fee Appropriation

Supplemental Payments for Medical Services	0	(7,421,884)	0	(7,421,884)	0	(7,421,884)	0	(7,421,884)
<b>Total - General Fund</b>	<b>0</b>	<b>(7,421,884)</b>	<b>0</b>	<b>(7,421,884)</b>	<b>0</b>	<b>(7,421,884)</b>	<b>0</b>	<b>(7,421,884)</b>

#### Legislative

Funding of \$7.4 million is reduced in FY 14 and FY 15 in the Supplemental Payments for Medical Services account due to a downward adjustment to the Intermediate Care Facility Resident Day user fee which is necessary to prevent the state from exceeding the maximum amount allowed under federal law.

### Rollout of FY 13 DMP

Personal Services	0	(1,000,944)	0	(1,000,944)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,000,944)</b>	<b>0</b>	<b>(1,000,944)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

#### Governor

Reduce funding by \$1,000,944 in both FY 14 and in FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Rollout of FY 13 Rescissions

Other Expenses	0	(24,500)	0	(24,500)	0	195,500	0	195,500
Human Resource Development	0	(10,440)	0	(10,440)	0	0	0	0
Family Support Grants	0	(155,804)	0	(155,804)	0	0	0	0
Cooperative Placements Program	0	(1,146,177)	0	(1,146,177)	0	0	0	0
Community Temporary Support Services	0	(3,197)	0	(3,197)	0	0	0	0
Community Respite Care Programs	0	(15,691)	0	(15,691)	0	0	0	0
Voluntary Services	0	(350,600)	0	(350,600)	0	0	0	0
Rent Subsidy Program	0	(100,000)	0	(100,000)	0	0	0	0
Family Reunion Program	0	(6,407)	0	(6,407)	0	0	0	0
Employment Opportunities and Day Services	0	(3,510,239)	0	(3,510,239)	0	0	0	0
Community Residential Services	0	(10,135,781)	0	(10,135,781)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(15,458,836)</b>	<b>0</b>	<b>(15,458,836)</b>	<b>0</b>	<b>195,500</b>	<b>0</b>	<b>195,500</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$15,654,336 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Reduce funding of \$15,458,836 in both FY 14 and FY 15 to reflect the partial rollout of the Governor's FY 13 rescissions. Funding of \$195,500 is restored in Other Expenses to partially restore funding of the Best Buddies program. Best Buddies is a nonprofit 501(c)(3) organization dedicated to establishing a global volunteer movement that creates opportunities for one-to-one friendships, integrated employment and leadership development for people with intellectual and developmental disabilities (IDD).

### Transfer Funding for Rent Subsidy Program

Personal Services	0	(612,658)	0	(712,658)	0	(612,658)	0	(712,658)
Rent Subsidy Program	0	612,658	0	712,658	0	612,658	0	712,658
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The rent subsidy program provides DDS clients with financial assistance with their rental housing costs in community-based residences. Any DDS client eligible for residential services provided by DDS who does not have sufficient income (from earned and unearned sources) and assets to pay for his or her total housing costs may be eligible for this subsidy. This program is not an entitlement and participation is based on the availability of current year funding. In FY 12, 1,035 people received benefits from the rent subsidy program with an average annual benefit of \$4,500. In FY 13, funding of \$225,000 was transferred from Personal Services to the Rent Subsidy Program at the May Finance Advisory Committee meeting to cover an anticipated shortfall in the account.

#### Legislative

Funding of \$612,658 in FY 14 and \$712,658 in FY 15 is transferred from Personal Service to the Rent Subsidy Program in order to provide a historical transfer. Section 1 of PA 13-247, the general government implementer makes this change.

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(1,203,148)	0	874,437	0	0	0	2,500,118
<b>Total - General Fund</b>	<b>0</b>	<b>(1,203,148)</b>	<b>0</b>	<b>874,437</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,500,118</b>

#### Governor

Reduce funding by \$1,203,148 in FY 14 and \$1,625,681 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Reduce funding by \$1,203,148 in FY 14 and increase funding by \$874,437 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

**Achieve Savings By Increasing Private Respite Services**

Personal Services	0	(400,000)	0	(400,000)	0	0	0	0
Other Expenses	0	(100,000)	0	(100,000)	0	0	0	0
Clinical Services	0	(20,000)	0	(20,000)	0	0	0	0
Community Respite Care Programs	0	260,000	0	260,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(260,000)</b>	<b>0</b>	<b>(260,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding for respite services by \$260,000 in both FY 14 and FY 15 to reflect the reduction of the use of public respite settings and an increase in the use of private providers for respite services.

**Legislative**

Same as Governor

**Incentivize Use of In-Home Supports**

Community Residential Services	0	(500,000)	0	(500,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(500,000)</b>	<b>0</b>	<b>(500,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$500,000 in both FY 14 and FY 15 in the Community Residential Services account to reflect the policy to incentivize the use of family support grants and in-home support services.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(16,271)	0	(34,183)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(16,271)</b>	<b>0</b>	<b>(34,183)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$16,271 in FY 14 and \$34,183 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(10,836)	0	(10,836)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(10,836)</b>	<b>0</b>	<b>(10,836)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$10,836 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Achieve Savings in Other Expenses**

Other Expenses	0	0	0	(65,541)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(65,541)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$65,541 in FY 15 to reflect the transfer of agency administrative staff from leased to state-owned space.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Eliminate Inflationary Increases**

Other Expenses	0	(1,148,113)	0	(1,984,799)	0	0	0	0
Human Resource Development	0	(483)	0	(976)	0	0	0	0
Cooperative Placements Program	0	(8,880)	0	(15,188)	0	0	0	0
Clinical Services	0	(32,849)	0	(67,244)	0	0	0	0
Workers' Compensation Claims	0	(671,687)	0	(1,365,295)	0	0	0	0
Pilot Program for Autism Services	0	(2,344)	0	(5,545)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,864,356)</b>	<b>0</b>	<b>(3,439,047)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$1,864,356 in FY 14 and \$3,439,047 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Governor Estimated - GF</b>	<b>3,322</b>	<b>1,038,993,117</b>	<b>3,322</b>	<b>1,038,993,117</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	50,962,404	0	93,851,324	0	(1,561,534)	0	(1,283,823)
Policy Revisions	3	(34,739,886)	5	(36,336,715)	0	(6,926,384)	7	33,565,452
<b>Total Recommended - GF</b>	<b>3,325</b>	<b>1,055,215,635</b>	<b>3,327</b>	<b>1,096,507,726</b>	<b>0</b>	<b>(8,487,918)</b>	<b>7</b>	<b>32,281,629</b>

**Other Significant Legislation****PA 13-234, An Act Implementing the Governor's Budget Recommendations for Housing, Human Services and Public Health**

Section 156 requires the Department of Children and Families (DCF) to refer any child who is a victim of substantiated abuse and neglect or is receiving DCF differential response program services and has been found, through screening, to exhibit developmental and social-emotional delays to (1) the DDS Birth-to-Three Program or if ineligible for this program (2) the Children's Trust Fund's Help Me Grow prevention program or a similar program.

**PA 13-247, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2015 Concerning General Government**

Section 327 creates Regional Human Services Coordinating Councils. Starting January 1, 2015, the act requires each state planning region to establish regional human services coordinating councils to encourage collaborations fostering the development and maintenance of a client-focused structure for each region's health and human services system. Membership on the regional human services coordinating councils will require the Commissioner or his designee to attend at least two council meetings per year in each of the planning regions re-designated pursuant to section 16a-4c of the general statutes.

**PA 13-239, An Act Authorizing and Adjusting Bonds of the State for Capital Improvements, Transportation, Elimination of the Accumulated GAAP Deficit and Other Purposes**

Section 2(i) provides up to \$5 million in both FY 14 and FY 15 to DDS for fire, safety and environmental improvements to regional facilities and intermediate care facilities for client and staff needs, including improvements in compliance with current codes, site improvements, handicapped access improvements, utilities, repair or replacement of roofs, air conditioning and other interior and exterior building renovations and additions at all state-owned facilities. Additionally, section 13 provides Grants-in-aid, not exceeding a total of \$20 million, for private, nonprofit health and human service organizations for alterations, renovations, improvements, additions and new construction, including health, safety, compliance with the Americans with Disabilities Act and energy conservation improvements, information technology systems, technology for independence and purchase of vehicles.

**PA 13-90, An Act Concerning the Preservation of Farmland at the Southbury Training School**

This act establishes a procedure to preserve and manage state-owned property known as the “Farm at the Southbury Training School.” It transfers approximately 800 acres of agricultural land that is currently part of the Southbury Training School from the care of the DDS to the Department of Agriculture, which must grant a permanent conservation easement on it to a nonprofit organization.

**PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency’s FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$1,254,043, a General Other Expenses Lapse of \$182,694, and a Statewide Hiring Reduction Lapse of \$751,084. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	255,201,408	(1,815,935)	253,385,473	0.71%
Other Expenses	22,302,444	(275,263)	22,027,181	1.23%
Cooperative Placements Program	23,088,551	(96,623)	22,991,928	0.42%



# Department of Mental Health and Addiction Services

MHA53000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	3,578	3,264	3,312	3,312	3,309	3,309
Permanent Full-Time - OF	12	16	16	16	16	16

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	183,723,839	172,873,389	180,401,942	194,164,992	180,175,144	193,931,357
Other Expenses	32,511,269	27,812,305	47,069,212	47,069,212	28,626,219	28,626,219
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Housing Supports And Services	14,410,564	16,299,667	15,832,467	16,332,467	15,832,467	16,332,467
Managed Service System	38,745,104	39,915,163	50,131,113	50,184,413	52,594,458	57,034,913
Legal Services	639,269	817,481	499,378	499,378	995,819	995,819
Connecticut Mental Health Center	8,490,721	8,665,721	7,325,997	7,325,997	8,665,721	8,665,721
Professional Services	12,422,608	11,788,898	0	0	11,788,898	11,788,898
General Assistance Managed Care	163,729,021	178,489,353	202,305,969	261,184,875	115,405,969	40,774,875
Workers' Compensation Claims	11,255,045	10,594,566	10,594,566	10,594,566	10,594,566	10,594,566
Nursing Home Screening	622,784	622,784	591,645	591,645	591,645	591,645
Young Adult Services	59,811,571	63,981,110	69,942,480	75,866,518	69,942,480	75,866,518
TBI Community Services	11,008,693	14,267,815	15,296,810	17,079,532	15,296,810	17,079,532
Jail Diversion	4,527,217	4,506,446	0	0	4,416,110	4,523,270
Behavioral Health Medications	6,141,733	6,169,095	0	0	6,169,095	6,169,095
Prison Overcrowding	6,239,542	6,540,370	0	0	6,620,112	6,727,968
Medicaid Adult Rehabilitation Option	3,963,349	4,783,262	4,803,175	4,803,175	4,803,175	4,803,175
Discharge and Diversion Services	10,322,072	14,025,649	17,412,660	20,062,660	17,412,660	20,062,660
Home and Community Based Services	4,495,972	9,799,089	12,937,339	17,371,852	12,937,339	17,371,852
Persistent Violent Felony Offenders Act	668,167	671,701	0	0	675,235	675,235
Nursing Home Contract	0	300,000	0	0	485,000	485,000
Community Forensic Services	0	0	11,711,457	11,926,473	0	0
Pre-Trial Account	0	0	0	0	350,000	350,000
<b>Other Than Payments to Local Governments</b>						
Grants for Substance Abuse Services	24,897,766	24,929,551	18,992,934	12,842,934	20,605,434	17,567,934
Grants for Mental Health Services	83,763,716	76,475,894	61,959,714	47,059,714	66,134,714	58,909,714
Employment Opportunities	10,417,739	10,470,087	10,522,428	10,522,428	10,522,428	10,522,428
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>1,458,025</b>	<b>2,444,140</b>	<b>1,458,025</b>	<b>2,444,140</b>
<b>Agency Total - General Fund</b>	<b>692,807,760</b>	<b>704,799,397</b>	<b>739,789,312</b>	<b>807,926,972</b>	<b>663,099,524</b>	<b>612,895,002</b>
<b>Other Current Expenses</b>						
Managed Service System	0	0	0	0	435,000	435,000
<b>Agency Total - Insurance Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>435,000</b>	<b>435,000</b>
<b>Total - Appropriated Funds</b>	<b>692,807,760</b>	<b>704,799,397</b>	<b>739,789,312</b>	<b>807,926,972</b>	<b>663,534,524</b>	<b>613,330,002</b>
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	48,226,661	47,575,309	50,331,809	32,195,569	50,331,809	32,195,569
Private Contributions	21,161,342	21,564,595	18,443,123	18,452,480	18,443,123	18,452,480
<b>Agency Grand Total</b>	<b>762,195,763</b>	<b>773,939,301</b>	<b>808,564,244</b>	<b>858,575,021</b>	<b>732,309,456</b>	<b>663,978,051</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Current Services****Adjust Funding to Reflect Wage & Compensation Related Costs**

Personal Services	0	8,099,997	0	21,878,067	0	0	0	0
Managed Service System	0	23,454	0	76,754	0	0	0	0
General Assistance Managed Care	0	24,006	0	49,867	0	0	0	0
Young Adult Services	0	558,850	0	1,671,372	0	0	0	0
TBI Community Services	0	3,235	0	47,959	0	0	0	0
Jail Diversion	0	47,576	0	154,736	0	0	0	0
Prison Overcrowding	0	56,040	0	163,896	0	0	0	0
Home and Community Based Services	0	41,348	0	87,861	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>8,854,506</b>	<b>0</b>	<b>24,130,512</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$8,854,506 in FY 14 and \$24,130,512 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

**Legislative**

Same as Governor

**Upgrade Microsoft Office Software**

Other Expenses	0	850,000	0	850,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>850,000</b>	<b>0</b>	<b>850,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$850,000 in both FY 14 and FY 15 to upgrade Microsoft Office software on approximately 3,000 computers.

**Legislative**

Same as Governor

**Apply Inflationary Increases**

Other Expenses	0	1,585,167	0	2,797,314	0	0	0	0
Housing Supports And Services	0	16,325	0	38,615	0	0	0	0
Managed Service System	0	3,900	0	9,350	0	0	0	0
Professional Services	0	131,482	0	311,009	0	0	0	0
General Assistance Managed Care	0	123	0	290	0	0	0	0
Workers' Compensation Claims	0	559,463	0	1,145,438	0	0	0	0
Young Adult Services	0	319,082	0	586,410	0	0	0	0
TBI Community Services	0	158	0	372	0	0	0	0
Jail Diversion	0	1,986	0	4,414	0	0	0	0
Behavioral Health Medications	0	271,979	0	548,058	0	0	0	0
Prison Overcrowding	0	5,096	0	11,583	0	0	0	0
Home and Community Based Services	0	7,679	0	18,164	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,902,440</b>	<b>0</b>	<b>5,471,017</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Increase funding for various accounts by \$2,902,440 in FY 14 and an additional \$2,568,577 in FY 15 (for a cumulative total of \$5,471,017 in the second year) to reflect inflationary increases.

**Legislative**

Same as Governor

**Provide Funding for GAAP**

Nonfunctional - Change to Accruals	0	1,884,008	0	2,232,859	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,884,008</b>	<b>0</b>	<b>2,232,859</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$1,884,008 in FY 14 and \$2,232,859 in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Annualize Previous Year Partial Funding**

Housing Supports And Services	0	562,500	0	562,500	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>562,500</b>	<b>0</b>	<b>562,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period. Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year.

**Governor**

Provide funding of \$562,500 in FY 14 and FY 15 to reflect full year funding for 150 supportive housing units.

**Legislative**

Same as Governor

**Transfer Funding to Support Nursing Home Contract**

Personal Services	0	(200,000)	0	(200,000)	0	0	0	0
Nursing Home Contract	0	200,000	0	200,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$200,000 from Personal Services to the Nursing Home Contract line item to reflect the annualization of FY 13 funding.

**Legislative**

Same as Governor

**Annualize Private Provider COLA**

Housing Supports And Services	0	75,300	0	75,300	0	0	0	0
Managed Service System	0	192,496	0	192,496	0	0	0	0
Legal Services	0	3,212	0	3,212	0	0	0	0
Young Adult Services	0	190,044	0	190,044	0	0	0	0
TBI Community Services	0	56,394	0	56,394	0	0	0	0
Jail Diversion	0	12,088	0	12,088	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Prison Overcrowding	0	23,702	0	23,702	0	0	0	0
Medicaid Adult Rehabilitation Option	0	19,913	0	19,913	0	0	0	0
Discharge and Diversion Services	0	63,238	0	63,238	0	0	0	0
Home and Community Based Services	0	47,007	0	47,007	0	0	0	0
Persistent Violent Felony Offenders Act	0	3,534	0	3,534	0	0	0	0
Grants for Substance Abuse Services	0	213,383	0	213,383	0	0	0	0
Grants for Mental Health Services	0	383,820	0	383,820	0	0	0	0
Employment Opportunities	0	52,341	0	52,341	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,336,472</b>	<b>0</b>	<b>1,336,472</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The FY 13 Revised Budget included \$8.5 million to reflect a 1% cost of living increase (COLA) for private providers effective 1/1/13. The funding was provided to the following departments that have contracts with private providers: Children and Families, Correction, Developmental Services, Judicial, Mental Health and Addiction Services, Public Health, Social Services and Rehabilitation Services.

**Governor**

Provide funding of \$1,336,472 in FY 14 and FY 15 to annualize the 1% private provider COLA.

**Legislative**

Same as Governor

**Reflect FY 13 General Assistance Managed Care Utilization**

General Assistance Managed Care	0	1,825,000	0	2,481,250	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,825,000</b>	<b>0</b>	<b>2,481,250</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Medicaid Low Income Adult (LIA) program is an expansion of the Medicaid program allowed under the federal Patient Protection and Affordable Care Act (PPACA). Connecticut's state plan amendment under the act was approved to enroll clients of the former State Administered General Assistance (SAGA) program. Since federal approval, the caseload has grown from 46,156 to 86,862, as of December, 2012.

**Governor**

Provide funding of \$1,825,000 in FY 14 and \$2,481,250 in FY 15 to reflect the annualization of FY 13 utilization.

**Legislative**

Same as Governor

**Reflect Caseload Growth for General Assistance Managed Care**

General Assistance Managed Care	0	7,887,610	0	15,894,405	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>7,887,610</b>	<b>0</b>	<b>15,894,405</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$7,887,610 in FY 14 and \$15,894,405 in FY 15 for General Assistance Managed Care to reflect five percent caseload growth in each year. Funding will provide behavioral health services for single low-income adults up to 55% federal poverty level.

**Legislative**

Same as Governor

**Expand Medicaid under the Affordable Care Act**

General Assistance Managed Care	0	10,310,000	0	60,200,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>10,310,000</b>	<b>0</b>	<b>60,200,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Provide funding of \$10,310,000 in FY 14 and \$60,200,000 in FY 15 to reflect the expansion of benefits under the Medicaid for Low-Income Adults program (HUSKY D) to individuals with income between 55% and 133% of the federal poverty level. The state will receive 100% federal reimbursement through FY 16, phasing down to 90% in 2020. Additional funding of \$401.3 million in FY 14 and \$240.8 million in FY 15 is reflected in the Department of Social Services budget.

**Legislative**

Same as Governor

**Provide Funding for Rate Meld**

General Assistance Managed Care	0	3,770,000	0	4,070,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,770,000</b>	<b>0</b>	<b>4,070,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$3,770,000 in FY 14 and \$4,070,000 in FY 15 to reflect the rate meld associated with the behavioral health population under the Administrative Services Organization (ASO).

**Legislative**

Same as Governor

**Provide Funding for Young Adult Services Caseload Growth**

Young Adult Services	0	5,212,476	0	10,023,992	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>5,212,476</b>	<b>0</b>	<b>10,023,992</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Special population funding was created for high-risk youths who are transitioning from the Department of Children and Families. These youth are diagnosed with high-risk behaviors, such as Pervasive Developmental Disorders, that require close community supervision along with specialized clinical programming. These specialized services include 24-hour supervision, case management, education, daily living and vocational training, behavior monitoring and sex offender treatment.

**Governor**

Provide funding of \$5,212,476 in FY 14 and \$10,023,992 in FY 15 for Young Adult Services to reflect the annualization of FY 13 caseload as well as 50 new clients in each year.

**Legislative**

Same as Governor

**Increase Position Count for Young Adult Services**

Young Adult Services	34	0	34	0	0	0	0	0
<b>Total - General Fund</b>	<b>34</b>	<b>0</b>	<b>34</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Increase the position count for Young Adult Services by 34 positions.

**Legislative**

Same as Governor

**Provide Funding for Discharge and Diversion Caseload Growth**

Discharge and Diversion Services	0	3,492,634	0	6,142,634	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,492,634</b>	<b>0</b>	<b>6,142,634</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Discharge and Diversion Services support the transition of DMHAS clients from inpatient settings to various levels of care.

**Governor**

Provide funding of \$3,492,634 in FY 14 and \$6,142,634 in FY 15 for Discharge and Diversion Services to reflect the annualization of FY13 placements as well as 20 discharges anticipated from Connecticut Valley Hospital in each year.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Annualize Funding for Home & Community Based Services

Home and Community Based Services	0	(801,776)	0	(801,776)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(801,776)</b>	<b>0</b>	<b>(801,776)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Home and Community Based Services assist individuals transitioning out of institutional settings and into community settings with appropriate supports. DMHAS, which receives a transfer from the Department of Social Services, provides housing subsidies to money follows the person clients as part of these services.

#### Governor

Reduce funding by \$801,776 in both FY 14 and FY 15 to reflect the annualization of caseload and utilization for Home and Community Based Services.

#### Legislative

Same as Governor

### Provide Funding for HCBS Non-MFP Placements

Home and Community Based Services	0	2,000,000	0	4,500,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>4,500,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$2 million in FY 14 and \$4.5 million in FY 15 for Home and Community Based Services to reflect 41 non- MFP placements in each year.

#### Legislative

Same as Governor

### Provide Funding for MFP Placements

Home and Community Based Services	0	1,851,671	0	3,739,671	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,851,671</b>	<b>0</b>	<b>3,739,671</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$1,851,671 in FY 14 and \$3,739,671 in FY 15 for Home and Community Based Services to reflect 38 MFP placements in each year.

#### Legislative

Same as Governor

### Provide Funding for TBI Services Caseload Growth

TBI Community Services	0	1,040,756	0	2,778,754	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,040,756</b>	<b>0</b>	<b>2,778,754</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Funds provide support to DMHAS clients with acquired or traumatic brain injuries.

#### Governor

Provide Funding of \$1,040,756 in FY 14 and \$2,778,754 in FY 15 for TBI Community Services to reflect the annualization of FY 13 placements as well as nine new placements in each year.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Policy Revisions

### Reflect 100% Federally Supported MCLIP Program

General Assistance Managed Care	0	(86,900,000)	0	(220,410,000)	0	(86,900,000)	0	(220,410,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(86,900,000)</b>	<b>0</b>	<b>(220,410,000)</b>	<b>0</b>	<b>(86,900,000)</b>	<b>0</b>	<b>(220,410,000)</b>

#### Background

As of January 1, 2014, the federal government will assume 100% of the costs for individuals formerly assumed to be included in the Medicaid for Low Income Adults (LIA) program.

#### Legislative

Reduce funding by \$86,900,000 in FY 14 and \$220,410,000 in FY 15 to reflect the elimination of the LIA program. Adults formerly served by this program as well as new enrollees under the federal expansion will be served by the federal Medicaid Coverage for the Lowest Income Population (MCLIP) program. Related funding of \$359,310,000 in FY 14 and \$915,400,000 in FY 15 is reduced in the Department of Social Services. Sections 67 and 68 of PA 13-184, the budget bill, are related to this change.

### Reduce Funding for Grants to Reflect Anticipated Savings

Grants for Substance Abuse Services	0	(4,537,500)	0	(7,575,000)	0	1,612,500	0	4,725,000
Grants for Mental Health Services	0	(10,725,000)	0	(17,950,000)	0	4,175,000	0	11,850,000
<b>Total - General Fund</b>	<b>0</b>	<b>(15,262,500)</b>	<b>0</b>	<b>(25,525,000)</b>	<b>0</b>	<b>5,787,500</b>	<b>0</b>	<b>16,575,000</b>

#### Governor

Reduce funding by \$21,050,000 in FY 14 and \$42,100,000 in FY 15 to reflect the anticipated reduction in need for services for the under and uninsured as of January 1, 2014 due to the provisions of the Affordable Care Act.

#### Legislative

Reduce funding by \$15,262,500 in FY 14 and \$25,525,000 in FY 15 to reflect the anticipated reduction in need for services for the under and uninsured as of January 1, 2014 due to the provisions of the Affordable Care Act.

### Establish Health Homes

Managed Service System	0	5,000,000	0	10,000,000	0	(5,000,000)	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>5,000,000</b>	<b>0</b>	<b>10,000,000</b>	<b>0</b>	<b>(5,000,000)</b>	<b>0</b>	<b>0</b>

#### Background

The agency is applying to the Centers for Medicaid and Medicare Services (CMS) in conjunction with the Department of Social Services (DSS) to provide Health Homes to Medicaid eligible individuals with chronic conditions. DMHAS proposes to implement a Behavioral Health Home model to focus on individuals diagnosed with serious and persistent mental illness. States will receive 90% federal reimbursement for the first eight quarters, and 50% reimbursement thereafter.

#### Governor

Provide \$10 million in FY 14 and FY 15 to develop Health Homes to support both behavioral and physical healthcare for the DMHAS population. Under the Affordable Care Act a 90% federal match is available for qualifying funds, resulting in an estimated \$25 million in revenue to the state.

#### Legislative

Provide funding of \$5 million in FY 14 and \$10 million in FY 15 to develop Health Homes. Funding reflects a start date of January 1, 2014 to coincide with the implementation of the Affordable Care Act (ACA).

### Fund PA 13-3 AAC Gun Violence Prevention & Children's Safety

Managed Service System	1	4,662,845	1	4,045,000	1	4,662,845	1	4,045,000
<b>Total - General Fund</b>	<b>1</b>	<b>4,662,845</b>	<b>1</b>	<b>4,045,000</b>	<b>1</b>	<b>4,662,845</b>	<b>1</b>	<b>4,045,000</b>

#### Legislative

Provide funding of \$4,662,845 in FY 14 and \$4,045,000 in FY 15 and one position in each year to reflect funding associated with the provisions of PA 13-3, An Act Concerning Gun Violence Prevention and Children's Safety. Funding supports 1) three assertive community treatment (ACT) teams to provide behavioral health services in three cities of the state (\$3 million in each year); 2) care management and coordination services for up to 100 individuals with mental illness who are involved in the Probate Court System

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

(\$1 million in each year); 3) development and maintenance of an electronic database for voluntary admissions and one staff person (\$645,000 in FY 14 and \$45,000 in FY 15); and 4) mental health first aid training for 166 district safe school climate coordinators (\$17,845 in FY 14).

### Supplement PA 13-3 through Mental Health Initiatives

Managed Service System	0	850,500	0	855,500	0	850,500	0	855,500
<b>Total - General Fund</b>	<b>0</b>	<b>850,500</b>	<b>0</b>	<b>855,500</b>	<b>0</b>	<b>850,500</b>	<b>0</b>	<b>855,500</b>
Managed Service System	0	435,000	0	435,000	0	435,000	0	435,000
<b>Total - Insurance Fund</b>	<b>0</b>	<b>435,000</b>	<b>0</b>	<b>435,000</b>	<b>0</b>	<b>435,000</b>	<b>0</b>	<b>435,000</b>

#### Legislative

Provide funding of \$1,285,500 in FY 14 and \$1,290,500 in FY 15 to reflect support for mental health related initiatives to supplement those provided in PA 13-3, AAC Gun Violence Prevention and Children's Safety. Funding and program support is provided in each year as follows:

- \$500,000 to expand programs at the Institute of Living in Hartford and Specialized Treatment Early in Psychosis (STEP) in New Haven;
- \$435,000 for a psychosocial Headspace program in northern Fairfield County, in collaboration with the Department of Children and Families, to provide young adults with support services and information related to mental health issues. This program is supported by the Insurance Fund;
- \$100,000 for a Community Reinforcement and Family Training program in Bridgeport to assist family members of individuals with mental health issues; and
- \$250,500 in FY 14 and \$255,500 in FY 15 to provide mental health outreach and peer support for people with psychiatric disorders through the Citizenship Training Program

### Provide Funding for Emergency Mobile Psychiatric Services

Managed Service System	0	1,000,000	0	1,000,000	0	1,000,000	0	1,000,000
<b>Total - General Fund</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>

#### Legislative

Provide funding of \$1 million in FY14 and FY 15 to provide emergency mobile psychiatric services in Bridgeport to prevent excessive emergency department utilization by individuals with behavioral health issues.

### Provide Funding for Melissa's Project

Managed Service System	0	850,000	0	850,000	0	850,000	0	850,000
<b>Total - General Fund</b>	<b>0</b>	<b>850,000</b>	<b>0</b>	<b>850,000</b>	<b>0</b>	<b>850,000</b>	<b>0</b>	<b>850,000</b>

#### Background

Melissa's Project was established in 2002 to help individuals with severe, chronic mental disabilities. It is a private, non-profit organization that connects individuals with services to provide access to health care and community support. Melissa's Project is a partnership with the Probate Court Administration and the Department of Mental Health and Addiction Services and enables individuals who would otherwise be at risk of hospitalization or incarceration to live independently in the community. Melissa's Project served approximately 135 people in FY 12.

#### Legislative

Provide funding of \$850,000 in FY 14 and FY 15 for Melissa's Project.

### Provide Services for Supportive Housing

Housing Supports And Services	0	0	0	500,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 13-239, the bond bill, includes capital funding of \$20 million in FY 14 for the development of 100 units of supportive housing and \$200 million over the biennium for the Housing Trust Fund and the Flexible Housing Program. The budget also proposes \$250,000 in each year to support rapid re-housing and the operating expenses associated with 100 new units of supportive housing through additional rental assistance certificates in the Department of Housing and wrap-around services in the DMHAS, effective January 2015.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Provide funding of \$500,000 in FY 15 to provide services for 100 units of supportive housing, beginning January 1, 2015.

**Legislative**

Same as Governor

**Provide General Fund Support for the Pretrial Program**

Pre-Trial Account	0	350,000	0	350,000	0	350,000	0	350,000
<b>Total - General Fund</b>	<b>0</b>	<b>350,000</b>	<b>0</b>	<b>350,000</b>	<b>0</b>	<b>350,000</b>	<b>0</b>	<b>350,000</b>

**Legislative**

Provide General Fund support of \$350,000 in both FY 14 and FY 15 for the Pre-Trial Account. The account supports alcohol and drug education programs, as well as the Governor's Partnership to Protect Connecticut's Workforce and Regional Action Councils (RACs).

**Establish Pilot Program for Alcohol-Dependent Individuals**

Managed Service System	0	100,000	0	100,000	0	100,000	0	100,000
<b>Total - General Fund</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>

**Legislative**

Provide funding of \$100,000 in FY 14 and FY 15 to support services for alcohol dependent persons who are discharged from hospitals in the New Haven area. Funding may support administrative expenses. Section 74 of PA 13-247, the general government implementer, is related to this change

**Adjust Funding for the Connecticut Mental Health Center**

Connecticut Mental Health Center	0	0	0	0	0	906,438	0	906,438
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>906,438</b>	<b>0</b>	<b>906,438</b>

**Governor**

Reduce funding by \$906,438 in both FY 14 and FY 15 to reflect the elimination of DMHAS funded research activities at the Connecticut Mental Health Center (CMHC).

**Legislative**

Maintain funding for the Connecticut Mental Health Center.

**Adjust Funding for Legal Services**

Legal Services	0	175,000	0	175,000	0	455,441	0	455,441
<b>Total - General Fund</b>	<b>0</b>	<b>175,000</b>	<b>0</b>	<b>175,000</b>	<b>0</b>	<b>455,441</b>	<b>0</b>	<b>455,441</b>

**Background**

Legal Services supports the Connecticut Legal Rights Project. Per the 1989 consent order, the purpose of the program is to ensure that DMHAS clients, particularly those in inpatient facilities, have effective access to the system of justice by providing them with independent advocates and attorneys to protect and enforce their rights and entitlements.

**Governor**

Reduce funding by \$280,441 in both FY 14 and FY 15 to reflect the required funding level under the consent decree.

**Legislative**

Maintain funding for Legal Services, and provide an additional \$175,000 in both FY 14 and FY 15. Funding supports housing advocacy for DMHAS clients in the community as well as the representation of inpatient clients.

**Transfer RAP Certificates**

Housing Supports And Services	0	(1,105,000)	0	(1,105,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,105,000)</b>	<b>0</b>	<b>(1,105,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Rental Assistance Program (RAP) is the major state-funded program for assisting very-low-income families to afford decent, safe, and sanitary housing in the private market.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Transfer funding of \$1,105,000 in both FY 14 and FY 15 from DMHAS to the Department of Housing for Rental Assistance Program (RAP) certificates. DMHAS RAPS support the Mental Health Home and Community Based Services waiver and Frequent Users Systems Engagement (FUSE) supportive housing program.

**Legislative**

Same as Governor

**Transfer DCF Solnit Center Food Services Staff to DMHAS**

Personal Services	10	0	10	0	0	0	0	0
<b>Total - General Fund</b>	<b>10</b>	<b>0</b>	<b>10</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer ten positions to DMHAS from DCF Solnit Center South Campus for food services staff. There is a corresponding position reduction in the DCF budget as well as a reduction in funding of \$300,000 in both years of the biennium. A transfer invoice for the associated salary funding from DCF to DMHAS is assumed as part of this transfer.

**Legislative**

Same as Governor

**Transfer from DSS for Unified Contracting**

Personal Services	0	0	0	0	(6)	(362,165)	(6)	(373,030)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(6)</b>	<b>(362,165)</b>	<b>(6)</b>	<b>(373,030)</b>

**Governor**

Transfer funding of \$362,165 in FY 14 and \$373,030 in FY 15 and six positions from the Department of Social Services (DSS) for unified contracting for human services.

**Legislative**

Maintain positions and funding in DSS.

**Support Chronic Gamblers Account via Lottery Revenue****Legislative**

Section 133 of PA 13-247, the general government implementer, increases the annual diversion of lottery revenue to the Chronic Gamblers Account by \$400,000 in both FY 14 and FY 15.

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(36,086)	0	(36,086)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(36,086)</b>	<b>0</b>	<b>(36,086)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$36,086 in FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Transfer Affirmative Action Planning Function to CHRO**

Personal Services	0	0	0	0	2	135,367	2	139,395
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>135,367</b>	<b>2</b>	<b>139,395</b>

**Governor**

Transfer 2 positions and funding of \$135,367 in FY 14 and \$139,395 in FY 15 to reflect the centralization of Affirmative Action planning functions in the Commission on Human Rights and Opportunities (CHRO).

**Legislative**

Maintain funding for Affirmative Action planning functions in DMHAS.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Funding to Streamline Budget Account Structure

Other Expenses	0	0	0	0	0	(18,442,993)	0	(18,442,993)
Professional Services	0	0	0	0	0	11,788,898	0	11,788,898
Jail Diversion	0	0	0	0	0	4,416,110	0	4,523,270
Behavioral Health Medications	0	0	0	0	0	6,169,095	0	6,169,095
Prison Overcrowding	0	0	0	0	0	6,620,112	0	6,727,968
Persistent Violent Felony Offenders Act	0	0	0	0	0	675,235	0	675,235
Nursing Home Contract	0	0	0	0	0	485,000	0	485,000
Community Forensic Services	0	0	0	0	0	(11,711,457)	0	(11,926,473)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

#### Governor

Transfer funding of \$11,711,457 in FY 14 and \$11,926,473 in FY 15 from various accounts to the Community Forensic Services and Other Expenses accounts to reflect the streamlining of agency budgetary accounts. The Professional Services, Behavioral Health Medications, and Nursing Home Contract accounts are consolidated into Other Expenses, while Jail Diversion, Prison Overcrowding, and Persistent Violent Felony Offenders Act accounts are consolidated into the new Community Forensic Services account.

#### Legislative

Maintain agency account structure.

### Rollout of FY 13 Rescissions

Legal Services	0	126	0	126	0	41,000	0	41,000
Connecticut Mental Health Center	0	0	0	0	0	433,286	0	433,286
TBI Community Services	0	(71,390)	0	(71,390)	0	0	0	0
Discharge and Diversion Services	0	(168,861)	0	(168,861)	0	0	0	0
Nursing Home Contract	0	(15,000)	0	(15,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(255,125)</b>	<b>0</b>	<b>(255,125)</b>	<b>0</b>	<b>474,286</b>	<b>0</b>	<b>474,286</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$729,411 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Reduce funding by \$255,125 in both FY 14 and FY 15 to reflect a partial rollout of the Governor's FY 13 rescissions. Maintain funding of \$433,286 in each year for the Connecticut Mental Health Center (CMHC) as well as \$41,000 for Legal Services.

### Rollout of FY 13 DMP

Personal Services	0	(578,387)	0	(578,387)	0	0	0	0
Nursing Home Screening	0	(31,139)	0	(31,139)	0	0	0	0
Jail Diversion	0	(150,000)	0	(150,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(759,526)</b>	<b>0</b>	<b>(759,526)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce funding by \$759,526 in FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(19,855)	0	(41,712)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(19,855)</b>	<b>0</b>	<b>(41,712)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$19,855 in FY 14 and \$41,712 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(1,585,167)	0	(2,797,314)	0	0	0	0
Housing Supports And Services	0	(16,325)	0	(38,615)	0	0	0	0
Managed Service System	0	(3,900)	0	(9,350)	0	0	0	0
Professional Services	0	(131,482)	0	(311,009)	0	0	0	0
General Assistance Managed Care	0	(123)	0	(290)	0	0	0	0
Workers' Compensation Claims	0	(559,463)	0	(1,145,438)	0	0	0	0
Young Adult Services	0	(319,082)	0	(586,410)	0	0	0	0
TBI Community Services	0	(158)	0	(372)	0	0	0	0
Jail Diversion	0	(1,986)	0	(4,414)	0	0	0	0
Behavioral Health Medications	0	(271,979)	0	(548,058)	0	0	0	0
Prison Overcrowding	0	(5,096)	0	(11,583)	0	0	0	0
Home and Community Based Services	0	(7,679)	0	(18,164)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,902,440)</b>	<b>0</b>	<b>(5,471,017)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$2,902,440 in FY 14 and \$5,471,017 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(425,983)	0	211,281	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(425,983)</b>	<b>0</b>	<b>211,281</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$425,983 in FY 14 and provide funding of \$211,281 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Governor Estimated - GF</b>	<b>3,264</b>	<b>704,799,397</b>	<b>3,264</b>	<b>704,799,397</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	34	52,978,297	34	143,612,290	0	0	0	0
Policy Revisions	11	(94,678,170)	11	(235,516,685)	(3)	(76,689,788)	(3)	(195,031,970)
<b>Total Recommended - GF</b>	<b>3,309</b>	<b>663,099,524</b>	<b>3,309</b>	<b>612,895,002</b>	<b>(3)</b>	<b>(76,689,788)</b>	<b>(3)</b>	<b>(195,031,970)</b>
<b>Governor Estimated - IF</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Policy Revisions	0	435,000	0	435,000	0	435,000	0	435,000
<b>Total Recommended - IF</b>	<b>0</b>	<b>435,000</b>	<b>0</b>	<b>435,000</b>	<b>0</b>	<b>435,000</b>	<b>0</b>	<b>435,000</b>

**Other Significant Legislation****PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$1,546,724, a General Other Expenses Lapse of \$255,795, and a Statewide Hiring Reduction Lapse of \$757,536. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	180,175,144	(1,508,381)	178,666,763	0.84%
Other Expenses	28,626,219	(255,795)	28,370,424	0.89%
Young Adult Services	69,942,480	(292,703)	69,649,777	0.42%
TBI Community Services	15,296,810	(64,016)	15,232,794	0.42%
Jail Diversion	4,416,110	(18,481)	4,397,629	0.42%
Prison Overcrowding	6,620,112	(27,705)	6,592,407	0.42%
Home and Community Based Services	12,937,339	(392,973)	12,544,366	3.04%

# Psychiatric Security Review Board

## PSR56000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	4	3	3	3	3	3

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	274,207	249,027	245,989	252,955	245,989	252,955
Other Expenses	27,285	31,469	31,469	31,469	31,469	31,469
Equipment	0	1	1	1	1	1
GAAP Adjustments	0	0	711	1,126	711	1,126
Agency Total - General Fund	301,492	280,497	278,170	285,551	278,170	285,551

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	10,570	0	24,291	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>10,570</b>	<b>0</b>	<b>24,291</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$10,570 in FY 14 and \$24,291 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	681	0	1,609	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>681</b>	<b>0</b>	<b>1,609</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$681 in FY 14 and an additional \$928 in FY 15 (for a cumulative total of \$1,609 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

#### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	1,274	0	1,471	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,274</b>	<b>0</b>	<b>1,471</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Provide funding of \$1,274 in FY 14 and \$1,471 in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Policy Revisions****Rollout of FY 13 Rescissions**

Personal Services	0	(7,471)	0	(7,471)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(7,471)</b>	<b>0</b>	<b>(7,471)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$7,471 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(6,137)	0	(12,892)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(6,137)</b>	<b>0</b>	<b>(12,892)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$6,137 in FY 14 and \$12,892 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(681)	0	(1,609)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(681)</b>	<b>0</b>	<b>(1,609)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$681 in FY 14 and \$1,609 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(563)	0	(345)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(563)</b>	<b>0</b>	<b>(345)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$563 in FY 14 and \$345 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	3	280,497	3	280,497	0	0	0	0
Current Services	0	12,525	0	27,371	0	0	0	0
Policy Revisions	0	(14,852)	0	(22,317)	0	0	0	0
<b>Total Recommended - GF</b>	<b>3</b>	<b>278,170</b>	<b>3</b>	<b>285,551</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# Department of Transportation

DOT57000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - TF	3,292	2,976	3,083	3,085	3,085	3,085

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	140,069,901	148,127,154	161,342,255	170,042,228	158,016,528	166,723,924
Other Expenses	49,129,997	51,220,834	53,448,873	53,237,373	51,831,318	51,642,318
Equipment	1,256,892	1,743,000	1,416,949	1,389,819	1,416,949	1,389,819
Minor Capital Projects	228,637	332,500	439,639	449,639	439,639	449,639
Highway and Bridge Renewal-	8,780,476	7,000,000	9,630,016	7,982,323	5,376,942	5,376,942
<b>Other Current Expenses</b>						
Highway Planning And Research	3,082,750	3,105,000	3,155,986	3,246,823	3,155,986	3,246,823
Rail Operations	137,284,937	145,588,220	146,419,140	150,720,554	143,419,140	147,720,554
Bus Operations	131,794,529	138,989,614	143,424,847	146,972,169	143,424,847	146,972,169
Highway and Bridge Renewal	(577,262)	0	0	0	0	0
Tweed-New Haven Airport Grant	1,500,000	1,500,000	1,200,000	1,200,000	1,500,000	1,500,000
ADA Para-transit Program	27,674,980	28,820,850	30,252,234	32,935,449	30,252,234	32,935,449
Non-ADA Dial-A-Ride Program	572,248	576,361	0	0	576,361	576,361
Pay-As-You-Go Transportation Projects	20,413,055	22,687,740	0	0	9,700,000	19,700,000
Transit Improvement Program	0	1,905,532	0	0	0	0
<b>Grant Payments to Local Governments</b>						
Town Aid Road Grants - TF	30,000,000	0	0	0	0	0
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>950,775</b>	<b>1,817,139</b>	<b>950,775</b>	<b>1,817,139</b>
<b>Agency Total - Special Transportation Fund</b>	<b>551,211,140</b>	<b>551,596,805</b>	<b>551,680,714</b>	<b>569,993,516</b>	<b>550,060,719</b>	<b>580,051,137</b>
<b>Additional Funds Available</b>						
Carry Forward Transportation Fund	0	0	0	0	27,299,344	0
<b>Agency Grand Total</b>	<b>551,211,140</b>	<b>551,596,805</b>	<b>551,680,714</b>	<b>569,993,516</b>	<b>577,360,063</b>	<b>580,051,137</b>



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Current Services****Increase Subsidy to Shoreline East Rail Line**

Rail Operations	0	1,561,011	0	2,191,640	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>1,561,011</b>	<b>0</b>	<b>2,191,640</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Increase the Rail Subsidy to the Shore Line East rail line by \$1.6 million in FY 14 and \$2.2 million in FY 15 to reflect current operating services.

**Legislative**

Same as Governor

**Reduce Rail Subsidy Due to Fare Increase**

Rail Operations	0	(5,533,883)	0	(4,411,244)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(5,533,883)</b>	<b>0</b>	<b>(4,411,244)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Rail fares increased by 4% on 1/1/12 and 1/1/13 on the Connecticut portion of the New Haven rail line. In addition, section 9 of PA 11-61 implemented a 1.25% fare increase on 1/1/12 and a 1% fare increase 1/1/13.

**Governor**

Reduce the Rail Subsidy by \$5.5 million in FY 14 and \$4.4 million in FY 15 to reflect the increase in revenues due to the fare increases. Ridership has increased and revenues have exceeded expenditures due to the fare increases.

**Legislative**

Same as Governor

**Provide Funding for ADA Para Transit Program**

ADA Para-transit Program	0	1,462,155	0	4,176,141	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>1,462,155</b>	<b>0</b>	<b>4,176,141</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$1.5 million in FY14 and \$4.2 million in FY15 to reflect an annual 5% growth to the ADA Para Transit program.

**Legislative**

Same as Governor

**Provide Funds to Transit Districts for Increase Ridership**

Bus Operations	0	8,497,480	0	12,349,110	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>8,497,480</b>	<b>0</b>	<b>12,349,110</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$8.5 million in FY 14 and \$12.3 million in FY 15 to reflect an increase in ridership to various statewide transit districts.

**Legislative**

Same as Governor

**Provide Funding for New and Replacement Equipment**

Equipment	0	163,010	0	135,880	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>163,010</b>	<b>0</b>	<b>135,880</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$163,010 in FY 14 and \$135,880 in FY 15 for new and replacement equipment for computer and phone systems, workstations, and various Department of Transportation fleet trucks and mowers.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Partial Year Funding in FY 15 for CT Fastrak

Bus Operations	0	0	0	3,750,000	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,750,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The CT Fastrak (New Britain to Hartford Busway) is a 9.4 mile dedicated roadway for bus transit between New Britain and Hartford. CT Fastrak is set to start operations in January 2015.

#### Governor

Provide funding of \$3.8 million in FY15 for half year operations of the CT Fastrak.

#### Legislative

Same as Governor

### Provide Required Matching Funds for Federal Funding

Highway Planning And Research	0	309,523	0	351,468	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>309,523</b>	<b>0</b>	<b>351,468</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$309,523 in FY14 and \$351,468 in FY15 for matching funds required to receive federal transportation planning and research funds.

#### Legislative

Same as Governor

### Adjust Operating Expenses to Reflect Current Requirements

Town Aid Road Grants - TF	0	30,000,000	0	30,000,000	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>30,000,000</b>	<b>0</b>	<b>30,000,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$30 million in both FY 14 and FY 15 in the Town Aid Road account to reflect FY 14 and FY 15 anticipated expenditure requirements.

#### Legislative

Same as Governor

### Provide Funding for Information Technology Expenses

Other Expenses	0	0	0	0	0	(1,301,555)	0	(1,090,055)
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(1,301,555)</b>	<b>0</b>	<b>(1,090,055)</b>

#### Governor

Provide funding of \$1.3 million FY 14 and \$1.1 million in FY 15 for information technology consultant services for federal mandates, bridge design analysis software, and various hardware and software maintenance and support.

#### Legislative

Funding of \$1.3 million in FY 14 and \$1.1 million in FY 15 for information technology is intended to be financed through the capital budget.

### Provide Funds for Improvements to Various DOT Locations

Minor Capital Projects	0	167,500	0	177,500	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>167,500</b>	<b>0</b>	<b>177,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$167,500 in FY 14 and \$177,500 in FY 15 for improvements to various Department of Transportation locations. These improvements consist of boiler and roof replacements, and security and tank systems to meet compliance with monitoring and spill containment regulations.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Same as Governor

**Provide Funding for Equipment for CT Fastrak**

Highway and Bridge Renewal-Equipment	0	0	0	0	0	(4,253,074)	0	(2,605,381)
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(4,253,074)</b>	<b>0</b>	<b>(2,605,381)</b>

**Background**

The CT Fastrak (New Britain to Hartford Busway) is a 9.4 mile dedicated roadway for bus transit between New Britain and Hartford. CT Fastrak is set to start operations in January 2015.

**Governor**

Provide funding of \$4.2 million in FY 14 and \$2.6 million in FY 15 to the Highway and Bridge Renewal-Equipment account for the purchase of equipment for the CT Fastrak and replacement of the Department of Transportation's fleet of plows and dump trucks.

**Legislative**

Funding of \$4.2 million in FY 14 and \$2.6 million in FY 15 for equipment for CT Fastrak is intended to be financed through the capital budget.

**Provide Funding for the New Haven-Hartford-Springfield Line**

Rail Operations	0	6,984,400	0	9,509,212	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>6,984,400</b>	<b>0</b>	<b>9,509,212</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The provisions of the 2008 Passenger Rail Investment and Improvement Act (PRIIA) requires all short distance Amtrak corridor services to become state supported routes and requires the states to pay the proportional costs associated with their respective corridor route. This applies to services provided by Amtrak over routes "of no more than 750 miles between endpoints". The existing Intercity New Haven-Hartford-Springfield route operated by Amtrak falls under PRIIA legislation which mandates that the State of Connecticut become financially responsible for supporting this route beginning October 1, 2013.

**Governor**

Provide funding of \$6.9 million in FY 14 and \$9.5 million in FY 15 for operating costs for the New Haven-Hartford-Springfield rail line.

**Legislative**

Same as Governor

**Adjust Funding to Reflect Wage & Compensation Related Costs**

Personal Services	42	10,249,914	42	18,983,125	0	(3,500,000)	0	(3,500,000)
Highway Planning And Research	0	(258,537)	0	(209,645)	0	0	0	0
Rail Operations	0	(180,608)	0	(157,274)	0	0	0	0
Bus Operations	0	(2,967)	0	2,000	0	0	0	0
Pay-As-You-Go Transportation Projects	0	47,945	0	226,370	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>42</b>	<b>9,855,747</b>	<b>42</b>	<b>18,844,576</b>	<b>0</b>	<b>(3,500,000)</b>	<b>0</b>	<b>(3,500,000)</b>

**Governor**

Provide funding of \$13.4 million in FY 14 and \$22.3 million in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

**Legislative**

Reduce the Personal Services account in FY 14 and FY 15 by \$3.5 million by restructuring the department's new employee personnel.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Apply Inflationary Increases

Other Expenses	0	2,487,538	0	4,181,915	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>2,487,538</b>	<b>0</b>	<b>4,181,915</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding by \$2.5 million in FY 14 and an additional \$4.2 million in FY 15 (for a cumulative total of \$6.7 million in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

### Provide Funding for Reimbursements

Other Expenses	0	930,000	0	930,000	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>930,000</b>	<b>0</b>	<b>930,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Department of Transportation shifts a portion of operating costs from the Other Expenses account to project-related funding sources. In order to allocate direct and indirect operating costs related to the maintenance of equipment and rental/ administration of motor pool vehicles, the Department develops additive rates for each class of equipment and type of vehicle. For each vehicle/ piece of equipment, mileage/hours of use are tracked by project. The appropriate approved rate is applied to the usage, to generate a pro-rata portion of vehicle or equipment costs to the respective projects. This pro-rata portion of vehicle or equipment costs is then calculated and reimbursed to the department.

#### Governor

Provide funding of \$930,000 in FY 14 and FY 15 in the Other Expenses account to reflect the portion of the Other Expense operating costs used for project related funding sources.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	1,683,272	0	1,011,431	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>1,683,272</b>	<b>0</b>	<b>1,011,431</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$1.7 million in FY 14 and \$1.0 million in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Adjust Funding for the Non-ADA Dial-A-Ride Program

Non-ADA Dial-A-Ride Program	0	0	0	0	0	576,361	0	576,361
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>576,361</b>	<b>0</b>	<b>576,361</b>

#### Background

The Non-ADA Dial-A-Ride program provides funding to transit districts in the urbanized areas of Hartford, New Haven, Middletown and Milford to compensate for the loss of Federal Transit Administration operating assistance to local transit operators

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

that occurred in FY 99 due to a change in legislation.

#### Governor

Eliminate funding for the Non-ADA Dial-A-Ride program.

#### Legislative

Restore funding of \$576,361 for the Non-ADA Dial-A-Ride program.

### Adjust the Pay As You Go Transportation Account

Pay-As-You-Go Transportation Projects	0	(10,036,407)	0	(214,832)	0	9,700,000	0	19,700,000
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(10,036,407)</b>	<b>0</b>	<b>(214,832)</b>	<b>0</b>	<b>9,700,000</b>	<b>0</b>	<b>19,700,000</b>

#### Background

The Pay-As-You-Go Transportation Projects account is primarily used for bridge and highway maintenance, bridge inspections and the operation of the Department of Transportation's (DOT) Incident Management Centers and CHAMP program. The Connecticut Highway Assistance Motorist Patrol Program (CHAMP) is a roadway service operated by DOT on I-84, Route 15 and I-95. CHAMP provides motorist assistance such as changing flat tires, providing fuel, clearing roads after motor vehicle accidents and other motor vehicle support. The Highway Operation Centers in Bridgeport and Newington inform state police of accidents, and medical and fire emergencies on the state highways. The Newington Highway Operation Center and CHAMP program are funded with 80% federal funds and the Bridgeport center is funded with 90% federal funds.

#### Governor

Reduce funding of \$19.7 million in FY 14 and \$19.9 million in FY 15 for Pay-As-You-Go Transportation Projects and fund the program through the capital budget.

#### Legislative

Reduce funding by \$10.0 million in FY 14 to reflect the anticipated carry forward in section 50 of PA 13-184, the FY 14 and FY 15 Budget, and reduce funding in FY 15 by \$214,832 to the Pay-As-You-Go Transportation Projects account.

### Fund Town Aid Road Grant through Bond Funds

Town Aid Road Grants - TF	0	(30,000,000)	0	(30,000,000)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(30,000,000)</b>	<b>0</b>	<b>(30,000,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Town Aid Road (TAR) grant provides funds to towns for construction, reconstruction, improvement and maintenance of local roads and bridges, various other traffic and planning improvements and operating some funding for public transportation services.

#### Governor

Reduce funding by \$30 million in both FY 14 and FY 15 for this program. PA 13-239, the FY 14 and FY 15 Capital Budget, includes \$60 million in both FY 14 and FY 15 for this purpose.

#### Legislative

Same as Governor

### Reduce Subsidy for ADA Para Transit Due to Fare Increase

ADA Para-transit Program	0	(30,771)	0	(61,542)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(30,771)</b>	<b>0</b>	<b>(61,542)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Americans with Disability Act (ADA) Para Transit Program provides transportation services for disabled persons in all areas with local fixed route bus services. ADA Para Transit fares were increased by 4% on 1/1/12 and PA 13-184, the FY 14 and FY 15 Budget, increase fares by 15% on 1/1/14.

#### Governor

Reduce the subsidy to the ADA Para Transit account by \$30,771 in FY 14 and \$61,542 in FY 15 to reflect the fare increase scheduled on 1/1/14.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Reduce Subsidy for Bus Operations Due to Fare Increase

Bus Operations	0	(4,059,280)	0	(8,118,555)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(4,059,280)</b>	<b>0</b>	<b>(8,118,555)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Bus fares were increased by 4% on 1/1/12 and PA 13-184, the FY 14 and FY 15 Budget, increases fares by 15% on 1/1/14 from \$1.30 to \$1.50.

#### Governor

Reduce the Bus Operations subsidy by \$4.1 million in FY 14 and \$8.1 million in FY 15 to reflect the fare increase on 1/1/14.

#### Legislative

Same as Governor

### Reduce Administrative Asset Budget for Rail Operations

Rail Operations	0	(2,000,000)	0	(2,000,000)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(2,000,000)</b>	<b>0</b>	<b>(2,000,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Administrative Assets are maintenance vehicles and equipment that can be moved along rail lines. The Department of Transportation (DOT) provides funding to Metro North Railroad for administrative assets to maintain the New Haven Line.

#### Governor

Reduce funding to Metro North Railroad by \$2.0 million to reflect lower than anticipated expenditures for administrative assets.

#### Legislative

Same as Governor

### Adjust Funding to Tweed New Haven Airport Grant

Tweed-New Haven Airport Grant	0	0	0	0	0	300,000	0	300,000
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>300,000</b>

#### Background

Tweed New Haven Regional Airport is a public airport owned by the City of New Haven that is located three miles southeast of the center of New Haven. The City receives annual grant-in-aid from the state to support operations of the airport.

#### Governor

Reduce funding to the Tweed New Haven Airport by 20% which represents a reduction of \$300,000 in both FY 14 and FY 15.

#### Legislative

Maintain the Tweed New Haven Regional Airport grant at the current funding level.

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(23,450)	0	(49,265)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(23,450)</b>	<b>0</b>	<b>(49,265)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$23,450 in FY 14 and \$49,265 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Eliminate Inflationary Increases

Other Expenses	0	(2,487,538)	0	(4,181,915)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(2,487,538)</b>	<b>0</b>	<b>(4,181,915)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce Other Expenses account by \$2.5 million in FY 14 and \$4.2 million in FY 15 to reflect the elimination of inflationary increases.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Same as Governor

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(3,516)	0	(3,516)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(3,516)</b>	<b>0</b>	<b>(3,516)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$3,516 in FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(732,497)	0	805,708	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(732,497)</b>	<b>0</b>	<b>805,708</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$732,497 in FY 14 and provide funding of \$805,708 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

**Legislative**

Same as Governor

**Rollout of FY 13 DMP**

Personal Services	0	(337,090)	0	(337,090)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(337,090)</b>	<b>0</b>	<b>(337,090)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$337,090 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Transfer Affirmative Action Planning Function to CHRO**

Personal Services	0	0	0	0	2	174,273	0	181,696
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>174,273</b>	<b>0</b>	<b>181,696</b>

**Governor**

Transfer two positions and funding of \$174,273 in FY 14 and \$181,696 in FY 15 to reflect the centralization of Affirmative Action planning functions in the Commission on Human Rights and Opportunities (CHRO).

**Legislative**

Maintain two positions and funding of \$174,273 in FY 14 and \$181,696 in FY 15 for Affirmative Action planning functions.

**Rollout of FY 13 DMP**

Equipment	0	(489,061)	0	(489,061)	0	0	0	0
Minor Capital Projects	0	(60,361)	0	(60,361)	0	0	0	0
Highway and Bridge Renewal-Equipment	0	(1,623,058)	0	(1,623,058)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Pay-As-You-Go Transportation Projects	0	(2,999,278)	0	(2,999,278)	0	0	0	0
Transit Improvement Program	0	(1,905,532)	0	(1,905,532)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(7,077,290)</b>	<b>0</b>	<b>(7,077,290)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$7.1 million in FY 14 and \$7.1 million in FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Adjust Authorized Position Count for DOT**

Personal Services	67	0	67	0	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>67</b>	<b>0</b>	<b>67</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Adjust the authorized position count by 67 positions for the Department of Transportation.

**Legislative**

Same as Governor

**Reduce the Rail Operations Account due to Expenditure Trends**

Rail Operations	0	(3,000,000)	0	(3,000,000)	0	(3,000,000)	0	(3,000,000)
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(3,000,000)</b>	<b>0</b>	<b>(3,000,000)</b>	<b>0</b>	<b>(3,000,000)</b>	<b>0</b>	<b>(3,000,000)</b>

**Legislative**

Reduce the Rail Operations account by \$3.0 million in FY 14 and FY 15 to reflect FY 12 expenditure trends and ridership data.

**Reduce Other Expenses Accounts**

Other Expenses	0	(505,000)	0	(505,000)	0	(505,000)	0	(505,000)
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(505,000)</b>	<b>0</b>	<b>(505,000)</b>	<b>0</b>	<b>(505,000)</b>	<b>0</b>	<b>(505,000)</b>

**Legislative**

Reduce funding by \$505,000 in FY 14 and FY 15. The reductions by OE category are:

- \$50,000 in both FY 14 and FY 15 for engineer/architect services;
- \$50,000 in both FY 14 and FY 15 for food and beverages;
- \$250,000 for highway supplies;
- \$50,000 in both FY 14 and FY 15 for management consultant services;
- \$100,000 in both FY 14 and FY 15 for premises repair/maintenance services
- \$5,000 for out of state travel;



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Fire Station Work Zone Signs

Other Expenses	0	189,000	0	0	0	189,000	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>189,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>189,000</b>	<b>0</b>	<b>0</b>

#### Legislative

Provide funding of \$189,000 for the purpose of allowing the Department of Transportation (DOT) to post signs at the beginning and end of fire station work zones. It should be noted, PA 13-200 AAC Fire Station Work Zones, removed a provision that required DOT to post the signs as fire station work zones, and in its place gives municipalities an option to post the signs.

## Carry Forward

### Carry Forward Funding For Pay As You Go Projects

Pay-As-You-Go Transportation Projects	0	10,000,000	0	0	0	10,000,000	0	0
<b>Total - Carry Forward TF</b>	<b>0</b>	<b>10,000,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10,000,000</b>	<b>0</b>	<b>0</b>

#### Background

The Pay As You Go Transportation Projects account is used primarily for bridge and highway maintenance, bridge inspections and the operation of the Department of Transportation's Incident Management Centers and CHAMP program.

#### Legislative

Section 50 of PA 13-184, the FY 14 and FY 15 budget, carries forward funding in FY 14 of (1) \$4.2 million from the Rail Operations account, (2) \$1.5 million from the Personal Services account, (3) \$200,000 from the Transit Improvement Program account and (4) \$4.1 million from the Pay-As-You-Go Transportation Project account and transfers to the Pay-As- You-Go Transportation projects.

### Statutory Carry Forward Funding

Equipment	0	514,371	0	0	0	514,371	0	0
Minor Capital Projects	0	181,926	0	0	0	181,926	0	0
Highway and Bridge Renewal-Equipment	0	1,058,719	0	0	0	1,058,719	0	0
Highway Planning And Research	0	989,163	0	0	0	989,163	0	0
Highway and Bridge Renewal	0	4,599,533	0	0	0	4,599,533	0	0
Pay-As-You-Go Transportation Projects	0	9,129,592	0	0	0	9,129,592	0	0
Emergency Relief - Town Repairs	0	826,040	0	0	0	826,040	0	0
<b>Total - Carry Forward TF</b>	<b>0</b>	<b>17,299,344</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>17,299,344</b>	<b>0</b>	<b>0</b>

#### Legislative

Funding of \$17,299,344 is carried forward in various accounts for FY 14 pursuant to CGS Sec. 4-89(b) and (e) and 13a-175j.

## Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Governor Estimated - TF</b>	<b>2,976</b>	<b>551,596,805</b>	<b>2,976</b>	<b>551,596,805</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	42	58,567,753	42	83,197,629	0	(9,054,629)	0	(7,195,436)
Policy Revisions	67	(60,103,839)	67	(54,743,297)	2	7,434,634	0	17,253,057
<b>Total Recommended - TF</b>	<b>3,085</b>	<b>550,060,719</b>	<b>3,085</b>	<b>580,051,137</b>	<b>2</b>	<b>(1,619,995)</b>	<b>0</b>	<b>10,057,621</b>

# Department of Social Services

DSS60000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	1,806	1,883	1,842	1,835	1,846	1,844
Permanent Full-Time - OF	382	49	45	45	45	45

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	108,517,249	111,676,774	113,670,778	121,835,729	113,746,588	122,391,148
Other Expenses	93,441,015	109,149,735	120,791,491	117,189,466	121,398,741	113,078,216
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Children's Trust Fund	13,660,910	13,133,084	10,563,768	0	0	0
Children's Health Council	207,401	219,000	0	0	208,050	208,050
HUSKY Information and Referral	318,786	335,564	159,393	0	159,393	0
Genetic Tests in Paternity Actions	97,656	191,142	0	0	0	0
State Food Stamp Supplement	900,466	1,333,966	0	0	685,149	725,059
HUSKY B Program	23,526,475	29,890,000	30,460,000	30,540,000	30,460,000	30,540,000
Charter Oak Health Plan	11,070,000	6,975,000	4,280,000	0	4,280,000	0
<b>Other Than Payments to Local Governments</b>						
Medicaid	4,714,305,682	0	5,068,803,000	5,276,465,000	2,409,314,923	2,289,569,579
Old Age Assistance	36,570,495	36,417,524	0	0	37,629,862	39,949,252
Aid To The Blind	760,354	758,644	0	0	812,205	855,251
Aid To The Disabled	60,170,112	60,649,215	0	0	63,289,492	67,961,417
Temporary Assistance to Families - TANF	110,077,907	113,187,034	109,836,065	107,450,059	112,139,791	112,058,614
Emergency Assistance	0	1	0	0	1	1
Food Stamp Training Expenses	3,519	12,000	0	0	12,000	12,000
CT Pharmaceutical Assistance Contract to the Elderly	297,642	310,000	126,500	0	126,500	0
Healthy Start	1,412,376	1,497,708	0	0	1,430,311	1,430,311
DMHAS-Disproportionate Share	105,935,000	108,935,000	108,935,000	108,935,000	108,935,000	108,935,000
Connecticut Home Care Program	47,608,501	47,316,100	44,324,196	45,584,196	44,324,196	45,584,196
Human Resource Development- Hispanic Programs	889,513	941,034	0	0	965,739	965,739
Services To The Elderly	3,677,812	3,929,683	0	0	324,737	324,737
Safety Net Services	1,699,339	1,900,307	0	0	2,814,792	2,814,792
Transportation for Employment Independence Program	2,885,950	3,171,386	0	0	3,028,671	2,028,671
Refunds Of Collections	164,219	57,792	0	0	150,000	150,000
Services for Persons With Disabilities	569,709	630,379	0	0	602,013	602,013
Child Care Services-TANF/CCDBG	100,085,828	104,440,819	0	0	98,967,400	0
Nutrition Assistance	447,663	449,912	1,114,815	1,154,725	479,666	479,666
Housing/Homeless Services	51,187,236	57,594,605	0	0	5,210,676	5,210,676
Disproportionate Share-Medical Emergency Assistance	268,486,847	268,486,847	134,243,423	0	134,243,423	0
State Administered General Assistance	14,784,409	14,723,163	16,990,000	17,262,000	17,283,300	17,866,800

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Child Care Quality Enhancements	3,558,403	3,764,506	0	0	563,286	563,286
Connecticut Children's Medical Center	10,050,240	10,579,200	15,579,200	15,579,200	15,579,200	15,579,200
Community Services	1,433,992	1,641,524	14,225,728	14,225,728	1,075,199	1,075,199
Alzheimer Respite Care	2,244,388	2,294,388	0	0	0	0
Human Service Infrastructure Community Action Program	3,248,021	3,436,148	0	0	3,453,326	3,453,326
Teen Pregnancy Prevention	1,818,623	1,923,957	0	0	1,837,378	1,837,378
Medicaid - Acute Care Services	0	1,476,542,501	0	0	0	0
Medicaid - Professional Medical Care	0	813,752,531	0	0	0	0
Medicaid - Other Medical Services	0	680,415,738	0	0	0	0
Medicaid - Home and Community- Based Services	0	510,728,527	0	0	0	0
Medicaid - Nursing Home Facilities	0	1,242,438,293	0	0	0	0
Medicaid - Other Long Term Care Facilities	0	180,093,798	0	0	0	0
Medicaid - Administrative Services & Adjustments	0	74,372,944	0	0	0	0
Fatherhood Initiative	0	0	0	0	371,656	371,656
Aid to the Aged, Blind and Disabled	0	0	96,301,366	98,070,718	0	0
Child Support Refunds and Reimbursements	0	0	331,585	331,585	181,585	181,585
<b>Grant Payments to Local Governments</b>						
Human Resource Development- Hispanic Programs - Municipality	5,045	5,337	0	0	5,364	5,364
Teen Pregnancy Prevention - Municipality	136,420	144,321	0	0	137,826	137,826
Services to the Elderly - Municipality	42,185	44,629	0	0	0	0
Housing/Homeless Services - Municipality	596,293	637,212	0	0	0	0
Community Services - Municipality	82,905	87,707	0	0	83,761	83,761
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>2,143,032</b>	<b>35,859,861</b>	<b>13,955,945</b>	<b>35,859,861</b>
<b>Agency Total - General Fund</b>	<b>5,796,976,589</b>	<b>6,101,216,680</b>	<b>5,892,879,341</b>	<b>5,990,483,268</b>	<b>3,350,267,146</b>	<b>3,022,889,631</b>
Other Expenses	475,000	475,000	0	0	0	0
<b>Agency Total - Insurance Fund</b>	<b>475,000</b>	<b>475,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total - Appropriated Funds</b>	<b>5,797,451,589</b>	<b>6,101,691,680</b>	<b>5,892,879,341</b>	<b>5,990,483,268</b>	<b>3,350,267,146</b>	<b>3,022,889,631</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	48,228,457	0
Federal & Other Restricted Act	519,363,263	433,440,584	350,319,296	360,543,122	349,454,656	360,543,122
Private Contributions	8,980,292	5,637,176	5,617,176	5,571,176	5,617,176	5,571,176
<b>Agency Grand Total</b>	<b>6,325,795,144</b>	<b>6,540,769,440</b>	<b>6,248,815,813</b>	<b>6,356,597,566</b>	<b>3,753,567,435</b>	<b>3,389,003,929</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Update Cost and Caseload Estimates for HUSKY B

HUSKY B Program	0	(1,700,000)	0	(360,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,700,000)</b>	<b>0</b>	<b>(360,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The HUSKY B program provides health coverage for children of families with incomes in excess of 185% of the Federal Poverty Level (FPL). Children of families with incomes up to 300% FPL receive premium subsidies from the state. HUSKY program expenditures receive 65% federal reimbursement.

#### Governor

Reduce funding by \$1.7 million in FY 14 and \$360,000 in FY 15 to reflect updated cost and caseload estimates for HUSKY B.

#### Legislative

Same as Governor

### Update Cost and Caseload Estimates for Medicaid

Medicaid - Acute Care Services	0	81,517,499	0	125,187,499	0	0	0	0
Medicaid - Professional Medical Care	0	57,577,469	0	98,507,469	0	0	0	0
Medicaid - Other Medical Services	0	18,494,262	0	65,034,262	0	0	0	0
Medicaid - Nursing Home Facilities	0	3,511,707	0	5,521,707	0	0	0	0
Medicaid - Other Long Term Care Facilities	0	2,876,202	0	5,476,202	0	0	0	0
Medicaid - Administrative Services & Adjustments	0	4,507,056	0	8,967,056	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>168,484,195</b>	<b>0</b>	<b>308,694,195</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

DSS' Medicaid program provides remedial, preventive, and long-term medical care for income eligible aged, blind or disabled individuals, low income adults and families with children. Payment is made directly to health care providers, by the department, for services delivered to eligible individuals. The program complies with federal Medicaid law (Title XIX of the Social Security Act) and regulations in order to receive 50% reimbursement from the federal government.

#### Governor

Provide funding of \$168,484,195 in FY 14 and \$308,694,195 in FY 15 to reflect updated cost, caseload and utilization trends under the Medicaid program.

#### Legislative

Same as Governor

### Expand Medicaid for Low Income Adults to 133% FPL

Medicaid - Acute Care Services	0	19,600,000	0	129,300,000	0	0	0	0
Medicaid - Professional Medical Care	0	7,750,000	0	66,500,000	0	0	0	0
Medicaid - Other Medical Services	0	12,900,000	0	39,010,000	0	0	0	0
Medicaid - Nursing Home Facilities	0	1,000,000	0	6,000,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>41,250,000</b>	<b>0</b>	<b>240,810,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Under the federal Affordable Care Act (ACA), beginning January 1, 2014, states can opt to provide Medicaid coverage to all childless adults up to 133% FPL and receive full federal reimbursement. Connecticut implemented the option offered to states under the ACA, effective April 1, 2010, to cover low-income childless adults in the Medicaid program. In June 2010, Connecticut gained approval from

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

the federal government to expand Medicaid coverage to an estimated 45,000 low-income adults who had been enrolled in a more limited benefit package under the former State Administered General Assistance (SAGA) program. The number of eligible individuals enrolled in the Medicaid for Low-Income Adults (LIA) program, (HUSKY D), far exceeded expectations and as of December 2012, there were 86,870 individuals enrolled in LIA.

#### Governor

Provide funding of \$41,250,000 in FY 14 and \$240,810,000 in FY 15 to reflect the expansion of LIA eligibility to 133% FPL as of January 1, 2014. The costs of the Medicaid expansion will be 100% reimbursed by the federal government through 2016, and will then be adjusted incrementally downward to a 90% reimbursement rate by 2020.

#### Legislative

Same as Governor

### Adjust Primary Care Physician Rates for Federal Requirements

HUSKY B Program	0	2,270,000	0	1,010,000	0	0	0	0
Charter Oak Health Plan	0	1,040,000	0	0	0	0	0	0
Medicaid - Professional Medical Care	0	103,860,000	0	46,620,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>107,170,000</b>	<b>0</b>	<b>47,630,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The federal Patient Protection and Affordable Care Act (PPACA) includes a temporary increase in the Medicaid primary care provider reimbursement rate. This change, effective January 1, 2013, increases the Medicaid rates to the levels of the Medicare program. The increase is 100% reimbursed by the federal government.

#### Governor

Provide funding of \$107,170,000 in FY 14 and \$47,630,000 in FY 15 to increase Medicaid Primary Care rates. The FY 14 amount includes \$30.9 million in FY 13 costs that will be paid out during FY 14.

#### Legislative

Same as Governor

### Pick-Up Funding for Medicaid Smoking Cessation Services

Medicaid - Other Medical Services	0	3,400,000	0	3,400,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,400,000</b>	<b>0</b>	<b>3,400,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$3.4 million in both FY 14 and FY 15 to reflect FY 13 funding levels for smoking cessation services. Funding is transferred from the Tobacco Health Trust Fund to support such programs.

#### Legislative

Same as Governor

### Update Caseload Estimates - SAGA

State Administered General Assistance	0	2,266,837	0	2,538,837	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,266,837</b>	<b>0</b>	<b>2,538,837</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The State Administered General Assistance (SAGA) program provides limited cash assistance to individuals who are unable to work for medical or other prescribed reasons. Enrollees can receive up to \$212 monthly.

#### Governor

Provide funding of \$2,266,837 in FY 14 and \$2,538,837 in FY 15 to reflect updated caseload estimates. As of December 2012, caseload totaled 4,906 active units.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Update Cost and Caseload Estimates for Charter Oak Program

Charter Oak Health Plan	0	(3,735,000)	0	(6,975,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(3,735,000)</b>	<b>0</b>	<b>(6,975,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Charter Oak Health Plan offers health care for uninsured adults of all income levels. This plan provides basic health insurance coverage, including a full prescription package, laboratory services and pre and post-natal care. Financial assistance is no longer provided for new applicants.

#### Governor

Reduce funding by \$3,735,000 in FY 14 and \$6,975,000 in FY15 to reflect updated caseload trends. It is anticipated that the Charter Oak program will close after January 1, 2014 as clients will transition to more affordable health options that will be available under the Exchange.

#### Legislative

Same as Governor

### Update Cost and Caseload Estimates - ConnPACE

CT Pharmaceutical Assistance Contract to the Elderly	0	(23,500)	0	28,500	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(23,500)</b>	<b>0</b>	<b>28,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Connecticut Pharmaceutical Assistance Contract to the Elderly and the Disabled (ConnPACE) program helps eligible senior or disabled residents pay for covered prescription drugs, insulin, insulin syringes and needles.

#### Governor

Reduce funding by \$23,500 in FY14 and increase funding by \$28,500 in FY15 to reflect updated cost and caseload estimates for ConnPACE.

#### Legislative

Same as Governor

### Update Expenditures - Home and Community Based Services

Connecticut Home Care Program	0	(626,100)	0	633,900	0	0	0	0
Medicaid - Home and Community-Based Services	0	9,791,473	0	36,481,473	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>9,165,373</b>	<b>0</b>	<b>37,115,373</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Connecticut Home Care Program for Elders (CHCPE) assists the frail elderly in avoiding unnecessary or premature nursing home care by making available a variety of community-based services. The services covered include home-health aides, visiting nurses, homemaker aides, adult day care, personal assistance, and meals on wheels. The home care program is funded from two separate accounts: the Medicaid account, which is matched by federal funds; and the Connecticut Home Care account, which is funded solely by the state. The funds used to cover services depend upon client eligibility. Medicaid also provides additional home health services outside of CHCPE.

#### Governor

Provide funding of \$9,165,373 in FY 14 and \$37,115,373 in FY 15 to reflect updated cost and caseload estimates. Please note that additional home and community based revisions are made elsewhere specifically related to the Money-Follows-the- Person program.

#### Legislative

Same as Governor

### Update Expenditures - Money Follows the Person

Other Expenses	0	10,313,741	0	8,292,972	0	0	0	0
Housing/Homeless Services	0	1,572,470	0	4,556,178	0	0	0	0
Medicaid - Home and Community-Based Services	0	12,130,000	0	15,300,000	0	0	0	0
Medicaid - Nursing Home Facilities	0	(33,010,000)	0	(71,210,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(8,993,789)</b>	<b>0</b>	<b>(43,060,850)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The federal Money Follows the Person (MFP) Rebalancing Demonstration Grant encourages states to reduce their reliance on institutional care for Medicaid recipients by transitioning individuals out of institutional settings and into community settings with appropriate supports. DSS receives enhanced federal Medicaid reimbursement for the first year of an individual's transition.

**Governor**

Adjust funding by \$8,993,789 in FY 14 and \$43,060,850 in FY 15 to reflect the continued transition of clients from institutional to community settings.

**Legislative**

Same as Governor

**Provide Rate Increases for Nursing Homes and ICF/MR's**

Medicaid - Nursing Home Facilities	0	53,420,000	0	81,040,000	0	0	0	0
Medicaid - Other Long Term Care Facilities	0	1,380,000	0	2,730,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>54,800,000</b>	<b>0</b>	<b>83,770,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Under current statute, DSS is required to rebase nursing home rates no more than once every two years and no less than once every four years. The current services budget includes a rate increase of 4.7% in FY 2014 to reflect the rebasing of rates. To comply with DSS' regulations, the current services budget also includes a 2.0% inflationary adjustment in FY 2015.

Additionally, to comply with DSS' regulations, the current services budget includes a 2.2% increase in FY 2014 and a 2.0% increase in FY 2015 for intermediate care facilities for those with developmental disabilities.

**Governor**

Provide funding of \$54,800,000 in FY 14 and \$83,770,000 in FY 15 for scheduled rate increases. Note that these increases are eliminated in a subsequent policy revision.

**Legislative**

Same as Governor

**Provide Statutory Standards and Rate Increases**

Old Age Assistance	0	1,543,135	0	3,163,406	0	0	0	0
Aid To The Blind	0	35,911	0	73,269	0	0	0	0
Aid To The Disabled	0	2,720,814	0	5,615,527	0	0	0	0
Temporary Assistance to Families - TANF	0	2,303,726	0	4,608,555	0	0	0	0
State Administered General Assistance	0	293,300	0	604,800	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>6,896,886</b>	<b>0</b>	<b>14,065,557</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Effective July 1, 2013 and July 1, 2014, recipients of Temporary Family Assistance, State Administered General Assistance, and the Aid to the Aged, Blind and Disabled programs are scheduled to receive a state-funded 2.1% cost of living adjustment.

Additionally, DSS is required to annually determine rates for various boarding homes. Per DSS' regulations, boarding home rate increases are based on actual cost reports submitted by facilities, barring any legislation to remove rate increases for a particular fiscal year. Projected rate increases of 2.0% have been included in the current services budget for boarding homes.

**Governor**

Provide funding of \$6,896,886 in FY 14 and \$14,065,557 in FY 15 to reflect scheduled adjustments. Note that these increases are removed in a subsequent policy adjustment.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Update Cost and Caseload Estimate - Supplemental Assistance

Old Age Assistance	0	(330,797)	0	368,322	0	0	0	0
Aid To The Blind	0	17,650	0	23,338	0	0	0	0
Aid To The Disabled	0	(80,537)	0	1,696,675	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(393,684)</b>	<b>0</b>	<b>2,088,335</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Supplemental Assistance consists of Old Age Assistance, Aid to the Blind and Aid to the Disabled. These programs provide monthly financial assistance to low-income individuals. The supplemental assistance programs are entirely state funded, but operate under both state and federal guidelines. In order to receive benefits, an individual must have another source of income to supplement, such as the federal Social Security, Supplemental Security Income, or Veteran's Benefits. All recipients are automatically eligible for health care benefits under the state Medicaid program.

#### Governor

Reduce funding by \$393,684 in FY 14 and increase funding by \$2,088,335 in FY 15 to reflect updated caseload estimates. As of December 2012, caseload totaled 14,979 active units.

#### Legislative

Same as Governor

### Update Cost and Caseload Estimates for State Food Stamps

State Food Stamp Supplement	0	(648,817)	0	(608,907)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(648,817)</b>	<b>0</b>	<b>(608,907)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Supplemental Nutrition Assistance Program (SNAP), formerly known as Food Stamps, helps low-income individuals and families afford the cost of food at grocery stores and farmers' markets. Connecticut operates a supplemental state benefit for certain individuals ineligible for federal benefits.

#### Governor

Reduce funding by \$648,817 in FY 14 and \$608,907 in FY 15 to reflect updated cost and caseload estimates.

#### Legislative

Same as Governor

### Update Caseload Estimates - Temporary Family Assistance

Temporary Assistance to Families - TANF	0	(3,350,969)	0	(5,736,975)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(3,350,969)</b>	<b>0</b>	<b>(5,736,975)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Temporary Family Assistance (TFA) program provides cash assistance to eligible low-income families. The TFA program limits assistance to 21 months for non-exempt cases, with possible six month extensions for good cause. Individuals in the TFA program are usually eligible for health care services provided under the state's Medicaid program. The average monthly caseload under this (and its predecessor) program has declined from over 60,000 families in FY 95 to approximately 17,350 paid cases at the end of calendar year 2012.

#### Governor

Reduce funding by \$3,350,969 in FY 14 and \$5,736,975 in FY 15 to reflect the continued declining enrollment in the TFA program.

#### Legislative

Same as Governor

### Update Cost and Caseload Estimates - Care 4 Kids

Child Care Services-TANF/CCDBG	0	(5,195,419)	0	(2,332,161)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(5,195,419)</b>	<b>0</b>	<b>(2,332,161)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Care 4 Kids helps low to moderate income families in Connecticut pay for child care costs.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce funding by \$5,195,419 in FY 14 and \$2,332,161 in FY 15 to reflect updated caseload estimates.

**Legislative**

Same as Governor

**Update Cost and Caseload Estimates - Rental Assistance**

Housing/Homeless Services	0	3,397,600	0	4,938,400	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,397,600</b>	<b>0</b>	<b>4,938,400</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Rental Assistance Program (RAP) is the major state-funded program for assisting very-low-income families to afford decent, safe, and sanitary housing in the private market.

**Governor**

Provide funding of \$3,397,600 in FY 14 and \$4,938,400 in FY 15 to reflect the annualization of new RAP certificates that were issued during FY 13, as well as an additional 150 RAP certificates for affordable housing units anticipated to be issued in both FY 14 and FY15.

**Legislative**

Same as Governor

**Update Utilization Estimates - Security Deposit Program**

Housing/Homeless Services	0	(1,000,000)	0	(1,000,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,000,000)</b>	<b>0</b>	<b>(1,000,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

For eligible households, the Security Deposit Guarantee Program provides a guarantee to landlords of up to two month's rent instead of an actual payment.

**Governor**

Reduce funding by \$1.0 million in both FY 14 and FY 15 to reflect updated utilization estimates.

**Legislative**

Same as Governor

**Update Other Expenses - Modernization and Renovation Efforts**

Other Expenses	0	4,649,232	0	3,850,467	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>4,649,232</b>	<b>0</b>	<b>3,850,467</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$4,649,232 in FY 14 and \$3,850,467 in FY 15 to support ongoing modernization efforts. The department anticipates a phase in of initiatives beginning October 2013, which coincides with the launch of the state's Health Information Exchange portal. Modernization initiatives include upgrades to web services and phone systems, document management and work flow, communication accountability services, and replacement of the agency's eligibility management system.

**Legislative**

Same as Governor

**Update Expenditures for Various Programs**

Services To The Elderly	0	58,444	0	58,444	0	0	0	0
Refunds Of Collections	0	92,208	0	92,208	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>150,652</b>	<b>0</b>	<b>150,652</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$150,652 in both FY 14 and FY 15 to meet the anticipated needs under the Services to the Elderly and Refunds of Collections accounts.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Transfer Funding to the Dept. of Rehabilitation Services**

Other Expenses	0	(600,000)	0	(600,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(600,000)</b>	<b>0</b>	<b>(600,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer \$600,000 in each year of the biennium to the Department of Rehabilitation Services to reflect the proper allocation of services.

**Legislative**

Same as Governor

**Annualize Private Provider COLA**

Children's Trust Fund	0	65,654	0	65,654	0	0	0	0
Healthy Start	0	7,488	0	7,488	0	0	0	0
Human Resource Development-Hispanic Programs	0	4,705	0	4,705	0	0	0	0
Services To The Elderly	0	18,314	0	18,314	0	0	0	0
Safety Net Services	0	9,500	0	9,500	0	0	0	0
Transportation for Employment Independence Program	0	15,854	0	15,854	0	0	0	0
Services for Persons With Disabilities	0	3,152	0	3,152	0	0	0	0
Nutrition Assistance	0	2,249	0	2,249	0	0	0	0
Housing/Homeless Services	0	56,973	0	56,973	0	0	0	0
Child Care Quality Enhancements	0	18,819	0	18,819	0	0	0	0
Community Services	0	148,362	0	148,362	0	0	0	0
Human Service Infrastructure Community Action Program	0	17,178	0	17,178	0	0	0	0
Teen Pregnancy Prevention	0	9,618	0	9,618	0	0	0	0
Human Resource Development-Hispanic Programs - Municipality	0	27	0	27	0	0	0	0
Teen Pregnancy Prevention - Municipality	0	721	0	721	0	0	0	0
Services to the Elderly - Municipality	0	224	0	224	0	0	0	0
Housing/Homeless Services - Municipality	0	3,186	0	3,186	0	0	0	0
Community Services - Municipality	0	439	0	439	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>382,463</b>	<b>0</b>	<b>382,463</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The FY 13 Revised Budget included \$8.5 million to reflect a 1% cost of living increase (COLA) for private providers effective 1/1/13. The funding was provided to the following departments that have contracts with private providers: Children and Families, Correction, Developmental Services, Judicial, Mental Health and Addiction Services, Public Health, Social Services and Rehabilitation Services.

**Governor**

Provide funding of \$382,463 in FY 14 and FY 15 to annualize the 1% private provider COLA.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	5,107,799	0	14,087,457	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>5,107,799</b>	<b>0</b>	<b>14,087,457</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$5,107,799 in FY 14 and \$14,087,457 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	(791,711)	0	1,042,041	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(791,711)</b>	<b>0</b>	<b>1,042,041</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Adjust funding by \$791,711 in FY 14 and \$1,042,041 in FY 15 in Other Expenses to reflect FY 14 and FY 15 anticipated expenditure requirements. These costs include temporary employee, lease, and contractual requirements.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	37,781,197	0	62,387,421	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>37,781,197</b>	<b>0</b>	<b>62,387,421</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amount and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$37,781,197 in FY 14 and \$62,387,421 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Streamline Budget Account Structure - Community Services

Emergency Assistance	0	0	0	0	0	1	0	1
Food Stamp Training Expenses	0	0	0	0	0	12,000	0	12,000
Healthy Start	0	0	0	0	0	500,000	0	500,000
Human Resource Development-Hispanic Programs	0	0	0	0	0	898,688	0	898,688
Services To The Elderly	0	0	0	0	0	324,737	0	324,737
Safety Net Services	0	0	0	0	0	1,814,792	0	1,814,792
Services for Persons With Disabilities	0	0	0	0	0	413,876	0	413,876
Housing/Homeless Services	0	0	0	0	0	5,210,676	0	5,210,676
Community Services	0	0	0	0	0	(13,942,789)	0	(13,942,789)
Human Service Infrastructure Community Action Program	0	0	0	0	0	3,281,519	0	3,281,519

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Teen Pregnancy Prevention	0	0	0	0	0	1,378,033	0	1,378,033
Human Resource Development-Hispanic Programs - Municipality	0	0	0	0	0	5,098	0	5,098
Teen Pregnancy Prevention - Municipality	0	0	0	0	0	103,369	0	103,369
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$13,942,789 in FY 14 and FY 15 from various accounts to the Community Services account to reflect the streamlining of agency budgetary accounts.

**Legislative**

Maintain current account structure.

**Streamline Budget Account Structure -Supplemental Assistance**

Old Age Assistance	0	0	0	0	0	35,721,076	0	36,209,681
Aid To The Blind	0	0	0	0	0	765,740	0	765,105
Aid To The Disabled	0	0	0	0	0	59,814,550	0	61,095,932
Aid to the Aged, Blind and Disabled	0	0	0	0	0	(96,301,366)	0	(98,070,718)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$96,301,366 in FY 14 and \$98,070,718 in FY 15 from the Old Age Assistance, Aid to the Blind, and Aid to the Disabled accounts to the Aid to the Aged, Blind, and Disabled account to reflect the streamlining of agency budgetary accounts.

**Legislative**

Maintain current account structure.

**Streamline Budget Account Structure - Nutrition Programs**

State Food Stamp Supplement	0	0	0	0	0	685,149	0	725,059
Nutrition Assistance	0	0	0	0	0	(685,149)	0	(725,059)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$685,149 in FY 14 and \$725,059 in FY 15 from the State-Funded Supplemental Nutrition Assistance account to the Nutrition Assistance account to reflect the streamlining of agency budgetary accounts.

**Legislative**

Maintain current account structure.

**Streamline Budget Account Structure - Various Programs**

Genetic Tests in Paternity Actions	0	(181,585)	0	(181,585)	0	0	0	0
Refunds Of Collections	0	0	0	0	0	150,000	0	150,000
Child Support Refunds and Reimbursements	0	181,585	0	181,585	0	(150,000)	0	(150,000)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

**Governor**

Transfer funding of \$331,585 in both FY 14 and FY 15 from the Paternity Tests and Refunds of Collections accounts to the Child Support Refund account to reflect the streamlining of agency budgetary accounts.

**Legislative**

Transfer funding of \$181,585 in FY 14 and FY 15 from the Genetic Tests in Paternity Actions account to the Child Support Refunds and Reimbursements account, while the current funding structure for Refunds of Collections is maintained.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Streamline Budget Account Structure - Medicaid

Medicaid	0	5,339,050,000	0	5,642,210,000	0	0	0	0
Medicaid - Acute Care Services	0	(1,577,664,000)	0	(1,731,030,000)	0	0	0	0
Medicaid - Professional Medical Care	0	(982,940,000)	0	(1,025,380,000)	0	0	0	0
Medicaid - Other Medical Services	0	(715,210,000)	0	(787,860,000)	0	0	0	0
Medicaid - Home and Community-Based Services	0	(532,650,000)	0	(562,510,000)	0	0	0	0
Medicaid - Nursing Home Facilities	0	(1,267,360,000)	0	(1,263,790,000)	0	0	0	0
Medicaid - Other Long Term Care Facilities	0	(184,350,000)	0	(188,300,000)	0	0	0	0
Medicaid - Administrative Services & Adjustments	0	(78,880,000)	0	(83,340,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$5,339,050,000 in FY 14 and \$5,642,210,000 in FY 15 from the seven Medicaid accounts established in the FY 13 Revised Budget to the single Medicaid account to reflect the streamlining of agency budgetary accounts.

#### Legislative

Same as Governor.

### Eliminate Funding for the Medicaid Low Income Adult Program

Medicaid	0	(359,310,000)	0	(915,400,000)	0	(359,310,000)	0	(915,400,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(359,310,000)</b>	<b>0</b>	<b>(915,400,000)</b>	<b>0</b>	<b>(359,310,000)</b>	<b>0</b>	<b>(915,400,000)</b>

#### Background

As of January 1, 2014, the federal government will assume 100% of the costs for individuals formally assumed to be included in the Medicaid for Low Income Adults (LIA) program.

#### Legislative

Eliminate funding of \$446,210,000 in FY 14 and \$1,135,810,000 in FY 15 to reflect the elimination of the LIA program. Adults formerly served by this program as well as new enrollees under the federal expansion will be served by the federal Medicaid Coverage for the Lowest Income Population (MCLIP) program. Related funding of \$86,900,000 in FY 14 and

\$220,410,000 in FY 15 is eliminated in the Department of Mental Health and Addictions Services. Sections 67 and 68 of PA 13-184, the biennial budget act, eliminate the LIA program and create the MCLIP program.

### Net Appropriate Medicaid

Medicaid	0	(2,322,513,827)	0	(2,069,136,671)	0	(2,322,513,827)	0	(2,069,136,671)
<b>Total - General Fund</b>	<b>0</b>	<b>(2,322,513,827)</b>	<b>0</b>	<b>(2,069,136,671)</b>	<b>0</b>	<b>(2,322,513,827)</b>	<b>0</b>	<b>(2,069,136,671)</b>

#### Background

The FY 14 and FY 15 appropriation for the Department of Social Services' Medicaid account reflects only the state's share of this joint state/federal program. For FY 13, the \$4,697,969,332 gross appropriation for Medicaid included both the state's obligation as well as the reimbursable federal funds.

#### Legislative

Funding of \$2,322,513,827 in FY 14 and \$2,069,136,671 is removed from Medicaid to reflect the net appropriation of the account. The amount removed reflects the approximate amount of federal reimbursement for these expenditures that had been previously reflected within the account.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Enhance Efforts to Curtail Medicaid Fraud

Personal Services	8	524,026	8	569,512	8	524,026	8	569,512
Medicaid	0	(65,000,000)	0	(104,000,000)	0	(5,000,000)	0	(44,000,000)
<b>Total - General Fund</b>	<b>8</b>	<b>(64,475,974)</b>	<b>8</b>	<b>(103,430,488)</b>	<b>8</b>	<b>(4,475,974)</b>	<b>8</b>	<b>(43,430,488)</b>

#### Governor

Reduce Medicaid funding by \$60.0 million in both FY 14 and FY 15. It is anticipated that the additional use of consultant groups to perform predictive analytics will allow the state to better identify patterns of waste, fraud and abuse in government programs and will provide the tools to conduct additional Medicaid investigations and recover state dollars that were expended due to fraudulent claims.

#### Legislative

Reduce Medicaid funding by \$64,475,974 in FY 14 and \$103,430,488 FY 15 to reflect anticipated savings from the identification of Medicaid fraud via predictive analytics and additional Medicaid investigations. To assist in this effort, DSS shall modify the current contract for fraud and third-party billing to require the contractor to perform a client eligibility match for every client, every month.

### Transition Certain Adults from HUSKY A to the Exchange

Medicaid	0	0	0	0	0	5,600,000	0	58,800,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,600,000</b>	<b>0</b>	<b>58,800,000</b>

#### Background

The Connecticut Health Insurance Exchange was established as a quasi-public agency to satisfy requirements of the federal Affordable Care Act. Federally subsidized health insurance for low income individuals will be available on the Exchange as of January 1, 2014.

#### Governor

Reduce funding by \$5.6 million in FY 14 and \$58.8 million in FY 15 to reflect moving HUSKY A adults with incomes over 133% of the federal poverty level to health insurance coverage available under the Exchange. It is anticipated that approximately 37,500 adults would lose their HUSKY A eligibility under this proposal.

#### Legislative

Maintain funding of \$5.6 million in FY 14 and \$58.8 million in FY 15 to reflect HUSKY A adults maintaining current health insurance coverage through Medicaid and not the Health Insurance Exchange. Prior to Fiscal Year 15, the department should examine the feasibility of establishing a Basic Health Plan under the provisions of the federal health care reform act.

### Transition ConnPACE Clients to the Exchange

Other Expenses	0	(2,200)	0	(2,400)	0	0	0	0
CT Pharmaceutical Assistance Contract to the Elderly	0	(160,000)	0	(338,500)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(162,200)</b>	<b>0</b>	<b>(340,900)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Connecticut Health Insurance Exchange was established as a quasi-public agency to satisfy requirements of the federal Affordable Care Act. Federally subsidized health insurance for low income individuals will be available on the Exchange as of January 1, 2014.

#### Governor

Reduce funding by \$162,200 in FY 14 and \$340,900 in FY 15 to reflect the transition of the remaining ConnPACE clients to coverage under the Exchange.

#### Legislative

Same as Governor. Sections 89-90, 94-101, and 156 of PA 13-234, the health and human services implementer, reflect this change.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transition HUSKY Outreach Efforts to the Exchange

HUSKY Information and Referral	0	(159,393)	0	(318,786)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(159,393)</b>	<b>0</b>	<b>(318,786)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$159,393 in FY 14 and \$318,786 in FY 15 to reflect the elimination the HUSKY Information and Referral account. It is anticipated that the integrated eligibility portals available at the Exchange will take the place of currently provided services.

#### Legislative

Same as Governor

### Provide Medicaid Coverage for Autism

Medicaid	0	500,000	0	1,000,000	0	500,000	0	1,000,000
<b>Total - General Fund</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>1,000,000</b>

#### Legislative

Provide \$500,000 in FY 14 and \$1 million in FY 15 to provide certain autism related services under the state Medicaid program.

### Eliminate Requirement for a Medicaid HIV/AIDS Waiver

Medicaid	0	(1,260,000)	0	(2,220,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,260,000)</b>	<b>0</b>	<b>(2,220,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The HIV/AIDS waiver would provide home and community-based services beyond those traditionally offered under Medicaid for up to 50 individuals. Services under the waiver would be similar to those available under the department's other waiver programs.

#### Governor

Reduce funding by \$1,260,000 in FY 14 and \$2,220,000 in FY 15 to reflect the elimination of the statutory requirement that the department seek an HIV/AIDS waiver. Individuals who would have otherwise received services under the waiver will continue to be eligible for traditional services under the Medicaid state plan as well as services that they may be eligible for under existing coverage rules.

#### Legislative

Same as Governor. Section 155 of PA 13-234, the health and human services implementer, reflects this change.

### Eliminate Requirement for Medicaid Interpreter Services

Medicaid	0	(7,500,000)	0	(8,200,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(7,500,000)</b>	<b>0</b>	<b>(8,200,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Current statute requires DSS to amend the Medicaid state plan to include foreign language interpreter services provided to any beneficiary with limited English proficiency as a covered service under the Medicaid program not later than July 1, 2013. With the conversion from managed care to an ASO structure, the medical ASO now provides interpreting services.

#### Governor

Reduce funding by \$7.5 million in FY 14 and \$8.2 million in FY 15 to reflect the elimination of the statutory requirement that the department amend the Medicaid state plan to include interpreter services. It should be noted that the current structure allows the state to maximize federal reimbursement since the ASO's expenditures can be claimed as an administrative service with 75% federal reimbursement as opposed to the 50% reimbursement that would be available if DSS implemented the program in the manner required under statute.

#### Legislative

Same as Governor. Section 80 of PA 13-234, the health and human services implementer, reflects this change.

### Eliminate Enhanced Reimbursement for Independent Pharmacies

Medicaid	0	(1,050,000)	0	(1,100,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,050,000)</b>	<b>0</b>	<b>(1,100,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The FY 13 Revised Budget included funding to increase the reimbursement rate for independent pharmacies from Average Wholesale Price (AWP) minus 16% to AWP minus 14%.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce funding by \$1,050,000 in FY 14 and \$1,100,000 in FY 15 to reflect providing independent pharmacies with a reimbursement of AWP - 16%, consistent with other Medicaid pharmacies.

**Legislative**

Same as Governor. Section 81 of PA 13-234, the health and human services implementer, reflects this change.

**Reduce Pharmacy Dispensing Fee**

Medicaid	0	(2,500,000)	0	(2,600,000)	0	2,500,000	0	2,600,000
<b>Total - General Fund</b>	<b>0</b>	<b>(2,500,000)</b>	<b>0</b>	<b>(2,600,000)</b>	<b>0</b>	<b>2,500,000</b>	<b>0</b>	<b>2,600,000</b>

**Governor**

Reduce funding by \$5.0 million in FY 14 and \$5.2 million in FY 15 to reflect reducing the Medicaid pharmacy dispensing fee to the level of the state employee plan. This would reduce the current \$2.00 fee to \$1.40.

**Legislative**

Reduce funding by \$2.5 million in FY 14 and \$2.6 million in FY 15 to reflect reducing the Medicaid pharmacy dispensing fee from the current \$2.00 fee to \$1.70.

**Implement Step Therapy Under the Pharmacy Program**

Medicaid	0	(11,800,000)	0	(15,800,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(11,800,000)</b>	<b>0</b>	<b>(15,800,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Currently, if a prescription is written for a non-preferred drug, the prescriber is required to either (1) request and obtain prior authorization in order to have that drug dispensed or (2) change to a preferred alternative.

**Governor**

Reduce funding by \$11.8 million in FY 14 and \$15.8 million in FY 15 to reflect the implementation of step therapy.

This proposal modifies this process noted above for classes of drugs on the preferred drug list, excluding mental health drugs. In order for a client to receive a non-preferred product, the prescriber will need to provide documentation that the client has tried a preferred product. This requirement will result in the increased use of lower cost drugs, either generic or preferred brand drugs, depending on the amount of rebates received.

**Legislative**

Same as Governor. Section 126 of PA 13-234, the health and human services implementer, reflects this change.

**Establish Medicaid Therapy Management Pilot**

Other Expenses	0	656,250	0	3,468,750	0	656,250	0	3,468,750
Medicaid	0	(3,456,250)	0	(18,268,750)	0	(3,456,250)	0	(18,268,750)
<b>Total - General Fund</b>	<b>0</b>	<b>(2,800,000)</b>	<b>0</b>	<b>(14,800,000)</b>	<b>0</b>	<b>(2,800,000)</b>	<b>0</b>	<b>(14,800,000)</b>

**Legislative**

Reduce funding by \$2.8 million in FY 14 and \$14.8 million in FY 15 to reflect net savings from implementing a Medicaid therapy management pilot program. DSS will coordinate with the Connecticut Pharmacists Association and a community health center in the New Haven area to comprehensively review the pharmaceutical regime of certain Medicaid clients. By reducing unnecessary and duplicative prescriptions as well as harmful combinations, savings are anticipated in both Medicaid pharmacy and hospital expenditures. Section 110 of PA 13-234, the health and human services implementer, reflects this change.

**Clarify Dental Service Fee Schedule**

Medicaid	0	(2,000,000)	0	(2,000,000)	0	(2,000,000)	0	(2,000,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(2,000,000)</b>	<b>0</b>	<b>(2,000,000)</b>	<b>0</b>	<b>(2,000,000)</b>	<b>0</b>	<b>(2,000,000)</b>

**Legislative**

Reduce Medicaid by \$2.0 million in both FY 14 and FY 15 to reflect a clarification of the dental fee schedule. DSS shall modify the fee schedule and its dental payment regulations to ensure that the anesthesia rates are not inappropriately charged when procedures are performed on multiple teeth at the same time.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Implement Co-Payment for Unnecessary Emergency Room Use

Medicaid	0	(675,000)	0	(675,000)	0	(675,000)	0	(675,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(675,000)</b>	<b>0</b>	<b>(675,000)</b>	<b>0</b>	<b>(675,000)</b>	<b>0</b>	<b>(675,000)</b>

#### Background

Under federal law, states may charge co-payments for non-emergency use of emergency room services for the Medicaid program. These co-payments can be up to \$7.90. Co-payments cannot be charged to certain categories of clients, such as children.

#### Legislative

Reduce funding by \$675,000 in both FY 14 and FY 15 to reflect the imposition of co-payments for unnecessary emergency room use. Section 76 of PA 13-234, the health and human services implementer, reflects this change.

### Increase Prevention to Reduce Hospitalization

Medicaid	0	(10,000,000)	0	(34,000,000)	0	(10,000,000)	0	(34,000,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(10,000,000)</b>	<b>0</b>	<b>(34,000,000)</b>	<b>0</b>	<b>(10,000,000)</b>	<b>0</b>	<b>(34,000,000)</b>

#### Legislative

Reduce Medicaid-Acute Care Services funding by \$10.0 million in FY 14 and \$34.0 million in FY 15 to reflect a reduction in the number of preventable hospitalizations. The ASO will coordinate with providers to reduce the number of preventable hospitalizations through an increase in preventative care services.

To assist in this effort, DSS shall report emergency department (ED) discharge data for Medicaid clients by hospital and provider. Additionally, DSS shall report on the time, date and CPT codes for all ED discharges. Data in such reports shall be aggregated to prevent any client identification.

### Reduce Hospital Reimbursement

Medicaid	0	(73,860,000)	0	(73,860,000)	0	0	0	0
Disproportionate Share- Medical Emergency Assistance	0	(120,819,082)	0	(255,062,505)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(194,679,082)</b>	<b>0</b>	<b>(328,922,505)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding provided to hospitals by \$194,679,082 in FY 14 and \$328,922,505 in FY 15 to reflect:

- Eliminating the enhanced hospital funding from user fee increase (\$50.4 million). Prior to the deficit mitigation plan, the revenue gained from the user fee assessment, as well as any federal dollars gained from that initial federal claiming, was to be redistributed to the hospitals in the form of disproportionate share hospital (DSH) payments and supplemental Medicaid payments. For FY 2013, hospitals were to be assessed a user fee of \$349.1 million while receiving a total of \$399.5 million in DSH and Medicaid payments, which would have allowed the hospitals, in the aggregate, to have a net gain of \$50.4 million;
- Eliminating ASO transition supplemental payments for outpatient, physician and behavioral health services (\$23.5 million); and
- Phasing out Disproportionate Share Hospital (DSH) payments (\$120.8 million in FY 14 and \$255.1 million in FY 15).

Please note, \$13.4 million is eliminated from the DSH account under the write-up titled 'Annualize FY 13 Rescissions.'

#### Legislative

Same as Governor. Sections 77-79 of PA 13-234, the health and hospitals implementer, reflect this change.

### Increase Discharge Rate for Low Cost Hospitals

Medicaid	0	15,000,000	0	15,000,000	0	15,000,000	0	15,000,000
<b>Total - General Fund</b>	<b>0</b>	<b>15,000,000</b>	<b>0</b>	<b>15,000,000</b>	<b>0</b>	<b>15,000,000</b>	<b>0</b>	<b>15,000,000</b>

#### Legislative

Provide funding of \$15.0 million in both FY 14 and FY 15 to increase the Medicaid base discharge rate for hospitals with a higher than average combined Medicare and Medicaid payer mix and less than average Medicaid expense per case. Section 77 of PA 13-234, the health and hospitals implementer, reflects this change.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Saint Mary's Hospital

Community Services	0	100,000	0	100,000	0	100,000	0	100,000
<b>Total - General Fund</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>

#### Legislative

Provide funding of \$100,000 in both FY 14 and FY 15 for Saint Mary's Hospital.

### Provide Additional Funding to CT Children's Medical Center

Connecticut Children's Medical Center	0	5,000,000	0	5,000,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>5,000,000</b>	<b>0</b>	<b>5,000,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Since FY 99, the Connecticut Children's Medical Center (CCMC) has received a grant subsidy from DSS to help compensate the hospital for the cost of providing health care to Medicaid clients.

#### Governor

Provide an additional \$5.0 million in both FY 14 and FY 15 for CCMC. It should be noted that CCMC lost approximately \$5 million under the general hospital budget reductions noted elsewhere.

#### Legislative

Same as Governor

### Rebase Community Health Center Reimbursement

Medicaid	0	10,000,000	0	10,000,000	0	10,000,000	0	10,000,000
<b>Total - General Fund</b>	<b>0</b>	<b>10,000,000</b>	<b>0</b>	<b>10,000,000</b>	<b>0</b>	<b>10,000,000</b>	<b>0</b>	<b>10,000,000</b>

#### Legislative

Provide \$10.0 million in both FY 14 and FY 15 to reflect a rebasing of rates paid to Federally Qualified Health Centers. Section 125 of PA 13-234, the health and hospitals implementer, reflects this change.

### Reduce Behavioral Health Reimbursement

Medicaid	0	0	0	(1,000,000)	0	4,100,000	0	4,100,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(1,000,000)</b>	<b>0</b>	<b>4,100,000</b>	<b>0</b>	<b>4,100,000</b>

#### Governor

Reduce funding by \$4.1 million in FY 14 and \$5.1 million in FY 15 to reflect a reduction in the reimbursement for certain behavioral health services, including methadone maintenance.

#### Legislative

Reduce behavioral health rates by \$1.0 million in FY 15. Section 130 of HB 6706, the general government implementer, reflects this change.

### Establish Medical Respite Program for the Homeless

Medicaid	0	0	0	(800,000)	0	0	0	(800,000)
Housing/Homeless Services	0	400,000	0	0	0	400,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>400,000</b>	<b>0</b>	<b>(800,000)</b>	<b>0</b>	<b>400,000</b>	<b>0</b>	<b>(800,000)</b>

#### Legislative

Provide funding of \$400,000 in FY 14 and reduce funding by \$800,000 in FY 15 to reflect the implementation of a medical respite program for homeless persons in the city of New Haven. This funding is transferred to the Department of Housing in a subsequent policy.

### Adjust Unearned Income Disregard for Supplemental Assistance

Medicaid	0	0	0	0	0	117,000	0	235,000
Old Age Assistance	0	0	0	0	0	195,000	0	390,000
Aid To The Blind	0	0	0	0	0	7,000	0	13,000
Aid To The Disabled	0	0	0	0	0	470,000	0	940,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>789,000</b>	<b>0</b>	<b>1,578,000</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

In past years, any cost of living adjustments (COLA) received as part of an AABD client's Social Security benefit were considered an increase in income and applied to the client's cost of care. As a result of a legislative change, effective FY 06, AABD clients now retain their Social Security COLA (by increasing the unearned income disregard) without a concurrent reduction in their state benefit.

**Governor**

Reduce funding by \$789,000 in FY 14 and \$1,578,000 in FY 15 to reflect reinstituting the previous policy of applying any federal COLA to offset the cost of care.

**Legislative**

Maintain funding of \$789,000 in FY 14 and \$1,578,000 in FY 15 for the current unearned income disregard.

**Adjust Residential Care Home Rate Increase**

Old Age Assistance	0	0	0	0	0	170,651	0	186,165
Aid To The Blind	0	0	0	0	0	3,554	0	3,877
Aid To The Disabled	0	0	0	0	0	284,128	0	309,958
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>458,333</b>	<b>0</b>	<b>500,000</b>

**Background**

Last session, the legislature added \$500,000 to residential care homes to reflect the pass through of higher than anticipated federal Social Security cost of living adjustments.

**Governor**

Reduce funding by \$458,333 in FY 14 and \$500,000 in FY 15 to reflect the elimination of this additional funding.

**Legislative**

Maintain funding of \$458,333 in FY 14 and \$500,000 in FY 15 for the residential care home rate increase provided in current services. Section 73 of PA 13-234, the health and hospitals implementer, reflects this change.

**Adjust Statutory or Regulatory Standards Increases**

Old Age Assistance	0	0	0	0	0	1,543,135	0	3,163,406
Aid To The Blind	0	0	0	0	0	35,911	0	73,269
Aid To The Disabled	0	0	0	0	0	2,720,814	0	5,615,527
Temporary Assistance to Families - TANF	0	0	0	0	0	2,303,726	0	4,608,555
State Administered General Assistance	0	0	0	0	0	293,300	0	604,800
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6,896,886</b>	<b>0</b>	<b>14,065,557</b>

**Background**

Effective July 1, 2013 and July 1, 2014, recipients of Temporary Family Assistance, State Administered General Assistance, and the Aid to the Aged, Blind and Disabled programs are scheduled to receive a state-funded 2.1% cost of living adjustment.

Additionally, DSS is required to annually determine rates for various boarding homes. Per DSS' regulations, boarding home rate increases are based on actual cost reports submitted by facilities, barring any legislation to remove rate increases for a particular fiscal year. Projected rate increases of 2.0% have been included in the current services budget for boarding homes.

**Governor**

Reduce Funding by \$6,896,886 in FY 14 and \$14,065,557 in FY 15 to reflect the elimination of the scheduled increases.

**Legislative**

Maintain funding of \$6,896,886 in FY 14 and \$14,065,557 in FY 15 for statutory or regulatory standards increases provided in current services.

**Remove Statutory Rate Increases - Nursing Homes and ICF/MR's**

Medicaid	0	(54,800,000)	0	(83,770,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(54,800,000)</b>	<b>0</b>	<b>(83,770,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

Under current statute, DSS is required to rebase nursing home rates no more than once every two years and no less than once every four years. The current services budget includes a rate increase of 4.7% in FY 2014 to reflect the rebasing of rates. To comply with DSS' regulations, the current services budget also includes a 2.0% inflationary adjustment in FY 2015.

Additionally, to comply with DSS' regulations, the current services budget includes a 2.2% increase in FY 2014 and a 2.0% increase in FY 2015 for intermediate care facilities for those with developmental disabilities.

**Governor**

Reduce funding by \$54,800,000 in FY 14 and \$83,770,000 in FY 15 to reflect the elimination of scheduled rate increases.

**Legislative**

Same as Governor. Sections 74 and 75 of PA 13-234, the health and hospitals implementer, reflect this change.

**Reduce Enhanced Nursing Home and ICF/MR Funding**

Medicaid	0	(12,200,000)	0	(16,300,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(12,200,000)</b>	<b>0</b>	<b>(16,300,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Effective July 1, 2012, the nursing home user fee was increased to maximize the amount of revenue to the state, while also providing new funding to the nursing home industry through their Medicaid rates. The user fee was also extended to public and private intermediate care facilities for the developmentally disabled (ICF/MR's). The revenue gained from the user fee assessment, as well as any federal dollars gained from that initial federal claiming, is returned to these facilities in the form of increased Medicaid rates. In FY 13, nursing homes will be assessed an additional user fee of \$39.3 million while realizing a Medicaid rate increase of \$59.0 million, for a net gain of \$19.7 million. For private intermediate care facilities, these facilities will be assessed a user fee of \$4.0 million while realizing a Medicaid rate increase of \$6.1 million, for a net gain of \$2.1 million in FY 13.

**Governor**

Reduce funding by \$12.2 million in FY 14 and \$16.3 million in FY 15 to reflect a 75% reduction in the enhanced funding detailed above.

**Legislative**

Same as Governor

**Close Roll-over to Priority Group 6 in Care 4 Kids**

Child Care Services-TANF/ CCDBG	0	(278,000)	0	(619,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(278,000)</b>	<b>0</b>	<b>(619,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Currently, under the Care 4 Kids program, when a family's income increases to above 50% of the state median income (SMI), the family will move from Priority Group 2 (PG-2) or Priority Group 4 (PG-4) to Priority Group 6 (PG-6). PG-2 is for post-TFA individuals with income less than 50% of the state median income (\$50,987 for a family of four). PG-4 is for non-TFA families with income less than 50% SMI. PG-6 is for non-TFA families with income between 50% and 75% SMI.

**Governor**

Reduce funding by \$278,000 in FY 14 and \$619,000 in FY 15 to reflect the elimination of the potential to roll over to PG-6 for families whose income increases above 50% SMI.

**Legislative**

Same as Governor

**Adjust Funding for HUSKY Performance Monitoring**

Children's Health Council	0	0	0	0	0	208,050	0	208,050
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>208,050</b>	<b>0</b>	<b>208,050</b>

**Background**

The HUSKY Performance Monitoring account funds a contract to provide analysis of trends in HUSKY enrollment, access to care and utilization of health care services. With the new administrative service organization (ASO) structure implemented January 1, 2012, the ASO is now responsible for reporting on trends in HUSKY enrollment, access and utilization.

**Governor**

Reduce funding by \$208,050 in both FY 14 and FY 15 to reflect the elimination of support for HUSKY monitoring.

**Legislative**

Maintain funding of \$208,050 in FY 14 and FY 15 for the HUSKY Performance Monitoring account.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for Afterschool Programs

Child Care Quality Enhancements	0	0	0	0	0	335,930	0	335,930
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>335,930</b>	<b>0</b>	<b>335,930</b>

#### Governor

Reduce Funding by \$335,930 in both FY 14 and FY 15 to reflect the elimination of DSS support for afterschool programs.

#### Legislative

Maintain funding of \$335,930 in both FY 14 and FY 15 for afterschool programs.

### Adjust Funding for Children's Trust Fund

Children's Trust Fund	0	(870,866)	0	(870,866)	0	1,107,450	0	1,107,450
<b>Total - General Fund</b>	<b>0</b>	<b>(870,866)</b>	<b>0</b>	<b>(870,866)</b>	<b>0</b>	<b>1,107,450</b>	<b>0</b>	<b>1,107,450</b>

#### Background

The mission of the Children's Trust Fund is to prevent child abuse and neglect, and to establish resources in communities statewide that support and strengthen families.

#### Governor

Reduce funding by \$1,978,316 in both FY 14 and FY 15 to reflect the elimination of support for Family Empowerment, Family School Connection, the Children's Law Center and the Kinship Fund. Nurturing Families Network and the Help Me Grow programs would continue to be funded.

#### Legislative

Reduce funding by \$870,866 in both FY 14 and FY 15 to reflect Probate Court Administration Fund support for the Kinship Fund and a transfer to Judicial for the Children's Law Center.

### Adjust Funding for Healthy Start

Healthy Start	0	0	0	0	0	930,311	0	930,311
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>930,311</b>	<b>0</b>	<b>930,311</b>

#### Background

Since the inception of the Healthy Start program, staff time has shifted from direct service to completing presumptive eligibility forms for HUSKY enrollment.

#### Governor

Reduce funding by \$930,311 in both FY 14 and FY 15 to reflect the elimination of HUSKY enrollment efforts.

#### Legislative

Maintain funding of \$930,311 in both FY 14 and FY 15 for Healthy Start.

### Adjust Funding for Teen Pregnancy Prevention

Teen Pregnancy Prevention	0	0	0	0	0	459,345	0	459,345
Teen Pregnancy Prevention - Municipality	0	0	0	0	0	34,457	0	34,457
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>493,802</b>	<b>0</b>	<b>493,802</b>

#### Governor

Reduce funding by \$493,802 in both FY 14 and FY 15 for the Teen Pregnancy Prevention program. The program retains funding of \$1,481,402, which is consolidated under the Community Services account.

#### Legislative

Maintain funding of \$493,802 in both FY 14 and FY 15 for Teen Pregnancy Prevention.

### Adjust Funding for Advocacy and Family Supports

Services for Persons With Disabilities	0	0	0	0	0	188,137	0	188,137
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Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Total - General Fund</b>	0	0	0	0	0	188,137	0	188,137

**Governor**

Reduce funding by \$188,137 in both FY 14 and FY 15 to reflect the elimination of Traumatic Brain Injury Support and Family Support grants.

**Legislative**

Maintain funding of \$188,137 in FY 14 and FY 15 for Traumatic Brain Injury Support and Family Support grants.

**Adjust Funding for Transportation to Work**

Transportation for Employment Independence Program	0	0	0	(1,000,000)	0	3,028,671	0	2,028,671
<b>Total - General Fund</b>	0	0	0	(1,000,000)	0	3,028,671	0	2,028,671

**Background**

The Transportation to Work account is intended to provide funds for several transportation programs to assist Temporary Family Assistance and other TFA eligible adults with transportation services needed to reach self-sufficiency through work and work-related activities. Although transportation is one of the most significant barriers for individuals to secure employment, the department's current ridership surveys indicate that only 20% of riders under this program receive TFA or are TFA eligible.

**Governor**

Reduce funding by \$3,028,671 in both FY 14 and FY 15 to reflect the elimination of the Transportation to Work account. TFA recipients that are active in the Department of Labor's Jobs First Employment Services (JFES) program will have access to bus tokens and other transportation supports under JFES.

**Legislative**

Reduce Transportation to Work funding by \$1.0 million in FY 15.

**Adjust Funding for Community Services**

Child Care Quality Enhancements	0	227,356	0	227,356	0	227,356	0	227,356
Community Services	0	(599,012)	0	(599,012)	0	0	0	0
Fatherhood Initiative	0	371,656	0	371,656	0	371,656	0	371,656
Community Services - Municipality	0	0	0	0	0	83,761	0	83,761
<b>Total - General Fund</b>	0	0	0	0	0	682,773	0	682,773

**Governor**

Reduce funding by \$682,773 in both FY 14 and FY 15 to reflect the elimination of the Community Services and Youth and Family Services subaccounts.

**Legislative**

Maintain funding of \$682,773 in FY 14 and FY 15. Transfer funding of \$227,356 in FY 14 and FY 15 for Before and After School programs to the Child Care Quality Enhancements account and create a separate line item to reflect funding of \$371,656 in FY 14 and FY 15 for the Fatherhood Initiative.

**Provide Funding for the Covenant Soup Kitchen**

Nutrition Assistance	0	50,000	0	50,000	0	50,000	0	50,000
<b>Total - General Fund</b>	0	50,000	0	50,000	0	50,000	0	50,000

**Legislative**

Provide funding of \$50,000 in both FY 14 and FY 15 for the Covenant Soup Kitchen.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Perlas Hispanas

Human Resource Development-Hispanic Programs	0	20,000	0	20,000	0	20,000	0	20,000
<b>Total - General Fund</b>	<b>0</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>

#### Legislative

Provide funding of \$20,000 in both FY 14 and FY 15 for Perlas Hispanas in New Britain for human resource development services.

### Rollout of FY 13 Rescissions

Children's Trust Fund	0	(656,654)	0	(656,654)	0	0	0	0
Children's Health Council	0	(10,950)	0	(10,950)	0	0	0	0
HUSKY Information and Referral	0	(16,778)	0	(16,778)	0	0	0	0
Genetic Tests in Paternity Actions	0	(9,557)	0	(9,557)	0	0	0	0
Healthy Start	0	(74,885)	0	(74,885)	0	0	0	0
Connecticut Home Care Program	0	(2,365,804)	0	(2,365,804)	0	0	0	0
Human Resource Development-Hispanic Programs	0	0	0	0	0	47,051	0	47,051
Safety Net Services	0	(95,015)	0	(95,015)	0	0	0	0
Transportation for Employment Independence Program	0	(158,569)	0	(158,569)	0	0	0	0
Services for Persons With Disabilities	0	(31,518)	0	(31,518)	0	0	0	0
Nutrition Assistance	0	(22,495)	0	(22,495)	0	0	0	0
Disproportionate Share- Medical Emergency Assistance	0	(13,424,342)	0	(13,424,342)	0	0	0	0
Child Care Quality Enhancements	0	(188,225)	0	(188,225)	0	0	0	0
Community Services	0	(74,380)	0	(74,380)	0	0	0	0
Human Service Infrastructure Community Action Program	0	0	0	0	0	171,807	0	171,807
Teen Pregnancy Prevention	0	(96,197)	0	(96,197)	0	0	0	0
Human Resource Development-Hispanic Programs - Municipality	0	0	0	0	0	266	0	266
Teen Pregnancy Prevention - Municipality	0	(7,216)	0	(7,216)	0	0	0	0
Community Services - Municipality	0	(4,385)	0	(4,385)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(17,236,970)</b>	<b>0</b>	<b>(17,236,970)</b>	<b>0</b>	<b>219,124</b>	<b>0</b>	<b>219,124</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding by \$17,456,094 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Reduce funding by \$17,236,970 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions. Funding is maintained for the Human Resource Development - Hispanic and Human Services Infrastructure - Community Action program accounts.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Rollout FY 13 DMP - FQHC Reimbursement**

Medicaid	0	(5,300,000)	0	(7,300,000)	0	0	0	0
Medicaid - Professional Medical Care	0	0	0	0	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(5,300,000)</b>	<b>0</b>	<b>(7,300,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Prior to the deficit mitigation plan, DSS was providing increased reimbursement to federally qualified health centers (FQHCs) participating under the 'Glide Path,' which supports practices as they move towards National Legislative for Quality Assurance (NCQA) recognition as patient-centered medical homes (PCMH), as well as to FQHCs that have attained NCQA recognition as PCMH providers. In addition, FQHCs participating as PCMH providers were eligible for supplemental payments based on quality performance incentives and improvements. Given that the FQHCs have traditionally operated under a medical home model by offering a full range of primary and preventive health care services and recognizing that they are already receiving higher reimbursements than most providers under Medicaid, the need to incentivize FQHCs to move toward a PCMH model is reduced.

**Governor**

Reduce funding by \$5.3 million in FY 14 and \$7.3 million in FY 15 to reflect the continued elimination of enhanced Glide Path payments to FQHC's. FQHCs participating in the Glide Path will remain eligible for technical assistance to help them in achieving NCQA recognition.

**Legislative**

Same as Governor

**Rollout FY 13 DMP - Medicaid Chiropractic Coverage**

Medicaid	0	(110,000)	0	(110,000)	0	250,000	0	250,000
<b>Total - General Fund</b>	<b>0</b>	<b>(110,000)</b>	<b>0</b>	<b>(110,000)</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>250,000</b>

**Background**

The FY 13 Revised Budget included funding to pay for services provided by independent chiropractors for adults under Medicaid. Expenditures were capped at \$250,000 per year. The program is state-funded and is not eligible for federal reimbursement because it is capped.

**Governor**

Reduce funding by \$360,000 in both FY 14 and FY 15 to reflect the elimination of state funded adult chiropractic services. In addition, chiropractic services for children are only covered to the extent that they are medically necessary.

**Legislative**

Reduce funding by \$110,000 in FY 14 and FY 15 to reflect removing coverage for chiropractic services for children under Medicaid. Section 80 of PA 13-234, the health and hospitals implementer, reflects this change.

**Rollout FY 13 DMP - NEMT Reimbursement**

Medicaid	0	(500,000)	0	(500,000)	0	5,400,000	0	5,400,000
<b>Total - General Fund</b>	<b>0</b>	<b>(500,000)</b>	<b>0</b>	<b>(500,000)</b>	<b>0</b>	<b>5,400,000</b>	<b>0</b>	<b>5,400,000</b>

**Governor**

Reduce funding for non-emergency medical transportation (NEMT) by \$5.9 million in both FY 14 and FY 15 to reflect:

Allowing the use of vehicles other than ambulances to provide non-emergency transportation for individuals who are medically stable and do not require medical attention but must lie flat during transport; and reducing the non-emergency ambulance rate (\$218.82) to the emergency ambulance rate (\$196.94).

**Legislative**

Reduce funding by \$500,000 in both FY 14 and FY 15 to reflect a reduction of the non-emergency ambulance rate to the emergency ambulance rate. Section 110 of PA 13-234, the health and hospitals implementer, removes the statute allowing certain modes of non-emergency transportation, which codifies current practice.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Rollout FY 13 DMP - Prior Authorization**

Medicaid	0	(8,600,000)	0	(8,700,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(8,600,000)</b>	<b>0</b>	<b>(8,700,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$8.6 million in FY 14 and \$8.7 million in FY 15 to reflect enhanced prior authorization procedures for certain Medicaid services. These efforts include:

- Sleep apnea machines, such as continuous positive airway pressure (CPAP) machines. The department will adopt Medicare's guidelines for the purchase of CPAP machines and supplies;
- Home health services and physical, occupational and speech therapies. Prior authorization will be required to ensure medical necessity; and
- Customized Wheelchairs. Clinical teams will be sent into facilities to assess clients and confirm the need for customized wheelchairs before the purchase of such chairs will be approved. DSS will also review wheelchair repairs and part replacements and will utilize refurbished wheelchairs, parts and components when appropriate.

**Legislative**

Same as Governor

**Rollout FY 13 DMP - Provider Reimbursement**

Medicaid	0	(5,800,000)	0	(5,900,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(5,800,000)</b>	<b>0</b>	<b>(5,900,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$5.8 million in FY 14 and \$5.9 million in FY 15 to reflect:

- Reducing the facility per diem payment for hospice services to reflect the overlap in the services provided by a facility and the hospice agency;
- Mirroring the Medicare pricing methodology by paying a lower fee to community-based physicians when the services are provided in a hospital or ambulatory surgery center;
- Expanding DSS' ambulatory surgery center fee schedule to include many procedures customarily performed in ambulatory centers, which are currently performed for Medicaid clients in hospital outpatient departments; and
- Adding coverage of home infusion as a bundled service. Most commercial plans provide extensive home infusion services in lieu of providing medication therapies in inpatient and outpatient hospital settings.

**Legislative**

Same as Governor. Section 80 of PA 13-234, the health and hospitals implementer, reflect the reduction in the facility per diem payment for hospice services.

**Rollout FY 13 DMP - Reimbursement Fees**

Medicaid	0	(3,600,000)	0	(3,600,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(3,600,000)</b>	<b>0</b>	<b>(3,600,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$3.6 million in both FY 14 and FY 15 to reflect reduced reimbursement for medical equipment, devices and supplies and laboratory services.

**Legislative**

Same as Governor

**Transfer Citizenship Training to the Secretary of State**

Community Services	0	0	0	0	0	50,000	0	50,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>

**Governor**

Transfer \$50,000 in both FY 14 and FY 15 to reflect the relocation of the Citizenship Training program to the Secretary of State.

**Legislative**

Maintain funding of \$50,000 in FY 14 and FY 15 to reflect retaining the Citizenship Training Program within DSS.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Employment Services to the Department of Labor

Community Services	0	0	0	0	0	642,260	0	642,260
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>642,260</b>	<b>0</b>	<b>642,260</b>

#### Governor

Transfer funding of \$642,260 in both FY 14 and FY 15 to reflect the transition of the Employment Success program to the Department of Labor.

#### Legislative

Maintain funding of \$642,260 in FY 14 and FY 15 to reflect retaining the Employment Success Program within DSS.

### Provide Funding for Employment Services

Safety Net Services	0	1,000,000	0	1,000,000	0	1,000,000	0	1,000,000
<b>Total - General Fund</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>

#### Legislative

Provide funding of \$1.0 million in both FY 14 and FY15 for employment assistant services specifically for recipients of the Temporary Family Assistance program. Of these funds, \$300,000 shall be used by Community Action Agencies for youth programs in Hartford and New Haven.

### Transfer Positions and Funds to Office of Early Childhood

Personal Services	(9)	(793,177)	(11)	(1,000,609)	(7)	(635,313)	(2)	(196,770)
Other Expenses	0	(63,000)	0	(7,643,000)	0	(49,000)	0	(7,580,000)
Children's Trust Fund	0	(11,671,218)	0	(11,671,218)	0	(11,671,218)	0	(1,107,450)
Child Care Services-TANF/CCDBG	0	0	0	(101,489,658)	0	98,967,400	0	0
Child Care Quality Enhancements	0	(3,259,170)	0	(3,259,170)	0	0	0	0
<b>Total - General Fund</b>	<b>(9)</b>	<b>(15,786,565)</b>	<b>(11)</b>	<b>(125,063,655)</b>	<b>(7)</b>	<b>86,611,869</b>	<b>(2)</b>	<b>(8,884,220)</b>

#### Governor

Transfer funding of \$102,458,214 in FY 14 and \$116,244,405 in FY 15 to the new Office of Early Childhood. This moves the following programs in FY 2014: Care 4 Kids, Charters-A-Course and funding for the Child Care 2-1-1 contract with United Way. In FY 2015, programs under the Children's Trust Fund (the Nurturing Families Network and Help Me Grow) are also transferred. In addition, funding for the related program staff and other expenses items are transferred to the Office of Early Childhood.

#### Legislative

Transfer funding of \$15,786,565 in FY 14 and \$125,063,655 in FY 15 to the new Office of Early Childhood. Programs transferred beginning in FY 14 include: Charters-A-Course, funding for the Child Care 2-1-1 contract with United Way, and the Children's Trust Fund. Funding for related program staff and other expenses items are also transferred. In addition, funding for the Care4Kids program is transferred in FY 15. Section 50 of HB 6706, the general government implementer, establishes the Nurturing Families Network program of the Children's Trust Fund under the Office of Early Childhood.

### Transfer Position to State Department of Education

Personal Services	(1)	(59,780)	(1)	(64,970)	0	0	0	0
<b>Total - General Fund</b>	<b>(1)</b>	<b>(59,780)</b>	<b>(1)</b>	<b>(64,970)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer one fiscal support position and corresponding funding of \$59,780 in FY 14 and \$64,970 in FY 15 to the State Department of Education.

#### Legislative

Same as Governor

### Transfer Positions and Funds to the Department of Housing

Personal Services	(9)	(636,485)	(9)	(661,963)	(4)	(243,577)	(4)	(261,905)
Other Expenses	0	(71,519)	0	(73,593)	0	0	0	0
Housing/Homeless Services	0	(56,810,972)	0	(60,935,480)	0	(400,000)	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Housing/Homeless Services - Municipality	0	(640,398)	0	(640,398)	0	0	0	0
<b>Total - General Fund</b>	<b>(9)</b>	<b>(58,159,374)</b>	<b>(9)</b>	<b>(62,311,434)</b>	<b>(4)</b>	<b>(643,577)</b>	<b>(4)</b>	<b>(261,905)</b>

**Governor**

Transfer five positions and funding of \$57,515,797 in FY 14 and \$62,049,529 in FY 15 to the new Department of Housing. This proposal moves the following programs to the new Department of Housing: Emergency Shelters for Homeless, Residences for Person with AIDS, Transitional Living, Rental Assistance Program, Special Projects (including Child Care in Homeless Shelters), Housing / Mediation Services, Rent Bank and the Security Deposit Guarantee Program. In addition, a contract with the Connecticut Coalition to End Homelessness is transferred. Funding for Domestic Violence Shelters is not transferred under this proposal.

**Legislative**

Transfer nine positions and funding of \$58,159,374 in FY 14 and \$62,311,434 in FY 15 to the new Department of Housing. This proposal moves the following programs to the new Department of Housing: Emergency Shelters for Homeless, Residences for Person with AIDS, Transitional Living, Special Projects (including Child Care in Homeless Shelters), Housing / Mediation Services, Rent Bank, the Security Deposit Guarantee Program, and the Medical Respite Program for the Homeless. In addition, a contract with the Connecticut Coalition to End Homelessness is transferred. Funding for Domestic Violence Shelter is not transferred.

**Transfer Positions and Funds to the Department on Aging**

Personal Services	(26)	(2,034,798)	(26)	(2,135,701)	0	0	0	0
Other Expenses	0	(717,340)	0	(719,599)	0	0	0	0
Services To The Elderly	0	(3,681,704)	0	(3,681,704)	0	0	0	0
Community Services	0	(141,295)	0	(141,295)	0	0	0	0
Alzheimer Respite Care	0	(2,294,388)	0	(2,294,388)	0	0	0	0
Services to the Elderly - Municipality	0	(44,853)	0	(44,853)	0	0	0	0
<b>Total - General Fund</b>	<b>(26)</b>	<b>(8,914,378)</b>	<b>(26)</b>	<b>(9,017,540)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	(475,000)	0	(475,000)	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>(475,000)</b>	<b>0</b>	<b>(475,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The state Department on Aging was established in statute as of January 1, 2013. The FY 13 budget included half year funding for two initial administrative positions.

**Governor**

Transfer 26 positions and funding of \$8,914,378 in FY 14 and \$9,017,540 in FY 15 to the Department on Aging. Other Expenses funding of \$475,000 (via the Insurance Fund) is also transferred in each year. The following programs are transferred: Elderly Health Care at Bella Vista, Elderly Nutrition, Elderly Health Screening, Retired Senior Volunteer Program, Area Agencies on Aging, Medicare Legal Assistance, Day Care for Alzheimer Victims, Geriatric Assessment, Alzheimer Respite Care, Congregate Housing Services and Fall Prevention. Funding for Protective Services for the Elderly is not transferred under this proposal.

**Legislative**

Same as Governor

**Transfer Support for Smoking Cessation to Tobacco Trust Fund**

Medicaid	0	(3,400,000)	0	(3,400,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(3,400,000)</b>	<b>0</b>	<b>(3,400,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

In FY 13, \$3.4 million was transferred from the Tobacco and Health Trust Fund to the Medicaid account to support smoking cessation programs.

**Governor**

Reduce funding by \$3.4 million in both FY 14 and FY 15 to reflect the continued transfer from the Trust Fund to help offset the costs of smoking cessation.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Transfer to DMHAS for Unified Contracting**

Personal Services	0	0	0	0	6	362,165	6	373,030
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6</b>	<b>362,165</b>	<b>6</b>	<b>373,030</b>

**Governor**

Transfer funding of \$362,165 in FY 14 and \$373,030 in FY 15 and six positions to the Department of Mental Health and Addiction Services for unified contracting for human services.

**Legislative**

Maintain six positions and funding of \$362,165 in FY 14 and \$373,030 in FY 15 in DSS for contracting functions.

**Transfer Affirmative Action Planning Function to CHRO**

Personal Services	0	0	0	0	1	68,509	1	71,552
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>68,509</b>	<b>1</b>	<b>71,552</b>

**Governor**

Transfer one position and funding of \$68,509 in FY 14 and \$71,552 in FY 15 to reflect the centralization of Affirmative Action planning functions in the Commission on Human Rights and Opportunities (CHRO).

**Legislative**

Maintain funding of \$68,509 in FY 14 and \$71,552 in FY 15 and one position to reflect the decentralization of Affirmative Action planning functions and retaining the functions within DSS.

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(82,080)	0	(82,080)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(82,080)</b>	<b>0</b>	<b>(82,080)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$82,080 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(37,771)	0	(79,352)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(37,771)</b>	<b>0</b>	<b>(79,352)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$37,771 in FY 14 and \$79,352 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(1,042,367)	0	(3,605,077)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,042,367)</b>	<b>0</b>	<b>(3,605,077)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$1,042,367 in FY 14 and \$3,605,077 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(23,825,252)	0	(26,527,560)	0	11,812,913	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(23,825,252)</b>	<b>0</b>	<b>(26,527,560)</b>	<b>0</b>	<b>11,812,913</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce funding by \$35,638,165 in FY 14 and \$26,527,560 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Reduce funding by \$23,825,252 in FY 14 and \$26,527,560 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Carry Forward Funding for Medicaid**

Medicaid	0	42,903,628	0	0	0	42,903,628	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>42,903,628</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>42,903,628</b>	<b>0</b>	<b>0</b>

**Legislative**

Pursuant to CGS Sec. 4-89(c), an estimated \$42,903,628 is carried forward into FY 14 in the Medicaid account. Funding is anticipated to be used as follows:

- \$19.0 million related to the increased processing of rebates in FY 13;
- \$8.5 million for hospital retro settlement costs for FY 10;
- \$7.0 million for hospital supplemental payments due to the conversion from managed care to an administrative services organization (ASO), pending federal approval of such payments;
- \$4.4 million for payments to out-of-state-hospitals which were not paid due to processing delays;
- \$3.9 million for FY 13 contractual and other expenses which were not paid due to processing delays; and
- \$119,000 for dental initiatives under the Carr v. Wilson-Coker settlement.

**Carry Forward Funding for Other Expenses**

Other Expenses	0	5,079,149	0	0	0	5,079,149	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>5,079,149</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,079,149</b>	<b>0</b>	<b>0</b>

**Legislative**

Pursuant to CGS Sec. 4-89(c), an estimated \$5,079,149 is carried forward into FY 14 in the Other Expenses account. Funding of \$3,982,220 will fund contractual expenses, which were incurred in FY13 but were not paid due to processing delays. Remaining funding of \$1,096,929 will support various projects that have been delayed, including \$750,000 for projects under Money Follows the Person.

**Carry Forward Funding for HUSKY B Payments to DPH**

HUSKY B Program	0	245,680	0	0	0	245,680	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>245,680</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>245,680</b>	<b>0</b>	<b>0</b>

**Legislative**

Pursuant to CGS Sec. 4-89(c), an estimated \$245,680 is carried forward into FY 14 in the HUSKY B Program account to fund FY 13 payments to the Department of Public Health (DPH) for vaccine costs that were not paid due to processing delays.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	1,883	6,101,216,680	1,883	6,101,216,680	0	0	0	0
Current Services	0	418,469,345	0	766,305,805	0	0	0	0
Policy Revisions	(37)	(3,169,418,879)	(39)	(3,844,632,854)	4	(2,542,612,195)	9	(2,967,593,637)
<b>Total Recommended - GF</b>	<b>1,846</b>	<b>3,350,267,146</b>	<b>1,844</b>	<b>3,022,889,631</b>	<b>4</b>	<b>(2,542,612,195)</b>	<b>9</b>	<b>(2,967,593,637)</b>
Governor Estimated - IF	0	475,000	0	475,000	0	0	0	0
Policy Revisions	0	(475,000)	0	(475,000)	0	0	0	0
<b>Total Recommended - IF</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Medicaid Account Breakout (in millions)**

Item	FY 14 \$	FY 15 \$
<b>Non-Long-Term Care (Non-LTC)</b>		
Hospital Inpatient	753.9	791.9
Hospital Outpatient	665.4	743.2
Hospital Supplemental	80.6	80.6
Hospital Retro	6.0	6.0
Physician	445.8	435.5
Clinic	276.1	301.0
Dental	186.8	209.1
Vision	31.7	33.4
Other Practitioner	38.7	39.9
Family Planning	1.5	1.6
Pharmacy	760.7	888.3
Pharmacy Rebates	(427.9)	(500.2)
Transportation	33.6	35.0
Non-Emergency Transportation	52.9	54.5
Lab & X-Ray	35.6	36.9
Durable Medical Equipment	82.0	85.9
Alcohol & Drug Services	4.5	4.3
Medicare Part D Clawback	146.7	149.5
Home Health	249.0	257.6
Mental Health Waiver	2.1	2.3
CHC Assessments <sup>1</sup>	1.0	1.0
CHC Waiver Services	196.9	205.7
Acquired Brain Injury (ABI) Waiver	43.1	48.4
PCA Waiver Services <sup>2</sup>	31.6	37.3
Katie Beckett Transitions <sup>3</sup>	-	-
MFP - Year 1 <sup>4</sup>	4.4	5.0
Hospice Services	2.1	2.2
Medicare Part B Premiums	197.4	209.3
PCA Waiver - Admin	1.3	1.3
ABI Waiver - Admin	0.9	0.9
BHP - ASO <sup>5</sup>	13.5	13.5
Medical - ASO	69.3	73.6
Dental - ASO	6.7	6.7
Other Medicaid Expenses	0.9	0.9
Non-Claim Specific Adjustments	(14.9)	(14.9)
Other Adjustments	(65.0)	(104.0)
<b>Subtotal: Non-LTC</b>	<b>3,915.0</b>	<b>4,143.3</b>

### Medicaid Account Breakout (in millions)

<b>Long-Term Care (LTC)</b>		
NF-CCH <sup>6</sup>	1,193.5	1,158.6
NF-RHNS <sup>7</sup>	9.4	9.4
ICF/MR <sup>8</sup>	69.5	69.9
Chronic Disease Hospitals	73.2	73.9
Hospice Services	37.3	38.3
<b>Subtotal: LTC</b>	<b>1,382.8</b>	<b>1,350.0</b>
<b>Subtotal: Expenditures</b>	<b>5,297.8</b>	<b>5,493.3</b>
<b>Medicaid Offsets</b>		
School Based Child Health	(5.0)	(5.4)
Medicare Premiums Offsets	(198.3)	(210.3)
Smoking Cessation - THTF <sup>9</sup>	(3.4)	(3.4)
<b>Total Expenditures</b>	<b>5,091.1</b>	<b>5,274.1</b>
<b>Deappropriations</b>		
Eliminate LIA <sup>10</sup>	(359.3)	(915.4)
Net Fund Remaining Account	(2,322.5)	(2,069.1)
<b>FINAL APPROPRIATION</b>	<b>2,409.3</b>	<b>2,289.6</b>

<sup>1</sup>CHC = Community Health Center

<sup>2</sup>PCA = Personal Care Assistant

<sup>3</sup>Katie Beckett Waiver = \$31,539 in FY 14 and \$31,718 in FY 15

<sup>4</sup>MFP = Money Follows the Person

<sup>5</sup>BHP = Behavioral Health Partnership; ASO = Administrative Services Organization

<sup>6</sup>NF = Nursing Facility; CCH = Chronic Care Home

<sup>7</sup>RHNS = Rest Home with Nursing Supervision

<sup>8</sup>ICF/MR = Intermediate Care Facilities with Individuals with Mental Retardation

<sup>9</sup>THTF = Tobacco and Health Trust Fund

<sup>10</sup>LIA = Low-Income Adults

### Other Significant Legislation

#### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$978,498, a General Other Expenses Lapse of \$994,459, and a Statewide Hiring Reduction Lapse of \$334,768. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	113,746,588	(334,768)	113,411,820	0.29%
Other Expenses	121,398,741	(1,972,957)	119,425,784	1.63%

# State Department on Aging

## SDA62500

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	0	2	28	28	28	28
Permanent Full-Time - OF	0	0	2	2	2	2

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	0	72,500	2,243,831	2,344,734	2,216,331	2,343,834
Other Expenses	0	27,400	195,577	195,577	195,577	195,577
Equipment	0	100	1	1	1	1
<b>Other Than Payments to Local Governments</b>						
Programs for Senior Citizens	0	0	6,370,065	6,370,065	6,370,065	6,370,065
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>100,494</b>	<b>13,675</b>	<b>100,494</b>	<b>13,675</b>
<b>Agency Total - General Fund</b>	<b>0</b>	<b>100,000</b>	<b>8,909,968</b>	<b>8,924,052</b>	<b>8,882,468</b>	<b>8,923,152</b>
<b>Other Current Expenses</b>						
Fall Prevention	0	0	475,000	475,000	475,000	475,000
<b>Agency Total - Insurance Fund</b>	<b>0</b>	<b>0</b>	<b>475,000</b>	<b>475,000</b>	<b>475,000</b>	<b>475,000</b>
<b>Total - Appropriated Funds</b>		<b>100,000</b>	<b>9,384,968</b>	<b>9,399,052</b>	<b>9,357,468</b>	<b>9,398,152</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	5,968	0
Federal & Other Restricted Act	0	0	19,130,161	19,130,161	19,130,161	19,130,161
Private Contributions	0	0	9,000	9,000	9,000	9,000
<b>Agency Grand Total</b>	<b>0</b>	<b>100,000</b>	<b>28,524,129</b>	<b>28,538,213</b>	<b>28,502,597</b>	<b>28,537,313</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	148,773	0	162,248	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>148,773</b>	<b>0</b>	<b>162,248</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$148,773 in FY 14 and \$162,248 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments

#### Legislative

Same as Governor

#### Annualize Previous Year Partial Funding

Other Expenses	0	28,003	0	28,623	0	0	0	0
Equipment	0	(99)	0	(99)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>27,904</b>	<b>0</b>	<b>28,524</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period. Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year

**Governor**

Provide funding of \$27,904 in FY 14 and \$28,524 in FY 15 to reflect full year funding for administration of the new State Department on Aging.

**Legislative**

Same as Governor

**Policy Revisions****Transfer Funds from the Department of Social Services**

Personal Services	26	2,034,798	26	2,135,701	0	0	0	0
Other Expenses	0	142,590	0	144,849	0	0	0	0
Programs for Senior Citizens	0	6,736,990	0	6,736,990	0	0	0	0
<b>Total - General Fund</b>	<b>26</b>	<b>8,914,378</b>	<b>26</b>	<b>9,017,540</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Fall Prevention	0	475,000	0	475,000	0	0	0	0
<b>Total - Insurance Fund</b>	<b>0</b>	<b>475,000</b>	<b>0</b>	<b>475,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The State Department on Aging became operational in January, 2013.

**Governor**

Transfer General Fund dollars of \$8,914,378 in FY 14 and \$ 9,017,540 in FY 15 from the Department of Social Services (DSS) to continue support of 26 full-time positions and 1 part-time position; operating expenses related to the State Unit on Aging and the Office of the Long Term Care Ombudsman; the CHOICES program; grants formerly funded via DSS's Services to the Elderly account; and grants supporting services to persons in congregate housing for the elderly.

Additionally, \$475,000 in Insurance Fund dollars are transferred each year to support the Fall Prevention Program.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(12,240)	0	(25,715)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(12,240)</b>	<b>0</b>	<b>(25,715)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$12,240 in FY 14 and \$25,715 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(2,416)	0	(5,295)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,416)</b>	<b>0</b>	<b>(5,295)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce Other Expenses by \$2,416 in FY 14 and \$5,295 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Rollout of FY 13 Rescissions**

Programs for Senior Citizens	0	(321,130)	0	(321,130)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(321,130)</b>	<b>0</b>	<b>(321,130)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding by \$321,130 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Eliminate Funds for Geriatric Assessment**

Programs for Senior Citizens	0	(45,795)	0	(45,795)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(45,795)</b>	<b>0</b>	<b>(45,795)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Eliminate Geriatric Assessment funding of \$45,795 in both FY 14 and FY 15 to achieve savings.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	100,494	0	13,675	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>100,494</b>	<b>0</b>	<b>13,675</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$100,494 in FY 14 and \$13,675 in FY 15 to reflect changes to GAAP accruals as a result of a policy change

**Legislative**

Same as Governor

**Expand Duties of the State Long Term Care Ombudsman**

Personal Services	0	0	0	26,600	0	0	0	26,600
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>26,600</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>26,600</b>

**Legislative**

Provide one part time position and \$26,600 to reflect expanding the duties of the state Long Term Care Ombudsman. This will allow the Ombudsman to oversee home and community based services in Hartford county. Section 107 of PA 13-234, the health and human services implementer, established the pilot expanding the Ombudsman's duties.

**Reduce Personal Services Requirements**

Personal Services	0	(27,500)	0	(27,500)	0	(27,500)	0	(27,500)
<b>Total - General Fund</b>	<b>0</b>	<b>(27,500)</b>	<b>0</b>	<b>(27,500)</b>	<b>0</b>	<b>(27,500)</b>	<b>0</b>	<b>(27,500)</b>

**Legislative**

Reduce funding by \$27,500 in FY 14 and FY 15 to reflect reduced compensation requirements for the State Department of Aging.

**Carry Forward****Carry Forward Finding into Other Expenses**

Other Expenses	0	5,968	0	0	0	5,968	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>5,968</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,968</b>	<b>0</b>	<b>0</b>

**Legislative**

Pursuant to CGS Sec. 4-89(c), \$5,968 is carried forward into FY 14 in the Other Expenses account.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	2	100,000	2	100,000	0	0	0	0
Current Services	0	176,677	0	190,772	0	0	0	0
Policy Revisions	26	8,605,791	26	8,632,380	0	(27,500)	0	(900)
<b>Total Recommended - GF</b>	<b>28</b>	<b>8,882,468</b>	<b>28</b>	<b>8,923,152</b>	<b>0</b>	<b>(27,500)</b>	<b>0</b>	<b>(900)</b>
Governor Estimated - IF	0	0	0	0	0	0	0	0
Policy Revisions	0	475,000	0	475,000	0	0	0	0
<b>Total Recommended - IF</b>	<b>0</b>	<b>475,000</b>	<b>0</b>	<b>475,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Other Significant Legislation****PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$10,060, a General Other Expenses Lapse of \$1,602, and a Statewide Hiring Reduction Lapse of \$6,523. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	2,216,331	(15,771)	2,200,560	0.71%
Other Expenses	195,577	(2,414)	193,163	1.23%

# Soldiers, Sailors and Marines' Fund

SSM63000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - SF	9	9	0	0	9	0

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	599,790	592,380	0	0	614,160	0
Other Expenses	44,430	42,397	0	0	42,397	0
<b>Other Current Expenses</b>						
Award Payments To Veterans	1,990,515	1,979,800	0	0	1,979,800	0
Fringe Benefits	400,206	424,835	0	0	457,753	0
GAAP Adjustments	0	0	0	0	5,509	0
<b>Agency Total - Soldiers, Sailors and Marines' Fund</b>	<b>3,034,941</b>	<b>3,039,412</b>	<b>0</b>	<b>0</b>	<b>3,099,619</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	21,780	0	53,683	0	0	0	0
Fringe Benefits	0	5,774	0	28,293	0	0	0	0
<b>Total - Soldiers, Sailors and Marines' Fund</b>	<b>0</b>	<b>27,554</b>	<b>0</b>	<b>81,976</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$27,554 in FY 14 and \$81,976 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	920	0	2,176	0	0	0	0
<b>Total - Soldiers, Sailors and Marines' Fund</b>	<b>0</b>	<b>920</b>	<b>0</b>	<b>2,176</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for the Other Expenses account by \$920 in FY 14 and an additional \$1,256 in FY 15 (for a cumulative total of \$2,176 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	5,509	0	7,197	0	0	0	0
<b>Total - Soldiers, Sailors and Marines' Fund</b>	<b>0</b>	<b>5,509</b>	<b>0</b>	<b>7,197</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$5,509 in FY 14 and \$7,197 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

### Policy Revisions

### Transfer Management of SSMF to the American Legion

Personal Services	0	0	0	(646,063)	9	614,160	0	0
Other Expenses	0	0	0	(42,397)	0	42,397	0	0
Award Payments To Veterans	0	0	0	(1,979,800)	0	1,979,800	0	0
Fringe Benefits	0	0	0	(453,128)	0	430,609	0	0
Nonfunctional - Change to Accruals	0	0	0	(7,197)	0	5,509	0	0
<b>Total - Soldiers, Sailors and Marines' Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(3,128,585)</b>	<b>9</b>	<b>3,072,475</b>	<b>0</b>	<b>0</b>

#### Background

The Soldiers', Sailors' and Marines' Fund was established in 1919 to provide veterans and their families temporary assistance for rental or mortgage interest payments, utility and medical bills, prescription costs and funeral expenses. Since 1937 the investment and custody of the fund, currently valued at \$63.8 million, has been the responsibility of the State of Connecticut. Additionally, the state appropriates an operating budget of approximately \$3.0 million annually for the operating expenses including funding for salaries, benefits and awards payments to veterans.

#### Governor

Transfer the fund management, investment responsibility and associated operational costs of the Soldiers', Sailors' and Marines' Fund to the American Legion.

#### Legislative

Transfer the fund management and associated operational costs of the Soldiers', Sailors' and Marines' Fund to the American Legion in FY 15. Sections 121-122, of PA 13-247, the general government implementer, provides for this transfer.

### Eliminate Inflationary Increases

Other Expenses	0	(920)	0	(2,176)	0	0	0	0
<b>Total - Soldiers, Sailors and Marines' Fund</b>	<b>0</b>	<b>(920)</b>	<b>0</b>	<b>(2,176)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce the Other Expenses account by \$920 in FY 14 and \$2,176 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Update Fringe Benefits Calculation**

Fringe Benefits	0	27,144	0	0	0	27,144	0	0
<b>Total - Soldiers, Sailors and Marines' Fund</b>	<b>0</b>	<b>27,144</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>27,144</b>	<b>0</b>	<b>0</b>

**Legislative**

Provide funding of \$27,144 in FY 14 and \$28,403 FY 15 to reflect fringe benefit requirements.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - SF	9	3,039,412	9	3,039,412	0	0	0	0
Current Services	0	33,983	0	91,349	0	0	0	0
Policy Revisions	0	26,224	0	(3,130,761)	9	3,099,619	0	0
<b>Total Recommended - SF</b>	<b>9</b>	<b>3,099,619</b>	<b>9</b>	<b>0</b>	<b>9</b>	<b>3,099,619</b>	<b>0</b>	<b>0</b>

# State Dept of Rehabilitation Services

## SDR63500

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	101	109	112	112	112	112
Permanent Full-Time - OF	0	342	347	347	347	347
Permanent Full-Time - TF	2	3	0	0	0	0
Permanent Full-Time - WF	6	6	6	6	6	6

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	0	4,749,662	5,950,718	6,277,563	5,950,718	6,277,563
Other Expenses	0	992,846	1,632,775	1,629,580	1,632,775	1,629,580
Equipment	0	2	1	1	1	1
<b>Other Current Expenses</b>						
Part-Time Interpreters	0	191,633	196,200	201,522	196,200	201,522
Educational Aid for Blind and Visually Handicapped Children	0	4,821,904	3,603,169	3,795,388	3,603,169	3,795,388
Enhanced Employment Opportunities	0	676,381	1,411,294	1,411,294	653,416	653,416
<b>Other Than Payments to Local Governments</b>						
Vocational Rehabilitation - Disabled	0	7,423,780	0	0	7,460,892	7,460,892
Supplementary Relief and Services	0	104,448	99,749	99,749	99,749	99,749
Vocational Rehabilitation - Blind	0	894,928	8,360,294	8,360,294	899,402	899,402
Special Training for the Deaf Blind	0	300,085	286,581	286,581	286,581	286,581
Connecticut Radio Information Service	0	87,640	83,258	83,258	83,258	83,258
Employment Opportunities	0	1,058,119	0	0	757,878	757,878
Independent Living Centers	0	551,804	0	0	528,680	528,680
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>39,821</b>	<b>0</b>	<b>39,821</b>
<b>Agency Total - General Fund</b>	<b>0</b>	<b>21,853,232</b>	<b>21,624,039</b>	<b>22,185,051</b>	<b>22,152,719</b>	<b>22,713,731</b>
Personal Services	0	195,074	0	0	0	0
Other Expenses	0	14,436	0	0	0	0
<b>Agency Total - Special Transportation Fund</b>	<b>0</b>	<b>209,510</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Personal Services	0	487,578	0	0	484,591	506,819
Other Expenses	0	24,500	0	0	24,500	24,500
<b>Other Current Expenses</b>						
Rehabilitative Services	0	1,261,913	2,110,315	2,148,107	1,261,913	1,261,913
Fringe Benefits	0	336,429	0	0	339,311	354,875
<b>Agency Total - Workers' Compensation Fund</b>	<b>0</b>	<b>2,110,420</b>	<b>2,110,315</b>	<b>2,148,107</b>	<b>2,110,315</b>	<b>2,148,107</b>
<b>Total - Appropriated Funds</b>		<b>24,173,162</b>	<b>23,734,354</b>	<b>24,333,158</b>	<b>24,263,034</b>	<b>24,861,838</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	1,161,415	0
Carry Forward Workers' Compensation Fund	0	0	0	0	353,092	0
Federal & Other Restricted Act	57,424,166	54,377,619	53,991,476	54,050,394	53,991,476	54,050,394
<b>Agency Grand Total</b>	<b>57,424,166</b>	<b>78,550,781</b>	<b>77,725,830</b>	<b>78,383,552</b>	<b>79,769,017</b>	<b>78,912,232</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Current Services****Adjust Funding to Reflect Wage & Compensation Related Costs**

Personal Services	0	1,004,671	0	1,331,674	0	0	0	0
Part-Time Interpreters	0	4,567	0	9,889	0	0	0	0
Educational Aid for Blind and Visually Handicapped Children	0	81,265	0	273,484	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,090,503</b>	<b>0</b>	<b>1,615,047</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Personal Services	0	9,152	0	17,625	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>9,152</b>	<b>0</b>	<b>17,625</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Personal Services	0	(2,987)	0	19,241	0	0	0	0
Fringe Benefits	0	2,882	0	18,446	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>(105)</b>	<b>0</b>	<b>37,687</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Adjust funding to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments as follows:

- General Fund: FY 14 \$1,090,503; FY 15 \$1,615,047
- Special Transportation Fund: FY 14 \$9,152; FY 15 \$17,625
- Workers' Compensation Fund: FY 14 (\$105); FY 15 \$37,687

**Legislative**

Same as Governor

**Adjust Operating Expenses to Reflect Current Requirement**

Other Expenses	0	10,317	0	10,317	0	0	0	0
Equipment	0	(1)	0	(1)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>10,316</b>	<b>0</b>	<b>10,316</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	15,176	0	11,981	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>15,176</b>	<b>0</b>	<b>11,981</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$10,316 in both FY 14 and FY 15 in the General Fund and \$15,176 in FY 14 and \$11,981 in FY 15 in the Special Transportation Fund in various accounts to reflect anticipated expenditure requirements. These costs include lease annualization and IT support.

**Legislative**

Same as Governor

**Annualize Private Provider COLA**

Enhanced Employment Opportunities	0	2,385	0	2,385	0	0	0	0
Vocational Rehabilitation - Disabled	0	37,112	0	37,112	0	0	0	0
Supplementary Relief and Services	0	523	0	523	0	0	0	0
Vocational Rehabilitation - Blind	0	4,474	0	4,474	0	0	0	0
Special Training for the Deaf Blind	0	1,500	0	1,500	0	0	0	0
Employment Opportunities	0	5,290	0	5,290	0	0	0	0
Independent Living Centers	0	4,466	0	4,466	0	0	0	0



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Employment Opportunities	0	5,290	0	5,290	0	0	0	0
Independent Living Centers	0	4,466	0	4,466	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>55,750</b>	<b>0</b>	<b>55,750</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The FY 13 Revised Budget included \$8.5 million to reflect a 1% cost of living increase (COLA) for private providers effective 1/1/13. The funding was provided to the following departments that have contracts with private providers: Children and Families, Correction, Developmental Services, Judicial, Mental Health and Addiction Services, Public Health, Social Services and Rehabilitation Services.

**Governor**

Provide funding of \$55,750 in both FY 14 and FY 15 to annualize the 1% private provider COLA.

**Legislative**

Same as Governor

**Apply Inflationary Increases**

Other Expenses	0	14,098	0	45,273	0	0	0	0
Part-Time Interpreters	0	1,624	0	3,295	0	0	0	0
Educational Aid for Blind and Visually Handicapped Children	0	51,103	0	119,554	0	0	0	0
Enhanced Employment Opportunities	0	4,270	0	7,159	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>71,095</b>	<b>0</b>	<b>175,281</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	513	0	757	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>513</b>	<b>0</b>	<b>757</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	531	0	1,256	0	0	0	0
Rehabilitative Services	0	27,383	0	64,772	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>27,914</b>	<b>0</b>	<b>66,028</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

**Governor**

Increase funding for various accounts to reflect inflationary increases.

**Legislative**

Same as Governor

**Transfer Other Expense Funding from DSS to DORS**

Other Expenses	0	600,000	0	600,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>600,000</b>	<b>0</b>	<b>600,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$600,000 from the DSS in both FY 14 and FY 15 to support positions formerly in DSS.

**Legislative**

Same as Governor

**Provide Funding for GAAP**

Nonfunctional - Change to Accruals	0	53,319	0	37,488	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>53,319</b>	<b>0</b>	<b>37,488</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	1,423	0	1,468	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Total - Special Transportation Fund</b>	0	1,423	0	1,468	0	0	0	0
Nonfunctional - Change to Accruals	0	3,537	0	5,630	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	0	3,537	0	5,630	0	0	0	0

**Background**

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding to reflect the implementation of GAAP in the budget as follows:

- General Fund: FY 14 \$53,319; FY 15 \$37,488
- Special Transportation Fund: FY 14 \$1,423; FY 15 \$1,468
- Workers' Compensation Fund: FY14 \$3,537; FY 15 \$5,630

**Legislative**

Same as Governor

**Policy Revisions****Adjust State Funding for Independent Living Centers**

Independent Living Centers	0	0	0	0	0	528,680	0	528,680
<b>Total - General Fund</b>	0	0	0	0	0	528,680	0	528,680

**Background**

The Independent Living Centers provide comprehensive independent living services including peer counseling, skills training and case management. Connecticut has five community-based independent living centers located in Naugatuck, West Haven, Stratford, Hartford and Norwich. The state funding for Independent Living Centers represents approximately 32% of the basic operational funding for each center with federal funding providing the balance.

**Governor**

Eliminate funding of \$528,680 in both FY 14 and FY 15 for Independent Living Centers to reflect the elimination of state funding for each of the five centers.

**Legislative**

Maintain funding of \$528,680 in both FY 14 and FY 15 for the state's five Independent Living Centers.

**Incentivize use of Agency Teachers for Visually Impaired**

Educational Aid for Blind and Visually Handicapped Children	0	(1,058,905)	0	(1,058,905)	0	0	0	0
<b>Total - General Fund</b>	0	(1,058,905)	0	(1,058,905)	0	0	0	0

**Background**

Currently, school districts can either obtain teachers for visually-impaired students at no cost through the department, or can hire their own teachers and seek partial reimbursement for the salary of their teachers from the department. Section 70 of PA 13-234, the health and human services implementer eliminates this reimbursement.

**Governor**

Reduce funding by \$1,058,905 in both FY 14 and FY 15 to reflect the elimination of the partial reimbursement to towns for the salary of teachers for the visually impaired which is anticipated to result in the increased use of agency teachers.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Reduce Funding for Employment Opportunities Program

Employment Opportunities	0	(252,626)	0	(252,626)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(252,626)</b>	<b>0</b>	<b>(252,626)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Employment Opportunities Program (EOP) enables individuals with the most significant disabilities to engage in competitive employment by assisting those who, after completing intensive vocational rehabilitation services, need long-term supports in order to maintain competitive employment.

#### Governor

Reduce funding in the employment opportunities account by \$252,626 in both FY 14 and FY 15.

#### Legislative

Same as Governor

### Transfer the Driver's Training Program to General Fund

Personal Services	3	204,226	3	212,699	0	0	0	0
Other Expenses	0	29,612	0	26,417	0	0	0	0
Nonfunctional - Change to Accruals	0	1,423	0	1,468	0	0	0	0
<b>Total - General Fund</b>	<b>3</b>	<b>235,261</b>	<b>3</b>	<b>240,584</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Personal Services	(3)	(204,226)	(3)	(212,699)	0	0	0	0
Other Expenses	0	(29,612)	0	(26,417)	0	0	0	0
Nonfunctional - Change to Accruals	0	(1,423)	0	(1,468)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>(3)</b>	<b>(235,261)</b>	<b>(3)</b>	<b>(240,584)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Driver Training Program was transferred from the Department of Motor Vehicles to the Department of Rehabilitation Services when it was established in FY 12 and continued to be funded through the Special Transportation Fund.

#### Governor

Transfer funding of \$235,261 in FY 14 and \$240,584 in FY 15 and three associated positions from the Special Transportation Fund to the General Fund for the drivers training program.

#### Legislative

Same as Governor

### Transfer Funding to Streamline Budget Account Structure

Enhanced Employment Opportunities	0	0	0	0	0	(757,878)	0	(757,878)
Vocational Rehabilitation - Disabled	0	0	0	0	0	7,460,892	0	7,460,892
Vocational Rehabilitation - Blind	0	0	0	0	0	(7,460,892)	0	(7,460,892)
Employment Opportunities	0	0	0	0	0	757,878	0	757,878
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Personal Services	0	0	0	0	0	484,591	0	506,819
Other Expenses	0	0	0	0	0	24,500	0	24,500
Rehabilitative Services	0	0	0	0	0	(848,402)	0	(886,194)
Fringe Benefits	0	0	0	0	0	339,311	0	354,875
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

**Governor**

Transfer funding of \$8,218,770 in both FY 14 and FY 15 from the Employment Opportunities and Vocational Rehabilitation

- Disabled accounts to the Enhanced Employment and Vocational Rehabilitation
- Blind accounts to reflect the streamlining of agency budgetary accounts.

**Legislative**

Maintain agency account structure.

**Rollout of FY 13 Rescissions**

Educational Aid for Blind and Visually Handicapped Children	0	(241,095)	0	(241,095)	0	0	0	0
Enhanced Employment Opportunities	0	(25,350)	0	(25,350)	0	0	0	0
Supplementary Relief and Services	0	(5,222)	0	(5,222)	0	0	0	0
Special Training for the Deaf Blind	0	(15,004)	0	(15,004)	0	0	0	0
Connecticut Radio Information Service	0	(4,382)	0	(4,382)	0	0	0	0
Employment Opportunities	0	(52,905)	0	(52,905)	0	0	0	0
Independent Living Centers	0	(27,590)	0	(27,590)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(371,548)</b>	<b>0</b>	<b>(371,548)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$371,548 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(7,841)	0	(16,472)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(7,841)</b>	<b>0</b>	<b>(16,472)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$7,841 in FY 14 and \$16,472 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(14,098)	0	(45,273)	0	0	0	0
Part-Time Interpreters	0	(1,624)	0	(3,295)	0	0	0	0
Educational Aid for Blind and Visually Handicapped Children	0	(51,103)	0	(119,554)	0	0	0	0
Enhanced Employment Opportunities	0	(4,270)	0	(7,159)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(71,095)</b>	<b>0</b>	<b>(175,281)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	(513)	0	(757)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(513)</b>	<b>0</b>	<b>(757)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Other Expenses	0	(531)	0	(1,256)	0	0	0	0
Rehabilitative Services	0	(27,383)	0	(64,772)	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>(27,914)</b>	<b>0</b>	<b>(66,028)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce various accounts to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(54,742)	0	865	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(54,742)</b>	<b>0</b>	<b>865</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	(3,537)	0	(5,630)	0	0	0	0
<b>Total - Workers' Compensation Fund</b>	<b>0</b>	<b>(3,537)</b>	<b>0</b>	<b>(5,630)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$54,742 in FY 14 and increase funding by \$865 in FY 15 in the General Fund and reduce funding by \$3,537 in FY 14 and \$5,630 in FY 15 in the Special Transportation Fund to reflect changes to GAAP accruals as a result of policy changes.

**Legislative**

Same as Governor

**Carry Forward****Statutory Carry Forward - General Fund**

Part-Time Interpreters	0	928,216	0	0	0	928,216	0	0
Special Training for the Deaf Blind	0	44,017	0	0	0	44,017	0	0
Employment Opportunities	0	189,182	0	0	0	189,182	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>1,161,415</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,161,415</b>	<b>0</b>	<b>0</b>

**Background**

Section 4-89(g) CGS allows the unexpended balance for interpreting services be continued into the next fiscal year. Section 4-89(c) CGS allows for the unexpended balances of special appropriations for special programs whose work has not been completed to be continued during the following fiscal year as ordered by the Secretary of the Office of Policy and Management.

**Legislative**

Pursuant to CGS 4-89(g), the unexpended balance in the Part-Time Interpreters account, estimated to be \$928,216, is carried forward from FY 13 to FY 14 to support interpreting services. Pursuant to CGS 4-89(c), the unexpended balance of \$44,017 in the Special Training for Deaf Blind account is carried forward from FY 13 to FY 14 to provide continuation of deaf-blind community inclusion grant services due to program delays in FY 13. Pursuant to CGS 4-89(c), the unexpended balance of \$189,182 in the Employment Opportunities account is carried forward from FY 13 to FY 14 to provide continuation of vocational rehabilitation services for individuals with disabilities that were encumbered but not liquidated in FY 13.

**Statutory Carry Forward - Workers Compensation Fund**

Rehabilitative Services	0	353,092	0	0	0	353,092	0	0
<b>Total - Carry Forward WF</b>	<b>0</b>	<b>353,092</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>353,092</b>	<b>0</b>	<b>0</b>

**Background**

Section 4-89(c) CGS allows for the unexpended balances of special appropriations for special programs whose work has not been completed to be continued during the following fiscal year as ordered by the Secretary of the Office of Policy and Management.

**Legislative**

Pursuant to CGS 4-89(c), the unexpended balance of \$353,092 in the Rehabilitative Services account is carried forward from FY 13 to FY 14 to allow the agency to make payments affected by processing and programmatic delays resulting from the transition of the program from the Workers' Compensation Commission.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Governor Estimated - GF</b>	<b>109</b>	<b>21,853,232</b>	<b>109</b>	<b>21,853,232</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	1,880,983	0	2,493,882	0	0	0	0
Policy Revisions	3	(1,581,496)	3	(1,633,383)	0	528,680	0	528,680
<b>Total Recommended - GF</b>	<b>112</b>	<b>22,152,719</b>	<b>112</b>	<b>22,713,731</b>	<b>0</b>	<b>528,680</b>	<b>0</b>	<b>528,680</b>
<b>Governor Estimated - TF</b>	<b>3</b>	<b>209,510</b>	<b>3</b>	<b>209,510</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	26,264	0	31,831	0	0	0	0
Policy Revisions	(3)	(235,774)	(3)	(241,341)	0	0	0	0
<b>Total Recommended - TF</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Governor Estimated - WF</b>	<b>6</b>	<b>2,110,420</b>	<b>6</b>	<b>2,110,420</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	31,346	0	109,345	0	0	0	0
Policy Revisions	0	(31,451)	0	(71,658)	0	0	0	0
<b>Total Recommended - WF</b>	<b>6</b>	<b>2,110,315</b>	<b>6</b>	<b>2,148,107</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Other Significant Legislation****PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$47,507, a General Other Expenses Lapse of \$13,375, and a Statewide Hiring Reduction Lapse of \$17,514. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	5,950,718	(65,021)	5,885,697	1.09%
Other Expenses	1,632,775	(13,375)	1,619,400	0.82%

# Department of Education

## SDE64000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	1,706	1,680	1,688	1,717	1,685	1,714
Permanent Full-Time - OF	140	126	122	121	122	121

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	17,262,169	19,950,048	17,726,383	18,622,632	17,618,304	18,507,312
Other Expenses	3,691,215	3,726,563	5,575,149	5,575,149	3,458,980	3,458,980
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Basic Skills Exam Teachers in Training	680,000	1,270,775	0	0	1,226,867	1,255,655
Teachers' Standards Implementation Program	3,141,508	3,096,508	0	0	2,941,683	2,941,683
Early Childhood Program	5,153,146	6,022,489	0	0	0	0
Admin - Magnet Schools	228,857	0	0	0	0	0
Admin - Adult Education	923,480	0	0	0	0	0
Development of Mastery Exams Grades 4, 6, and 8	19,220,938	19,050,559	0	0	20,147,588	18,971,294
Admin-Interdistrict Cooperation	110,813	0	0	0	0	0
Primary Mental Health	481,630	507,294	427,209	427,209	427,209	427,209
Admin - Youth Service Bureaus	58,945	0	0	0	0	0
Leadership, Education, Athletics in Partnership (LEAP)	726,750	765,000	0	0	726,750	726,750
Adult Education Action	143,867	240,687	240,687	240,687	240,687	240,687
Connecticut Pre-Engineering Program	262,500	262,500	0	0	262,500	262,500
Connecticut Writing Project	47,500	0	0	0	50,000	50,000
Resource Equity Assessments	171,731	299,683	0	0	168,064	168,064
Neighborhood Youth Centers	1,371,386	1,338,300	0	0	1,271,386	1,271,386
Longitudinal Data Systems	1,467,022	1,500,000	0	0	1,263,197	1,263,197
School Accountability	2,076,832	2,201,405	0	0	1,856,588	1,860,598
Sheff Settlement	8,030,955	14,293,799	9,259,263	9,409,526	13,259,263	9,409,526
Admin - After School Programs	179,986	0	0	0	0	0
CommPACT Schools	676,875	0	0	0	0	0
Community Plans for Early Childhood	450,000	450,000	0	0	0	0
Improving Early Literacy	150,000	150,000	0	0	0	0
Parent Trust Fund Program	500,000	500,000	0	0	500,000	500,000
Regional Vocational-Technical School System	140,804,239	134,841,977	146,551,879	155,632,696	146,551,879	155,632,696
Child Care Services	18,313,103	18,419,752	0	0	0	0
Science Program for Educational Reform Districts	0	455,000	0	0	455,000	455,000
Wrap Around Services	0	450,000	0	0	450,000	450,000
Parent Universities	0	250,000	0	0	487,500	487,500
School Health Coordinator Pilot	0	200,000	0	0	190,000	190,000

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Commissioner's Network	0	7,500,000	0	0	10,000,000	17,500,000
Technical Assistance for Regional Cooperation	0	100,000	0	0	95,000	95,000
New or Replicated Schools	0	200,000	0	0	300,000	900,000
Bridges to Success	0	712,500	0	0	601,652	601,652
K-3 Reading Assessment Pilot	0	2,700,000	0	0	2,699,941	2,699,941
Talent Development	0	3,500,000	0	0	10,025,000	10,025,000
School Improvement	0	0	59,440,949	68,397,453	0	0
Common Core	0	0	0	0	8,300,000	6,300,000
Alternative High School and Adult Reading Incentive Program	0	0	0	0	1,200,000	1,200,000
Special Master	0	0	0	0	2,116,169	2,116,169
<b>Other Than Payments to Local Governments</b>						
American School For The Deaf	9,768,242	10,264,242	10,659,030	11,152,030	10,659,030	10,659,030
Regional Education Services	1,362,883	1,384,613	1,166,026	1,166,026	1,166,026	1,166,026
Head Start Services	2,748,150	2,748,150	0	0	0	0
Head Start Enhancement	1,773,000	1,773,000	0	0	0	0
Family Resource Centers	5,739,414	7,981,488	7,582,414	7,582,414	7,582,414	7,582,414
Charter Schools	56,926,400	0	0	0	0	0
Youth Service Bureau Enhancement	620,300	620,300	620,300	620,300	620,300	620,300
Head Start - Early Childhood Link	2,090,000	2,090,000	0	0	0	0
Institutional Student Aid	743,018	0	0	0	0	0
Child Nutrition State Match	2,354,815	2,354,000	2,354,000	2,354,000	2,354,000	2,354,000
Health Foods Initiative	3,710,313	3,613,997	0	0	4,661,604	4,806,300
EvenStart	475,000	500,000	0	0	0	0
<b>Grant Payments to Local Governments</b>						
Vocational Agriculture	5,060,565	6,485,565	6,485,565	6,485,565	9,485,565	9,485,565
Transportation of School Children	25,784,748	24,884,748	5,000,000	5,000,000	24,884,748	24,884,748
Adult Education	20,002,008	21,025,690	21,033,915	21,045,036	21,033,915	21,045,036
Health and Welfare Services Pupils Private Schools	4,297,500	4,297,500	4,297,500	4,297,500	4,297,500	4,297,500
Education Equalization Grants	1,889,228,795	2,007,594,057	2,140,230,922	2,206,532,648	2,066,589,276	2,122,891,002
Bilingual Education	1,890,476	1,916,130	1,916,130	1,916,130	1,916,130	1,916,130
Priority School Districts	115,787,015	121,875,581	46,057,206	45,577,022	47,427,206	46,947,022
Young Parents Program	192,348	229,330	229,330	229,330	229,330	229,330
Interdistrict Cooperation	10,800,765	10,131,935	4,346,369	4,350,379	9,146,369	9,150,379
School Breakfast Program	2,106,778	2,220,303	2,300,041	2,379,962	2,300,041	2,379,962
Excess Cost - Student Based	139,828,738	139,805,731	139,805,731	139,805,731	139,805,731	139,805,731
Non-Public School Transportation	3,595,500	3,595,500	3,595,500	3,595,500	3,595,500	3,595,500
School To Work Opportunities	200,000	213,750	213,750	213,750	213,750	213,750
Youth Service Bureaus	2,888,323	2,989,268	2,989,268	2,989,268	2,989,268	2,989,268
Open Choice Program	21,204,566	22,090,956	35,018,594	40,616,736	37,018,594	42,616,736
Magnet Schools	206,742,076	242,361,711	270,449,020	286,250,025	265,449,020	281,250,025
After School Program	4,095,000	4,500,000	0	0	4,500,000	4,500,000
School Readiness Quality Enhancement	1,043,172	4,100,678	0	0	0	0
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>767,244</b>	<b>1,055,616</b>	<b>767,244</b>	<b>1,055,616</b>
<b>Agency Total - General Fund</b>	<b>2,769,385,355</b>	<b>2,898,603,063</b>	<b>2,946,339,375</b>	<b>3,053,520,321</b>	<b>2,917,583,769</b>	<b>3,006,409,170</b>



Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Additional Funds Available						
Carry Forward Funding	0	0	0	0	11,998,622	85,000
Federal & Other Restricted Act	555,771,957	460,676,515	444,442,745	439,499,143	444,442,745	439,499,143
Private Contributions	3,383,554	6,773,681	3,273,681	3,273,681	3,273,681	3,273,681
Agency Grand Total	3,328,540,866	3,366,053,259	3,394,055,801	3,496,293,145	3,377,298,817	3,449,266,994

Account	Committee				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	(604,589)	0	327,199	0	0	0	0
Basic Skills Exam Teachers in Training	0	19,630	0	48,418	0	0	0	0
Early Childhood Program	0	7,543	0	20,885	0	0	0	0
Development of Mastery Exams Grades 4, 6, and 8	0	54,849	0	133,479	0	0	0	0
Resource Equity Assessments	0	6,830	0	14,349	0	0	0	0
School Accountability	0	2,738	0	6,748	0	0	0	0
Sheff Settlement	0	24,880	0	61,796	0	0	0	0
Regional Vocational- Technical School System	13	11,948,783	42	20,200,288	0	0	0	0
Adult Education	0	8,225	0	19,346	0	0	0	0
Interdistrict Cooperation	0	14,434	0	18,444	0	0	0	0
Magnet Schools	0	5,374	0	13,779	0	0	0	0
<b>Total - General Fund</b>	<b>13</b>	<b>11,488,697</b>	<b>42</b>	<b>20,864,731</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$11.5 million in FY 14 and \$20.9 million in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments. The additional positions within the Regional Vocational Technical School System are due to the re-opening of JM Wright Technical School in Stamford.

#### Legislative

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	25,615	0	141,357	0	0	0	0
Basic Skills Exam Teachers in Training	0	13,919	0	32,786	0	0	0	0
Teachers' Standards Implementation Program	0	60,000	0	60,000	0	0	0	0
Early Childhood Program	0	92	0	188	0	0	0	0
Development of Mastery Exams Grades 4, 6, and 8	0	1,043,570	0	1,790,123	0	0	0	0
Primary Mental Health	0	11,008	0	26,039	0	0	0	0
Leadership, Education, Athletics in Partnership (LEAP)	0	16,601	0	39,267	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Connecticut Pre- Engineering Program	0	5,696	0	13,474	0	0	0	0
Neighborhood Youth Centers	0	29,041	0	68,694	0	0	0	0
Longitudinal Data Systems	0	32,550	0	76,994	0	0	0	0
School Accountability	0	45,251	0	107,003	0	0	0	0
Community Plans for Early Childhood	0	9,765	0	23,098	0	0	0	0
Regional Vocational- Technical School System	0	1,261,963	0	2,223,527	0	0	0	0
Science Program for Educational Reform Districts	0	9,874	0	23,355	0	0	0	0
Technical Assistance for Regional Cooperation	0	2,170	0	5,133	0	0	0	0
New or Replicated Schools	0	4,340	0	10,266	0	0	0	0
Regional Education Services	0	30,046	0	71,071	0	0	0	0
Head Start Services	0	59,635	0	141,061	0	0	0	0
Head Start Enhancement	0	38,474	0	91,007	0	0	0	0
Family Resource Centers	0	173,198	0	409,684	0	0	0	0
Head Start - Early Childhood Link	0	45,353	0	107,278	0	0	0	0
Vocational Agriculture	0	140,737	0	332,900	0	0	0	0
Bilingual Education	0	41,580	0	98,354	0	0	0	0
Priority School Districts	0	140,929	0	329,268	0	0	0	0
Young Parents Program	0	4,976	0	11,771	0	0	0	0
Interdistrict Cooperation	0	217,665	0	217,665	0	0	0	0
School To Work Opportunities	0	4,638	0	10,971	0	0	0	0
Youth Service Bureaus	0	64,867	0	153,438	0	0	0	0
After School Program	0	97,650	0	230,982	0	0	0	0
School Readiness Quality Enhancement	0	88,985	0	210,485	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,720,188</b>	<b>0</b>	<b>7,057,239</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

**Governor**

Increase funding for various accounts by \$3.7 million in FY 14 and an additional \$3.4 million in FY 15 (for a cumulative total of \$7.1 million in the second year) to reflect inflationary increases.

**Legislative**

Same as Governor

**Provide Funding for Opening JM Wright Technical School**

Regional Vocational- Technical School System	0	933,119	0	1,762,431	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>933,119</b>	<b>0</b>	<b>1,762,431</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$933,119 in FY 14 and \$1,762,431 in FY 15 for various facility expenses necessary to re-open JM Wright Technical School in Stamford. This school was closed in 2009.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Same as Governor

**Transfer Between Various Accounts**

Personal Services	0	246,930	0	261,968	0	0	0	0
Resource Equity Assessments	0	(123,465)	0	(130,984)	0	0	0	0
Sheff Settlement	0	(5,640,590)	0	(5,648,109)	0	0	0	0
Magnet Schools	0	5,517,125	0	5,517,125	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Funding of \$5.8 million in both FY 14 and FY 15 is transferred between various accounts to more appropriately reflect spending.

**Legislative**

Same as Governor

**Provide Adjustments for Formula-Based Grants**

Transportation of School Children	0	59,814,311	0	62,092,716	0	0	0	0
Adult Education	0	1,860,591	0	2,501,231	0	0	0	0
Health and Welfare Services Pupils Private Schools	0	2,544,288	0	2,743,484	0	0	0	0
Education Equalization Grants	0	3,509,000	0	7,464,000	0	0	0	0
Priority School Districts	0	(230,185)	0	(710,369)	0	0	0	0
Excess Cost - Student Based	0	37,517,647	0	46,100,098	0	0	0	0
Non-Public School Transportation	0	1,054,137	0	1,129,461	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>106,069,789</b>	<b>0</b>	<b>121,320,621</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Various formulaic grants are established within statute, and these same grants can also be capped within statute. If grants are capped, funding is distributed based on a proration of the anticipated formula. In FY 03, various grants administered by the State Department of Education were capped. For FY 09 the caps were lifted, which resulted in higher grant payments to some municipalities. However from FY 10 through FY 13 the grants were once again capped.

**Governor**

Provide funding of \$106.1 million in FY 14 and \$121.3 million in FY 15 to fund various formula-based grants.

**Legislative**

Same as Governor

**Provide Funding for Caseload, Volume, Utilization Changes**

Sheff Settlement	0	581,174	0	702,040	0	0	0	0
Health Foods Initiative	0	479,821	0	624,517	0	0	0	0
Education Equalization Grants	0	6,453,500	0	11,636,500	0	0	0	0
School Breakfast Program	0	79,738	0	159,659	0	0	0	0
Open Choice Program	0	12,927,638	0	18,525,780	0	0	0	0
Magnet Schools	0	27,114,810	0	42,907,410	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>47,636,681</b>	<b>0</b>	<b>74,555,906</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$47.6 million in FY 14 and \$74.6 million in FY 15 to account for caseload, volume and utilization changes in various programs.

Funding is included for the following:

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

- \$581,174 in FY 14 and \$702,040 in FY 15 for increased transportation costs for increased enrollment at CTHSS and VoAg programs,
- \$479,821 in FY 14 and \$624,517 in FY 15 for increased utilization of the Health Foods Initiative program,
- \$6.5 million in FY 14 and \$11.6 million in FY 15 for increased seats for additional grades in charter schools (500 new seats in FY 14 and 500 new seats in FY 15),
- \$79,738 in FY 14 and \$159,659 in FY 15 for increased volume in the School Breakfast program,
- \$12.9 million in FY 14 and \$18.5 million in FY 15 for 784 new OPEN Choice seats in FY 14 and 525 in FY 15,
- \$27.1 million in FY 14 and \$42.9 million in FY 15 for 10,455 new magnet school seats over the biennium, with 6,200 of the new seats for adding additional grades to established schools, 2,860 for new schools that will open during the biennium and 1,395 for increased enrollment within existing grades, at established schools.

**Legislative**

Same as Governor

**Annualize Previous Year Partial Funding**

Early Childhood Program	0	717,971	0	717,971	0	0	0	0
Talent Development	0	4,000,000	0	4,000,000	0	0	0	0
Priority School Districts	0	179,635	0	(289,115)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>4,897,606</b>	<b>0</b>	<b>4,428,856</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period. Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year.

**Governor**

Provide funding of \$4.9 million in FY 14 and \$4.4 million in FY 15 to reflect full year funding for: (1) the 1,000 new school readiness slots and (2) carry forward funding used in FY 13 for talent development.

**Legislative**

Same as Governor

**Provide Funding for GAAP**

Nonfunctional - Change to Accruals	0	1,081,574	0	1,037,672	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,081,574</b>	<b>0</b>	<b>1,037,672</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Each agency's budget will include a new line item account called "Nonfunctional – Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$1.1 million in FY 14 and \$1 million in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Policy Revisions

### Increase Funding for ECS

Education Equalization Grants	0	50,756,719	0	91,513,445	0	0	0	(10,000,000)
<b>Total - General Fund</b>	<b>0</b>	<b>50,756,719</b>	<b>0</b>	<b>91,513,445</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(10,000,000)</b>

#### Governor

Provide funding of \$50.8 million in FY 14 and \$101.5 million in FY 15 to be distributed to towns through the new Education Cost Sharing formula (which adopts, in part, the recommendations of the ECS task force.)

- The proposed ECS formula changes the definition of a town's wealth by weighting property to income wealth in a ratio of 50/50.
- No town will receive less ECS aid in 2014 or 2015 than it did in 2013.
- A new child poverty measure will be used. Free and Reduced Price Lunch (FRPL) eligibility will replace Title I poverty.
- Current 15% weighting of Limited English Proficient (LEP) students will be eliminated and replaced by 30% weighting of FRPL eligibility.
- For most wealthy communities, the minimum aid ratio will be reduced from 9% to 2%. The minimum aid ratio for alliance districts will be 10%.
- Household income data will be streamlined and replaced by more current data. Census Bureau Per Capita Income (PCI) and Median Household Income (MHI), which is updated once a decade, is replaced by MHI produced and updated annually through the Department of Economic and Community Development.
- Alliance Districts are phased in at 10% and all other districts at 1% in FY 14.
- The foundation is increased from \$9,867 to \$11,754.

#### Legislative

Provide funding of \$50.8 million in FY 14 and \$91.5 million in FY 15 to be distributed to towns through the new Education Cost Sharing formula.

- The proposed ECS formula changes the definition of a town's wealth by weighting property to income wealth in a ratio of 90/10.
- No town will receive less ECS aid in 2014 or 2015 than it did in 2013.
- A new child poverty measure will be used. Free and Reduced Price Lunch (FRPL) eligibility will replace Title I poverty.
- Current 15% weighting of Limited English Proficient (LEP) students will be eliminated and replaced by 30% weighting of FRPL eligibility.
- For most wealthy communities, the minimum aid ratio will be reduced from 9% to 2%. The minimum aid ratio for alliance districts will be 10%.
- Household income data will be streamlined and replaced by more current data. Census Bureau Per Capita Income (PCI) and Median Household Income (MHI), which is updated once a decade, is replaced by MHI produced and updated annually through the Department of Economic and Community Development.
- Reform districts are phased in at 12%, Alliance Districts at 8% and all other districts at 1% in FY 14.
- The foundation is increased from \$9,867 to \$11,525.
- Sections 152 and 153 of PA 13-247, the general government implementer, provides for this change.

### Adjust Funding for Talent Development

Talent Development	0	12,000,000	0	10,000,000	0	0	0	(2,000,000)
<b>Total - General Fund</b>	<b>0</b>	<b>12,000,000</b>	<b>0</b>	<b>10,000,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,000,000)</b>

#### Background

Section 52 of PA 12-116, AAC Educational Reform, establishes a teacher evaluation and support system. Funding of this system includes: a new evaluation system for teachers, professional development, recruitment of quality teachers, and talent development of teachers.

#### Governor

Provide funding of \$12 million in both FY 14 and FY 15 for talent development. Funding will be used for the following: teacher

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

evaluation, teacher training, professional learning for teachers and administrators, leadership academy, evaluator training, data management, summer coaching academy, survey design, and professional learning framework and strategy.

#### Legislative

Provide funding of \$12 million in FY 14 and \$10 million in FY 15 for talent development. Funding will be used for the following: teacher evaluation, teacher training, professional learning for teachers and administrators, leadership academy, evaluator training, data management, summer coaching academy, survey design, and professional learning framework and strategy.

### Adjust Funding for the Commissioner's Network

Commissioner's Network	0	3,300,000	0	10,800,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,300,000</b>	<b>0</b>	<b>10,800,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Sections 19-22 of PA 12-116, AAC Educational Reform, established the Commissioner's Network. The Commissioner's Network may support turnaround schools, teacher and leader compensation related to low performing schools, and coordination of family services to low performing schools.

#### Governor

Provide funding of \$3.3 million in FY 14 and \$10.8 million in FY 15 to increase the number of schools participating in the Commissioner's Network. In FY 13 there are four network schools. The proposed funding allows for up to eight new schools for FY 14 for a total of up to 12; and another 8-9 schools in FY 15.

#### Legislative

Same as Governor

### Transfer from Talent Development to Common Core

Talent Development	0	(8,300,000)	0	(6,300,000)	0	(8,300,000)	0	(6,300,000)
Common Core	0	8,300,000	0	6,300,000	0	8,300,000	0	6,300,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Legislative

Transfer funding of \$8.3 million in FY 14 and \$6.3 million in FY 15 from the Talent Development account into the Common Core account to allow the State Department of Education to assist districts in teaching and administering the Common Core.

### Transfer State Owned Pilot to ECS

Education Equalization Grants	0	0	0	0	0	(73,641,646)	0	(73,641,646)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(73,641,646)</b>	<b>0</b>	<b>(73,641,646)</b>

#### Governor

Transfer funding of \$73.6 million in FY 14 and FY 15 from the State Owned Pilot grant, administered by OPM, to the Education Cost Sharing grant. The State Owned Pilot funds will not be run through the ECS formula, and towns will receive the same amount of State Owned Pilot funds as it did in FY 13.

#### Legislative

This transfer is not provided.

### Provide Additional Funding for New State and Local Charters

New or Replicated Schools	0	110,000	0	710,000	0	0	0	0
Education Equalization Grants	0	1,785,000	0	8,415,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,895,000</b>	<b>0</b>	<b>9,125,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Charter schools are authorized by the State Board of Education. Local charter schools are primarily funded by local or regional boards of education; state charter schools are primarily funded by the state. Charter schools provide small scale educational programs managed by a governing board comprised of teachers and parents or guardians of the students enrolled in the school and may include community members.

#### Governor

Provide funding of \$1.8 million in FY 14 for state charter schools and \$110,000 for local charter schools and \$8.4 million in FY 15 for state charter schools and \$710,000 for local charter schools. This funding will allow for one new state charter school to open with 170 slots and a per pupil reimbursement rate of \$10,500 and two new local charters with a per pupil reimbursement rate of \$3,000, in FY 14. In FY 15, 2 additional state charters, with 255 new slots and a per pupil reimbursement rate of \$11,000, and 2 new local charters with 150 new slots and a per pupil reimbursement of \$3,000.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Same as Governor

**Transfer Functions to the Office of Early Childhood**

Personal Services	(9)	(937,779)	(9)	(982,070)	(3)	(108,079)	(3)	(115,320)
Other Expenses	0	(42,000)	0	(42,000)	0	0	0	0
Early Childhood Program	0	(6,748,003)	0	(6,761,345)	0	0	0	0
Community Plans for Early Childhood	0	(427,500)	0	(427,500)	0	0	0	0
Improving Early Literacy	0	(142,500)	0	(142,500)	0	0	0	0
Parent Trust Fund Program	0	0	0	0	0	475,000	0	475,000
Child Care Services	0	(18,419,752)	0	(18,419,752)	0	0	0	0
Head Start Services	0	(2,610,743)	0	(2,610,743)	0	0	0	0
Head Start Enhancement	0	(1,684,350)	0	(1,684,350)	0	0	0	0
Head Start - Early Childhood Link	0	(2,090,000)	0	(2,090,000)	0	(329,945)	0	(329,945)
EvenStart	0	(475,000)	0	(475,000)	0	(475,000)	0	(475,000)
Priority School Districts	0	(74,767,825)	0	(74,299,075)	0	0	0	0
School Readiness Quality Enhancement	0	(3,895,645)	0	(3,895,645)	0	0	0	0
<b>Total - General Fund</b>	<b>(9)</b>	<b>(112,241,097)</b>	<b>(9)</b>	<b>(111,829,980)</b>	<b>(3)</b>	<b>(438,024)</b>	<b>(3)</b>	<b>(445,265)</b>

**Background**

PA 11-181, AAC Early Childhood Education and the Establishment of a Coordinated System of Early Care and Education and Child Development, established provisions for a coordinated system of early care and education and child development.

**Governor**

Transfer funding of \$111.8 million and 6 positions in FY 14 and \$111.4 million and 6 positions in FY 15 to the newly created Office of Early Childhood. This includes School Readiness and other early childhood programs.

**Legislative**

Transfer funding of \$112.2 million and 9 positions in FY 14 and \$111.8 million and 9 positions in FY 15 to the newly created Office of Early Childhood (OEC). This includes School Readiness and other early childhood programs.

**Adjust Transportation of School Children Grant**

Transportation of School Children	0	0	0	0	0	19,884,748	0	19,884,748
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>19,884,748</b>	<b>0</b>	<b>19,884,748</b>

**Background**

The Public School Transportation grant program reimburses districts for the provision of transportation from home-to-school- back-home for public schools located within the district. The rate of reimbursement is on a 0 to 60 percent sliding scale depending on the town's wealth rank. The 17 highest ranked towns receive no reimbursement. There is a 10 percent bonus for regional districts and every town is guaranteed at least \$1,500.

**Governor**

Reduce funding by \$19,884,748 in both FY 14 and FY 15 to reflect the elimination of this program; \$5 million is maintained within the account and will be used to establish a competitive grant program to reimburse various municipalities for transportation costs.

**Legislative**

Funding of \$19.9 million in both FY 14 and FY 15 is maintained in the Transportation of Public School Children grant to reflect total funding at the FY 13 level.

**Increase Funding for OPEN Choice**

Open Choice Program	0	2,000,000	0	2,000,000	0	2,000,000	0	2,000,000
<b>Total - General Fund</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>2,000,000</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Hartford Region Open Choice Program offers public school students in Hartford the opportunity to attend public schools in suburban towns and public school students in suburban communities the opportunity to attend public schools in Hartford, at no cost to the student's family.

Participation in the Open Choice program is voluntary. Students must complete and submit an application which is available through the Regional School Choice Office (RSCO).

Currently, the Capitol Region Education Council (CREC) manages the program for approximately 1,700 Hartford region Open Choice students who attend school in suburban towns and Hartford. Open Choice districts include Avon, Berlin, Bolton, Canton, Cromwell, East Granby, East Windsor, Ellington, Enfield, Farmington, Glastonbury, Granby, Hartford, Newington, Plainville, Portland, Rocky Hill, Simsbury, Somers, South Windsor, Southington, Suffield, Vernon, West Hartford, Wethersfield, Windsor, and Windsor Locks. The suburban districts and Hartford offer seats in their elementary, middle and high schools.

**Legislative**

Provide funding of \$2 million in both FY 14 and FY 15 for supplemental transportation aid related to the OPEN Choice program.

**Increase Funding for Sheff Settlement**

Sheff Settlement	0	4,000,000	0	0	0	4,000,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>4,000,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,000,000</b>	<b>0</b>	<b>0</b>

**Background**

On April 30, 2013 the stipulated agreement between the state and the Sheff plaintiffs was altered. The new agreement calls for additional magnet schools, designation of existing schools as magnets, expanding the capacity of existing magnet schools, expanding the capacity of technical schools, modification of the OPEN Choice program and other measures.

**Legislative**

Provide funding of \$4 million in FY 14 to meet the revised conditions of the court order.

**Reduce Funding in Development of Mastery Exams Account**

Development of Mastery Exams Grades 4, 6, and 8	0	0	0	(2,000,000)	0	0	0	(2,000,000)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,000,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,000,000)</b>

**Legislative**

Reduce funding by \$2 million in FY 15 for the Development of Mastery Exams Account.

**Reduce Funding in Magnet Schools**

Magnet Schools	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(5,000,000)</b>	<b>0</b>	<b>(5,000,000)</b>	<b>0</b>	<b>(5,000,000)</b>	<b>0</b>	<b>(5,000,000)</b>

**Legislative**

Reduce funding by \$5 million in both FY 14 and FY 15 in the Magnet School Account.

**Adjust Funding for Neighborhood Youth Centers**

Neighborhood Youth Centers	0	0	0	0	0	1,271,386	0	1,271,386
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,271,386</b>	<b>0</b>	<b>1,271,386</b>

**Background**

Neighborhood Youth Centers is a program that serves children ages 12-17 in Connecticut's largest cities, with athletic and recreational opportunities, enrichment and tutoring activities, skills training and promoting parent involvement.

**Governor**

Funding is reduced by \$1,271,386 in both FY 14 and FY 15, as the program is eliminated.

**Legislative**

Funding for Neighborhood Youth Centers is maintained. Section 194 of PA 13-247, the general government implementer, provides for the distribution of the funding.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Vocational Agriculture

Vocational Agriculture	0	3,000,000	0	3,000,000	0	3,000,000	0	3,000,000
<b>Total - General Fund</b>	<b>0</b>	<b>3,000,000</b>	<b>0</b>	<b>3,000,000</b>	<b>0</b>	<b>3,000,000</b>	<b>0</b>	<b>3,000,000</b>

#### Legislative

Funding of \$3 million is provided in both FY 14 and FY 15 for vocational agriculture. Half of the new appropriation is to allow towns to reduce the amount of tuition charged to sending districts, therefore resulting in a net \$1.5 million increase to vo-ag centers. Section 170 of PA 13-247, the general government implementer, provides for this change.

### Provide Funding for Adult Education Incentive Programs

Alternative High School and Adult Reading Incentive Program	0	1,200,000	0	1,200,000	0	1,200,000	0	1,200,000
<b>Total - General Fund</b>	<b>0</b>	<b>1,200,000</b>	<b>0</b>	<b>1,200,000</b>	<b>0</b>	<b>1,200,000</b>	<b>0</b>	<b>1,200,000</b>

#### Legislative

Provide funding of \$1.2 million in both FY 14 and FY 15 for two new adult education programs. This includes \$500,000 each for New Haven and Bridgeport Adult Education to provide additional instructional services including but not limited to technology, soft technical skills, counseling, literacy and numeracy; and \$200,000 for Literacy How. Sections 172 and 173 of PA 13-247, the general government implementer, provides for this change.

### Increase Priority School District Aid

Priority School Districts	0	1,370,000	0	1,370,000	0	1,370,000	0	1,370,000
<b>Total - General Fund</b>	<b>0</b>	<b>1,370,000</b>	<b>0</b>	<b>1,370,000</b>	<b>0</b>	<b>1,370,000</b>	<b>0</b>	<b>1,370,000</b>

#### Legislative

Funding is increased by \$1.4 million in both FY 14 and FY 15 in the Priority School District program for increased aid to Norwalk. Section 171 of PA 13-247, the general government implementer, provides for this change.

### Adjust Funding for LEAP

Leadership, Education, Athletics in Partnership (LEAP)	0	0	0	0	0	726,750	0	726,750
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>726,750</b>	<b>0</b>	<b>726,750</b>

#### Background

The Leadership, Education, and Athletic Partnership (LEAP) is a monitoring program in New Haven for children ages 7-14 to help them develop academic skills, self-esteem and promote community involvement by matching them with trained high school and college volunteers.

#### Governor

Funding is reduced by \$726,750 in both FY 14 and FY 15 to reflect the elimination of the program.

#### Legislative

Funding for LEAP is maintained.

### Maintain Funding for K-3 Reading Assessment

K-3 Reading Assessment Pilot	0	420,000	0	420,000	0	420,000	0	420,000
<b>Total - General Fund</b>	<b>0</b>	<b>420,000</b>	<b>0</b>	<b>420,000</b>	<b>0</b>	<b>420,000</b>	<b>0</b>	<b>420,000</b>

#### Legislative

Funding of \$420,000 is provided in FY 14 and FY 15 to maintain the K-3 reading assessment pilot program at the FY 13 budgeted level.

### Adjust Funding for Various Programs

Connecticut Pre- Engineering Program	0	0	0	0	0	262,500	0	262,500
After School Program	0	0	0	0	0	4,050,000	0	4,050,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,312,500</b>	<b>0</b>	<b>4,312,500</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Background

Pursuant to C.G.S. Section 10-16x, After School programs are designed to reduce disparities in access to high quality out-of-school time activities by providing academic, enrichment and recreational programs that reinforce and complement the regular academic program and that, over time are intended to reduce the achievement gap among K-12 students. This two-year grant is available to municipalities, school districts and nonprofit organizations on a competitive basis to provide programs when school is not in session that provide educational enrichment and recreational activities for children in grades K-12 and have a parent involvement component.

CT Pre-Engineering Program (CPEP) develops out of school programs that are designed to inspire and prepare underrepresented student to pursue careers in Science, Technology, Engineering and Mathematics. CPEP has hired and trained certified CT teachers to facilitate project based experiences in priority school districts.

### Governor

Funding is reduced by \$4.3 million in FY 14 and FY 15 to reflect the elimination of the After School Program and the Connecticut Pre-Engineering Programs.

### Legislative

Funding for the After School Program and the CT Pre-Engineering Program is maintained.

## Adjust Non-Sheff Interdistrict Grants

Interdistrict Cooperation	0	0	0	0	0	4,800,000	0	4,800,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,800,000</b>	<b>0</b>	<b>4,800,000</b>

### Governor

Reduce funding by \$4.8 million in FY 14 and FY 15 to eliminate non-Sheff Interdistrict grant programs.

### Legislative

Funding of \$4.8 million is maintained in both FY 14 and FY 15 for the Non-Sheff Interdistrict Grant Programs.

## Adjust Various New Programs

Parent Universities	0	250,000	0	250,000	0	487,500	0	487,500
School Health Coordinator Pilot	0	0	0	0	0	190,000	0	190,000
Technical Assistance for Regional Cooperation	0	0	0	0	0	95,000	0	95,000
EvenStart	0	0	0	0	0	475,000	0	475,000
<b>Total - General Fund</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>1,247,500</b>	<b>0</b>	<b>1,247,500</b>

### Governor

Funding is reduced by \$997,500 in both FY 14 and FY 15 to reflect the elimination of various programs. The School Health Coordinator Pilot, Parent Universities, and Technical-Assistance Regional Cooperation are new programs established in the FY 13 Revised Budget. Funding for Even Start was provided in the 2011-2013 Biennium.

### Legislative

Funding for various new programs is maintained, and an additional \$250,000 is provided in both FY 14 and FY 15 in the Parent Universities account. This new funding is for the Yale Child Study Center School Development Program to work with teachers and paraprofessionals in the New Have public schools to create school cultures that will support child development, academic learning and preparation for life skills.

## Extend the Cap on Various Statutory Grants

Transportation of School Children	0	(59,814,311)	0	(62,092,716)	0	0	0	0
Adult Education	0	(1,860,591)	0	(2,501,231)	0	0	0	0
Health and Welfare Services Pupils Private Schools	0	(2,544,288)	0	(2,743,484)	0	0	0	0
Excess Cost - Student Based	0	(37,517,647)	0	(46,100,098)	0	0	0	0
Non-Public School Transportation	0	(1,054,137)	0	(1,129,461)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(102,790,974)</b>	<b>0</b>	<b>(114,566,990)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce funding by \$102.8 million in FY 14 and \$114.6 million in FY 15 to reflect an extension of caps on various statutory formula grants.

**Legislative**

Same as Governor

**Provide Funding for American School for the Deaf**

American School For The Deaf	0	412,000	0	412,000	0	0	0	(493,000)
<b>Total - General Fund</b>	<b>0</b>	<b>412,000</b>	<b>0</b>	<b>412,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(493,000)</b>

**Background**

The American School for the Deaf and the State of Connecticut share a public/private partnership, providing services to deaf and hard of hearing infants, children, youth, adults, and their families. Each year the State of Connecticut make an appropriation to the American School for the Deaf, through the State Department of Education.

**Governor**

Provide funding of \$412,000 in FY 14 and \$905,000 in FY 15 for the American School for the Deaf, to pay for the share of increases in fringe benefits and various other expenses.

**Legislative**

Provide funding of \$412,000 in both FY 14 and FY 15 for the American School for the Deaf, to pay for the share of increases in fringe benefits and various other expenses.

**Provide Funding of Special Masters in Windham and New London**

Other Expenses	0	0	0	0	0	(2,116,169)	0	(2,116,169)
Special Master	0	2,116,169	0	2,116,169	0	2,116,169	0	2,116,169
<b>Total - General Fund</b>	<b>0</b>	<b>2,116,169</b>	<b>0</b>	<b>2,116,169</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Special Master funds have supported the work of Windham Public Schools and New London Public Schools, both of which have required additional resources in order to develop and execute their Strategic Operating Plans.

**Governor**

Provide funding of \$2,116,169 to fund the Special Master in both Windham and New London to help them develop their Strategic Operating Plans in the following areas: (1) Professional development, (2) Talent recruitment, (3) Facilities master planning, and (4) Magnet school development and support.

**Legislative**

Same as Governor

**Rollout of FY 13 DMP**

Personal Services	0	(279,083)	0	(279,083)	0	0	0	0
Teachers' Standards Implementation Program	0	(18,363)	0	(18,363)	0	0	0	0
Longitudinal Data Systems	0	(42,022)	0	(42,022)	0	0	0	0
Science Program for Educational Reform Districts	0	0	0	0	0	432,250	0	432,250
Commissioner's Network	0	(425,000)	0	(425,000)	0	0	0	0
Talent Development	0	(1,000,000)	0	(1,000,000)	0	0	0	0
American School For The Deaf	0	(17,212)	0	(17,212)	0	0	0	0
Regional Education Services	0	(47,231)	0	(47,231)	0	0	0	0
Education Equalization Grants	0	(3,509,000)	0	(3,732,000)	0	0	0	0
Interdistrict Cooperation	0	(1,000,000)	0	(1,000,000)	0	0	0	0
Magnet Schools	0	(2,550,000)	0	(2,550,000)	0	0	0	0
After School Program	0	0	0	0	0	225,000	0	225,000
<b>Total - General Fund</b>	<b>0</b>	<b>(8,887,911)</b>	<b>0</b>	<b>(9,110,911)</b>	<b>0</b>	<b>657,250</b>	<b>0</b>	<b>657,250</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$9.5 million in FY 14 and \$9.8 million in FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Reduce funding by \$8.9 million in FY 14 and \$9.1 million in FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Rollout of FY 13 Rescissions**

Personal Services	0	(800,000)	0	(800,000)	0	0	0	0
Other Expenses	0	(186,328)	0	(186,328)	0	0	0	0
Basic Skills Exam Teachers in Training	0	(63,538)	0	(63,538)	0	0	0	0
Teachers' Standards Implementation Program	0	(136,462)	0	(136,462)	0	0	0	0
Primary Mental Health	0	(25,364)	0	(25,364)	0	0	0	0
Leadership, Education, Athletics in Partnership (LEAP)	0	(38,250)	0	(38,250)	0	0	0	0
Resource Equity Assessments	0	(14,984)	0	(14,984)	0	0	0	0
Neighborhood Youth Centers	0	(66,914)	0	(66,914)	0	0	0	0
Longitudinal Data Systems	0	(32,978)	0	(32,978)	0	0	0	0
School Accountability	0	(110,070)	0	(110,070)	0	0	0	0
Community Plans for Early Childhood	0	(22,500)	0	(22,500)	0	0	0	0
Improving Early Literacy	0	(7,500)	0	(7,500)	0	0	0	0
Parent Trust Fund Program	0	0	0	0	0	25,000	0	25,000
Regional Vocational- Technical School System	0	(1,172,000)	0	(1,172,000)	0	0	0	0
Science Program for Educational Reform Districts	0	0	0	0	0	22,750	0	22,750
Wrap Around Services	0	0	0	0	0	22,500	0	22,500
Parent Universities	0	(12,500)	0	(12,500)	0	0	0	0
School Health Coordinator Pilot	0	(10,000)	0	(10,000)	0	0	0	0
Commissioner's Network	0	(375,000)	0	(375,000)	0	0	0	0
Technical Assistance for Regional Cooperation	0	(5,000)	0	(5,000)	0	0	0	0
New or Replicated Schools	0	(10,000)	0	(10,000)	0	0	0	0
Bridges to Success	0	(35,624)	0	(35,624)	0	0	0	0
K-3 Reading Assessment Pilot	0	(135,000)	0	(135,000)	0	0	0	0
Talent Development	0	(175,000)	0	(175,000)	0	0	0	0
Regional Education Services	0	(22,000)	0	(22,000)	0	0	0	0
Head Start Services	0	(137,407)	0	(137,407)	0	0	0	0
Head Start Enhancement	0	(88,650)	0	(88,650)	0	0	0	0
Family Resource Centers	0	(399,074)	0	(399,074)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Head Start - Early Childhood Link	0	0	0	0	0	104,500	0	104,500
EvenStart	0	(25,000)	0	(25,000)	0	0	0	0
Priority School Districts	0	(1,000,000)	0	(1,000,000)	0	0	0	0
Magnet Schools	0	(2,000,000)	0	(2,000,000)	0	0	0	0
After School Program	0	0	0	0	0	225,000	0	225,000
School Readiness Quality Enhancement	0	(205,033)	0	(205,033)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(7,312,176)</b>	<b>0</b>	<b>(7,312,176)</b>	<b>0</b>	<b>399,750</b>	<b>0</b>	<b>399,750</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$7.7 million in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Reduce funding of \$7.3 million in both FY 14 and FY 15 to reflect the partial rollout of the Governor's FY 13 rescissions.

**Reduce Funding for Discretionary Programs**

Primary Mental Health	0	(54,721)	0	(54,721)	0	0	0	0
Longitudinal Data Systems	0	(161,803)	0	(161,803)	0	0	0	0
School Accountability	0	(237,485)	0	(237,485)	0	0	0	0
Wrap Around Services	0	0	0	0	0	97,131	0	97,131
Bridges to Success	0	(75,224)	0	(75,224)	0	0	0	0
K-3 Reading Assessment Pilot	0	(285,059)	0	(285,059)	0	0	0	0
Regional Education Services	0	(149,356)	0	(149,356)	0	0	0	0
Head Start - Early Childhood Link	0	0	0	0	0	225,445	0	225,445
Health Foods Initiative	0	(432,214)	0	(432,214)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,395,862)</b>	<b>0</b>	<b>(1,395,862)</b>	<b>0</b>	<b>322,576</b>	<b>0</b>	<b>322,576</b>

**Governor**

Funding is reduced by \$1.7 million in both FY 14 and FY 15. Funding is reduced by 10.5% for the following programs: Primary Mental Health, Longitudinal Data Systems, School Accountability, Wrap Around Services, Bridges to Success, K-3 Reading Assessment Pilot, Regional Education Services, Head Start-Early Childhood Link, and Healthy Foods Initiative.

**Legislative**

Funding is reduced by \$1.4 million in both FY 14 and FY 15. Funding is reduced by 10.5% for the following programs: Primary Mental Health, Longitudinal Data Systems, School Accountability, Bridges to Success, K-3 Reading Assessment Pilot, Regional Education Services and Healthy Foods Initiative.

**Adjust General Fund Support for Health Foods Initiative**

Health Foods Initiative	0	1,000,000	0	1,000,000	0	4,661,604	0	4,806,300
<b>Total - General Fund</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>4,661,604</b>	<b>0</b>	<b>4,806,300</b>

**Background**

The Community Investment Act, established under PA 05-229 and revised under PA 09-229, provides funding to (1) the Department of Agriculture (DAG), (2) the Department of Energy and Environmental Protection (DEEP), (3) the Department of Economic and Community Development (DECD), and (4) the Connecticut Housing and Finance Authority (CHFA) for various purposes. Funds are derived from a \$40 municipal document recording fee.

**Governor**

Reduce funding by \$3.7 million in FY 14 and \$3.8 million in FY 15 to reflect the anticipated pick-up of the Health Foods Initiative support by the Community Investment Act funding (a non-appropriated account.)

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Total funding of \$4.7 million in FY 14 and \$4.8 million in FY 15 is provided in the Healthy Foods Initiative account. This includes maintaining the \$3.7 million in FY 14 and \$3.8 million in FY 15, plus an additional \$1 million is provided in each year.

**Provide Funding for CT Writing Project**

Connecticut Writing Project	0	50,000	0	50,000	0	50,000	0	50,000
<b>Total - General Fund</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>

**Legislative**

Provide funding of \$50,000 in both FY 14 and FY 15 for the CT Writing Project.

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(17,003)	0	(35,720)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(17,003)</b>	<b>0</b>	<b>(35,720)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$17,003 in FY 14 and \$35,720 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(314,330)	0	17,944	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(314,330)</b>	<b>0</b>	<b>17,944</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$314,330 in FY 14 and increase funding by \$17,944 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

**Legislative**

Same as Governor

**Transfer Funding to Streamline Agency Account Structure**

Basic Skills Exam Teachers in Training	0	0	0	0	0	1,226,867	0	1,255,655
Teachers' Standards Implementation Program	0	0	0	0	0	2,941,683	0	2,941,683
Development of Mastery Exams Grades 4, 6, and 8	0	0	0	0	0	20,147,588	0	20,971,294
Resource Equity Assessments	0	0	0	0	0	168,064	0	168,064
Longitudinal Data Systems	0	0	0	0	0	1,263,197	0	1,263,197
School Accountability	0	0	0	0	0	1,856,588	0	1,860,598
Wrap Around Services	0	0	0	0	0	330,369	0	330,369
Commissioner's Network	0	0	0	0	0	10,000,000	0	17,500,000
New or Replicated Schools	0	0	0	0	0	300,000	0	900,000
Bridges to Success	0	0	0	0	0	601,652	0	601,652
K-3 Reading Assessment Pilot	0	0	0	0	0	2,279,941	0	2,279,941
Talent Development	0	0	0	0	0	18,325,000	0	18,325,000
School Improvement	0	0	0	0	0	(59,440,949)	0	(68,397,453)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

**Governor**

Transfer funding of \$59,440,949 in FY 14 and \$68,397,453 in FY 15 from various accounts to reflect the streamlining of agency budgetary accounts. Funding is transferred into a new School Improvement account.

**Legislative**

Maintain agency account structure.

**Transfer a Position from DSS for Fiscal Support**

Personal Services	1	59,780	1	64,970	0	0	0	0
<b>Total - General Fund</b>	<b>1</b>	<b>59,780</b>	<b>1</b>	<b>64,970</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer one position and corresponding funding of \$59,780 in FY 14 and \$64,970 in FY 15 from the Department of Social Services to SDE. The position transferred from DSS is a Care4Kids position that is being transferred to SDE to help support the new Office of Early Childhood.

**Legislative**

Same as Governor

**Transfer Funding-Centralize Courier & Mail Services in DAS**

Other Expenses	0	(39,255)	0	(39,255)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(39,255)</b>	<b>0</b>	<b>(39,255)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$39,255 in FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Eliminate Inflationary Increases**

Other Expenses	0	(25,615)	0	(141,357)	0	0	0	0
Basic Skills Exam Teachers in Training	0	(13,919)	0	(32,786)	0	0	0	0
Teachers' Standards Implementation Program	0	(60,000)	0	(60,000)	0	0	0	0
Early Childhood Program	0	(92)	0	(188)	0	0	0	0
Development of Mastery Exams Grades 4, 6, and 8	0	(1,390)	0	(2,867)	0	0	0	0
Primary Mental Health	0	(11,008)	0	(26,039)	0	0	0	0
Leadership, Education, Athletics in Partnership (LEAP)	0	(16,601)	0	(39,267)	0	0	0	0
Connecticut Pre- Engineering Program	0	(5,696)	0	(13,474)	0	0	0	0
Neighborhood Youth Centers	0	(29,041)	0	(68,694)	0	0	0	0
Longitudinal Data Systems	0	(32,550)	0	(76,994)	0	0	0	0
School Accountability	0	(45,251)	0	(107,003)	0	0	0	0
Community Plans for Early Childhood	0	(9,765)	0	(23,098)	0	0	0	0
Regional Vocational- Technical School System	0	(1,261,963)	0	(2,223,527)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Science Program for Educational Reform Districts	0	(9,874)	0	(23,355)	0	0	0	0
Technical Assistance for Regional Cooperation	0	(2,170)	0	(5,133)	0	0	0	0
New or Replicated Schools	0	(4,340)	0	(10,266)	0	0	0	0
Regional Education Services	0	(30,046)	0	(71,071)	0	0	0	0
Head Start Services	0	(59,635)	0	(141,061)	0	0	0	0
Head Start Enhancement	0	(38,474)	0	(91,007)	0	0	0	0
Family Resource Centers	0	(173,198)	0	(409,684)	0	0	0	0
Head Start - Early Childhood Link	0	(45,353)	0	(107,278)	0	0	0	0
Vocational Agriculture	0	(140,737)	0	(332,900)	0	0	0	0
Bilingual Education	0	(41,580)	0	(98,354)	0	0	0	0
Priority School Districts	0	(140,929)	0	(329,268)	0	0	0	0
Young Parents Program	0	(4,976)	0	(11,771)	0	0	0	0
Interdistrict Cooperation	0	(217,665)	0	(217,665)	0	0	0	0
School To Work Opportunities	0	(4,638)	0	(10,971)	0	0	0	0
Youth Service Bureaus	0	(64,867)	0	(153,438)	0	0	0	0
After School Program	0	(97,650)	0	(230,982)	0	0	0	0
School Readiness Quality Enhancement	0	(88,985)	0	(210,485)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,678,008)</b>	<b>0</b>	<b>(5,269,983)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$2.7 million in FY 14 and \$5.3 million in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Carry Forwards****Carry Forward Funding for Various Programs**

Neighborhood Youth Centers	0	85,000	0	85,000	0	85,000	0	85,000
Interdistrict Cooperation	0	330,000	0	0	0	330,000	0	0
Magnet Schools	0	2,300,000	0	0	0	2,300,000	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>2,715,000</b>	<b>0</b>	<b>85,000</b>	<b>0</b>	<b>2,715,000</b>	<b>0</b>	<b>85,000</b>

**Legislative**

Section 61 of PA 13-184, the FY 14 and FY 15 Budget, carries forward funding of \$2.8 million in the Magnet School account for the following:

- \$2.3 million in FY 14 for Sheff programming,
- transfer \$85,000 in both FY 14 and FY 15 to the Neighborhood Youth Centers account for the New Haven YMCA, and
- transfer \$330,000 in FY 14 to the Interdistrict Cooperation account for the Sound School summer youth program.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Carry Forward Funding for Litigation Costs

Other Expenses	0	1,200,000	0	0	0	1,200,000	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>1,200,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,200,000</b>	<b>0</b>	<b>0</b>

#### Background

The Connecticut Coalition for Justice in Education Funding v. Rell is an ongoing lawsuit involving various school reform activities.

#### Legislative

Pursuant to CGS Sec. 4-89(c), an estimated \$1.2 million is carried forward into FY 14 in the Other Expenses account to pay for various expenses related to the law suit.

### Carry Forward Funding for ECS

Education Equalization Grants	0	8,083,622	0	0	0	8,083,622	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>8,083,622</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>8,083,622</b>	<b>0</b>	<b>0</b>

#### Legislative

Pursuant to CGS Sec.10-262u(h), \$8,083,622 is carried forward from FY 13 into FY 14 for ECS, for purposes of alliance district funding.

## Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	1,680	2,898,603,063	1,680	2,898,603,063	0	0	0	0
Current Services	13	175,827,654	42	231,027,456	0	0	0	0
Policy Revisions	(8)	(156,846,948)	(8)	(123,221,349)	(3)	(28,755,606)	(3)	(47,111,151)
<b>Total Recommended - GF</b>	<b>1,685</b>	<b>2,917,583,769</b>	<b>1,714</b>	<b>3,006,409,170</b>	<b>(3)</b>	<b>(28,755,606)</b>	<b>(3)</b>	<b>(47,111,151)</b>

## Other Significant Legislation

### PA 13-243, An Act Concerning Authorization of State Grant Commitments for School Building Projects

The act approves \$780.3 million in state grant commitments for school construction projects which will result in a General Fund debt service cost of \$1,189.9 million. This includes: (1) \$510.1 million in state general obligation bonds for grant commitments to 27 local school construction projects, (2) \$19.5 million for seven previously authorized local projects that have changed substantially (more than 10%) in cost or scope and (3) \$250.7 million in state grant commitments for school construction projects through various notwithstanding provisions.

### PA 13-247, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2015 Concerning General Government

Sections 123-126 of the act uses a multi-year approach to change the way the state permits preschool tuition charges by interdistrict magnet schools operated by regional education service centers (RESC). For FY 13, the act prohibits Sheff region RESC magnets from charging preschool tuition and makes SDE responsible for equivalent of preschool tuition costs for non-Sheff region RESC magnets; for FY 14, SDE is responsible for tuition costs for all RESC magnets; by FY 15, the act allows the school to charge tuition to the parents of the preschool student on a sliding scale, with SDE paying for whatever the sliding scale does not cover; and the act requires SDE to submit a report to the Education Committee by February 1, 2014 on the levels of diversity and integration for each public school located in the Sheff region.

Sections 152-153, changes the education cost sharing (ECS) formula. The act raises the per-student foundation amount from \$9,687 to \$11,525, updates the way the state measures town wealth (property wealth to income wealth ratio, method of measuring income), changes student need measurement to use free and reduced price lunch population, establishes a minimum aid ratio of 10% for alliance districts and 2% for all other districts, and phases-in new funding and different rates depending upon educational need: non-Alliance Districts (1% of fully funded grant), Alliance Districts (8%) and Educational Reform Districts (12%).

Section 154 continues the existing Minimum Budget Requirement (MBR) for FY 14 and FY 15 and establishes a separate Alliance Districts MBR that takes into account the minimum local funding percentage.

Section 155 continues the alliance district program.

Sections 156-163 maintain existing caps on certain state education formula grants for two more fiscal years, through June 30, 2015, including: (1) health services for private school students (CGS § 10-217a), (2) adult education programs (CGS § 10-71), (3) bilingual education programs (CGS § 10-17g), (4) Regional Education Service Center (RESC) operations (CGS § 10-66j), (5) special education costs and excess costs, other than certain state-placed students (CGS § 10-76d & 10-76g), and (6) excess regular education costs for state-placed children educated by local and regional boards of education (CGS § 10-253).

Section 164 reduces the scheduled increases in per-student grants to state charter schools. For FY 14, the grant is reduced from \$11,000 to \$10,500 per student, and for FY 15 and each following year, the grant is reduced from \$11,500 to \$11,000.

Section 166 continues the per student grants as follows: (a) \$10,443 for Sheff RESC magnets and (b) \$13,054 for Hartford host magnets, and increases the per student grant for all Sheff host magnets to \$13,054 per student.

Section 167 continues, for two more school years, the existing ban on Hartford host magnets charging tuition to sending districts and extends the tuition ban to any Sheff host magnet.

Section 168 provides an additional incentive, \$8,000 per student, for districts that have 4% or more of their student population from the Open Choice interdistrict public school attendance program.

Section 169 maintains Sheff magnet transportation grants at same level, \$2,000 per student, for FY 14 and FY 15.

Section 170 increases per student grant for vo-ag centers from \$1,750 to \$2,750; and (1) allows a board of education that operates a vo-ag center to spend the increased state grant even if it exceeds the total amount budgeted for education and (2) lowers the maximum percentage, from 82.5% to 62.47%, of the state's per student foundation aid, that can be used to charge sending districts tuition.

Section 171 increases additional state education aid, from \$650,000 to \$2,020,000, for the town ranked sixth when all towns are ranked by population (Norwalk).

Section 172 allows adult education programs to expand the scope to include more instructional services.

Section 173 allows up to \$200,000 appropriated by the state budget to SDE will go to the Literacy How program in North Haven.

### **PA 13-108, An Act Unleashing Innovation in Connecticut Schools**

The act allows: (1) high school students to earn academic credits using mastery-based standards, instead of traditional coursework, based on guidelines established by the State Board of Education (SBE), (2) establishes an eight-member task force to study education mandate relief for high-performing school districts, (3) eliminates an annual interdistrict meeting requirement related to the Open Choice attendance program, and (4) requires the State Department of Education (SDE) to study issues relating to local partnerships for advancing the teaching profession and submit the results and recommendations to the Education Committee by June 30, 2015.

### **PA 13-64, An Act Concerning Community Schools**

The act allows a local or regional board of education to establish a community school or schools to participate with community partners to provide various educational and social services to students, families, and community members. The act spells out the steps a board must complete in order to establish a community school, which include: (1) conducting a school operation and instructional audit and a community needs audit, (2) conducting a community resource assessment, and (3) developing a community school plan. The act requires boards that establish these schools to report to the state Department of Education (SDE) on the school's progress. In turn, SDE must report to the Education Committee on community schools. The act also adds community schools to the list of school turnaround options that can be used under the commissioner's network of schools.

### **PA 13-286, An Act Requiring Greater Transparency and a Transition Plan for the Governance of the State Education Resource Center (SERC)**

The act includes applying, among other things, competitive bidding rules, the Auditors of Public Accounts, and Freedom of Information requirements to SERC and imposes new reporting requirements on SDE including requiring it to develop and report on a transition plan to address SERC's status.

### **PA 13-188, An Act Concerning School Safety**

The act establishes requirements for municipalities and boards of education to hire active or retired police officers to provide armed security at public schools and requires all armed school security to be active or retired police officers.

### **PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$853,886, a General Other Expenses Lapse of \$28,335, and a Statewide Hiring Reduction Lapse of \$51,852. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	17,618,304	(125,366)	17,492,938	0.71%
Other Expenses	3,458,980	(42,692)	3,416,288	1.23%
Basic Skills Exam Teachers in Training	1,226,867	(5,134)	1,221,733	0.42%
Development of Mastery Exams Grades 4, 6, and 8	20,147,588	(84,316)	20,063,272	0.42%
School Accountability	1,856,588	(7,770)	1,848,818	0.42%
Sheff Settlement	13,259,263	(55,489)	13,203,774	0.42%
Regional Vocational-Technical School System	146,551,879	(613,306)	145,938,573	0.42%

# Office of Early Childhood

OEC64800

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	0	0	17	71	31	73
Permanent Full-Time - OF	0	0	3	8	5	10

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	0	0	1,795,967	5,329,561	2,539,359	4,985,737
Other Expenses	0	0	291,000	495,000	590,000	8,276,000
Equipment	0	0	1	1	1	1
<b>Other Current Expenses</b>						
Children's Trust Fund	0	0	0	0	11,671,218	11,671,218
Early Childhood Program	0	0	6,748,003	6,761,345	6,748,003	6,761,345
Early Intervention	0	0	0	37,286,804	0	0
Community Plans for Early Childhood	0	0	0	0	600,000	750,000
Improving Early Literacy	0	0	0	0	150,000	150,000
Child Care Services	0	0	0	0	18,419,752	18,419,752
Community & Family Programs	0	0	1,250,000	11,963,768	0	0
Child Care Services & Quality Enhancement	0	0	24,474,567	24,474,567	0	0
Evenstart	0	0	0	0	475,000	475,000
<b>Other Than Payments to Local Governments</b>						
Head Start Services	0	0	0	0	2,610,743	2,610,743
Head Start Enhancement	0	0	0	0	1,684,350	1,684,350
Child Care Services-TANF/CCDBG	0	0	98,967,400	101,489,658	0	101,489,658
Child Care Quality Enhancements	0	0	0	0	3,259,170	3,259,170
Head Start - Early Childhood Link	0	0	0	0	2,090,000	2,090,000
Head Start	0	0	6,055,148	6,055,148	0	0
<b>Grant Payments to Local Governments</b>						
School Readiness Quality Enhancement	0	0	0	0	3,895,645	3,895,645
School Readiness & Quality Enhancement	0	0	75,867,825	75,399,075	74,767,825	74,299,075
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>11,895,804</b>	<b>2,984,766</b>	<b>82,891</b>	<b>484,648</b>
<b>Agency Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>227,345,715</b>	<b>272,239,693</b>	<b>129,583,957</b>	<b>241,302,342</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	1,591,051	0
Federal & Other Restricted Act	0	0	15,807,928	34,261,381	16,672,568	33,261,381
<b>Agency Grand Total</b>	<b>0</b>	<b>0</b>	<b>243,153,643</b>	<b>306,501,074</b>	<b>147,847,576</b>	<b>274,563,723</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Policy Revisions

### Transfer Functions to the Office of Early Childhood

Personal Services	27	2,169,613	69	4,604,899	14	743,392	2	(343,824)
Other Expenses	0	140,000	0	7,826,000	0	49,000	0	7,531,000
Labor - Management Fund	0	0	0	0	0	0	0	0
Children's Trust Fund	0	11,671,218	0	11,671,218	0	11,671,218	0	1,107,450
Early Childhood Program	0	6,748,003	0	6,761,345	0	0	0	0
Early Intervention	0	0	0	0	0	0	0	(37,286,804)
Community Plans for Early Childhood	0	427,500	0	427,500	0	0	0	0
Improving Early Literacy	0	142,500	0	142,500	0	0	0	0
Parent Trust Fund Program	0	0	0	0	0	(475,000)	0	(475,000)
Child Care Services	0	18,419,752	0	18,419,752	0	0	0	0
Evenstart	0	475,000	0	475,000	0	475,000	0	475,000
Head Start Services	0	2,610,743	0	2,610,743	0	0	0	0
Head Start Enhancement	0	1,684,350	0	1,684,350	0	0	0	0
Child Care Services-TANF/CCDBG	0	0	0	101,489,658	0	(98,967,400)	0	0
Child Care Quality Enhancements	0	3,259,170	0	3,259,170	0	0	0	0
Head Start - Early Childhood Link	0	2,090,000	0	2,090,000	0	329,945	0	329,945
School Readiness Quality Enhancement	0	3,895,645	0	3,895,645	0	0	0	0
School Readiness & Quality Enhancement	0	74,767,825	0	74,299,075	0	0	0	0
<b>Total - General Fund</b>	<b>27</b>	<b>128,501,319</b>	<b>69</b>	<b>239,656,855</b>	<b>14</b>	<b>(86,173,845)</b>	<b>2</b>	<b>(28,662,233)</b>

### Background

PA 11-181, AAC Early Childhood Education and the Establishment of a Coordinated System of Early Care and Education and Child Development, established provisions for a coordinated system of early care and education and child development.

### Governor

Transfer funding of \$214,675,164 and 13 positions in FY 14 and \$268,319,088 and 67 positions in FY 15 to establish the Office of Early Childhood, including:

- Department of Public Health: \$2.3 million and 40 positions in FY 15 for child care regulatory functions,
- Department of Social Services: \$102.5 million and 2 positions in FY 14 and 9 positions and \$116.2 million in FY 15 for child care subsidies (Care4Kids) and the Children's Trust Fund,
- State Department of Education: \$111.8 million and 6 positions in FY 14 and 6 positions and \$111.4 million in FY 15 for School Readiness and other early childhood programs,
- Board of Regents: \$473,657 and 5 positions in FY 14 and \$486,499 and 5 positions in FY 15 for CT Charts-a-Course,
- Department of Developmental Services: \$38 million and 7 positions in FY 15 for the Birth-to-Three Program.

### Legislative

Transfer funding of \$128,501,319 and 27 positions in FY 14 and \$239,656,855 and 69 positions in FY 15 to establish the Office of Early Childhood, including:

- Department of Social Services: \$15.8 million and 9 positions in FY 14 and 11 positions and \$125.1 million in FY 15 for child care subsidies (Care4Kids) and the Children's Trust Fund,
- State Department of Education: \$112.2 million and 9 positions in FY 14 and 9 positions and \$111.8 million in FY 15 for School Readiness and other early childhood programs,

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

- Board of Regents: \$473,657 and 9 positions in FY 14 and \$486,499 and 9 positions in FY 15 for CT Charts-a-Course,
- Department of Public Health: \$2.3 million and 40 positions in FY 15 for licensure and regulations of child day care centers and the administration of the federally funded Home Visitation grant program (\$9.7 million).

Legislation to implement the creation and transfer of various responsibilities to the Office of Early Childhood was not taken during the 2013 legislative session. On June 24, 2013 the Governor signed Executive Order #35, which directs OEC to be the lead agency of programs for which funding was provided in PA 13-184, the FY 14 and FY 15 Budget, as adjusted by PA 13-247, the general government implementer.

### Provide New Funding for the Office of Early Childhood

Personal Services	4	369,746	4	380,838	0	0	0	0
Other Expenses	0	200,000	0	200,000	0	0	0	0
Equipment	0	1	0	1	0	0	0	0
Community Plans for Early Childhood	0	172,500	0	322,500	0	0	0	0
Improving Early Literacy	0	7,500	0	7,500	0	0	0	0
Parent Trust Fund Program	0	0	0	0	0	(25,000)	0	(25,000)
<b>Total - General Fund</b>	<b>4</b>	<b>749,747</b>	<b>4</b>	<b>910,839</b>	<b>0</b>	<b>(25,000)</b>	<b>0</b>	<b>(25,000)</b>

#### Governor

Four positions and corresponding funding of \$369,746 in FY 14 and \$380,838 in FY 15 is provided for the Office of Early Childhood. Funding will support four leadership and administrative positions. Additionally, \$200,000 of new funding is provided for Other Expenses in both FY 14 and FY 15. Programmatic funding is also provided for the agency, including: \$172,500 in FY 14 and \$322,500 in FY 15 for Community Plans for Early Childhood, \$7,500 in both FY 14 and FY 15 for Improving Early Literacy, and \$25,000 in both FY 14 and FY 15 for the Parent Trust Fund Program.

#### Legislative

Funding of \$749,747 in FY 14 and \$910,839 in FY 15 is provided to the new Office of Early Childhood. This will support four leadership and administrative positions with corresponding funding of \$369,746 in FY 14 and \$380,838 in FY 15.

Additionally, \$200,000 of new funding is provided for Other Expenses in both FY 14 and FY 15. Programmatic funding is also provided for the agency, including: \$172,500 in FY 14 and \$322,500 in FY 15 for Community Plans for Early Childhood, and \$7,500 in both FY 14 and FY 15 for Improving Early Literacy.

### Provide Funding for Mental Health Training

Other Expenses	0	250,000	0	250,000	0	250,000	0	250,000
<b>Total - General Fund</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>250,000</b>

#### Legislative

Funding of \$250,000 is provided in FY 14 and FY 15 to assist in supporting professional development for early childhood providers and pediatricians in the prevention and early identification of mental health problems utilizing Infant and Early Childhood Mental Health Competencies. This supports section 2 of PA 13-178, AAC the Mental, Emotional and Behavioral Health of Youths. It is anticipated that this funding will result in matching funds from a private contribution to support this program. This mental health initiative supplements those provided in PA 13-3, AAC Gun Violence Prevention and Children's Safety.

### Transfer Funding to Streamline Budget Account Structure

Children's Trust Fund	0	0	0	0	0	0	0	10,563,768
Community Plans for Early Childhood	0	0	0	0	0	600,000	0	750,000
Improving Early Literacy	0	0	0	0	0	150,000	0	150,000
Parent Trust Fund Program	0	0	0	0	0	500,000	0	500,000
Child Care Services	0	0	0	0	0	18,419,752	0	18,419,752
Community & Family Programs	0	0	0	0	0	(1,250,000)	0	(11,963,768)

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Child Care Services & Quality Enhancement	0	0	0	0	0	(24,474,567)	0	(24,474,567)
Head Start Services	0	0	0	0	0	2,610,743	0	2,610,743
Head Start Enhancement	0	0	0	0	0	1,684,350	0	1,684,350
Child Care Quality Enhancements	0	0	0	0	0	3,259,170	0	3,259,170
Head Start - Early Childhood Link	0	0	0	0	0	1,760,055	0	1,760,055
Head Start	0	0	0	0	0	(6,055,148)	0	(6,055,148)
School Readiness Quality Enhancement	0	0	0	0	0	3,895,645	0	3,895,645
School Readiness & Quality Enhancement	0	0	0	0	0	(1,100,000)	0	(1,100,000)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

**Governor**

Transfer funding of \$32.9 million in FY 14 and \$43.6 million in FY 15 from the various accounts to reflect the streamlining of agency budgetary accounts.

**Legislative**

Do not streamline agency account structure.

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	82,891	0	484,648	0	(11,812,913)	0	(2,500,118)
<b>Total - General Fund</b>	<b>0</b>	<b>82,891</b>	<b>0</b>	<b>484,648</b>	<b>0</b>	<b>(11,812,913)</b>	<b>0</b>	<b>(2,500,118)</b>

**Governor**

Provide funding of \$11,895,804 in FY 14 and \$2,984,766 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

**Legislative**

Provide funding of \$82,891 in FY 14 and \$484,648 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

**Carry Forwards****Carry Forward Funds for School Readiness Quality Enhancement**

School Readiness Quality Enhancement	0	1,591,051	0	0	0	1,591,051	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>1,591,051</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,591,051</b>	<b>0</b>	<b>0</b>

**Legislative**

Pursuant to Section 29 of PA 13-184 and CGS Sec. 10-16p(e)(2)(B), funds totaling \$1.6 million are carried forward into FY 14 in the State Department of Education and transferred to OEC for early childhood professional development and improving the quality of early childhood programs.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	0	0	0	0	0	0	0	0
Policy Revisions	31	129,583,957	73	241,302,342	14	(97,761,758)	2	(30,937,351)
<b>Total Recommended - GF</b>	<b>31</b>	<b>129,583,957</b>	<b>73</b>	<b>241,302,342</b>	<b>14</b>	<b>(97,761,758)</b>	<b>2</b>	<b>(30,937,351)</b>

## *Other Significant Legislation*

### **PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$13,045, a General Other Expenses Lapse of \$4,833, and a Statewide Hiring Reduction Lapse of \$7,474. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	2,539,359	(18,070)	2,521,289	0.71%
Other Expenses	590,000	(7,282)	582,718	1.23%



# State Library

CSL66000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	61	55	55	55	55	55

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	5,168,125	4,915,076	5,000,973	5,216,113	5,000,973	5,216,113
Other Expenses	673,258	710,355	695,685	695,685	695,685	695,685
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
State-Wide Digital Library	1,548,629	2,094,590	1,989,860	1,989,860	1,989,860	1,989,860
Interlibrary Loan Delivery Service	245,154	275,751	258,471	268,122	258,471	268,122
Legal/Legislative Library Materials	777,850	827,992	786,592	786,592	786,592	786,592
State-Wide Data Base Program	574,696	0	0	0	0	0
Insurance Recovery	3,763	0	0	0	0	0
Computer Access	90,500	190,000	0	0	180,500	180,500
<b>Other Than Payments to Local Governments</b>						
Support Cooperating Library Service Units	332,500	350,000	332,500	332,500	332,500	332,500
<b>Grant Payments to Local Governments</b>						
Grants To Public Libraries	207,692	214,283	203,569	203,569	203,569	203,569
Connecticard Payments	1,000,000	1,000,000	800,000	800,000	1,000,000	1,000,000
Connecticut Humanities Council	0	2,157,633	1,941,870	1,941,870	2,049,752	2,049,752
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>22,182</b>	<b>30,949</b>	<b>22,182</b>	<b>30,949</b>
<b>Agency Total - General Fund</b>	<b>10,622,167</b>	<b>12,735,681</b>	<b>12,031,703</b>	<b>12,265,261</b>	<b>12,520,085</b>	<b>12,753,643</b>
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	2,159,137	2,075,000	2,050,000	2,050,000	2,050,000	2,050,000
Private Contributions	1,065,458	960,700	950,700	950,700	950,700	950,700
<b>Agency Grand Total</b>	<b>13,846,762</b>	<b>15,771,381</b>	<b>15,032,403</b>	<b>15,265,961</b>	<b>15,520,785</b>	<b>15,754,343</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	93,623	0	317,267	0	0	0	0
Interlibrary Loan Delivery Service	0	(17,280)	0	(7,629)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>76,343</b>	<b>0</b>	<b>309,638</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$76,343 in FY 14 and \$309,638 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Apply Inflationary Increases

Other Expenses	0	28,789	0	55,039	0	0	0	0
State-Wide Digital Library	0	51,545	0	105,198	0	0	0	0
Legal/Legislative Library Materials	0	17,967	0	42,500	0	0	0	0
Computer Access	0	4,750	0	4,750	0	0	0	0
Support Cooperating Library Service Units	0	7,595	0	17,965	0	0	0	0
Grants To Public Libraries	0	4,650	0	10,999	0	0	0	0
Connecticard Payments	0	21,700	0	51,329	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>136,996</b>	<b>0</b>	<b>287,780</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for various accounts by \$136,996 in FY 14 and an additional \$150,784 in FY 15 (for a cumulative total of \$287,780 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	23,454	0	31,572	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>23,454</b>	<b>0</b>	<b>31,572</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$23,454 in FY 14 and \$31,572 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

### Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	(11,790)	0	(11,790)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(11,790)</b>	<b>0</b>	<b>(11,790)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$11,790 in both FY 14 and FY 15 in Other Expenses to reflect anticipated expenditure requirements. This reduction reflects a one-time expenditure for books in FY 13.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Policy Revisions

### Adjust Funding of Connecticard

Connecticard Payments	0	0	0	0	0	200,000	0	200,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>200,000</b>	<b>0</b>	<b>200,000</b>

#### Background

Connecticard is a cooperative borrowing program among the state's public libraries. Administered by the State Library, libraries receive an annual reimbursement for providing service to non-residents.

#### Governor

Reduce funding by \$200,000 in both FY 14 and FY 15 for Connecticard payments. Funds available for the Connecticard program after the reduction total \$800,000.

#### Legislative

Funding of \$200,000 is maintained for the Connecticard program. This maintains a total of \$1 million in the program to ensure the continued distribution of library materials from local libraries to non-residents.

### Adjust Funding to the Connecticut Humanities Council

Connecticut Humanities Council	0	0	0	0	0	107,882	0	107,882
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>107,882</b>	<b>0</b>	<b>107,882</b>

#### Background

The Connecticut Humanities Council is a public foundation incorporated as a state-based affiliate of the National Endowment for the Humanities. Its mission is to increase public involvement in the state's history and to assist the state's museums, heritage organizations and historical societies in bringing important stories of the past to the public. The council uses grants funded by state, national and corporate entities to provide resources and help the state's heritage organizations rebuild, refocus and re-energize their programs.

#### Governor

Reduce funding to the Connecticut Humanities Council by \$107,882 in both FY 14 and FY 15. Funding to the Connecticut Humanities Council totals \$1,941,870 after the reduction.

#### Legislative

Funding of \$107,882 is maintained for the Connecticut Humanities Council. This maintains a total of \$2,049,752 in the account in recognition of the importance of the Council to the state's tourism industry and the maintenance of Connecticut history.

### Adjust Computer Access Funding

Computer Access	0	0	0	0	0	180,500	0	180,500
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>180,500</b>	<b>0</b>	<b>180,500</b>

#### Background

The Computer Access program provides funds for the refurbishing of computers which are provided to low-income families.

#### Governor

Reduce funding for the Computer Access program by \$180,500 in both FY 14 and FY 15 to reflect the elimination of the program.

#### Legislative

Funding of \$180,500 is maintained for the Computer Access program in both FY 14 and FY 15.

### Rollout of FY 13 Rescissions

Computer Access	0	(9,500)	0	(9,500)	0	0	0	0
Support Cooperating Library Service Units	0	(17,500)	0	(17,500)	0	0	0	0
Grants To Public Libraries	0	(10,714)	0	(10,714)	0	0	0	0
Connecticut Humanities Council	0	(107,881)	0	(107,881)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(145,595)</b>	<b>0</b>	<b>(145,595)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$145,595 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Rollout of FY 13 DMP**

State-Wide Digital Library	0	(104,730)	0	(104,730)	0	0	0	0
Legal/Legislative Library Materials	0	(41,400)	0	(41,400)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(146,130)</b>	<b>0</b>	<b>(146,130)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

**Governor**

Reduce funding by \$146,130 in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

**Legislative**

Same as Governor

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(2,880)	0	(2,880)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,880)</b>	<b>0</b>	<b>(2,880)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$2,880 in both FY 14 and FY15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Remove Funding for Salary Increases of Appointed Officials**

Personal Services	0	(7,726)	0	(16,230)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(7,726)</b>	<b>0</b>	<b>(16,230)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$7,726 in FY 14 and \$16,230 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(1,272)	0	(623)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,272)</b>	<b>0</b>	<b>(623)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$1,272 in FY 14 and \$623 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Eliminate Inflationary Increases

Other Expenses	0	(28,789)	0	(55,039)	0	0	0	0
State-Wide Digital Library	0	(51,545)	0	(105,198)	0	0	0	0
Legal/Legislative Library Materials	0	(17,967)	0	(42,500)	0	0	0	0
Computer Access	0	(4,750)	0	(4,750)	0	0	0	0
Support Cooperating Library Service Units	0	(7,595)	0	(17,965)	0	0	0	0
Grants To Public Libraries	0	(4,650)	0	(10,999)	0	0	0	0
Connecticard Payments	0	(21,700)	0	(51,329)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(136,996)</b>	<b>0</b>	<b>(287,780)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce various accounts by \$136,996 in FY 14 and \$287,780 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	55	12,735,681	55	12,735,681	0	0	0	0
Current Services	0	225,003	0	617,200	0	0	0	0
Policy Revisions	0	(440,599)	0	(599,238)	0	488,382	0	488,382
<b>Total Recommended - GF</b>	<b>55</b>	<b>12,520,085</b>	<b>55</b>	<b>12,753,643</b>	<b>0</b>	<b>488,382</b>	<b>0</b>	<b>488,382</b>

### Other Significant Legislation

#### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$24,837, a General Other Expenses Lapse of \$5,699, and a Statewide Hiring Reduction Lapse of \$14,718. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	5,000,973	(35,585)	4,965,388	0.71 %
Other Expenses	695,685	(8,587)	687,098	1.23 %
Interlibrary Loan Delivery Service	258,471	(1,082)	257,389	0.42 %

# Office of Higher Education

DHE66500

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	15	15	20	20	21	21
Permanent Full-Time - OF	27	13	13	13	13	13

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	1,290,581	1,128,146	1,598,563	1,664,650	1,658,563	1,724,650
Other Expenses	103,638	112,537	106,911	106,911	106,911	106,911
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Minority Advancement Program	1,973,446	2,176,817	1,517,959	2,181,737	1,517,959	2,181,737
Alternate Route to Certification	100,000	100,000	0	0	85,892	92,840
National Service Act	235,738	0	315,289	325,210	315,289	325,210
International Initiatives	63,176	66,500	0	0	66,500	66,500
Minority Teacher Incentive Program	422,500	471,374	447,806	447,806	447,806	447,806
Education and Health Initiatives	134,500	0	0	0	0	0
Board of Regents	1,264,543	0	0	0	0	0
English Language Learner Scholarship	0	100,000	0	0	95,000	95,000
<b>Other Than Payments to Local Governments</b>						
Capitol Scholarship Program	4,336,060	4,722,351	0	0	0	0
Awards to Children of Deceased/ Disabled Veterans	0	4,000	3,800	3,800	3,800	3,800
Connecticut Independent College Student Grant	18,072,474	16,158,319	0	0	0	0
Connecticut Aid for Public College Students	29,808,469	25,500,000	0	0	0	0
Connecticut Aid to Charter Oak	59,393	59,393	0	0	0	0
Kirklyn M. Kerr Grant Program	285,000	0	0	0	0	0
Governor's Scholarship	0	0	40,736,398	40,736,398	42,011,398	43,623,498
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>30,010</b>	<b>10,889</b>	<b>30,010</b>	<b>10,889</b>
<b>Agency Total - General Fund</b>	<b>58,149,519</b>	<b>50,599,438</b>	<b>44,756,737</b>	<b>45,477,402</b>	<b>46,339,129</b>	<b>48,678,842</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	1,447,851	0
Federal & Other Restricted Act	2,499,492	3,043,092	3,078,025	3,045,165	3,078,025	3,045,165
Private Contributions	882,741	1,008,500	1,015,000	1,025,000	1,015,000	1,025,000
<b>Additional Funds Available</b>						
<b>Agency Grand Total</b>	<b>61,531,752</b>	<b>54,651,030</b>	<b>48,849,762</b>	<b>49,547,567</b>	<b>51,880,005</b>	<b>52,749,007</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	(5,074)	0	55,641	0	0	0	0
Minority Advancement Program	0	2,211	0	4,920	0	0	0	0
Alternate Route to Certification	0	4,876	0	11,824	0	0	0	0
National Service Act	0	3,342	0	13,263	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>5,355</b>	<b>0</b>	<b>85,648</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$5,355 in FY 14 and \$85,648 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

### Transfer Funding to the National Service Act

National Service Act	0	328,365	0	328,365	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>328,365</b>	<b>0</b>	<b>328,365</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Connecticut Commission on National and Community Service was established in 1993 by an Executive Order of the Governor under the National and Community Service Trust Act of 1993. The primary mandate of the commission is to administer AmeriCorps programs in the state. The commission utilizes federal resources to reinforce, expand and start-up quality community service programs that help meet critical needs in education, public safety, health and the environment. The community service program participants, upon successful completion of a year of full-time service, are eligible to receive an education award of \$5,550 that can be used to pay for college or graduate school, or to pay back qualified student loans.

#### Governor

Transfer funding of \$328,365 in FY 14 and FY 15, from the Board of Regents into the National Service Act account.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	29	0	3,592	0	0	0	0
Capitol Scholarship Program	0	102,475	0	242,395	0	0	0	0
Connecticut Independent College Student Grant	0	350,636	0	829,396	0	0	0	0
Connecticut Aid for Public College Students	0	553,350	0	1,308,897	0	0	0	0
Connecticut Aid to Charter Oak	0	1,289	0	3,049	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,007,779</b>	<b>0</b>	<b>2,387,329</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for various accounts by \$1,007,779 in FY 14 and an additional \$1,379,550 in FY 15 (for a cumulative total of \$2,387,329 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	4,770	0	8,215	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>4,770</b>	<b>0</b>	<b>8,215</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$4,770 in FY 14 and \$8,215 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Rollout of FY 13 DMP

Alternate Route to Certification	0	(18,984)	0	(18,984)	0	0	0	0
Capitol Scholarship Program	0	(236,117)	0	(236,117)	0	0	0	0
Connecticut Independent College Student Grant	0	(200,000)	0	(200,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(455,101)</b>	<b>0</b>	<b>(455,101)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced the General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of the \$36.2 million of the FY 13 DMP across various agencies.

#### Governor

Reduce funding by \$455,101 in FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

#### Legislative

Same as Governor

### Rollout of FY 13 Rescissions

Other Expenses	0	(5,626)	0	(5,626)	0	0	0	0
National Service Act	0	(16,418)	0	(16,418)	0	0	0	0
Minority Teacher Incentive Program	0	(23,568)	0	(23,568)	0	0	0	0
English Language Learner Scholarship	0	(5,000)	0	(5,000)	0	0	0	0
Awards to Children of Deceased/Disabled Veterans	0	(200)	0	(200)	0	0	0	0
Connecticut Aid for Public College Students	0	0	0	0	0	1,275,000	0	1,275,000
Connecticut Aid to Charter Oak	0	(2,969)	0	(2,969)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(53,781)</b>	<b>0</b>	<b>(53,781)</b>	<b>0</b>	<b>1,275,000</b>	<b>0</b>	<b>1,275,000</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce various accounts by \$1,328,781 FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Reduce various accounts by \$53,781 in FY 14 and FY 15 to reflect the partial rollout of the Governor's FY 13 rescissions. Funding of \$1.275 million is maintained in the Connecticut Aid for Public College Student Grant in both FY 14 and FY 15.

**Establish the Governor's Scholarship Program**

Capitol Scholarship Program	0	(4,486,234)	0	(4,486,234)	0	0	0	0
Connecticut Independent College Student Grant	0	(15,958,319)	0	(15,958,319)	0	0	0	0
Connecticut Aid for Public College Students	0	(25,500,000)	0	(25,500,000)	0	(1,275,000)	0	(1,275,000)
Connecticut Aid to Charter Oak	0	(56,424)	0	(56,424)	0	0	0	0
Governor's Scholarship	0	42,011,398	0	43,623,498	0	1,275,000	0	2,887,100
<b>Total - General Fund</b>	<b>0</b>	<b>(3,989,579)</b>	<b>0</b>	<b>(2,377,479)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,612,100</b>

**Background**

The Office of Higher Education is responsible for four primary financial aid programs: the Capitol Scholarship program, the Connecticut Independent College Student Grant, the Connecticut Public College Student Grant program and Aid to Charter Oak.

**Governor**

Funding of \$40.7 million is transferred from various financial aid accounts into the new Governor's Scholarship account. This results in a \$4.0 million reduction to student financial aid. Section 14 of SB 844, An Act Implementing the Budget Recommendations of the Governor Concerning Higher Education, establishes the criteria for applying for and receiving financial aid.

**Legislative**

Funding of \$42 million in FY 14 and \$43.6 million in FY 15 is transferred from various financial aid accounts into the new Governor's Scholarship account. At least 38% of scholarship funds must be used at private institutions in FY 14 and at least 36% of scholarship funds must be used at private institutions in FY 15. Section 182 of PA 13-247, the general government implementer, provides for this change.

**Adjust Funding for English Language Learners Program**

English Language Learner Scholarship	0	0	0	0	0	95,000	0	95,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>95,000</b>	<b>0</b>	<b>95,000</b>

**Background**

Section 222 of PA 12-1, JSS, the budget implementer, established the English Language Learners program.

**Governor**

Reduce funding by \$95,000 to reflect the elimination of this program.

**Legislative**

Funding of \$95,000 is maintained in both FY 14 and FY 15 for the English Language Learners program.

**Adjust Funding for International Initiatives**

International Initiatives	0	0	0	0	0	66,500	0	66,500
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>66,500</b>	<b>0</b>	<b>66,500</b>

**Background**

The Baden-Württemberg-Connecticut Higher Education Exchange (BW-CT Exchange) provides opportunities for college and university students from all disciplines to receive credit for studies at institutions in the partner-state, and promotes the larger goals of economic development and cultural understanding.

The BW-CT Exchange began in 1991 as the result of a legislative partnership between the State of Connecticut and the German State of Baden-Württemberg. In FY 13, 57 Connecticut students attended college in Germany while 51 German students came to Connecticut for study. Another 30 students from Connecticut studied in Germany in the summer.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

The Parliament of Baden-Württemberg supports Connecticut students with an annual scholarship fund of \$250,000. Connecticut supports its students through a General Fund appropriation of \$66,500 and annual student fees totaling \$50,000.

#### Governor

Reduce funding by \$66,500 in FY 14 and FY 15 to reflect the elimination of support for the International Initiatives program.

#### Legislative

Funding of \$66,500 is maintained in both FY 14 and FY 15 for International Initiatives.

### Eliminate Inflationary Increases

Other Expenses	0	(29)	0	(3,592)	0	0	0	0
Capitol Scholarship Program	0	(102,475)	0	(242,395)	0	0	0	0
Connecticut Independent College Student Grant	0	(350,636)	0	(829,396)	0	0	0	0
Connecticut Aid for Public College Students	0	(553,350)	0	(1,308,897)	0	0	0	0
Connecticut Aid to Charter Oak	0	(1,289)	0	(3,049)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,007,779)</b>	<b>0</b>	<b>(2,387,329)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce various accounts by \$1,007,779 in FY 14 and \$2,387,329 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

### Transfer Business Functions from Board of Regents

Personal Services	6	543,795	6	558,308	0	60,000	0	60,000
<b>Total - General Fund</b>	<b>6</b>	<b>543,795</b>	<b>6</b>	<b>558,308</b>	<b>0</b>	<b>60,000</b>	<b>0</b>	<b>60,000</b>

#### Background

Sections 211-285 of PA 11-48 implement the creation of the BOR which includes the transfer of the Connecticut State University System, the Regional Community-Technical Colleges and Charter Oak State College.

#### Governor

Six positions and corresponding funding of \$483,795 in FY14 and \$498,308 in FY 15, and various business functions are reallocated from the Board of Regents. Of the six positions, four are currently vacant, one is a consultant position, two are Senior Consultant positions, and one is a Director position.

#### Legislative

Six positions and corresponding funding of \$543,795 in FY 14 and \$558,308 in FY 15, and various business functions are reallocated from the Board of Regents. Of the six positions, four are currently vacant, one is a consultant position, two are Senior Consultant positions, and one is a Director position.

### Reduce Funding from MAP to Reflect Anticipated Carry Forward

Minority Advancement Program	0	(661,069)	0	0	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(661,069)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Minority Advancement Program provides early intervention programs at the high school level to increase the pool of qualified minority students for higher education. Additionally, the program provides a performance-based grant program for students, focusing on retention.

Pursuant to CGS Section 4-89(f), funds were carried forward from FY 12 into FY 13 for this program.

#### Governor

Funding is reduced by \$661,069 in FY 14 to reflect the anticipated carry forward of FY 13 funding into FY 14.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	25,240	0	2,674	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>25,240</b>	<b>0</b>	<b>2,674</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$25,240 in FY 14 and \$2,674 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

#### Legislative

Same as Governor

### Support ARC Program Director with Fees and Tuition

Alternate Route to Certification	0	0	0	0	1	85,892	1	92,840
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>85,892</b>	<b>1</b>	<b>92,840</b>

#### Background

The Alternate Route to Certification (ARC) is a teacher preparation program that annually prepares 220 adults who are looking to change careers and enter the teaching profession.

The ARC program generates revenue from program fees and pays for instructors, facility costs, administrative support, and accreditation.

#### Governor

Funding is reduced by \$85,892 in FY 14 and \$92,840 in FY 15, as the Program Director will be funded with student tuition and fees.

#### Legislative

Funding of \$85,892 is maintained in FY 14 and \$92,840 is maintained in FY 15 to continue to fund the ARC Program Director from the General Fund.

### Remove Funding for Salary Increases for Appointed Officials

Personal Services	0	(8,304)	0	(17,445)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(8,304)</b>	<b>0</b>	<b>(17,445)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$8,304 in FY 14 and \$17,445 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

## Carry Forwards

### Carry Forward Minority Advancement Program Funds

Minority Advancement Program	0	1,326,810	0	0	0	1,326,810	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>1,326,810</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,326,810</b>	<b>0</b>	<b>0</b>

#### Legislative

Pursuant to CGS Sec. 4-89(f), an estimated \$1,326,810 is carried forward into FY 14 in the Minority Advancement Program account. See the 'Reduce Funding from MAP to Reflect Anticipated Carry Forward,' write-up for additional funding information on this program.

### Carry Forward Capitol Scholarship Program Funds

Governor's Scholarship	0	121,041	0	0	0	121,041	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>121,041</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>121,041</b>	<b>0</b>	<b>0</b>

#### Legislative

Pursuant to CGS Sec. 4-89(f); Sec. 179 of PA 13-247; and Sec. 14 of PA 12-1 (DSS), \$121,041 is carried forward from the former Capitol Scholarship Program into the newly created Governor's Scholarship Program. Pursuant to Sec. 14 of PA 12-1 (DSS) \$236,117 of the former Capitol Scholarship Program lapses and is not carried forward; therefore the remaining balance of \$121,041 is carried forward for the Governor's Scholarship Program.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	15	50,599,438	15	50,599,438	0	0	0	0
Current Services	0	1,346,269	0	2,809,557	0	0	0	0
Policy Revisions	6	(5,606,578)	6	(4,730,153)	1	1,582,392	1	3,201,440
Total Recommended - GF	21	46,339,129	21	48,678,842	1	1,582,392	1	3,201,440

**Other Significant Legislation****PA 13-247, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2015  
Concerning General Government**

Sections 174-185 and 387 of the act establish the Governor's Scholarship program as a single, consolidated state financial aid program for Connecticut residents who are undergraduates at in-state public and private higher education institutions. The act replaces the state's existing undergraduate student aid programs: Connecticut Aid to Public College Students (CAPCS), Connecticut Independent College Student Grant (CICSG), the Capitol Scholarship, and Connecticut Aid to Charter Oak. It limits eligibility for the Governor's Scholarship to Connecticut residents enrolled in at least six semester credit hours and pursuing their first associate or bachelor degree. Additionally, the act establishes four award categories: (1) need and merit-based award, (2) need-based award, (3) performance incentive pool, and (4) Charter Oak Grant. The act also specifies how the appropriation for the program must be allocated across these categories and establishes reporting and audit requirements for the program. The budget includes \$42 million in FY 14 and \$43.6 million in FY 15 in the new Governor's Scholarship account to support the state financial aid program.

**PA 13-208, An Act Concerning Various Revisions to the Public Health Statutes**

Section 64 of the act requires any program, school, or entity that offers instruction in barbering or hairdressing for remuneration to obtain a certificate of authorization from the Office of Higher Education's (OHE) executive director. Under the act, each entity approved on or before July 1, 2013 by the Connecticut Examining Board for Barbers, Hairdressers, and Cosmeticians that applies to OHE for initial authorization must pay a \$500 application fee, which must be made payable to the General Fund's private occupational school student protection account. These entities would be subject to OHE's existing initial and renewal fees for private occupational school authorization certificates (\$2,000 for initial application if not previously authorized by the board, \$200 for a renewal, and \$200 for each initial and renewal branch authorization). Authorizations are renewable annually for the first three years, after which they are renewable for up to five years. The act prohibits an individual or entity from establishing a new barber or hairdressing entity on or after July 1, 2013 without first obtaining a certificate of authorization from OHE's executive director.

**PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$7,365, a General Other Expenses Lapse of \$876, and a Statewide Hiring Reduction Lapse of \$4,881. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	1,658,563	(11,802)	1,646,761	0.71%
Other Expenses	106,911	(1,320)	105,591	1.23%

## University of Connecticut

## UOC67000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	2,901	2,931	2,347	2,413	2,347	2,413
Permanent Full-Time - OF	1,952	2,617	2,617	2,617	2,617	2,617

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Other Current Expenses						
Operating Expenses	193,690,481	192,336,268	300,722,839	340,862,102	202,067,550	229,098,979
Tuition Freeze	4,267,696	4,267,696	0	0	0	0
Regional Campus Enhancement	7,538,003	7,538,003	0	0	0	0
Veterinary Diagnostic Laboratory	90,000	90,000	0	0	0	0
CommPACT Schools	0	500,000	0	0	475,000	475,000
Connecticut Center for Advanced Technology	0	500,000	0	0	0	0
Other Than Payments to Local Governments						
Kirklyn M. Kerr Grant Program	0	400,000	0	0	400,000	400,000
Agency Total - General Fund	205,586,180	205,631,967	300,722,839	340,862,102	202,942,550	229,973,979
Additional Funds Available						
Federal & Other Restricted Act	102,779,187	99,255,489	99,040,580	98,815,580	99,040,580	98,815,580
UCONN Research Foundation	32,879,173	33,785,000	33,358,107	33,636,457	33,358,107	33,636,457
University of Connecticut Operating Fund	686,494,310	716,276,918	757,461,456	793,792,081	757,461,456	793,792,081
Agency Grand Total	1,027,738,850	1,054,949,374	1,190,582,982	1,267,106,220	1,092,802,693	1,156,218,097

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

## Annualize Costs of New Buildings

Operating Expenses	0	31,408	0	302,416	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>31,408</b>	<b>0</b>	<b>302,416</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## Governor

Provide funding of \$31,408 in FY 14 and \$302,416 in FY 15 for the annualization of new buildings.

## Legislative

Same as Governor

## Adjust Funding to Reflect Wage &amp; Compensation Related Costs

Operating Expenses	45	8,439,198	45	19,816,224	0	0	0	0
Regional Campus Enhancement	2	326,574	2	709,969	0	0	0	0
<b>Total - General Fund</b>	<b>47</b>	<b>8,765,772</b>	<b>47</b>	<b>20,526,193</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Provide funding of \$8,765,772 in FY 14 and \$20,526,193 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

**Legislative**

Same as Governor

**Cancel Unfunded Positions**

Operating Expenses	(631)	0	(631)	0	0	0	0	0
<b>Total - General Fund</b>	<b>(631)</b>	<b>0</b>	<b>(631)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

The authorized position count is reduced by 631 positions in both FY 14 and FY 15 through the cancellation process.

**Legislative**

Same as Governor

***Policy Revisions*****Rollout of FY 13 Rescissions**

Operating Expenses	0	(9,616,813)	0	(9,616,813)	0	0	0	0
Tuition Freeze	0	(213,384)	0	(213,384)	0	0	0	0
Regional Campus Enhancement	0	(376,900)	0	(376,900)	0	0	0	0
Veterinary Diagnostic Laboratory	0	(4,500)	0	(4,500)	0	0	0	0
CommPACT Schools	0	(25,000)	0	(25,000)	0	0	0	0
Connecticut Center for Advanced Technology	0	(25,000)	0	(25,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(10,261,597)</b>	<b>0</b>	<b>(10,261,597)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$10,261,597 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Adjust the Kirklyn M Kerr Veterinary Grant Program**

Kirklyn M. Kerr Grant Program	0	0	0	0	0	400,000	0	400,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>400,000</b>	<b>0</b>	<b>400,000</b>

**Background**

The Kirklyn M Kerr Veterinary grant program secures slots for Connecticut residents pursuing veterinary medicine at Iowa State University. The current agreement secures five slots at a rate of \$20,000 per slot. Total funding of \$400,000 provides for four cohorts.

**Governor**

Reduce funding by \$400,000 to reflect the elimination of the Kirklyn M Kerr Veterinary grant program.

**Legislative**

Funds totaling \$400,000 are maintained for the Kirklyn M Kerr Veterinary grant program to ensure continued access to veterinary programs for Connecticut residents.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Next Generation CT

Operating Expenses	0	0	66	15,000,000	0	0	0	(2,400,000)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>66</b>	<b>15,000,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,400,000)</b>

#### Background

Next Generation Connecticut is an initiative that includes the following:

- Increasing total enrollment by 6,580 (30%). Of those, almost 3,300 would be STEM students, including 70% more engineering students. About 5,000 of the students would be enrolled at the Storrs campus, and about 1,500 would attend UConn in Stamford.
- Revolutionizing STEM infrastructure at the Storrs campus by building facilities to house materials science, physics, biology, engineering, cognitive science, genomics studies, labs, and related disciplines. Aging infrastructure would also be updated to accommodate new faculty and students.
- Developing a residential living community in which those students can share their experiences, innovative ideas, and camaraderie beyond the classrooms and labs in which they will study.
- Relocating the Greater Hartford campus to downtown Hartford, and increasing digital media and risk management degrees at UConn-Stamford, where student housing would also be built.
- Hiring 259 new faculty members in addition to the 290 already in the current faculty hiring plan. Of the 259 additional new faculty hires, 200 would be dedicated specifically to STEM programs. This would be on top of the 175 STEM-specific faculty members already being hired under the previously announced faculty hiring plan.

#### Governor

Provide funding of \$17,400,000 in FY 15 along with 66 positions as a part of the phase-in of Next Generation Connecticut.

#### Legislative

Provide funding of \$15,000,000 in FY 15 along with 66 positions as a part of the phase-in of Next Generation Connecticut.

### Transfer Fringe Benefit Costs for Higher Education Units

Operating Expenses	0	0	0	0	0	(97,180,289)	0	(107,888,123)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(97,180,289)</b>	<b>0</b>	<b>(107,888,123)</b>

#### Background

Currently, the fringe benefits for the General Fund positions within the Higher Education units are budgeted centrally in the Office of the State Comptroller – Fringe Benefits accounts. This includes: Unemployment, State Employee Retirement System (SERS), Alternative Retirement Plan (ARP), Group Life, Social Security, and Medical Insurance.

#### Governor

Transfer funding of \$97,180,289 in FY 14 and \$107,888,123 in FY 15 from the OSC Fringe Benefit accounts to this agency to reflect the reallocation of fringe benefit costs for the Higher Education units.

#### Legislative

Funding for fringe benefits is maintained in the Office of the State Comptroller - Fringe Benefits accounts.

### Reduce Funding for the CT Center for Advanced Technology

Connecticut Center for Advanced Technology	0	(225,000)	0	(225,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(225,000)</b>	<b>0</b>	<b>(225,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Connecticut Center for Advanced Technology's (CCAT) core goal is to help companies to improve efficiencies in their day-to-day operations, and as part of that goal CCAT offers clients a range of services aimed at assisting companies in that mission.

#### Governor

Reduce funding by \$225,000 in FY 14 and FY 15 for CCAT.

#### Legislative

Same as Governor



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Funding to Streamline Budget Account Structure

Operating Expenses	0	11,877,489	0	12,260,884	0	(475,000)	0	(475,000)
Tuition Freeze	0	(4,054,312)	0	(4,054,312)	0	0	0	0
Regional Campus Enhancement	0	(7,487,677)	0	(7,871,072)	0	0	0	0
Veterinary Diagnostic Laboratory	0	(85,500)	0	(85,500)	0	0	0	0
CommPACT Schools	0	0	0	0	0	475,000	0	475,000
Connecticut Center for Advanced Technology	0	(250,000)	0	(250,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

#### Governor

Transfer funding of \$12,352,489 in FY 14 and \$12,735,884 in FY 15 from various accounts to the Operating Expenses account to reflect the streamlining of agency budgetary accounts.

#### Legislative

Transfer funding of \$11,877,489 in FY 14 and \$12,260,884 in FY 15 from various accounts to the Operating Expenses account to reflect the streamlining of agency budgetary accounts.

### Reduce Funding for Block Grant

Operating Expenses	0	(1,000,000)	0	(1,000,000)	0	(1,000,000)	0	(1,000,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(1,000,000)</b>	<b>0</b>	<b>(1,000,000)</b>	<b>0</b>	<b>(1,000,000)</b>	<b>0</b>	<b>(1,000,000)</b>

#### Legislative

Reduce funding of \$1,000,000 in both FY 14 and FY 15 in Operating Expenses.

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Governor Estimated - GF</b>	<b>2,931</b>	<b>205,631,967</b>	<b>2,931</b>	<b>205,631,967</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	(584)	8,797,180	(584)	20,828,609	0	0	0	0
Policy Revisions	0	(11,486,597)	66	3,513,403	0	(97,780,289)	0	(110,888,123)
<b>Total Recommended - GF</b>	<b>2,347</b>	<b>202,942,550</b>	<b>2,413</b>	<b>229,973,979</b>	<b>0</b>	<b>(97,780,289)</b>	<b>0</b>	<b>(110,888,123)</b>

### Other Significant Legislation

#### PA 13-233, An Act Concerning Next Generation Connecticut

The act authorizes \$1,551 million in new bonds for "Next Generation Connecticut," a capital improvement program under the UConn 2000 infrastructure program.

This act specifies the purpose of the Next Generation program and requires UConn to develop a comprehensive plan to guide the program's investments. It requires UConn to: (1) develop the plan in consultation with various groups, including leaders in the science, technology, engineering, and math-related industries and (2) annually report to the legislature, beginning January 1, 2016, on its progress towards achieving the plan's goals. It also requires UConn to assess its progress in meeting the Next Generation program's purposes by December 31, 2019 and five years thereafter. Lastly, this act requires UConn to develop a strategic master plan that: (1) encompasses all of its academic programs and (2) establishes strategic goals and objectives for the university and such programs. UConn must submit the plan, by July 1, 2015, to the Higher Education and Finance committees. The committees must hold a joint hearing on the plan within 30 days of receiving it. Funding of \$15 million and 66 positions is included in FY 15 in UConn's budget as part of the phase-in of Next Generation Connecticut.



### **PA 13-247, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2015 Concerning General Government**

Section 57 transfers up to \$100,000 appropriated to UConn in FY 14 to the Department of Energy and Environmental Protection (DEEP) for purposes of performing an investigation into the quality of groundwater flow in bedrock. Section 56 of the act requires DEEP to conduct an assessment of the practices employed at the UConn Plant Science Research and Education Facility including examination of procedures for storage and application of pesticides and an evaluation of the water testing regimen.

### **PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$845,634. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Operating Expenses	202,067,550	(845,634)	201,221,916	0.42%

# University of Connecticut Health Center

## UHC72000

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	1,641	1,661	1,680	1,698	1,680	1,698
Permanent Full-Time - OF	2,447	3,270	3,348	3,438	3,348	3,438

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Other Current Expenses						
Operating Expenses	107,953,871	112,160,920	194,622,608	208,016,570	125,061,891	135,415,234
AHEC	504,923	505,707	0	0	480,422	480,422
GAAP Adjustments	0	0	1,015,846	1,103,433	1,015,846	1,103,433
Agency Total - General Fund	108,458,794	112,666,627	195,638,454	209,120,003	126,558,159	136,999,089
Additional Funds Available						
UConn Health Center Clinical Programs	259,823,204	260,393,551	315,103,000	331,445,000	315,103,000	331,445,000
UConn Health Center Operating Fund	283,342,090	305,783,001	318,219,284	332,832,756	318,219,284	332,832,756
UConn Health Ctr Research Foundation	99,269,267	94,638,485	88,779,000	91,570,000	88,779,000	91,570,000
Agency Grand Total	750,893,355	773,481,664	917,739,738	964,967,759	848,659,443	892,846,845

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Operating Expenses	0	4,542,247	0	10,030,356	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>4,542,247</b>	<b>0</b>	<b>10,030,356</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$4,542,247 in FY 14 and \$10,030,356 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Provide Funding for New Bioscience Initiative Positions

Operating Expenses	19	11,900,724	37	16,765,958	0	0	0	0
<b>Total - General Fund</b>	<b>19</b>	<b>11,900,724</b>	<b>37</b>	<b>16,765,958</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Bioscience Connecticut Initiative is intended to generate long term, sustainable economic growth based on bioscience research, innovation, entrepreneurship and commercialization.

#### Governor

Provide \$11,900,724 in FY 14 and \$16,765,958 in FY 15 for operating support for the Bioscience Initiative. These funds will support 19 clinical scientist faculty and researchers in FY 14 and an additional 18 such positions in FY 15.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	1,328,017	0	1,129,447	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,328,017</b>	<b>0</b>	<b>1,129,447</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$1,328,017 in FY 14 and \$1,129,447 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Adjust Operating Fund Support

Operating Expenses	0	0	0	0	0	1,486,437	0	1,486,437
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,486,437</b>	<b>0</b>	<b>1,486,437</b>

#### Governor

Reduce funding by \$1,486,437 in FY 14 and FY 15 for the Operating Fund.

#### Legislative

Maintain funding by \$1,486,437 in FY 14 and FY 15 for the Operating Fund.

### Rollout of FY 13 DMP

Operating Expenses	0	(4,121,609)	0	(4,121,609)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(4,121,609)</b>	<b>0</b>	<b>(4,121,609)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

#### Governor

Reduce funding by \$4,121,609 in FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

#### Legislative

Same as Governor

### Modify Fire Department Operations

Operating Expenses	0	(420,391)	0	(420,391)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(420,391)</b>	<b>0</b>	<b>(420,391)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$420,391 in FY 14 and FY 15 to reflect modified operations at the UConn Health Center fire department. These modifications include reducing overtime costs by billing municipalities for paramedic services, recouping neo-natal transport costs, and increasing support from local fire departments.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Fringe Benefit Costs for Higher Education Units

Operating Expenses	0	0	0	0	0	(71,566,732)	0	(74,607,351)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(71,566,732)</b>	<b>0</b>	<b>(74,607,351)</b>

#### Background

Currently, the fringe benefits for the General Fund positions within the Higher Education units are budgeted centrally in the Office of the State Comptroller – Fringe Benefits accounts. This includes: Unemployment, State Employee Retirement System (SERS), Alternative Retirement Plan (ARP), Group Life, Social Security, and Medical Insurance.

#### Governor

Transfer funding of \$71,566,732 in FY 14 and \$74,607,351 in FY 15 from the OSC Fringe Benefit accounts to this agency to reflect the reallocation of fringe benefit costs for the Higher Education units.

#### Legislative

Maintain funding for fringe benefits at the Office of the State Comptroller.

### Transfer Funding to Streamline Budget Account Structure

Operating Expenses	0	0	0	0	0	(480,422)	0	(480,422)
AHEC	0	0	0	0	0	480,422	0	480,422
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

#### Governor

Transfer funding of \$480,422 in FY 14 and FY 15 from the AHEC (Area Health Education Centers) account to the Operating Expenses account to reflect the streamlining of agency budgetary accounts.

#### Legislative

Maintain current account structure.

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(312,171)	0	(26,014)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(312,171)</b>	<b>0</b>	<b>(26,014)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$312,171 in FY 14 and \$26,014 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

#### Legislative

Same as Governor

### Rollout of FY 13 Rescissions

AHEC	0	(25,285)	0	(25,285)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(25,285)</b>	<b>0</b>	<b>(25,285)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding by \$25,285 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Clinical & Translational Science

Operating Expenses	0	1,000,000	0	1,000,000	0	1,000,000	0	1,000,000
<b>Total - General Fund</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>

#### Background

The Connecticut Institute for Clinical and Translational Science (CICATS) was created in 2009 to transform and improve the way clinical and translational science is conceived, conducted, and disseminated in our region, and to collaborate with similar institutes throughout the nation.

#### Legislative

Provide funding of \$1 million in both FY 14 and FY 15 for CICATS. This includes funding for: 1) enhanced development of Core Interest Groups (CIGs) at the Health Center (\$200,000); 2) development of the African American and Latino Pipeline in academia (\$300,000); 3) development of the relationship between CICATS and the Cobb/NMA Health Institute (\$200,000); 4) community engagement with the development of clinical interventions and evaluation instruments with the community (\$100,000); and 5) other program priorities determined by CICATs leadership.

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	1,661	112,666,627	1,661	112,666,627	0	0	0	0
Current Services	19	17,770,988	37	27,925,761	0	0	0	0
Policy Revisions	0	(3,879,456)	0	(3,593,299)	0	(69,080,295)	0	(72,120,914)
<b>Total Recommended - GF</b>	<b>1,680</b>	<b>126,558,159</b>	<b>1,698</b>	<b>136,999,089</b>	<b>0</b>	<b>(69,080,295)</b>	<b>0</b>	<b>(72,120,914)</b>

### Other Significant Legislation

#### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$523,372. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Operating Expenses	125,061,891	(523,372)	124,538,519	0.42%

# Teachers' Retirement Board

TRB77500

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	27	27	27	27	27	27

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	1,445,039	1,435,749	1,628,071	1,707,570	1,628,071	1,707,570
Other Expenses	254,779	634,381	563,290	575,197	563,290	575,197
Equipment	0	1	1	1	1	1
<b>Other Than Payments to Local Governments</b>						
Retirement Contributions	757,246,000	787,536,000	948,540,000	984,110,000	948,540,000	984,110,000
Retirees Health Service Cost	27,886,285	16,374,940	0	0	16,912,000	21,214,000
Municipal Retiree Health Insurance Costs	7,372,718	5,915,610	0	0	5,447,370	5,447,370
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>14,038</b>	<b>10,466</b>	<b>14,038</b>	<b>10,466</b>
<b>Agency Total - General Fund</b>	<b>794,204,821</b>	<b>811,896,681</b>	<b>950,745,400</b>	<b>986,403,234</b>	<b>973,104,770</b>	<b>1,013,064,604</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	278,009	0	361,693	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>278,009</b>	<b>0</b>	<b>361,693</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$278,009 in FY 14 and \$361,693 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Adjust Operating Expenses to Reflect Current Requirements

Retirees Health Service Cost	0	8,841,060	0	14,576,060	0	0	0	0
Municipal Retiree Health Insurance Costs	0	1,347,550	0	1,347,550	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>10,188,610</b>	<b>0</b>	<b>15,923,610</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$10,188,610 in FY 14 and \$15,923,610 in FY 15 in the two TRB health accounts to reflect FY 14 and FY 15 anticipated expenditure requirements. These costs reflect health care cost and enrollment trends.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Fully Fund Retirement Contribution

Retirement Contributions	0	161,004,000	0	196,574,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>161,004,000</b>	<b>0</b>	<b>196,574,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Teachers' Retirement System (TRS) is funded on an actuarial basis and requires full funding of the actuarial required contribution. Based on the 6/30/12 valuation, the system had assets of \$13.7 billion and liabilities of \$24.8 billion resulting in an unfunded liability of \$11.1 billion and a funded ratio of 55%.

#### Governor

Provide funding of \$161 million in FY 14 and \$196.6 million in FY 15 to fully fund the state's actuarial required contribution to the TRS.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	18,705	0	10,955	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>18,705</b>	<b>0</b>	<b>10,955</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$18,705 in FY 14 and \$10,955 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	13,767	0	32,564	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>13,767</b>	<b>0</b>	<b>32,564</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$13,767 in FY 14 and an additional \$18,797 in FY 15 (for a cumulative total of \$32,564 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

## Policy Revisions

### Adjust State Share of Retiree Health Service Costs

Retirees Health Service Cost	0	(8,304,000)	0	(9,737,000)	0	16,912,000	0	21,214,000
<b>Total - General Fund</b>	<b>0</b>	<b>(8,304,000)</b>	<b>0</b>	<b>(9,737,000)</b>	<b>0</b>	<b>16,912,000</b>	<b>0</b>	<b>21,214,000</b>

#### Background

The Teachers' Retirement Board (TRB) is required to offer one or more health plans to retired Teachers' Retirement System members who are participating in Medicare. The TRB health plan is funded on a self-insured basis. The statutory cost sharing arrangement requires that retirees, the state and the TRB health fund each pay one-third of the total cost. The TRB health fund is funded through active teachers' 1.25% contributions. CGS 10 - 183t governs TRB health insurance. For FY 13, the state reduced its contribution from 33% to 25% and increased the TRB health fund share from 33% to 42%.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Funding for the state's one-third share of the TRB health plan is eliminated for the 20013 -15 Biennium, resulting in a reduction of \$25.2 million in FY 14 and \$31 million in FY 15.

**Legislative**

Funding is reduced by \$8.3 million in FY 14 and \$9.7 million FY 15 to reflect a state contribution of 25% of the TRB health plan costs. Section 21 of PA 13-184, the FY 14 and FY 15 Budget, implements this provision.

**Adjust State Share of Municipal Health Subsidy**

Municipal Retiree Health Insurance Costs	0	(1,815,790)	0	(1,815,790)	0	5,447,370	0	5,447,370
<b>Total - General Fund</b>	<b>0</b>	<b>(1,815,790)</b>	<b>0</b>	<b>(1,815,790)</b>	<b>0</b>	<b>5,447,370</b>	<b>0</b>	<b>5,447,370</b>

**Background**

The municipal subsidy is provided to those retirees and dependents with health insurance through their last employing board of education. The amount that eligible retirees receive is statutorily set at \$110 per month for most members and \$220 per month for members who are 65 or older, not Medicare eligible and paying more than \$220 per month for health insurance. The state is required to pay one-third of the cost of the subsidy according to statute pursuant to CGS 10 - 183t. For FY 13, the state share of the municipal subsidy was reduced from 33% to 25%. The balance of the subsidy is paid from the TRB health fund and the fund's share increased from 66% to 75%.

**Governor**

Funding for the state's one-third share of the TRB municipal health insurance subsidy is eliminated for the 20014 - 15 Biennium, resulting in a reduction of \$7.3 million in FY 14 and FY 15.

**Legislative**

Funding of \$1.8 million is reduced in both FY 14 and FY15 to reflect a state contribution of 25% of the municipal subsidy cost. Section 21 of PA 13-184, the FY 14 and FY 15 Budget, implements this provision.

**Reduce Personal Services**

Personal Services	0	(85,687)	0	(89,872)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(85,687)</b>	<b>0</b>	<b>(89,872)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Funding for Personal Services is reduced by \$85,687 in FY 14 and \$89,872 in FY 15 to reflect the reclassification of vacant positions.

**Legislative**

Same as Governor

**Reduce Claims Audit Expense**

Other Expenses	0	(32,407)	0	(33,347)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(32,407)</b>	<b>0</b>	<b>(33,347)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Funding is reduced by \$32,407 in FY 14 and \$33,347 in FY 15 to reflect a reduction in the claims audit contract.

**Legislative**

Same as Governor

**Rollout of FY 13 Rescissions**

Other Expenses	0	(31,719)	0	(32,639)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(31,719)</b>	<b>0</b>	<b>(32,639)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$31,719 in FY 14 and \$32,639 FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Eliminate Inflationary Increases**

Other Expenses	0	(13,767)	0	(18,797)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(13,767)</b>	<b>0</b>	<b>(18,797)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce Other Expenses by \$13,767 in FY 14 and \$18,797 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Transfer Funding - Centralize Courier & Mail Services in DAS**

Other Expenses	0	(6,965)	0	(6,965)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(6,965)</b>	<b>0</b>	<b>(6,965)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Transfer funding of \$6,965 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

**Legislative**

Same as Governor

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(4,667)	0	(489)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(4,667)</b>	<b>0</b>	<b>(489)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$4,667 in FY 14 and \$489 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

**Legislative**

Same as Governor

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	27	811,896,681	27	811,896,681	0	0	0	0
Current Services	0	171,503,091	0	212,902,822	0	0	0	0
Policy Revisions	0	(10,295,002)	0	(11,734,899)	0	22,359,370	0	26,661,370
<b>Total Recommended - GF</b>	<b>27</b>	<b>973,104,770</b>	<b>27</b>	<b>1,013,064,604</b>	<b>0</b>	<b>22,359,370</b>	<b>0</b>	<b>26,661,370</b>

**Other Significant Legislation****PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$9,131, a General Other Expenses Lapse of \$4,614, and a Statewide Hiring Reduction Lapse of \$4,792. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	1,628,071	(11,585)	1,616,486	0.71%
Other Expenses	563,290	(6,952)	556,338	1.23%

# Board of Regents for Higher Education

BOR77700

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	4,463	4,528	4,533	4,548	4,599	4,614
Permanent Full-Time - OF	2,253	1,256	1,256	1,256	1,256	1,256

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Other Current Expenses						
National Service Act	0	328,365	0	0	0	0
Charter Oak State College	2,475,265	2,456,083	3,287,900	3,434,213	2,377,493	2,475,851
Community Tech College System	144,504,819	143,196,097	227,795,428	239,361,020	148,745,337	155,900,920
Connecticut State University	142,044,999	141,194,660	224,123,979	235,451,031	148,631,924	155,542,999
Board of Regents	0	1,274,581	1,321,815	1,359,121	663,017	668,841
GAAP Adjustments	0	0	447,623	979,321	447,623	979,321
Agency Total - General Fund	289,025,083	288,449,786	456,976,745	480,584,706	300,865,394	315,567,932
Additional Funds Available						
Federal & Other Restricted Act	13,440,421	7,990,675	8,650,982	9,486,080	8,650,982	9,486,080
Private Contributions	14,113,027	9,734,873	10,669,063	11,107,769	10,669,063	11,107,769
Reg Comm-Tech College Operating and Tuition	473,619,506	212,351,028	216,561,035	225,614,728	216,561,035	225,614,728
Special Funds, Non-Appropriated	48,673,908	46,261,994	44,052,698	43,616,351	44,052,698	43,616,351
St University Operating and Tuition Fund	486,725,845	495,285,788	517,979,898	543,531,901	517,979,898	543,531,901
Agency Grand Total	1,325,597,790	1,060,074,144	1,254,890,421	1,313,941,535	1,098,779,070	1,148,924,761

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Current Services

### Adjust Funding to Reflect Wage & Compensation Related Costs

Charter Oak State College	0	44,214	0	142,572	0	0	0	0
Community Tech College System	0	3,120,272	0	10,199,022	0	0	0	0
Connecticut State University	0	1,650,733	0	6,818,175	0	0	0	0
Board of Regents	0	26,417	0	80,284	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>4,841,636</b>	<b>0</b>	<b>17,240,053</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$4,841,636 in FY 14 and \$17,240,053 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Annualize Funding of Manufacturing Program at Asnuntuck

Community Tech College System	0	39,525	0	39,525	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>39,525</b>	<b>0</b>	<b>39,525</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Asnuntuck Manufacturing Technology program provides students with technology and academic education that leads to related career employment and continuous personal and professional development.

#### Governor

Provide funding of \$39,525 in both FY 14 and FY 15 to reflect the annualization of the expanded manufacturing technology program at Asnuntuck.

#### Legislative

Same as Governor

### Provide Funding for New Manufacturing Programs

Community Tech College System	6	863,100	6	910,572	0	0	0	0
<b>Total - General Fund</b>	<b>6</b>	<b>863,100</b>	<b>6</b>	<b>910,572</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Manufacturing technology programs provide students with technology and academic education that leads to related career employment and continuous personal and professional development.

#### Governor

Provide funds totaling \$863,100 in FY 14 and \$910,572 in FY 15 along with an associated six positions for the manufacturing technology programs established in FY 13 at Housatonic Community College, Central Naugatuck Valley Community College and Quinebaug Community College.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Community Tech College System	0	42,203	0	99,827	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>42,203</b>	<b>0</b>	<b>99,827</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for various accounts by \$42,203 in FY 14 and an additional \$57,624 in FY 15 (for a cumulative total of \$99,827 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

### Provide Funding for New Building Openings

Connecticut State University	10	756,531	25	2,530,164	0	0	0	0
<b>Total - General Fund</b>	<b>10</b>	<b>756,531</b>	<b>25</b>	<b>2,530,164</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$756,531 and 10 positions in FY 14 and \$2,530,164 and 25 positions in FY 15 for employee and facilities costs associated with the opening of new buildings.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer National Service Act Funding

National Service Act	0	(328,365)	0	(328,365)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(328,365)</b>	<b>0</b>	<b>(328,365)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Connecticut Commission on National and Community Service was established in 1993 by an Executive Order of the Governor under the National and Community Service Trust Act of 1993. The primary mandate of the commission is to administer AmeriCorps programs in the state. The commission utilizes federal resources to reinforce, expand and start-up quality community service programs that help meet critical needs in education, public safety, health and the environment. The community service program participants, upon successful completion of a year of full-time service, are eligible to receive an education award of \$5,550 that can be used to pay for college or graduate school, or to pay back qualified student loans.

#### Governor

Transfer funding of \$328,365 in both FY 14 and FY 15 to the Office of Higher Education to properly reflect the administering body for the National Service Act.

#### Legislative

Same as Governor

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	781,566	0	979,751	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>781,566</b>	<b>0</b>	<b>979,751</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional – Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$781,566 in FY 14 and \$979,751 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Funding for Additional Professors

Connecticut State University	40	4,000,000	40	4,000,000	40	4,000,000	40	4,000,000
<b>Total - General Fund</b>	<b>40</b>	<b>4,000,000</b>	<b>40</b>	<b>4,000,000</b>	<b>40</b>	<b>4,000,000</b>	<b>40</b>	<b>4,000,000</b>

#### Legislative

Funds totaling \$4,000,000 and an associated 40 positions are provided in FY 14 and FY 15 for additional faculty positions at the Connecticut State University System.

### Provide for Remediation Program

Community Tech College System	20	2,000,000	20	2,000,000	20	2,000,000	20	2,000,000
<b>Total - General Fund</b>	<b>20</b>	<b>2,000,000</b>	<b>20</b>	<b>2,000,000</b>	<b>20</b>	<b>2,000,000</b>	<b>20</b>	<b>2,000,000</b>

#### Legislative

Funds totaling \$2,000,000 and an associated 20 positions are provided in FY 14 and FY 15 for remediation programs in the Community Technical College System necessitated by PA 12 - 40, An Act Concerning College Readiness and Completion.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide for Additional Academic Counselors

Connecticut State University	10	1,000,000	10	1,000,000	10	1,000,000	10	1,000,000
<b>Total - General Fund</b>	<b>10</b>	<b>1,000,000</b>	<b>10</b>	<b>1,000,000</b>	<b>10</b>	<b>1,000,000</b>	<b>10</b>	<b>1,000,000</b>

#### Legislative

Funds totaling \$1,000,000 and an associated 10 positions are provided in FY 14 and FY 15 for additional academic counselors at the Connecticut State University System.

### Rollout of FY 13 Rescissions

Charter Oak State College	0	(122,804)	0	(122,804)	0	0	0	0
Community Tech College System	0	0	0	0	0	2,863,920	0	2,863,920
Connecticut State University	0	0	0	0	0	2,823,893	0	2,823,893
Board of Regents	0	(63,729)	0	(63,729)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(186,533)</b>	<b>0</b>	<b>(186,533)</b>	<b>0</b>	<b>5,687,813</b>	<b>0</b>	<b>5,687,813</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$5,874,346 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Reduce funding of \$186,533 in both FY 14 and FY 15 to reflect a partial rollout of the Governor's FY 13 rescissions.

Funding of \$5,687,813 is retained to fill vacant faculty positions and provide for additional counselors. At least ten percent of the funding is to be used for additional counselors. The vacant positions were not filled in FY 13 due to the rescissions.

### Transfer Fringe Benefit Costs from OSC

Charter Oak State College	0	0	0	0	0	(910,407)	0	(958,362)
Community Tech College System	0	0	0	0	0	(83,914,011)	0	(88,324,020)
Connecticut State University	0	0	0	0	0	(83,345,948)	0	(87,731,925)
Board of Regents	0	0	0	0	0	(598,798)	0	(630,280)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(168,769,164)</b>	<b>0</b>	<b>(177,644,587)</b>

#### Background

Currently, the fringe benefits for the General Fund positions within the Higher Education units are budgeted centrally in the Office of the State Comptroller (OSC) - Fringe Benefits accounts. This includes: Unemployment, State Employee Retirement System (SERS), Alternative Retirement Plan (ARP), Group Life, Social Security, and Medical Insurance.

#### Governor

Transfer funding of \$168,769,164 in FY 14 and \$177,644,587 in FY 15 from the OSC Fringe Benefit accounts to Board of Regents to reflect the reallocation of fringe benefit costs for the Higher Education units.

#### Legislative

Fringe benefits are maintained in the Office of the State Comptroller - Fringe Benefits accounts.

### Transfer Functions to the Office of Early Childhood

Community Tech College System	(9)	(473,657)	(9)	(486,499)	(4)	0	(4)	0
<b>Total - General Fund</b>	<b>(9)</b>	<b>(473,657)</b>	<b>(9)</b>	<b>(486,499)</b>	<b>(4)</b>	<b>0</b>	<b>(4)</b>	<b>0</b>

#### Background

PA 11-181 AAC Early Childhood Education and the Establishment of a Coordinated System of Early Care and Education and Child Development, established provisions for a coordinated system of early care and education and child development.

Connecticut Charts-A-Course (CCAC) is the statewide professional development, program improvement and registry system for early care and education. CCAC offers a variety of supports to assist both individuals and programs in obtaining quality standards.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Transfer funding of \$473,657 in FY 14 and \$486,499 in FY 15 and five positions to the Office of Early Childhood. This reflects transferring Connecticut Charts-A-Course to the new agency for a coordinated system of early childhood care and education.

**Legislative**

Transfer funding of \$473,657 in FY 14 and \$486,499 in FY 15 and nine positions to the Office of Early Childhood. This reflects transferring Connecticut Charts-A-Course to the new agency for a coordinated system of early childhood care and education.

**Remove Funding for Salary Increases for Appointed Officials**

Board of Regents	0	(30,457)	0	(63,987)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(30,457)</b>	<b>0</b>	<b>(63,987)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$30,457 in FY 14 and \$63,987 in FY 15 to reflect the elimination of salary increases for appointed officials.

**Legislative**

Same as Governor

**Provide Funding for Faculty Development**

Connecticut State University	0	30,000	0	0	0	30,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>30,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>30,000</b>	<b>0</b>	<b>0</b>

**Legislative**

Funds totaling \$30,000 are provided in FY 14 for faculty development in the area of educating students in teacher preparation programs in how children learn and develop socially and emotionally as required in PA 13-133, An Act Concerning Teacher Education Programs.

**Transfer Business Functions to the OHE**

Board of Regents	(6)	(543,795)	(6)	(558,308)	0	(60,000)	0	(60,000)
<b>Total - General Fund</b>	<b>(6)</b>	<b>(543,795)</b>	<b>(6)</b>	<b>(558,308)</b>	<b>0</b>	<b>(60,000)</b>	<b>0</b>	<b>(60,000)</b>

**Background**

Business functions for the Board of Regents are currently performed within the Office of Higher Education.

**Governor**

Transfer funding of \$483,795 in FY 14 and \$498,308 in FY 15 along with six positions from the Board of Regents to the Office of Higher Education (OHE) to reflect the transfer of business functions.

**Legislative**

Transfer funding of \$543,795 in FY 14 and \$558,308 in FY 15 along with six positions from the Board of Regents to the Office of Higher Education (OHE) to reflect the transfer of business functions.

**Eliminate Inflationary Increases**

Community Tech College System	0	(42,203)	0	(57,624)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(42,203)</b>	<b>0</b>	<b>(57,624)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$42,203 in FY 14 and \$57,624 in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(333,943)	0	(430)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(333,943)</b>	<b>0</b>	<b>(430)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$333,943 in FY 14 and \$430 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

#### Legislative

Same as Governor

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	4,528	288,449,786	4,528	288,449,786	0	0	0	0
Current Services	16	6,996,196	31	21,471,527	0	0	0	0
Policy Revisions	55	5,419,412	55	5,646,619	66	(156,111,351)	66	(165,016,774)
<b>Total Recommended - GF</b>	<b>4,599</b>	<b>300,865,394</b>	<b>4,614</b>	<b>315,567,932</b>	<b>66</b>	<b>(156,111,351)</b>	<b>66</b>	<b>(165,016,774)</b>

### Other Significant Legislation

#### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$1,257,221. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Charter Oak State College	2,377,493	(9,950)	2,367,543	0.42%
Community Tech College System	148,745,337	(622,485)	148,122,852	0.42%
Connecticut State University	148,631,924	(622,011)	148,009,913	0.42%
Board of Regents	663,017	(2,775)	660,242	0.42%

# Department of Correction

DOC88000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	6,493	6,348	6,347	6,347	6,352	6,352
Permanent Full-Time - OF	85	90	90	90	90	90

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	431,884,456	405,722,164	428,453,457	442,926,055	428,511,042	442,986,743
Other Expenses	73,454,136	74,424,386	74,233,383	74,233,383	74,249,357	74,224,357
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Stress Management	1,249	35,000	0	0	0	0
Workers' Compensation Claims	26,836,715	27,636,219	26,886,219	26,886,219	26,886,219	26,886,219
Inmate Medical Services	91,025,952	85,629,399	89,713,923	93,932,101	89,713,923	93,932,101
Board of Pardons and Paroles	5,962,552	5,778,325	6,053,114	6,169,502	6,174,461	6,490,841
Mental Health AIC	300,000	0	0	0	0	0
Distance Learning	95,000	100,000	0	0	95,000	95,000
<b>Other Than Payments to Local Governments</b>						
Aid to Paroled and Discharged Inmates	1,600	9,500	0	0	9,026	9,026
Legal Services To Prisoners	870,595	870,595	827,065	827,065	827,065	827,065
Volunteer Services	104,251	170,758	0	0	162,221	162,221
Community Support Services	40,370,120	40,572,949	40,937,998	40,937,998	41,275,777	41,275,777
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>2,557,575</b>	<b>2,332,019</b>	<b>2,557,575</b>	<b>2,332,019</b>
<b>Agency Total - General Fund</b>	<b>670,906,626</b>	<b>640,949,296</b>	<b>669,662,735</b>	<b>688,244,343</b>	<b>670,461,667</b>	<b>689,221,370</b>
<b>Additional Funds Available</b>						
Carry Forward Funding	0	0	0	0	64,588	0
Federal & Other Restricted Act	3,481,348	3,512,071	3,025,000	3,025,000	3,025,000	3,025,000
Private Contributions	451,769	281,995	216,000	216,000	216,000	216,000
Special Funds, Non-Appropriated	78,549	43,371	0	0	0	0
<b>Agency Grand Total</b>	<b>674,918,292</b>	<b>644,786,733</b>	<b>672,903,735</b>	<b>691,485,343</b>	<b>673,767,257</b>	<b>692,462,370</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

### Adjust for FY 13 Deficiency

Other Expenses	0	(147,181)	0	(147,181)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(147,181)</b>	<b>0</b>	<b>(147,181)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Background

Section 62 of PA 13-184, AAC Expenditures and Revenues for the Biennium Ending June 30, 2015 results in an increase to the General Fund of \$142 million in FY 13. The bill includes \$23.1 million in deficiency funding in FY 13 for this agency in Personal Services. This funding is required due to unachieved budgeted savings.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce funding by \$147,181 in FY 14 and FY 15 to reflect the one time nature of the deficiency requirements related to food and maintenance costs.

**Legislative**

Same as Governor

**Adjust Funding to Reflect Wage & Compensation Related Costs**

Personal Services	0	25,314,820	0	39,819,080	0	0	0	0
Stress Management	0	(35,000)	0	(35,000)	0	0	0	0
Inmate Medical Services	0	4,084,524	0	8,302,702	0	0	0	0
Board of Pardons and Paroles	0	410,022	0	741,688	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>29,774,366</b>	<b>0</b>	<b>48,828,470</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$25,759,842 in FY 14 and \$40,595,768 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments in the Department of Correction, and provide funding of \$4,084,524 in FY 14 and \$8,302,702 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

**Legislative**

Same as Governor

**Apply Inflationary Increases**

Other Expenses	0	4,494,508	0	7,848,639	0	0	0	0
Workers' Compensation Claims	0	1,166,928	0	2,384,924	0	0	0	0
Inmate Medical Services	0	920,340	0	1,871,044	0	0	0	0
Board of Pardons and Paroles	0	8,160	0	14,939	0	0	0	0
Volunteer Services	0	3,705	0	8,764	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>6,593,641</b>	<b>0</b>	<b>12,128,310</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

**Governor**

Increase funding for various accounts by \$6,593,641 in FY 14 and an additional \$5,534,669 in FY 15 (for a cumulative total of \$12,128,310 in the second year) to reflect inflationary increases.

**Legislative**

Same as Governor

**Annualize Private Provider COLA**

Community Support Services	0	202,828	0	202,828	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>202,828</b>	<b>0</b>	<b>202,828</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The FY 13 Revised Budget included \$8.5 million to reflect a 1% cost of living increase (COLA) for private providers effective 1/1/13. The funding was provided to the following departments that have contracts with private providers: Children and Families, Correction, Development Services, Judicial, Mental Health and Addiction Services, Public Health, Social Services and Rehabilitation Services.

**Governor**

Provide funding of \$202,828 in FY 14 and FY 15 to annualize the 1% private provider COLA.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	3,674,341	0	2,269,587	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,674,341</b>	<b>0</b>	<b>2,269,587</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional – Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$3,674,341 in FY 14 and \$2,269,587 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Manage Attendance Policies to Reduce Overtime

Personal Services	0	(2,750,000)	0	(2,750,000)	0	(250,000)	0	(250,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(2,750,000)</b>	<b>0</b>	<b>(2,750,000)</b>	<b>0</b>	<b>(250,000)</b>	<b>0</b>	<b>(250,000)</b>

#### Background

Sick time utilization represents approximately 5% of the total Personal Services account in the Department of Correction. The use of overtime is required to cover sick time related to posts at prison facilities. Through enforcement of sick time policies, the agency has reduced overtime spending related to sick time by 7.3%. This reduction represents an additional 15% reduction in overtime spending related to sick time.

#### Governor

Reduce funding in the Personal Services account by \$2.5 million in FY 14 and FY 15 to reflect a reduction of overtime costs.

#### Legislative

Reduce funding in the Personal Services account by \$2.75 million in FY 14 and FY 15 to reflect a reduction of overtime costs.

### Convert Full-time Parole Board Members to Part-time

Board of Pardons and Paroles	0	0	0	0	0	121,347	0	321,339
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>121,347</b>	<b>0</b>	<b>321,339</b>

#### Background

The Parole Board is comprised of 5 full-time and 5 part-time board members.

#### Governor

Reduce funding by \$121,347 in FY 14 and \$321,339 in FY 15 to reflect converting all members of the Parole Board to part time.

#### Legislative

Restore funding of \$121,347 in FY 14 and \$321,339 in FY 15 to maintain the current structure of the board.

### Provide Funding for Additional Teachers

Personal Services	4	250,000	4	250,000	4	250,000	4	250,000
<b>Total - General Fund</b>	<b>4</b>	<b>250,000</b>	<b>4</b>	<b>250,000</b>	<b>4</b>	<b>250,000</b>	<b>4</b>	<b>250,000</b>

#### Background

Unified School District #1 provides academic and vocational educational services and re-entry programs to approximately 19,000 prisoners annually.

#### Legislative

Provide \$250,000 and 4 positions in FY 14 and FY 15 for additional teachers. The Results Based Accountability (RBA) process has shown the number of students and the gains those students make in academic achievement are largely driven by the availability of staff resources to provide those services. Increasing the teaching staff available will broaden the diversity of classes offered and

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

improve the teacher/student ratio, both of which can have positive impacts on educational achievement and recidivism.

### Provide Additional Funding for Residential Programs

Community Support Services	0	500,000	0	500,000	0	500,000	0	500,000
<b>Total - General Fund</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>	<b>0</b>	<b>500,000</b>

#### Background

The Residential Work Release program in the Department of Correction provides support services for inmates transitioning from incarceration into the community. In FY 11, the program served 2,721 individuals across a total of approximately 500 beds. Through the Results Based Accountability (RBA) process, the program has shown that inmates who participate in the Residential Work Release programs where an Employment Specialist is available are more likely to attain employment, stable housing, and a higher bank balance upon program completion.

#### Legislative

Provide funding of 500,000 in FY 14 and FY 15 in Community Support Services for employment specialists in the Residential Work Release Program.

### Rollout of FY 13 Rescissions

Workers' Compensation Claims	0	(750,000)	0	(750,000)	0	0	0	0
Distance Learning	0	(5,000)	0	(5,000)	0	0	0	0
Aid to Paroled and Discharged Inmates	0	(474)	0	(474)	0	0	0	0
Volunteer Services	0	(8,537)	0	(8,537)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(764,011)</b>	<b>0</b>	<b>(764,011)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

#### Governor

Reduce funding of \$764,011 in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

#### Legislative

Same as Governor

### Rollout of FY 13 DMP

Distance Learning	0	0	0	0	0	95,000	0	95,000
Legal Services To Prisoners	0	(43,530)	0	(43,530)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(43,530)</b>	<b>0</b>	<b>(43,530)</b>	<b>0</b>	<b>95,000</b>	<b>0</b>	<b>95,000</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

#### Governor

Reduce funding by \$138,530 in FY 14 and \$138,530 in FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

#### Legislative

Maintain funding of \$95,000 for the Distance Learning program. Reduce funding by \$43,530 in FY 14 and FY 15 for Legal Services to Prisoners.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Affirmative Action Planning Function to CHRO

Personal Services	0	0	0	0	1	57,585	1	60,688
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>57,585</b>	<b>1</b>	<b>60,688</b>

#### Governor

Transfer one position and funding of \$57,585 in FY 14 and \$60,688 in FY 15 to reflect the centralization of Affirmative Action planning functions in the Commission on Human Rights and Opportunities (CHRO).

#### Legislative

Maintain funding of \$57,585 in FY 14 and \$60,688 in FY 15 within the department for one affirmative action officer.

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(52,848)	0	(52,848)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(52,848)</b>	<b>0</b>	<b>(52,848)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$52,848 in FY 14 and \$52,848 in FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Transfer Funding to Streamline Budget Account Structure

Other Expenses	0	0	0	0	0	(9,026)	0	(9,026)
Aid to Paroled and Discharged Inmates	0	0	0	0	0	9,026	0	9,026
Volunteer Services	0	0	0	0	0	162,221	0	162,221
Community Support Services	0	0	0	0	0	(162,221)	0	(162,221)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

#### Governor

Transfer funding of \$9,026 from the Aid to Paroled and Discharged Inmates account to the Other Expenses account to reflect the streamlining of agency budgetary accounts.

Transfer funding of \$162,221 from the Volunteer Services account to the Community Support Services account to reflect the streamlining of agency budgetary accounts.

#### Legislative

Maintain agency account structure.

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(25,942)	0	(54,501)	0	0	0	0
Board of Pardons and Paroles	0	(13,886)	0	(29,172)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(39,828)</b>	<b>0</b>	<b>(83,673)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$39,828 in FY 14 and \$83,673 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Eliminate Inflationary Increases

Other Expenses	0	(4,494,508)	0	(7,848,639)	0	0	0	0
Workers' Compensation Claims	0	(1,166,928)	0	(2,384,924)	0	0	0	0
Inmate Medical Services	0	(920,340)	0	(1,871,044)	0	0	0	0
Board of Pardons and Paroles	0	(8,160)	0	(14,939)	0	0	0	0
Volunteer Services	0	(3,705)	0	(8,764)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(6,593,641)</b>	<b>0</b>	<b>(12,128,310)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce various accounts by \$6,593,641 in FY 14 and \$12,128,310 in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(1,116,766)	0	62,432	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,116,766)</b>	<b>0</b>	<b>62,432</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$1,116,766 in FY 14 and \$62,432 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

#### Legislative

Same as Governor

### Provide Funding for Program Assessment

Other Expenses	0	25,000	0	0	0	25,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>0</b>

#### Legislative

Funding of \$25,000 in FY 14 is provided to the Department to assess the effectiveness of programs administered by the agency concerning family violence. Section 54 of PA 13-247, the general government implementer, requires the Commissioner of Correction to conduct a program evaluation and submit a report to the Appropriations Committee by June 30, 2014.

## Carry Forwards

### Stress Management Carry Forward

Stress Management	0	64,588	0	0	0	64,588	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>64,588</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>64,588</b>	<b>0</b>	<b>0</b>

#### Background

The Stress Management account is contractually mandated and provides funding for programs and services for correction officers.

#### Legislative

Pursuant to CGS 5-278(e), an estimated \$64,588 is carried forward into FY 14 in the Stress Management account.

## Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	6,348	640,949,296	6,348	640,949,296	0	0	0	0
Current Services	0	40,097,995	0	63,282,014	0	0	0	0
Policy Revisions	4	(10,585,624)	4	(15,009,940)	5	798,932	5	977,027
<b>Total Recommended - GF</b>	<b>6,352</b>	<b>670,461,667</b>	<b>6,352</b>	<b>689,221,370</b>	<b>5</b>	<b>798,932</b>	<b>5</b>	<b>977,027</b>

## *Other Significant Legislation*

### **PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$2,122,021, a General Other Expenses Lapse of \$608,227, and a Statewide Hiring Reduction Lapse of \$1,261,152. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

<b>Account</b>	<b>Appropriation \$</b>	<b>Reduction Amount \$</b>	<b>Net Remaining \$</b>	<b>% Reduction</b>
Personal Services	428,511,042	(3,049,152)	425,461,890	0.71%
Other Expenses	74,249,357	(916,408)	73,332,949	1.23%
Board of Pardons and Paroles	6,174,461	(25,840)	6,148,621	0.42%

# Department of Children and Families

DCF91000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	3,364	3,247	3,211	3,207	3,212	3,208
Permanent Full-Time - OF	26	19	19	19	19	19

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	263,289,785	255,094,477	267,388,888	280,732,189	265,473,153	278,821,431
Other Expenses	36,102,323	35,369,572	35,295,292	35,295,292	35,455,292	35,455,292
Equipment	0	1	1	1	1	1
<b>Other Current Expenses</b>						
Short-Term Residential Treatment	713,129	716,712	0	0	0	0
Substance Abuse Screening	1,629,601	1,754,417	0	0	0	0
Workers' Compensation Claims	11,035,823	10,322,750	11,247,553	11,247,553	11,247,553	11,247,553
Local Systems Of Care	2,009,253	2,106,261	0	0	0	0
Family Support Services	8,470,553	13,521,487	0	0	986,402	986,402
Emergency Needs	1,152,188	1,500,000	0	0	0	0
Differential Response System	1,542,031	9,250,000	8,346,386	8,346,386	8,346,386	8,346,386
Regional Behavioral Health Consultation	0	0	0	0	1,810,000	1,810,000
<b>Other Than Payments to Local Governments</b>						
Health Assessment and Consultation	956,206	970,471	0	0	1,015,002	1,015,002
Grants for Psychiatric Clinics for Children	13,920,319	14,191,575	0	0	15,483,393	15,483,393
Day Treatment Centers for Children	5,373,411	5,524,198	0	0	6,783,292	6,783,292
Juvenile Justice Outreach Services	10,408,157	13,426,966	12,841,172	12,841,172	12,841,081	12,841,081
Child Abuse and Neglect Intervention	5,098,075	5,406,288	8,250,045	8,250,045	8,542,370	8,542,370
Community Based Prevention Programs	4,636,596	4,872,641	6,945,515	6,945,515	8,374,056	8,345,606
Family Violence Outreach and Counseling	1,644,889	1,754,906	0	0	1,892,201	1,892,201
Support for Recovering Families	14,124,990	16,842,319	17,215,747	17,215,747	15,323,546	15,323,546
No Nexus Special Education	5,673,787	7,421,437	0	0	5,041,071	5,041,071
Family Preservation Services	5,285,311	5,412,453	0	0	5,735,278	5,735,278
Substance Abuse Treatment	3,699,089	4,245,454	9,491,729	9,491,729	9,491,729	9,491,729
Child Welfare Support Services	3,266,299	3,236,915	8,237,150	8,237,150	2,501,872	2,501,872
Board and Care for Children - Adoption	86,743,776	89,641,649	0	0	91,065,504	92,820,312
Board and Care for Children - Foster	107,246,745	113,299,761	0	0	113,318,397	113,243,586
Board and Care for Children - Residential	169,013,481	177,009,783	147,816,271	148,589,740	141,375,200	142,148,669
Individualized Family Supports	15,672,471	14,870,781	12,175,293	12,175,293	11,882,968	11,882,968
Community Kidcare	22,764,160	23,675,730	53,469,807	53,469,807	35,716,720	35,716,720
Covenant to Care	158,191	167,353	0	0	159,814	159,814
Neighborhood Center	247,960	262,272	0	0	250,414	250,414
Board and Care for Children - Adoption and Foster	0	0	202,858,717	204,538,714	0	0
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>1,285,159</b>	<b>1,662,894</b>	<b>1,285,159</b>	<b>1,662,894</b>
<b>Agency Total - General Fund</b>	<b>801,878,598</b>	<b>831,868,629</b>	<b>802,864,725</b>	<b>819,039,227</b>	<b>811,397,854</b>	<b>827,548,883</b>

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Additional Funds Available						
Federal & Other Restricted Act	13,675,143	14,095,297	13,576,920	12,297,957	13,576,920	12,297,957
Private Contributions	219,946	63,500	63,500	63,500	63,500	63,500
Agency Grand Total	815,773,687	846,027,426	816,505,145	831,400,684	825,038,274	839,910,340

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	14,364,701	0	27,966,564	0	0	0	0
Local Systems Of Care	0	(128,617)	0	(64,274)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>14,236,084</b>	<b>0</b>	<b>27,902,290</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$14.2 million in FY 14 and \$27.9 million in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Apply Inflationary Increases

Other Expenses	0	1,876,126	0	3,234,281	0	0	0	0
Workers' Compensation Claims	0	495,919	0	1,008,005	0	0	0	0
Emergency Needs	0	28,928	0	68,235	0	0	0	0
Health Assessment and Consultation	0	195	0	461	0	0	0	0
Juvenile Justice Outreach Services	0	8,680	0	20,532	0	0	0	0
Community Based Prevention Programs	0	4,768	0	11,279	0	0	0	0
Substance Abuse Treatment	0	2,174	0	5,143	0	0	0	0
Child Welfare Support Services	0	505	0	1,195	0	0	0	0
Board and Care for Children - Adoption	0	54,337	0	135,516	0	0	0	0
Board and Care for Children - Foster	0	755,071	0	1,776,953	0	0	0	0
Board and Care for Children - Residential	0	314,859	0	751,993	0	0	0	0
Individualized Family Supports	0	245,315	0	580,185	0	0	0	0
Community Kidcare	0	38,409	0	91,882	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,825,286</b>	<b>0</b>	<b>7,685,660</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for various accounts by \$3.8 million in FY 14 and an additional \$3.9 million in FY 15 (for a cumulative total of \$7.7 million in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Operating Expenses to Reflect Current Requirements

Personal Services	0	(2,000,000)	0	(2,000,000)	0	(2,000,000)	0	(2,000,000)
Other Expenses	0	(207,629)	0	(207,629)	0	0	0	0
Short-Term Residential Treatment	0	(17)	0	(17)	0	0	0	0
Workers' Compensation Claims	0	924,803	0	924,803	0	0	0	0
Juvenile Justice Outreach Services	0	207,629	0	207,629	0	0	0	0
Board and Care for Children - Residential	0	(1,500,000)	0	(1,500,000)	0	0	0	0
Neighborhood Center	0	(10,007)	0	(10,007)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,585,221)</b>	<b>0</b>	<b>(2,585,221)</b>	<b>0</b>	<b>(2,000,000)</b>	<b>0</b>	<b>(2,000,000)</b>

#### Governor

Reduce funding by \$585,221 both FY 14 and FY 15 in various accounts to reflect FY 14 and FY 15 anticipated expenditure requirements.

#### Legislative

Reduce funding by \$2.6 million in both FY 14 and FY 15 in various accounts to reflect FY 14 and FY 15 anticipated expenditure requirements.

### Annualize Private Provider COLA

Short-Term Residential Treatment	0	3,565	0	3,565	0	0	0	0
Substance Abuse Screening	0	8,480	0	8,480	0	0	0	0
Local Systems Of Care	0	3,469	0	3,469	0	0	0	0
Family Support Services	0	42,976	0	42,976	0	0	0	0
Health Assessment and Consultation	0	4,781	0	4,781	0	0	0	0
Grants for Psychiatric Clinics for Children	0	69,720	0	69,720	0	0	0	0
Day Treatment Centers for Children	0	26,441	0	26,441	0	0	0	0
Juvenile Justice Outreach Services	0	50,581	0	50,581	0	0	0	0
Child Abuse and Neglect Intervention	0	26,496	0	26,496	0	0	0	0
Community Based Prevention Programs	0	21,255	0	21,255	0	0	0	0
Family Violence Outreach and Counseling	0	3,463	0	3,463	0	0	0	0
Support for Recovering Families	0	68,503	0	68,503	0	0	0	0
Family Preservation Services	0	26,927	0	26,927	0	0	0	0
Substance Abuse Treatment	0	18,401	0	18,401	0	0	0	0
Child Welfare Support Services	0	15,766	0	15,766	0	0	0	0
Board and Care for Children - Adoption	0	504,050	0	504,050	0	0	0	0
Board and Care for Children - Foster	0	426,880	0	426,880	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Board and Care for Children - Residential	0	576,924	0	576,924	0	0	0	0
Individualized Family Supports	0	9,861	0	9,861	0	0	0	0
Community Kidcare	0	99,554	0	99,554	0	0	0	0
Covenant to Care	0	828	0	828	0	0	0	0
Neighborhood Center	0	1,255	0	1,255	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,010,176</b>	<b>0</b>	<b>2,010,176</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The FY 13 Revised Budget included \$8.5 million to reflect a 1% cost of living increase (COLA) for private providers effective 1/1/13. The funding was provided to the following departments that have contracts with private providers: Children and Families, Correction, Developmental Services, Judicial, Mental Health and Addiction Services, Public Health, Social Services and Rehabilitation Services.

**Governor**

Provide funding of \$2 million in both FY 14 and FY 15 to annualize the 1% private provider COLA.

**Legislative**

Same as Governor

**Adjust Funding to Reflect Anticipated Caseloads**

No Nexus Special Education	0	(2,380,366)	0	(2,380,366)	0	0	0	0
Board and Care for Children - Adoption	0	919,805	0	2,674,613	0	0	0	0
Board and Care for Children - Foster	0	1,176,064	0	1,101,253	0	0	0	0
Board and Care for Children - Residential	0	(30,453,315)	0	(29,679,847)	0	0	0	0
Individualized Family Supports	0	(1,186,702)	0	(1,186,702)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(31,924,514)</b>	<b>0</b>	<b>(29,471,049)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

With the implementation of the Differential Response System and its focus on providing services to children within their family homes whenever possible, DCF's caseload has been reduced by 9%. Similarly, Board and Care for Children - Residential account placements continue to trend downwards. In FY 10, the account served an average of 1,579 children each month, in FY 11 it served an average of 1,468 children each month, in FY 12 it served an average of 1,276 children each month and in FY 13 it served an average of 1,007 children each month.

**Governor**

Reduce funding by \$31.9 million in FY 14 and \$29.5 million in FY 15 in various accounts to reflect FY 14 and FY 15 anticipated caseload requirements.

**Legislative**

Same as Governor

**Provide Funding for Residential Rate Increases under SCAS**

No Nexus Special Education	0	619,933	0	930,330	0	0	0	0
Board and Care for Children - Residential	0	3,785,745	0	6,061,331	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>4,405,678</b>	<b>0</b>	<b>6,991,661</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Pursuant to regulation, DCF reimburses each treatment center on a per diem basis for residential care of children under the supervision of the Commissioner. The Single Cost Accounting Systems (SCAS) determines per diem payment rates. Under SCAS, increases in allowable residential care components over the previous year rates are limited to the increase in the consumer price index plus 2% or the actual increase in allowable costs, whichever is less.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Provide funding of \$4.4 million in FY 14 and \$7 million in FY 15 to reflect SCAS rate increases.

**Legislative**

Same as Governor

**Reduce Voluntary Services Program Funding**

Board and Care for Children - Residential	0	(337,925)	0	(337,925)	0	0	0	0
Individualized Family Supports	0	(614,250)	0	(614,250)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(952,175)</b>	<b>0</b>	<b>(952,175)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

In 2012, the Department of Developmental Services (DDS) received approval for a new Autism Waiver, which will allow for 50% federal reimbursement of the costs of services under the Home and Community Based Services waiver program. Children and adolescents who are currently receiving services through DCF's Voluntary Services Program who have a diagnosis of autism spectrum disorder, but who do not have an intellectual disability, may be eligible for the Autism Waiver.

**Governor**

Reduce funding by \$952,175 in both FY 14 and FY 15 to reflect the transfer of 25 children and adolescents from DCF to DDS who are eligible under the Autism Waiver.

**Legislative**

Same as Governor

**Provide Funding for GAAP**

Nonfunctional - Change to Accruals	0	1,648,090	0	1,682,138	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,648,090</b>	<b>0</b>	<b>1,682,138</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals." This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$1.6 million in FY 14 and \$1.7 million in FY 15 to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Policy Revisions****Suspend SCAS Residential Rate Increases**

No Nexus Special Education	0	(619,933)	0	(930,330)	0	0	0	0
Board and Care for Children - Residential	0	(3,785,745)	0	(6,061,331)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(4,405,678)</b>	<b>0</b>	<b>(6,991,661)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$4.4 million in FY 14 and \$7 million in FY 15 to reflect the suspension of SCAS rate increases for providers of residential care of children under the supervision of DCF. Section 15 of PA 13-184 authorizes this change.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Expand Trauma-Focused Cognitive Behavioral Therapy (TF-CBT)

Grants for Psychiatric Clinics for Children	0	3,500,000	0	3,500,000	0	3,500,000	0	3,500,000
<b>Total - General Fund</b>	<b>0</b>	<b>3,500,000</b>	<b>0</b>	<b>3,500,000</b>	<b>0</b>	<b>3,500,000</b>	<b>0</b>	<b>3,500,000</b>

#### Background

TF-CBT is a short-term, child and family based treatment model that addresses child traumatic stress, reduces depression and post-traumatic stress disorder symptoms and is intended to enhance parent and child relationships.

#### Legislative

Provide funding of \$3.5 million in both FY 14 and FY 15 for TF-CBT. Of this amount, \$2 million is intended to expand TF-CBT access to children age four through 18 that are experiencing anxiety, depression or trauma or who have conduct disorders. An additional \$1 million is provided to support TF-CBT in public schools in the south central region of the state that currently have trauma-based programs and \$500,000 is provided to support TF-CBT in Bridgeport public schools. This funding reflects support for mental health related initiatives, supplementing those provided in PA 13-3, AAC Gun Violence Prevention and Children's Safety.

### Support KinFAST

Board and Care for Children - Foster	0	3,000,000	0	3,000,000	0	3,000,000	0	3,000,000
<b>Total - General Fund</b>	<b>0</b>	<b>3,000,000</b>	<b>0</b>	<b>3,000,000</b>	<b>0</b>	<b>3,000,000</b>	<b>0</b>	<b>3,000,000</b>

#### Background

Foster and Adoptive Support Team (FAST) is a home and community-based program designed to provide intensive services to foster and adoptive families. It offers crisis intervention to foster and adoptive families and educates parents about behavior modification techniques, de-escalation, child development, separation, loss and abuse issues. The goal of FAST is to assist in the preservation of foster and adoptive homes, thereby reducing the number of placements experienced by children in the foster care and adoption systems.

#### Legislative

Provide funding of \$3 million in both FY 14 and FY 15 to expand FAST to kinship care families (KinFAST). Kinship care refers to the care of children by relatives or close family friends. In addition to standard FAST services, KinFAST is intended to educate kinship families on the unique dynamics of kinship placements and stressors to intrafamilial relationships to be expected as well as to assist in the planning for the long-term needs of the child and family as they move towards permanency. This funding supports both positive performance trends and a proposed action to turn the curve provided in DCF's Child Protection and Foster Care Results Based Accountability (RBA) report card.

### Adjust Staffing to Reflect DRS Restructuring

Personal Services	(30)	(2,033,010)	(30)	(2,033,010)	0	0	0	0
<b>Total - General Fund</b>	<b>(30)</b>	<b>(2,033,010)</b>	<b>(30)</b>	<b>(2,033,010)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Eliminate 30 vacant social worker positions and reduce associated Personal Services account funding of \$2 million in both FY 14 and FY 15.

#### Legislative

Same as Governor

### Expand Support for Home-Based Services

Community Kidcare	0	2,000,000	0	2,000,000	0	2,000,000	0	2,000,000
<b>Total - General Fund</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>2,000,000</b>

#### Background

- Intensive In-Home Child and Adolescent Psychiatric Services (IICAPS) provides home-based treatment to children, youth and families in their homes and communities. Services are provided by a clinical team, which includes a Master's-level clinician and a Bachelor's-level mental health counselor. The clinical team is supported by a clinical supervisor and a child & adolescent psychiatrist. IICAPS Services are typically delivered for an average of six months. Staff provides 24-hour, 7-day-a-week emergency crisis response. Services support children and youth returning from out-of-home care or who are at risk of requiring out-of-home care due to psychiatric, emotional or behavioral difficulties.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

- Multisystemic Therapy (MST) also provides home-based treatment to children, youth and families in their homes and communities. Services are provided by a Master's-level therapist and are typically delivered for an average of five to six months. MST staff also provides 24-hour, 7-day-a-week emergency crisis response. MST services support children and youth returning from out-of-home care or who are at risk of requiring out-of-home care due to problems of delinquency, disruptive behavior and/or substance abuse.
- Multisystemic Therapy - Problem Sexual Behavior (MST-PSB) provides in-home services such as assessment of service needs, service plan development, behavior plan development, implementation and support, parent training and referral to additional services as appropriate, respite for families receiving in-home services and transportation support for families receiving in-home services. The MST-PSB target population includes children ages 2 to 17, with exceptions made for older adolescents through age 19 on a case-by-case basis, active with DCF who are currently in foster and/or pre/post adoptive living arrangements, as well as adopted DCF children, and who are at risk of placement disruption.

### Legislative

Provide funding of \$2 million in both FY 14 and FY 15 for the expansion of home-based service (IICAPS, MST, and MST- PSB) to reflect support for mental health related initiatives to supplement those provided in PA 13-3, AAC Gun Violence Prevention and Children's Safety.

### Fund PA 13-3 AAC Gun Violence Prevention & Children's Safety

Regional Behavioral Health Consultation	0	1,810,000	0	1,810,000	0	1,810,000	0	1,810,000
<b>Total - General Fund</b>	<b>0</b>	<b>1,810,000</b>	<b>0</b>	<b>1,810,000</b>	<b>0</b>	<b>1,810,000</b>	<b>0</b>	<b>1,810,000</b>

### Legislative

Provide funding of \$1.8 million in both FY 14 and FY 15 to support the establishment of a regional behavioral health consultation and care coordination program for primary care providers (PCPs) who serve children, as directed under Section 69 of PA 13-3, AAC Gun Violence Prevention and Children's Safety. This program shall provide to these PCPs: (1) timely access to a consultation team that includes a child psychiatrist, social worker and a care coordinator, (2) patient care coordination and transitional services for behavioral health care and (3) training and education concerning patient access to behavioral health services. DCF may enter into a contract for services to administer such program.

### Establish an Internal Audit Function

Personal Services	3	(1,131,546)	3	(1,153,652)	0	0	0	0
<b>Total - General Fund</b>	<b>3</b>	<b>(1,131,546)</b>	<b>3</b>	<b>(1,153,652)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Background

DCF expended \$18.9 million in FY 12 and \$16 million in FY 13 (as of 5/31/13) on employee overtime.

### Governor

Three Associate Fiscal/ Administrative Officer positions and funding of \$245,167 in FY 14 and \$250,139 in FY 15 is provided to establish an internal audit function in DCF to monitor, audit and reduce overtime expenditures agency-wide by \$1.4 million in both FY 14 and FY 15 (resulting in a net reduction to the Personal Services account of \$1.1 million in FY 14 and \$1.2 million in FY 15).

### Legislative

Same as Governor

### Transfer Funding for Family Reunification RAPs

Board and Care for Children - Foster	0	(500,000)	0	(500,000)	0	(500,000)	0	(500,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(500,000)</b>	<b>0</b>	<b>(500,000)</b>	<b>0</b>	<b>(500,000)</b>	<b>0</b>	<b>(500,000)</b>

### Background

The Rental Assistance Program (RAP) is a state-funded program which assists low-income families to afford decent and safe housing in the private market.

### Legislative

Funding of \$500,000 in both FY 14 and FY 15 is transferred from DCF to the Department of Housing to support of 50 RAPs for families seeking to be reunified with their child or children currently in the care and custody of DCF.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Raise the Grade Pilots

Other Expenses	0	300,000	0	300,000	0	300,000	0	300,000
<b>Total - General Fund</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>300,000</b>

#### Legislative

Provide funding of \$300,000 in both FY 14 and FY 15 to support full-time coordinators to increase the academic achievement of children and youth in Hartford, Bridgeport and New Haven who are living in the care and custody of DCF or who are being served by the Court Support Services Division. Section 123 of PA 13-234, the human services and public health implementer, authorizes this change. This "Raise the Grade Pilot" is required to begin 7/1/13 and its operation is limited to two years.

### Reduce Funding to Reflect Food Service Savings

Personal Services	0	(300,000)	0	(300,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(300,000)</b>	<b>0</b>	<b>(300,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce Personal Services account (PS) funding by \$300,000 in both FY 14 and FY 15 associated with the transfer of ten Albert J. Solnit Psychiatric Center – South Campus (formerly known as Riverview Hospital) food services staff to the Department of Mental Health and Addiction Services (DMHAS).

#### Legislative

Same as Governor

### Transfer Case Management for DDS Eligible Clients

Personal Services	(4)	(260,642)	(8)	(531,710)	0	0	0	0
<b>Total - General Fund</b>	<b>(4)</b>	<b>(260,642)</b>	<b>(8)</b>	<b>(531,710)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Voluntary Services Program (VSP) is for children and youth with serious emotional disturbances, mental illnesses and/or substance dependency. These are children and youth who do not require protective services intervention but may require, due to emotional or behavioral difficulties, services offered by, administered by, under contract with, or otherwise available to DCF. This Program is only for families who are not abusive or neglectful. At the request of the family, DCF may provide on a voluntary basis, casework, community referrals and treatment services. Parents do not have to relinquish custody or guardianship under VSP.

#### Governor

Funding is reduced by \$260,642 and four positions are eliminated in FY 14 and funding is reduced by \$531,710 and eight positions are eliminated in FY 15 to reflect the transfer of VSP case management services from DCF to the Department of Developmental Services.

#### Legislative

Same as Governor

### Maintain Support for Neighborhood Centers

Neighborhood Center	0	0	0	0	0	250,414	0	250,414
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>250,414</b>	<b>0</b>	<b>250,414</b>

#### Governor

Reduce funding by \$250,414 in both FY 14 and FY 15 to reflect the elimination of support for the Neighborhood Center account and the two contracts under the account: one for Yale University and one for Farnam Neighborhood House in New Haven.

#### Legislative

Maintain funding of \$250,414 in both FY 14 and FY 15 to reflect support for the Neighborhood Center account and the two contracts under the account: one for Neighborhood Place, a neighborhood center in New Haven operated by Junta for Progressive Action under the oversight of Yale University and one for Farnam Neighborhood House in New Haven.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Reduce Other Expenses Accounts

Other Expenses	0	(210,000)	0	(210,000)	0	(210,000)	0	(210,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(210,000)</b>	<b>0</b>	<b>(210,000)</b>	<b>0</b>	<b>(210,000)</b>	<b>0</b>	<b>(210,000)</b>

#### Legislative

Reduce funding by \$210,000 in FY 14 and FY 15. The reductions by OE category in both FY 14 and FY 15 are:

- \$50,000 for food and beverages,
- \$50,000 for general honoraria,
- \$100,000 for IT consultant services and
- \$10,000 for kitchen and dining supplies.

### Provide Funding for Extended Foster Care

Board and Care for Children - Foster	0	200,000	0	200,000	0	200,000	0	200,000
<b>Total - General Fund</b>	<b>0</b>	<b>200,000</b>	<b>0</b>	<b>200,000</b>	<b>0</b>	<b>200,000</b>	<b>0</b>	<b>200,000</b>

#### Legislative

Provide funding of \$200,000 in both FY 14 and FY 15 to support services for foster care youth 18 years of age up to 21 years of age that are or have participated in military service.

### Provide Support for Parent Programming

Other Expenses	0	70,000	0	70,000	0	70,000	0	70,000
<b>Total - General Fund</b>	<b>0</b>	<b>70,000</b>	<b>0</b>	<b>70,000</b>	<b>0</b>	<b>70,000</b>	<b>0</b>	<b>70,000</b>

#### Legislative

Provide funding of \$70,000 in both FY 14 and FY 15 to support the African Caribbean American Parents of Children with Disabilities in Hartford (\$50,000 in both fiscal years) and the St. Joseph Parenting Center in Stamford (\$20,000 in both fiscal years).

### Children's Community Program of CT

Community Based Prevention Programs	0	28,450	0	0	0	28,450	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>28,450</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>28,450</b>	<b>0</b>	<b>0</b>

#### Legislative

Provide funding of \$28,450 in FY 14 to support the Children's Community Programs of CT, Inc.'s (a New Haven nonprofit) summer learning enhancement program.

### Transfer Solnit Center - South Food Services Staff to DMHAS

Personal Services	(10)	0	(10)	0	0	0	0	0
<b>Total - General Fund</b>	<b>(10)</b>	<b>0</b>	<b>(10)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer ten positions in both FY 14 and FY 15 to reflect the transfer of Albert J. Solnit Psychiatric Center – South Campus (formerly known as Riverview Hospital) food services staff to the Department of Mental Health and Addiction Services (DMHAS). Personal Services account funding for these positions is not provided under the Governor's FY 14 and FY 15 budget but a transfer invoice between DCF and DMHAS for their associated salaries is assumed as part of this transfer.

#### Legislative

Same as Governor



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Funding to Reflect Account Consolidation

Personal Services	0	1,280,357	0	1,344,700	0	1,280,357	0	1,344,700
Short-Term Residential Treatment	0	(720,260)	0	(720,260)	0	(720,260)	0	(720,260)
Substance Abuse Screening	0	(1,675,177)	0	(1,675,177)	0	(1,675,177)	0	(1,675,177)
Local Systems Of Care	0	(1,981,113)	0	(2,045,456)	0	(1,981,113)	0	(2,045,456)
Family Support Services	0	(12,578,061)	0	(12,578,061)	0	(12,578,061)	0	(12,578,061)
Emergency Needs	0	(1,500,000)	0	(1,500,000)	0	(1,500,000)	0	(1,500,000)
Differential Response System	0	(764,864)	0	(764,864)	0	(764,864)	0	(764,864)
Health Assessment and Consultation	0	39,750	0	39,750	0	39,750	0	39,750
Grants for Psychiatric Clinics for Children	0	(2,277,902)	0	(2,277,902)	0	(2,277,902)	0	(2,277,902)
Day Treatment Centers for Children	0	1,232,653	0	1,232,653	0	1,232,653	0	1,232,653
Juvenile Justice Outreach Services	0	(172,747)	0	(172,747)	0	(172,747)	0	(172,747)
Child Abuse and Neglect Intervention	0	3,379,900	0	3,379,900	0	3,379,900	0	3,379,900
Community Based Prevention Programs	0	3,695,342	0	3,695,342	0	3,695,342	0	3,695,342
Family Violence Outreach and Counseling	0	189,148	0	189,148	0	189,148	0	189,148
Support for Recovering Families	0	(1,334,642)	0	(1,334,642)	0	(1,334,642)	0	(1,334,642)
Family Preservation Services	0	377,085	0	377,085	0	377,085	0	377,085
Substance Abuse Treatment	0	5,227,874	0	5,227,874	0	5,227,874	0	5,227,874
Child Welfare Support Services	0	(588,964)	0	(588,964)	0	(588,964)	0	(588,964)
Board and Care for Children - Foster	0	(2,104,408)	0	(2,104,408)	0	(2,104,408)	0	(2,104,408)
Board and Care for Children - Residential	0	151,201	0	151,201	0	151,201	0	151,201
Individualized Family Supports	0	40,915	0	40,915	0	40,915	0	40,915
Community Kidcare	0	10,083,913	0	10,083,913	0	10,083,913	0	10,083,913
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### Legislative

Consolidate DCF service types currently funded from multiple accounts as follows:

- Consolidate private residential treatment funding under the Board and Care for Children – Residential account,
- Consolidate substance abuse programming and family based recovery funding under the Substance Abuse Treatment account,
- Move employee salaries from the Local Systems of Care account to the Personal Services account,
- Consolidate care coordination, in-home behavioral services, emergency mobile psychiatric services, Performance Improvement Center and Behavioral Health Data System funding under the Community KidCare account,
- Consolidate extended day treatment services funding under the Day Treatment Centers account,
- Consolidate wraparound services funding under the Individualized Family Supports account,
- Move One-on-One Mentoring and Work to Learn program funding under the Child Welfare Support Services account,
- Consolidate Child First, Positive Youth Development, and parent education program funding under the Community Based Prevention Programs account,



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

- Consolidate child sexual abuse clinic, multidisciplinary team, therapeutic child care, family enrichment services and reconnecting families program funding under the Child Abuse and Neglect Intervention account,
- Move specialized parent aid program funding under the Support for Recovering Families account,
- Move pharmacy consulting funding to the Health Assessment and Consultation account,
- Move intensive family preservation funding under the Family Preservation Services account,
- Consolidate Juvenile Review Board and juvenile sexual treatment funding under the Juvenile Justice Outreach Services account,
- Move outpatient psychiatric clinic funding to the Grants for Psychiatric Clinics for Children account and
- Move integrated family violence program funding under the Family Violence Outreach and Counseling account.

### Rollout of FY 13 DMP

Personal Services	6	490,334	6	500,277	0	0	0	0
Other Expenses	0	190,702	0	190,702	0	0	0	0
Board and Care for Children - Foster	0	(2,179,900)	0	(2,179,900)	0	0	0	0
Board and Care for Children - Residential	0	(4,071,467)	0	(4,071,467)	0	0	0	0
Individualized Family Supports	0	(1,237,637)	0	(1,237,637)	0	0	0	0
<b>Total - General Fund</b>	<b>6</b>	<b>(6,807,968)</b>	<b>6</b>	<b>(6,798,025)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced FY 13 General Fund expenditures by \$221.5 million in various agencies and accounts. The Governor's FY 14 and FY 15 budget includes the rollout of \$36.2 million of the FY 13 DMP across various agencies.

#### Governor

Reduce funding by \$6.8 million in both FY 14 and FY 15 to reflect the rollout of expenditure reductions in PA 12-1 DSS.

#### Legislative

Same as Governor

### Eliminate Inflationary Increases

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Other Expenses	0	(1,876,126)	0	(3,234,281)	0	0	0	0
Workers' Compensation Claims	0	(495,919)	0	(1,008,005)	0	0	0	0
Emergency Needs	0	(28,928)	0	(68,235)	0	0	0	0
Health Assessment and Consultation	0	(195)	0	(461)	0	0	0	0
Juvenile Justice Outreach Services	0	(8,680)	0	(20,532)	0	0	0	0
Community Based Prevention Programs	0	(4,768)	0	(11,279)	0	0	0	0
Substance Abuse Treatment	0	(2,174)	0	(5,143)	0	0	0	0
Child Welfare Support Services	0	(505)	0	(1,195)	0	0	0	0
Board and Care for Children - Adoption	0	(54,337)	0	(135,516)	0	0	0	0
Board and Care for Children - Foster	0	(755,071)	0	(1,776,953)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Board and Care for Children - Residential	0	(314,860)	0	(751,993)	0	0	0	0
Individualized Family Supports	0	(245,315)	0	(580,185)	0	0	0	0
Community Kidcare	0	(38,409)	0	(91,882)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(3,825,287)</b>	<b>0</b>	<b>(7,685,660)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce various accounts by \$3.8 million in FY 14 and \$7.7 million in FY 15 to reflect the elimination of inflationary increases.

**Legislative**

Same as Governor

**Rollout of FY 13 Rescissions**

Substance Abuse Screening	0	(87,720)	0	(87,720)	0	0	0	0
Differential Response System	0	(138,750)	0	(138,750)	0	0	0	0
Juvenile Justice Outreach Services	0	(671,348)	0	(671,348)	0	0	0	0
Child Abuse and Neglect Intervention	0	(270,314)	0	(270,314)	0	0	0	0
Community Based Prevention Programs	0	(243,632)	0	(243,632)	0	0	0	0
Family Violence Outreach and Counseling	0	(55,316)	0	(55,316)	0	0	0	0
Support for Recovering Families	0	(252,634)	0	(252,634)	0	0	0	0
Family Preservation Services	0	(81,187)	0	(81,187)	0	0	0	0
Child Welfare Support Services	0	(161,845)	0	(161,845)	0	0	0	0
Community Kidcare	0	(142,477)	0	(142,477)	0	0	0	0
Covenant to Care	0	(8,367)	0	(8,367)	0	0	0	0
Neighborhood Center	0	(3,106)	0	(3,106)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,116,696)</b>	<b>0</b>	<b>(2,116,696)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor implemented General Fund rescissions in November of 2012 totaling \$170.4 million across state agencies. The Governor's FY 14 and FY 15 budget includes the rollout of \$69.7 million of FY 13 rescissions across various agencies.

**Governor**

Reduce funding of \$2.1 million in both FY 14 and FY 15 to reflect the rollout of the Governor's FY 13 rescissions.

**Legislative**

Same as Governor

**Transfer Affirmative Action Planning Function to CHRO**

Personal Services	0	0	0	0	1	84,265	1	89,242
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>84,265</b>	<b>1</b>	<b>89,242</b>

**Governor**

Transfer one position and funding of \$84,265 in FY 14 and \$89,242 in FY 15 to reflect the centralization of Affirmative Action planning functions in the Commission on Human Rights and Opportunities (CHRO).

**Legislative**

Maintain one position and funding of \$84,265 in FY 14 and \$89,242 in FY 15 for Affirmative Action planning functions.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Transfer Funding - Centralize Courier & Mail Services in DAS

Other Expenses	0	(57,353)	0	(57,353)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(57,353)</b>	<b>0</b>	<b>(57,353)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Transfer funding of \$57,353 in both FY 14 and FY 15 to reflect moving courier and central mail services to the Department of Administrative Services (DAS).

#### Legislative

Same as Governor

### Adjust Funding for GAAP

Nonfunctional - Change to Accruals	0	(362,931)	0	(19,244)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(362,931)</b>	<b>0</b>	<b>(19,244)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$362,931 in FY 14 and \$19,244 in FY 15 to reflect changes to GAAP accruals as a result of policy changes.

#### Legislative

Same as Governor

### Remove Funding for Salary Increases of Appointed Officials

Personal Services	0	(31,518)	0	(66,215)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(31,518)</b>	<b>0</b>	<b>(66,215)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$31,518 in FY 14 and \$66,215 in FY 15 to reflect the elimination of salary increases for appointed officials.

#### Legislative

Same as Governor

### Transfer Funding to Streamline Budget Account Structure

Personal Services	0	0	0	0	0	(1,280,357)	0	(1,344,700)
Short-Term Residential Treatment	0	0	0	0	0	720,260	0	720,260
Substance Abuse Screening	0	0	0	0	0	1,675,177	0	1,675,177
Local Systems Of Care	0	0	0	0	0	1,981,113	0	2,045,456
Family Support Services	0	0	0	0	0	13,564,463	0	13,564,463
Emergency Needs	0	0	0	0	0	1,500,000	0	1,500,000
Differential Response System	0	0	0	0	0	764,864	0	764,864
Health Assessment and Consultation	0	0	0	0	0	975,252	0	975,252
Grants for Psychiatric Clinics for Children	0	0	0	0	0	14,261,295	0	14,261,295
Day Treatment Centers for Children	0	0	0	0	0	5,550,639	0	5,550,639
Juvenile Justice Outreach Services	0	0	0	0	0	172,656	0	172,656
Child Abuse and Neglect Intervention	0	0	0	0	0	(3,087,575)	0	(3,087,575)
Community Based Prevention Programs	0	0	0	0	0	(2,295,251)	0	(2,295,251)

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Family Violence Outreach and Counseling	0	0	0	0	0	1,703,053	0	1,703,053
Support for Recovering Families	0	0	0	0	0	(557,559)	0	(557,559)
No Nexus Special Education	0	0	0	0	0	5,041,071	0	5,041,071
Family Preservation Services	0	0	0	0	0	5,358,193	0	5,358,193
Substance Abuse Treatment	0	0	0	0	0	(5,227,874)	0	(5,227,874)
Child Welfare Support Services	0	0	0	0	0	(5,146,314)	0	(5,146,314)
Board and Care for Children - Adoption	0	0	0	0	0	91,065,504	0	92,820,312
Board and Care for Children - Foster	0	0	0	0	0	112,722,805	0	112,647,994
Board and Care for Children - Residential	0	0	0	0	0	(6,592,272)	0	(6,592,272)
Individualized Family Supports	0	0	0	0	0	(333,240)	0	(333,240)
Community Kidcare	0	0	0	0	0	(29,837,000)	0	(29,837,000)
Covenant to Care	0	0	0	0	0	159,814	0	159,814
Board and Care for Children - Adoption and Foster	0	0	0	0	0	(202,858,717)	0	(204,538,714)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Governor's FY 14 and FY 15 budget consolidates the number of agency budgetary accounts from 675 to approximately 530.

**Governor**

Transfer funding of \$257.2 million in FY 14 and \$259 million in FY 15 among various accounts to reflect the streamlining of agency budgetary accounts.

**Legislative**

Maintain agency account structure.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	3,247	831,868,629	3,247	831,868,629	0	0	0	0
Current Services	0	(9,336,596)	0	13,263,480	0	(2,000,000)	0	(2,000,000)
Policy Revisions	(35)	(11,134,179)	(39)	(17,583,226)	1	10,533,129	1	10,509,656
<b>Total Recommended - GF</b>	<b>3,212</b>	<b>811,397,854</b>	<b>3,208</b>	<b>827,548,883</b>	<b>1</b>	<b>8,533,129</b>	<b>1</b>	<b>8,509,656</b>

## Other Significant Legislation

### PA 13-114, An Act Concerning Animal Therapy

Requires DCF within available appropriations to: (1) develop and implement training for certain DCF staff and mental health care providers on the healing value of the human-animal bond for children, the value of therapy animals in dealing with traumatic situations and the benefit of an animal-assisted therapy program and (2) consult with the Department of Agriculture commissioner to identify a coordinated volunteer canine crisis response team by 1/1/14. It also requires DCF, by 7/1/14 and within available appropriations, to consult with the Governor's Prevention Partnership and the animal-assisted therapy community to develop a crisis response program using the response team to provide animal-assisted therapy to children and youths living with trauma and loss.

### PA 13-228, An Act Addressing the Medical Needs of Children

Extends to DCF or any agency or person to whom DCF has granted temporary care and custody of a child or youth on the basis of a court order of temporary custody (OTC) the following rights regarding that child or youth in child abuse and neglect cases: (1) the obligation of care and control, (2) the authority to make decisions regarding emergency medical, psychological, psychiatric, or surgical treatment and (3) other rights and duties that the court orders. By law, DCF must file an affidavit requesting an OTC with the Superior Court when it has reasonable cause to believe that the child is in immediate physical danger or is suffering from serious physical illness or injury and the conditions or circumstances surrounding the child's care require that DCF assume immediate custody to protect the child.

### PA 13-234, An Act Implementing the Governor's Budget Recommendations for Housing, Human Services and Public Health

Implements statutory changes to the definition of a child necessary for DCF to secure approximately \$7 million in additional General Fund revenue, included in PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015, pursuant to the Federal Fostering Connections to Success and Increasing Adoptions Act of 2008. In addition, it requires DCF to provide full-time coordinators to increase the academic achievement of children and youth in Hartford, Bridgeport and New Haven who are living in the care and custody of DCF or who are being served by the Court Support Services Division. This "Raise the Grade Pilot" is required to begin 7/1/13 and its operation is limited to two years. Funding of \$300,000 is included in DCF's FY 14 and FY 15 budget for this purpose.

Section 123 of the act requires DCF to annually track the academic progress of each child and youth in state custody through 12th grade, include educational status information in reports to the Juvenile Court and develop a plan with CSSD to be finalized by 7/1/14 and submitted to the Achievement Gap Task Force. The act also requires DCF to publish an independent cost analysis of the full implementation of the Fostering Connections to Success and Increasing Adoption Act of 2008 on its website and report the results of such analysis to the Human Services Committee and the Committee on Children.

Section 154 requires DCF to perform screenings of certain children for referral to the Birth to Three program in the Department of Developmental Services. On and after 10/1/13, children 36 months of age or younger who have been substantiated as a victim of abuse or neglect shall be screened twice annually for developmental and social-emotional delays. On and after 7/1/15, children in this age cohort who are being served by DCF's differential response program shall be screened at least once.

### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$1,254,872, a General Other Expenses Lapse of \$290,438, and a Statewide Hiring Reduction Lapse of \$781,315. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	265,473,153	(1,889,025)	263,584,128	0.71%
Other Expenses	35,455,292	(437,600)	35,017,692	1.23%

# Judicial Department

JUD95000

## Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - BF	51	51	51	51	51	51
Permanent Full-Time - GF	4,217	4,304	4,304	4,304	4,316	4,315
Permanent Full-Time - OF	37	50	50	50	50	50

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	317,115,234	308,215,578	327,620,161	344,329,610	325,867,529	342,634,762
Other Expenses	60,019,579	64,348,251	65,173,251	67,708,438	64,248,692	66,722,732
Equipment	0	25,000	0	0	2,000	0
<b>Other Current Expenses</b>						
Forensic Sex Evidence Exams	909,037	1,134,060	1,441,460	1,441,460	1,441,460	1,441,460
Alternative Incarceration Program	54,521,235	55,117,917	56,504,295	56,504,295	56,504,295	56,504,295
Justice Education Center, Inc.	278,111	294,469	545,828	545,828	545,828	545,828
Juvenile Alternative Incarceration	28,264,797	28,293,671	28,117,478	28,117,478	28,367,478	28,367,478
Juvenile Justice Centers	3,104,877	3,120,619	3,136,361	3,136,361	3,136,361	3,136,361
Probate Court	7,450,000	7,275,000	7,600,000	9,000,000	9,350,000	10,750,000
Youthful Offender Services	8,718,151	12,246,992	18,177,084	18,177,084	18,177,084	18,177,084
Victim Security Account	5,002	9,276	9,402	9,402	9,402	9,402
Children of Incarcerated Parents	325,000	322,250	582,250	582,250	582,250	582,250
Legal Aid	1,250,000	1,500,000	1,500,000	1,500,000	1,660,000	1,660,000
Juvenile Jurisdiction Coordinating Council	0	22,250	0	0	0	0
Youth Violence Initiative	0	750,000	1,500,000	1,500,000	1,500,000	1,500,000
Judge's Increases	0	0	1,796,754	3,688,736	1,796,754	3,688,736
Children's Law Center	0	0	0	0	109,838	109,838
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>2,381,725</b>	<b>2,279,008</b>	<b>2,381,725</b>	<b>2,279,008</b>
<b>Agency Total - General Fund</b>	<b>481,961,021</b>	<b>482,675,333</b>	<b>516,086,049</b>	<b>538,519,950</b>	<b>515,680,696</b>	<b>538,109,234</b>
<b>Other Current Expenses</b>						
Foreclosure Mediation Program	4,888,773	5,324,914	5,521,606	5,902,565	5,521,606	5,902,565
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>31,686</b>	<b>43,256</b>	<b>31,686</b>	<b>43,256</b>
<b>Agency Total - Banking Fund</b>	<b>4,888,773</b>	<b>5,324,914</b>	<b>5,553,292</b>	<b>5,945,821</b>	<b>5,553,292</b>	<b>5,945,821</b>
<b>Other Current Expenses</b>						
Criminal Injuries Compensation	3,394,450	3,602,121	3,380,286	2,787,016	3,380,286	2,787,016
<b>Agency Total - Criminal Injuries Compensation Fund</b>	<b>3,394,450</b>	<b>3,602,121</b>	<b>3,380,286</b>	<b>2,787,016</b>	<b>3,380,286</b>	<b>2,787,016</b>
<b>Total - Appropriated Funds</b>	<b>490,244,244</b>	<b>491,602,368</b>	<b>525,019,627</b>	<b>547,252,787</b>	<b>524,614,274</b>	<b>546,842,071</b>
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	8,941,902	7,229,422	3,614,741	463,594	3,614,741	463,594
Private Contributions	5,471,855	10,043,115	10,125,590	10,168,428	10,125,590	10,168,428
<b>Agency Grand Total</b>	<b>504,658,001</b>	<b>508,874,905</b>	<b>538,759,958</b>	<b>557,884,809</b>	<b>538,354,605</b>	<b>557,474,093</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	19,404,583	0	36,114,032	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>19,404,583</b>	<b>0</b>	<b>36,114,032</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Foreclosure Mediation Program	0	195,468	0	619,216	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>195,468</b>	<b>0</b>	<b>619,216</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$19,404,583 in FY 14 and \$36,114,032 in FY 15 to the General Fund and \$195,468 in FY 14 and \$619,216 in FY 15 to the Banking Fund to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### Legislative

Same as Governor

#### Provide Funding for Judges' Salary Increases

Judge's Increases	0	1,796,754	0	3,688,736	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,796,754</b>	<b>0</b>	<b>3,688,736</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 12-93 established the Commission on Judicial Compensation and tasked the Commission with creating a recommendation regarding all judicial salaries.

#### Governor

Provide funding of \$1,796,754 in FY 14 and \$3,688,736 in FY 15 for salary increases of judges. This represents a 5.3% increase each fiscal year.

#### Legislative

Same as Governor

#### Achieve Additional Savings

Other Expenses	0	(1,663,278)	0	(1,663,278)	0	0	0	0
Alternative Incarceration Program	0	(200,000)	0	(200,000)	0	0	0	0
Juvenile Alternative Incarceration	0	(300,000)	0	(300,000)	0	0	0	0
Youthful Offender Services	0	(500,000)	0	(500,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,663,278)</b>	<b>0</b>	<b>(2,663,278)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

CGS 4-73 requires the Secretary of OPM to submit the budget of the Judicial Department as requested by the agency, with no changes made. Any reductions must be submitted by the agency.

#### Governor

Reduce funding of \$2,663,278 in FY 14 and FY 15 to reflect additional savings achieved by the agency.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	(346,996)	0	(828,243)	0	0	0	0
Equipment	0	(25,000)	0	(25,000)	0	0	0	0
Forensic Sex Evidence Exams	0	145,000	0	145,000	0	0	0	0
Juvenile Jurisdiction Coordinating Council	0	(22,250)	0	(22,250)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(249,246)</b>	<b>0</b>	<b>(730,493)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Foreclosure Mediation Program	0	0	0	(42,789)	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(42,789)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce funding by \$394,246 in FY 14 and \$875,493 in FY 15 in various accounts in the General Fund and \$42,789 in FY 15 to the Banking Fund to reflect FY 14 and FY 15 anticipated expenditure requirements. These reductions reflect lease changes and actual expenditures in some accounts.

Provide funding of \$145,000 in FY 14 and in FY 15 to the Forensic Sex Evidence Exams account to reflect FY 14 and FY 15 anticipated expenditure requirements. These costs include payment for the evidence kits and costs to the SANE/SAFE program.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	2,110,274	0	4,414,363	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,110,274</b>	<b>0</b>	<b>4,414,363</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Foreclosure Mediation Program	0	1,224	0	1,224	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>1,224</b>	<b>0</b>	<b>1,224</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$2,110,274 in FY 14 and an additional \$2,304,089 in FY 15 (for a cumulative total of \$4,414,363 in the second year) in the General Fund to reflect inflationary increases. Increase funding for the Foreclosure Mediation Program by \$1,224 in FY 14 and FY 15 in the Banking Fund to reflect inflationary increases.

#### Legislative

Same as Governor

### Adjust Criminal Injuries Compensation Fund

Other Expenses	0	300,000	0	1,012,345	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>300,000</b>	<b>0</b>	<b>1,012,345</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Criminal Injuries Compensation	0	(221,835)	0	(815,105)	0	0	0	0
<b>Total - Criminal Injuries Compensation Fund</b>	<b>0</b>	<b>(221,835)</b>	<b>0</b>	<b>(815,105)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Criminal Injuries Compensation Fund (CICF) provides compensation, restitution, and support services to crime victims and their families. The CICF is funded primarily through 1) the application fee to the Pretrial Alcohol Education Program, 2) a portion of certain motor vehicle infractions and violations, and 3) court ordered payments to the fund. In recent years, revenues to the CICF have not kept pace with its annual appropriation. In FY 12 886 claims received compensation, in FY 13 approximately 985 will receive compensation, and it is anticipated that approximately 1,000 claims will receive compensation in FY 14 and FY 15.

#### Governor

Decrease the CICF appropriation by \$221,835 in FY 14 and \$815,105 in FY 15. Provide funding of \$300,000 in FY 14 and \$1,012,345 in FY 15 to Other Expenses to provide compensation. This additional funding is required to offset growth in expenditures that have outpaced revenue.



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Legislative**

Same as Governor

**Provide Funding for Probate Pick-up**

Other Expenses	0	425,000	0	425,000	0	0	0	0
Forensic Sex Evidence Exams	0	162,400	0	162,400	0	0	0	0
Alternative Incarceration Program	3	348,073	3	348,073	3	0	3	0
Justice Education Center, Inc.	0	250,000	0	250,000	0	0	0	0
Children of Incarcerated Parents	0	260,000	0	260,000	0	0	0	0
<b>Total - General Fund</b>	<b>3</b>	<b>1,445,473</b>	<b>3</b>	<b>1,445,473</b>	<b>3</b>	<b>0</b>	<b>3</b>	<b>0</b>

**Background**

PA 12-104 transferred surplus funds from the Probate Court Administration Fund to various agency programs.

**Governor**

Provide funding of \$425,000 in FY 14 and FY 15 to Other Expenses to carry forward various probate surplus funding from FY 13 provided \$50,000 to Children in Placement (Danbury), \$50,000 to the Child Advocates of CT (Stamford/Norwalk and Danbury Judicial Districts), \$150,000 to the Ralphola Taylor Community Center, and \$100,000 to the Justice Policy Division of the Institute for Municipal and Regional Policy. Provide funding of \$348,073 in FY 14 and FY 15 to the Alternative Incarceration Program account to continue with the GPS Monitoring Program. Provide Funding of \$250,000 to the Justice Education Center. Provide \$260,000 to the Children of Incarcerated Parents account (\$225,000 for the Greater Hartford Male Youth Leadership Program and \$35,000 for the CT Pardon Team). The same amount of funding was provided to each account in FY 13.

**Legislative**

Same as Governor

**Provide Additional Funding for PCAF**

Probate Court	0	325,000	0	1,725,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>325,000</b>	<b>0</b>	<b>1,725,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Probate Court Administration Fund (PCAF) is a non-lapsing account within the Judicial Department and provides funding for all Probate Court related expenses. The PCAF receives a General Fund appropriation and also collects revenue through Probate Court fees.

**Governor**

Provide funding of \$325,000 in FY 14 and \$1,725,000 in FY 15 to the Probate Court Administration Fund. The increase in funding is needed to provide salary increases, retirement funding, indigent client expenditures, and finance charges associated with the implementation of credit/debit cards in the courts.

**Legislative**

Same as Governor

**Caseload and Utilization Increase**

Alternative Incarceration Program	0	1,016,206	0	1,016,206	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,016,206</b>	<b>0</b>	<b>1,016,206</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Alternative Incarceration Program allows the courts to sentence individuals to various community based programs rather than incarceration in order to reduce overcrowding in the state's prison and reduce recidivism rate.

**Governor**

Provide funding of \$1,016,206 in FY 14 and FY 15 to address an increase in the caseload and utilization rate in the following programs: 1) electronic monitoring (\$436,959), 2) domestic violence programming (\$409,000), and 3) alternative incarceration (\$170,246). All three programs have extended waiting lists due to increases in court referrals.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Funding for Raise the Age

Youthful Offender Services	0	6,359,497	0	6,359,497	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>6,359,497</b>	<b>0</b>	<b>6,359,497</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

PA 06-186 established a separate account to address the needs of 16 and 17 year olds. This became known as Raise the Age. Effective January 1, 2010, the jurisdictional change from adults to juvenile took place for 16 year olds. The effective date for 17 year olds was July 1, 2012. Services for 16 and 17 year olds currently includes residential programming, court based assessments, substance abuse services, education support, the YES! program, mediation services, MST services, sex offender programming, and funding for Family Support Centers.

#### Governor

Provide funding of \$2,786,930 in FY 14 and FY 15 to annualize Raise the Age program funding provided in FY 13. Provide funding of \$3,572,567 in FY 14 and FY 15 (for a total of \$6,359,497) for the expansion of programs involving education, diversion, homecare, community detention and others.

#### Legislative

Same as Governor

### Annualize Previous Year Partial Funding

Youth Violence Initiative	0	750,000	0	750,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>750,000</b>	<b>0</b>	<b>750,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period. Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year.

#### Governor

Provide funding of \$750,000 in FY 14 and FY 15 to reflect full year funding for the Youth Violence Initiative, which was funded for a half year in FY 13.

#### Legislative

Same as Governor

### Annualize Private Provider COLA

Alternative Incarceration Program	0	222,099	0	222,099	0	0	0	0
Justice Education Center, Inc.	0	1,359	0	1,359	0	0	0	0
Juvenile Alternative Incarceration	0	123,807	0	123,807	0	0	0	0
Juvenile Justice Centers	0	15,742	0	15,742	0	0	0	0
Youthful Offender Services	0	70,595	0	70,595	0	0	0	0
Victim Security Account	0	126	0	126	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>433,728</b>	<b>0</b>	<b>433,728</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The FY 13 Revised Budget included \$8.5 million to reflect a 1% cost of living increase (COLA) for private providers effective 1/1/13. The funding was provided to the following departments that have contracts with private providers: Children and Families, Correction, Development Services, Judicial, Mental Health and Addiction Services, Public Health, Social Services and Rehabilitation Services.

#### Governor

Provide funding of \$433,728 in FY 14 and FY 15 to annualize the 1% private provider COLA.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	2,381,725	0	2,279,008	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,381,725</b>	<b>0</b>	<b>2,279,008</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	31,686	0	43,256	0	0	0	0
<b>Total - Banking Fund</b>	<b>0</b>	<b>31,686</b>	<b>0</b>	<b>43,256</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional – Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$2,381,725 in FY 14 and \$2,279,008 in FY 15 to the General Fund and \$31,686 in FY 14 and \$43,256 in FY 15 to the Banking Fund to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Reduce Personal Services

Personal Services	0	(2,500,000)	0	(2,500,000)	0	(2,500,000)	0	(2,500,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(2,500,000)</b>	<b>0</b>	<b>(2,500,000)</b>	<b>0</b>	<b>(2,500,000)</b>	<b>0</b>	<b>(2,500,000)</b>

#### Legislative

Reduce Personal Services by \$2.5 million in FY 14 and FY 15 by freezing approximately 40 positions.

### Reduce Accounts Due to Credit Card Fees

Other Expenses	0	(484,059)	0	(498,097)	0	(484,059)	0	(498,097)
Probate Court	0	(300,000)	0	(300,000)	0	(300,000)	0	(300,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(784,059)</b>	<b>0</b>	<b>(798,097)</b>	<b>0</b>	<b>(784,059)</b>	<b>0</b>	<b>(798,097)</b>

#### Background

PA 13-247, the general government implementer, requires all credit card transaction fees to be paid by the user rather than the Judicial department.

#### Legislative

Reduce Other Expenses by \$484,059 in FY 14 and \$498,097 in FY 15 and \$300,000 in FY 14 and FY 15 to the Probate Court account for credit card transactions to reflect that customers will be charged these fees.

### Provide Funding for Kinship Fund

Probate Court	0	925,000	0	925,000	0	925,000	0	925,000
<b>Total - General Fund</b>	<b>0</b>	<b>925,000</b>	<b>0</b>	<b>925,000</b>	<b>0</b>	<b>925,000</b>	<b>0</b>	<b>925,000</b>

#### Background

The Kinship Fund provides assistance to relatives serving as court-appointed guardians, helping them to provide for children in their care. The program grants families up to \$500 per child annually to buy items and services for their children that would not be affordable otherwise. The grants are limited to \$2,000 per family. Typically grants pay for expenses such as school uniforms, summer camp fees, and dental care. In FY 12, the Kinship Fund provided assistance to 1,411 families with 2,183 children. Previously the Kinship Fund was provided through the Children's Trust Fund and transferred to the Probate Court Administration.

#### Legislative

Provide funding of \$925,000 in FY 14 and FY 15 to the Probate Court Administration for the Kinship Fund.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for the Respite Fund

Probate Court	0	1,125,000	0	1,125,000	0	1,125,000	0	1,125,000
<b>Total - General Fund</b>	<b>0</b>	<b>1,125,000</b>	<b>0</b>	<b>1,125,000</b>	<b>0</b>	<b>1,125,000</b>	<b>0</b>	<b>1,125,000</b>

#### Background

The Grandparents and Relatives Respite Fund provides assistance to relatives serving as court-appointed guardians, helping them to provide for children in their care. The program gives qualified guardians annual grants of up to \$2,000. This money can be spent on family expenses such as housing, food, transportation, and day care. In FY 12, grants from the Respite Fund were awarded to 1,443 families, benefiting 2,207 children. Previously the Kinship Fund was provided through the Children's Trust Fund and transferred to the Probate Court Administration.

#### Legislative

Provide funding of \$1,125,000 in FY 14 and FY 15 to the Probate Court account for the Respite Fund.

### Provide Additional Funding for Adult Probation

Personal Services	3	225,000	2	225,000	3	225,000	2	225,000
Other Expenses	0	25,000	0	25,000	0	25,000	0	25,000
<b>Total - General Fund</b>	<b>3</b>	<b>250,000</b>	<b>2</b>	<b>250,000</b>	<b>3</b>	<b>250,000</b>	<b>2</b>	<b>250,000</b>

#### Background

The Judicial Department Court Support Services Division adult probation officers work to supervise individuals who are sentenced to various programs rather than incarceration.

#### Legislative

Provide funding of \$250,000 in FY 14 and FY 15 for three probation positions. This funding was added due to the Adult Probation RBA report card, which indicated that lowering caseloads and adding client engagement strategies in conjunction with evidence based programs would help reduce long term probationer re-arrest rates.

### Provide Additional Funding for Juvenile Probation

Juvenile Alternative Incarceration	0	250,000	0	250,000	0	250,000	0	250,000
<b>Total - General Fund</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>250,000</b>	<b>0</b>	<b>250,000</b>

#### Background

The Judicial Department Court Support Services Division provides programs and services for the juvenile justice system including facilities and early intervention projects for juvenile offenders.

#### Legislative

Provide funding of \$250,000 in FY 14 and FY 15 for partnerships with DCJ, SDE, Advocacy Groups, LISTs, local school officials, and researchers to improve behavior intervention and opportunities for diversion at the local level to reduce school-based arrests. The additional resources are added due to data from the Juvenile Probation RBA report card, which indicated that additional funding will help reduce the risk of recidivism by engaging juveniles and their families in meaningful services and ensuring compliance with court orders.

### Reduce Other Expenses Accounts

Other Expenses	0	(650,000)	0	(650,000)	0	(650,000)	0	(650,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(650,000)</b>	<b>0</b>	<b>(650,000)</b>	<b>0</b>	<b>(650,000)</b>	<b>0</b>	<b>(650,000)</b>

#### Legislative

Reduce funding by \$650,000 in FY 14 and FY 15. The reductions by OE category are (for FY 14 and FY 15):

- \$50,000 for Attorney Fees
- \$50,000 for Capias
- \$20,000 for Conference/Seminars/Workshop Hosting
- \$50,000 for Drugs & Pharmaceuticals
- \$30,000 for Education & Training for Employees
- \$20,000 for Food and Beverages

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

- \$100,000 for General Office Supplies
- \$10,000 for In-State Travel
- \$20,000 for IT Data Services
- \$20,000 for IT Hardware Maintenance & Support
- \$30,000 for Medical Supplies
- \$100,000 for Publications and Music
- \$50,000 for Temporary Services
- \$100,000 for Translation and Interpretation

### Provide Additional Funding for Juvenile Probation Officers

Personal Services	3	225,000	3	225,000	3	225,000	3	225,000
Other Expenses	0	25,000	0	25,000	0	25,000	0	25,000
<b>Total - General Fund</b>	<b>3</b>	<b>250,000</b>	<b>3</b>	<b>250,000</b>	<b>3</b>	<b>250,000</b>	<b>3</b>	<b>250,000</b>

#### Background

The Judicial Department Court Support Services Division juvenile probation officers work to reduce recidivism by engaging juveniles and their families in meaningful services and ensuring compliance with court orders.

#### Legislative

Provide funding of \$250,000 in FY 14 and FY 15 for three juvenile probation offices. The additional resources are added due to data from the Juvenile Probation RBA report card, which indicated that additional funding will help reduce the risk of recidivism by engaging juveniles and their families in meaningful services and ensuring compliance with court orders.

### Provide Funding for Legal Services in Child Protection Cases

Legal Aid	0	160,000	0	160,000	0	160,000	0	160,000
<b>Total - General Fund</b>	<b>0</b>	<b>160,000</b>	<b>0</b>	<b>160,000</b>	<b>0</b>	<b>160,000</b>	<b>0</b>	<b>160,000</b>

#### Background

In 2008 and 2010, the state awarded contracts to New Haven Legal Assistance Association and Connecticut Legal Services to create pilot projects for enhanced representation of children in child protection cases, utilizing social workers to work directly on the legal teams, advocate for children, identify resources for children, and advise the lawyers. Funding for the program was discontinued in 2011 due to a lack of budgeted funds. The legal aid organizations were able to continue the programs for a limited time paid by the state at a rate that did not cover the expense of these pilot programs, by obtaining temporary private funding, which has now ended.

#### Legislative

Provide funding of \$160,000 in FY 14 and FY15 to pay for the cost of MSW social workers as part of the legal teams at Connecticut Legal Services and New Haven Legal Assistance Association.

### Provide Funding for Juvenile Record Maintenance

Personal Services	3	130,018	3	187,802	3	130,018	3	187,802
Other Expenses	0	6,500	0	9,391	0	6,500	0	9,391
Equipment	0	2,000	0	0	0	2,000	0	0
<b>Total - General Fund</b>	<b>3</b>	<b>138,518</b>	<b>3</b>	<b>197,193</b>	<b>3</b>	<b>138,518</b>	<b>3</b>	<b>197,193</b>

#### Background

HB 6399, 'An Act Concerning Children in the Juvenile Justice System,' requires court monitoring of juvenile records by the Judicial Department. It requires the courts to erase the juvenile record of juvenile offenders if specific conditions are met when the offender turns 18.

#### Legislative

Provide funding of \$138,518 in FY 14 and \$197,193 in FY 15 for three additional deputy clerks of the juvenile courts to implement provisions of HB 6399, 'An Act Concerning Children in the Juvenile Justice System,' requires court monitoring of juvenile records by the Judicial Department. However, the bill was not enacted by the legislature.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for Court Appointed Counsel

Other Expenses	0	103,000	0	103,000	0	103,000	0	103,000
<b>Total - General Fund</b>	<b>0</b>	<b>103,000</b>	<b>0</b>	<b>103,000</b>	<b>0</b>	<b>103,000</b>	<b>0</b>	<b>103,000</b>

#### Background

Eligibility for court appointed counsel is determined by the Public Defender Services Commission (PDS) based on income eligibility. However annually approximately 183 delinquency cases (at a rate of \$350/case) and 78 child protection cases (at a rate of \$500/case) are appointed by the court over the objections of the PDS.

#### Legislative

Provide funding by \$103,000 in FY 14 and FY 15 for reimbursement of court appointed counsel to the Public Defender Services Commission. HB 6399 'An Act Concerning Children in the Juvenile Justice System' allows the Public Defender Services Commission (PDS) to seek reimbursement from the Judicial Department for cases in which a court appointed counsel was provided by the court over the objections of the PDS. However, the bill was not enacted by the legislature.

### Provide Funding for Domestic Violence Program Assessment

Other Expenses	0	50,000	0	0	0	50,000	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>0</b>

#### Legislative

PA 13-247, the general government implementer, provides a one-time funding of \$50,000 in FY 14 to the Other Expenses account for costs to assess the effectiveness of programs maintained by the Court Support Services Division within the Judicial Department with respect to family violence.

### Per Diem Compensation Small Claims and DMV Magistrates

Personal Services	0	167,350	0	167,350	0	167,350	0	167,350
<b>Total - General Fund</b>	<b>0</b>	<b>167,350</b>	<b>0</b>	<b>167,350</b>	<b>0</b>	<b>167,350</b>	<b>0</b>	<b>167,350</b>

#### Background

PA 13-247, the general government implementer, increased the per diem compensation for small claims and motor vehicle magistrates from \$150 to \$200.

#### Legislative

Provide funding for \$167,350 in FY 14 and FY 15 for the increase in the per diem rates.

### Transfer Children's Law Center from DSS

Children's Law Center	0	109,838	0	109,838	0	109,838	0	109,838
<b>Total - General Fund</b>	<b>0</b>	<b>109,838</b>	<b>0</b>	<b>109,838</b>	<b>0</b>	<b>109,838</b>	<b>0</b>	<b>109,838</b>

#### Background

The Children's Law Center provides experienced lawyers for indigent children and children involved in high-tension divorce proceedings. The lawyers give them a voice and represent them in family court. The program also provides information on legal matters involving children and advocates for legislative policies that advance the well-being and best interest of children. The program provides services to 240 adults and 375 children each year.

#### Legislative

Transfer funding of \$109,838 in FY 14 and FY 15 from the Department of Social Services to the Children's Law Center account.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Governor Estimated - GF</b>	<b>4,304</b>	<b>482,675,333</b>	<b>4,304</b>	<b>482,675,333</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	3	33,410,716	3	55,844,617	3	0	3	0
Policy Revisions	9	(405,353)	8	(410,716)	9	(405,353)	8	(410,716)
<b>Total Recommended - GF</b>	<b>4,316</b>	<b>515,680,696</b>	<b>4,315</b>	<b>538,109,234</b>	<b>12</b>	<b>(405,353)</b>	<b>11</b>	<b>(410,716)</b>
<b>Governor Estimated - BF</b>	<b>51</b>	<b>5,324,914</b>	<b>51</b>	<b>5,324,914</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	228,378	0	620,907	0	0	0	0
<b>Total Recommended - BF</b>	<b>51</b>	<b>5,553,292</b>	<b>51</b>	<b>5,945,821</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Governor Estimated - CF</b>	<b>0</b>	<b>3,602,121</b>	<b>0</b>	<b>3,602,121</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	(221,835)	0	(815,105)	0	0	0	0
<b>Total Recommended - CF</b>	<b>0</b>	<b>3,380,286</b>	<b>0</b>	<b>2,787,016</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Other Significant Legislation****PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015**

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$359,198, a General Other Expenses Lapse of \$489,718, and a Statewide Hiring Reduction Lapse of \$1,008,266. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	325,867,529	(1,367,464)	324,500,065	0.42%
Other Expenses	64,248,692	(489,718)	63,758,974	0.76%

# Public Defender Services Commission

## PDS98500

### Position Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Permanent Full-Time - GF	440	445	445	445	447	447
Permanent Full-Time - OF	0	4	4	4	4	4

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Personal Services	38,486,090	37,618,994	40,240,051	42,044,712	40,098,345	41,909,712
Other Expenses	1,527,229	1,471,204	1,545,428	1,550,119	1,545,428	1,550,119
<b>Other Current Expenses</b>						
Special Public Defenders - Contractual	3,446,825	0	0	0	0	0
Assigned Counsel - Criminal	6,290,249	8,522,248	17,100,900	17,100,900	9,111,900	9,111,900
Expert Witnesses	2,449,983	2,125,000	2,200,000	2,200,000	2,100,000	2,100,000
Training And Education	99,483	95,219	130,000	130,000	130,000	130,000
Assigned Counsel - Child Protection	10,656,565	9,981,524	0	0	7,436,000	7,436,000
Contracted Attorneys Related Expenses	146,501	151,577	150,000	150,000	150,000	150,000
Family Contracted Attorneys/AMC	586,246	608,149	0	0	575,000	575,000
<b>GAAP Adjustments</b>	<b>0</b>	<b>0</b>	<b>224,916</b>	<b>260,298</b>	<b>224,916</b>	<b>260,298</b>
<b>Agency Total - General Fund</b>	<b>63,689,170</b>	<b>60,573,915</b>	<b>61,591,295</b>	<b>63,436,029</b>	<b>61,371,589</b>	<b>63,223,029</b>
<b>Additional Funds Available</b>						
Federal & Other Restricted Act	251,362	145,505	85,500	84,500	85,500	84,500
Private Contributions	631	0	0	0	0	0
<b>Agency Grand Total</b>	<b>63,941,163</b>	<b>60,719,420</b>	<b>61,676,795</b>	<b>63,520,529</b>	<b>61,457,089</b>	<b>63,307,529</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### *Current Services*

### Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	2,621,057	0	4,425,718	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,621,057</b>	<b>0</b>	<b>4,425,718</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### **Governor**

Provide funding of \$2,621,057 in FY 14 and \$4,425,718 in FY 15 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

#### **Legislative**

Same as Governor



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Achieve Additional Savings

Assigned Counsel - Child Protection	0	(2,406,524)	0	(2,406,524)	0	0	0	0
Contracted Attorneys Related Expenses	0	(1,577)	0	(1,577)	0	0	0	0
Family Contracted Attorneys/ AMC	0	(33,149)	0	(33,149)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(2,441,250)</b>	<b>0</b>	<b>(2,441,250)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

CGS 4-73 requires the Secretary of OPM to submit the budget of the Public Defender Services Commission as requested by the agency, with no changes made. Any reductions must be submitted by the agency.

#### Governor

Adjust funding of \$2,441,250 in FY 14 and FY 15 to reflect additional savings achieved by the agency.

#### Legislative

Same as Governor

### Provide Funding for One-time Software Costs

Other Expenses	0	40,000	0	0	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>40,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Funding is requested to renew an antivirus/anti-spyware protection software program (\$10,000) and for a subscription to an integrated network management system (\$30,000).

#### Governor

Provide funding of \$40,000 in FY 14 to Other Expenses for a one-time software license payment.

#### Legislative

Same as Governor

### Apply Inflationary Increases

Other Expenses	0	34,224	0	78,915	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>34,224</b>	<b>0</b>	<b>78,915</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Other Expenses by \$34,224 in FY 14 and an additional \$44,691 in FY 15 (for a cumulative total of \$78,915 in the second year) to reflect inflationary increases.

#### Legislative

Same as Governor

### Adjust Operating Expenses to Reflect Current Requirement

Assigned Counsel - Criminal	0	428,652	0	428,652	0	0	0	0
Expert Witnesses	0	75,000	0	75,000	0	0	0	0
Training And Education	0	34,781	0	34,781	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>538,433</b>	<b>0</b>	<b>538,433</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$538,433 in FY 14 and FY 15 in various accounts to reflect FY 14 and FY 15 anticipated expenditure requirements. These costs include increased need for contracted attorneys in criminal cases, expert witnesses, additional training and education.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Provide Funding for GAAP

Nonfunctional - Change to Accruals	0	224,916	0	260,298	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>224,916</b>	<b>0</b>	<b>260,298</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Each agency's budget will include a new line item account called "Nonfunctional - Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

#### Governor

Provide funding of \$224,619 in FY 14 and \$260,298 in FY 15 to reflect the implementation of GAAP in the budget.

#### Legislative

Same as Governor

## Policy Revisions

### Transfer Funding to Streamline Budget Account Structure

Assigned Counsel - Criminal	0	0	0	0	0	(8,150,000)	0	(8,150,000)
Assigned Counsel - Child Protection	0	0	0	0	0	7,575,000	0	7,575,000
Family Contracted Attorneys/ AMC	0	0	0	0	0	575,000	0	575,000
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

The Assigned Counsel - Criminal account pays for assigned counsel (contracted special public defenders) who represent clients in criminal cases where the field office has determined a conflict of interest with the agency. These assigned counsel sign a contract with the agency, and are assigned cases on a flat-rate payment structure. However, if a case is complicated, the assigned counsel can submit a request for hourly billing.

The Assigned Counsel - Child Protection and the Family Contracted Attorneys handle all the child protection related cases. PA 11-51 transferred these accounts and their duties of the Child Protection Commission to the Public Defender Services Commission.

#### Governor

Transfer funding of \$7,575,000 from the Assigned Counsel - Child Protection account and \$575,000 from the Family Contracted Attorneys account in FY 14 and FY 15 (for a total transfer of \$8,150,000) to the Assigned Counsel - Criminal account.

#### Legislative

Maintain agency account structure.

### Provide Funding for Domestic Violence Positions

Personal Services	2	108,294	2	115,000	2	108,294	2	115,000
<b>Total - General Fund</b>	<b>2</b>	<b>108,294</b>	<b>2</b>	<b>115,000</b>	<b>2</b>	<b>108,294</b>	<b>2</b>	<b>115,000</b>

#### Legislative

Provide funding of \$108,294 in FY 14 and \$115,000 in FY 15 for two domestic violence positions.

### Provide Funding to Raise Misdemeanor Rates

Assigned Counsel - Criminal	0	325,000	0	325,000	0	325,000	0	325,000
<b>Total - General Fund</b>	<b>0</b>	<b>325,000</b>	<b>0</b>	<b>325,000</b>	<b>0</b>	<b>325,000</b>	<b>0</b>	<b>325,000</b>

#### Legislative

Provide funding of \$325,000 in FY 14 and FY 15 to raise the rate for misdemeanor cases from \$350/case to \$400/case.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Reduce Various Accounts

Personal Services	0	(250,000)	0	(250,000)	0	(250,000)	0	(250,000)
Assigned Counsel - Criminal	0	(100,000)	0	(100,000)	0	(100,000)	0	(100,000)
Expert Witnesses	0	(100,000)	0	(100,000)	0	(100,000)	0	(100,000)
Assigned Counsel - Child Protection	0	(100,000)	0	(100,000)	0	(100,000)	0	(100,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(550,000)</b>	<b>0</b>	<b>(550,000)</b>	<b>0</b>	<b>(550,000)</b>	<b>0</b>	<b>(550,000)</b>

#### Legislative

Reduce funding in Personal Services by \$250,000 in FY 14 and FY 15 by freezing 4 vacant positions. Reduce funding of \$100,000 in FY 14 and FY 15 in the Assigned Counsel - Criminal, Assigned Counsel - Child Protection account, and Expert Witness accounts to achieve additional savings.

### Reduce Funding for Reimbursements for Counsel

Assigned Counsel - Criminal	0	(64,000)	0	(64,000)	0	(64,000)	0	(64,000)
Assigned Counsel - Child Protection	0	(39,000)	0	(39,000)	0	(39,000)	0	(39,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(103,000)</b>	<b>0</b>	<b>(103,000)</b>	<b>0</b>	<b>(103,000)</b>	<b>0</b>	<b>(103,000)</b>

#### Background

Eligibility for court appointed counsel is determined by the Public Defender Services Commission (PDS) based on income eligibility. However annually approximately 183 delinquency cases (at a rate of \$350/case) and 78 child protection cases (at a rate of \$500/case) are appointed by the court over the objections of the PDS.

#### Legislative

Reduce funding by \$103,000 in FY 14 and FY 15 for reimbursement of court appointed counsel in anticipation of these funds being reimbursed by the Judicial Department. HB 6399 'An Act Concerning Children in the Juvenile Justice System' allows the Public Defender Services Commission (PDS) to seek reimbursement from the Judicial Department for cases in which a court appointed counsel was provided by the court over the objections of the PDS. However, the bill was not enacted by the legislature.

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	445	60,573,915	445	60,573,915	0	0	0	0
Current Services	0	1,017,380	0	2,862,114	0	0	0	0
Policy Revisions	2	(219,706)	2	(213,000)	2	(219,706)	2	(213,000)
<b>Total Recommended - GF</b>	<b>447</b>	<b>61,371,589</b>	<b>447</b>	<b>63,223,029</b>	<b>2</b>	<b>(219,706)</b>	<b>2</b>	<b>(213,000)</b>

### Other Significant Legislation

#### PA 13-184, An Act Concerning Expenditures and Revenue for the Biennium Ending June 30, 2015

Sections 11, 12 and 49 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 14 appropriation levels will be reduced by the amounts shown in the table to achieve the lapses included in PA 13-184. This includes a General Lapse of \$42,748, a General Other Expenses Lapse of \$58,282, and a Statewide Hiring Reduction Lapse of \$119,995. See the FY 14 Holdbacks schedule in Section VI of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	40,098,345	(119,995)	39,978,350	0.30%
Other Expenses	1,545,428	(58,282)	1,487,146	3.77%
Expert Witnesses	2,100,000	(17,748)	2,082,252	0.85%
Contracted Attorneys Related Expenses	150,000	(25,000)	125,000	16.67%

# Miscellaneous Appropriation to the Governor

## GOV12100

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Other Current Expenses						
Governor's Contingency Account	0	1	1	1	1	1
Agency Total - General Fund	0	1	1	1	1	1

### *Totals*

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	0	1	0	1	0	0	0	0
<b>Total Recommended - GF</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# Debt Service - State Treasurer

OTT14100

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Other Current Expenses						
Debt Service	1,611,604,469	1,626,307,248	1,495,000,853	1,615,881,403	1,434,000,853	1,554,881,403
UConn 2000 - Debt Service	116,703,248	117,729,372	135,251,409	156,037,386	135,251,409	156,037,386
CHEFA Day Care Security	4,248,133	5,500,000	5,500,000	5,500,000	5,500,000	5,500,000
Pension Obligation Bonds - TRB	80,894,031	121,386,576	145,076,576	133,922,226	145,076,576	133,922,226
GAAP Adjustments	0	0	0	11,321	0	11,321
Agency Total - General Fund	1,813,449,880	1,870,923,196	1,780,828,838	1,911,352,336	1,719,828,838	1,850,352,336
Other Current Expenses						
Debt Service	439,965,712	457,974,187	473,814,137	493,218,293	463,814,137	483,218,293
Agency Total - Special Transportation Fund	439,965,712	457,974,187	473,814,137	493,218,293	463,814,137	483,218,293
Other Current Expenses						
Debt Service	38,337	7,147	0	0	0	0
Agency Total - Regional Market Operation Fund	38,337	7,147	0	0	0	0
Total - Appropriated Funds	2,253,453,930	2,328,904,530	2,254,642,975	2,404,570,629	2,183,642,975	2,333,570,629
Additional Funds Available						
Private Contributions	125,296,181	0	0	0	0	0
Agency Grand Total	2,378,750,111	2,328,904,530	2,254,642,975	2,404,570,629	2,183,642,975	2,333,570,629

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Increase Debt Service to Reflect Increased Bond Issuance

Debt Service	0	38,693,605	0	159,574,155	0	0	0	0
UConn 2000 - Debt Service	0	17,522,037	0	38,308,014	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>56,215,642</b>	<b>0</b>	<b>197,882,169</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

General Fund debt service requirements reflect the amount of General Obligation (GO) bonds allocated through the State Bond Commission (SBC). Bond allocations by the SBC increased from \$854 million in FY 11 to \$1.3 billion in FY 12. Year- to-date SBC allocations for FY 13 are \$1.501 billion (as of June 30, 2013).

#### Governor

Increase the General Fund debt service account by \$38,693,605 in FY 14 and \$159,574,155 in FY 15 to reflect a projected increase in the level of FY 13 GO bond issuance from \$1.3 billion to \$1.5 billion. Increase UConn 2000 debt service by \$17,522,037 in FY 14 and \$38,308,014 in FY 15 to reflect the projected issuance schedule of \$189 million in FY 13 and \$248 million in FY 14.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Adjust Debt Service to Reflect Current Requirements

Debt Service	0	15,839,950	0	35,244,106	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>15,839,950</b>	<b>0</b>	<b>35,244,106</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Special Transportation Fund debt service reflects the level of Special Tax Obligation (STO) bond issuance needed to support the transportation projects that are currently under construction. The FY 13 debt service budget originally assumed that \$550 million in STO bonds would be issued in June 2012, but due to: (1) delays in the production and delivery of rail cars and (2) the use of cash received from the American Recovery and Reinvestment Act of 2009 (ARRA), the 2012 issuance was reduced to \$300 million.

#### Governor

Adjust Special Transportation Fund debt service to reflect increased issuance of STO bond issuance. The original debt service budget assumed that \$550 million in STO bonds would be issued in FY 13. The actual amount issued in December 2012 was \$627 million.

#### Legislative

Same as Governor

### Adjust Debt Service to Reflect POB Requirements

Pension Obligation Bonds - TRB	0	23,690,000	0	12,535,650	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>23,690,000</b>	<b>0</b>	<b>12,535,650</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

In April 2008, \$2.276 billion in taxable Pension Obligation Bonds (POBs) were issued for a term of 25 years at an average interest rate of 5.88%. The bond proceeds were invested in the Teachers Retirement Fund, which currently has an assumed long term return on assets of 8.5%. The issuance was authorized by PA 07-186.

#### Governor

Increase the POB account by \$23,690,000 in FY 14 and \$12,535,650 in FY 15 to reflect the increase in the amount of bond principal that will be paid off in FY 14 and FY 15. The amount of principal paid off in each year during the term of the bonds was established when the bonds were issued.

#### Legislative

Same as Governor

### Adjust Debt Service to Reflect Repayment of Bonds

Debt Service	0	(7,147)	0	(7,147)	0	0	0	0
<b>Total - Regional Market Operation Fund</b>	<b>0</b>	<b>(7,147)</b>	<b>0</b>	<b>(7,147)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Eliminate debt service for the Regional Market Operations Fund to reflect final amortization (repayment) of the bonds.

#### Legislative

Same as Governor

## Policy Revisions

### Reduce Debt Service to Reflect ERN Refunding

Debt Service	0	(196,000,000)	0	(196,000,000)	0	(46,000,000)	0	(46,000,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(196,000,000)</b>	<b>0</b>	<b>(196,000,000)</b>	<b>0</b>	<b>(46,000,000)</b>	<b>0</b>	<b>(46,000,000)</b>

#### Background

The FY 09 deficit of \$947.6 million was financed through the November 2009 issuance of Economic Recovery Notes (ERNs), which were authorized by PA 09-2 of the June Special Session. The current payment schedule for the ERNs requires them to be paid off by FY 16.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Reduce the General Fund debt service account by \$150 million in each of FY 14 and FY 15 to reflect the anticipated refinancing of the ERNs, which the Governor has proposed will be part of a larger issuance of up to \$750 million in bonds to finance the GAAP deficit. The new payment schedule would require the ERNs to be paid off by FY 18 (a two year extension). The refinancing is expected to be done at a 3% interest rate in the fall of 2013. The goal of the ERN refinancing is to achieve \$150 million in debt service savings in each year of the biennium.

**Legislative**

Reduce the General Fund debt service account by \$196 million in each fiscal year to reflect the anticipated ERN refinancing. The refinancing will be done as part of the issuance of up to \$750 million in bonds to finance the GAAP deficit. The new payment schedule would require the ERNs to be paid off by FY 18 (a two year extension). The refinancing is expected to be done at a 3% interest rate in the fall of 2013. The goal of the ERN refinancing is to achieve \$196 million in debt service savings in each year of the biennium.

**Reduce Debt Service to Reflect Anticipated Bond Premiums**

Debt Service	0	(35,000,000)	0	(35,000,000)	0	(15,000,000)	0	(15,000,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(35,000,000)</b>	<b>0</b>	<b>(35,000,000)</b>	<b>0</b>	<b>(15,000,000)</b>	<b>0</b>	<b>(15,000,000)</b>

**Background**

Between FY 02 and FY 13, the General Fund debt service account lapsed between \$4 million and \$75 million per year due to premiums received on General Obligation (GO) bonds issuances. (Bond purchasers pay a premium to receive a higher rate of interest than the one at which the bonds would otherwise have sold.)

**Governor**

Reduce the debt service account by \$20 million in each of FY 14 and FY 15 to reflect anticipated bond premiums on GO bonds. Premiums are expected to continue because the Federal Reserve is maintaining interest rates at very low levels, which creates an economic environment that encourages bond buyers to purchase tax-exempt bonds at a premium.

**Legislative**

Reduce the debt service account by a total of \$35 million in each fiscal year to reflect additional anticipated bond premiums on GO bonds.

**Reduce Special Tax Obligation Bond Debt Service**

Debt Service	0	(10,000,000)	0	(10,000,000)	0	(10,000,000)	0	(10,000,000)
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(10,000,000)</b>	<b>0</b>	<b>(10,000,000)</b>	<b>0</b>	<b>(10,000,000)</b>	<b>0</b>	<b>(10,000,000)</b>

**Background**

The Special Transportation Fund debt service account pays debt service on Special Tax Obligation (STO) bonds, which are used to finance a variety of transportation infrastructure projects. It has lapsed approximately \$10 million in each of the last five fiscal years.

**Legislative**

Reduce the Special Transportation Fund debt service account by \$10 million in each of FY 14 and FY 15 to reflect the amount historically lapsed in each of the last five fiscal years in this account.

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	(7,068)	0	8,281	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(7,068)</b>	<b>0</b>	<b>8,281</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Reduce funding by \$7,068 in FY 14 and increase funding by \$8,281 in FY 15 to reflect changes to GAAP accruals as a result of a policy change.

**Legislative**

Same as Governor

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	0	1,870,923,196	0	1,870,923,196	0	0	0	0
Current Services	0	79,912,710	0	210,420,859	0	0	0	0
Policy Revisions	0	(231,007,068)	0	(230,991,719)	0	(61,000,000)	0	(61,000,000)
Total Recommended - GF	0	1,719,828,838	0	1,850,352,336	0	(61,000,000)	0	(61,000,000)
Governor Estimated - TF	0	457,974,187	0	457,974,187	0	0	0	0
Current Services	0	15,839,950	0	35,244,106	0	0	0	0
Policy Revisions	0	(10,000,000)	0	(10,000,000)	0	(10,000,000)	0	(10,000,000)
Total Recommended - TF	0	463,814,137	0	483,218,293	0	(10,000,000)	0	(10,000,000)
Governor Estimated - RF	0	7,147	0	7,147	0	0	0	0
Current Services	0	(7,147)	0	(7,147)	0	0	0	0
Total Recommended - RF	0	0	0	0	0	0	0	0



# State Comptroller - Miscellaneous

## OSC15100

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Other Current Expenses						
Adjudicated Claims	7,638,961	4,000,000	4,100,000	4,100,000	4,100,000	4,100,000
Amortize GAAP Deficit	0	0	29,800,000	29,800,000	0	0
Agency Total - General Fund	7,638,961	4,000,000	33,900,000	33,900,000	4,100,000	4,100,000
Additional Funds Available						
Carry Forward Funding	0	0	0	0	2,941,339	0
Agency Grand Total	7,638,961	4,000,000	33,900,000	33,900,000	7,041,339	4,100,000

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### *Policy Revisions*

#### Amortize the GAAP Deficit

Amortize GAAP Deficit	0	0	0	0	0	(29,800,000)	0	(29,800,000)
<b>Total - General Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(29,800,000)</b>	<b>0</b>	<b>(29,800,000)</b>

#### **Governor**

Provide funding of \$29,800,000 in both FY 14 and FY 15 to support the amortization, over 15 years, of the estimated cumulative GAAP deficit as of June 30, 2013, which is anticipated to be reduced from approximately \$1.2 billion to \$447 million as a result of debt restructuring.

#### **Legislative**

Eliminate funding of \$29,800,000 in both FY 14 and FY 15 for the amortization of the cumulative GAAP deficit.

#### Transfer Claims Costs from DAS

Adjudicated Claims	0	100,000	0	100,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### **Background**

Under current law the Claims Commissioner has the authority to order immediate payment of any just claim against the state in an amount not exceeding \$7,500. If a claim against the state has merit, but damages exceed \$7,500, a claimant must either get approval from the General Assembly for a higher award, or must pursue their claim through the Judicial Branch. The claims which the Commissioner orders for immediate payment is paid out of this account, while larger claims are paid out of the OSC's Adjudicated Claims account.

#### **Governor**

The payment of small claims against the state is consolidated into the OSC's Adjudicated Claims account from DAS; \$100,000 is transferred in both FY 14 and FY 15 from DAS to the Office of the State Comptrollers Adjudicated Claims account to handle the payment of these claims.

#### **Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Carry Forward

#### Carry Forward Funding for Adjudicated Claims

Adjudicated Claims	0	2,941,339	0	0	0	2,941,339	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>2,941,339</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,941,339</b>	<b>0</b>	<b>0</b>

#### Legislative

Section 63 of PA 13-184, the FY 14 and FY 15 Budget, carries forward funding of \$2,941,339 in the Adjudicated Claims account for use for claims against the state, including a class action settlement against the Department of Correction.

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	0	4,000,000	0	4,000,000	0	0	0	0
Policy Revisions	0	100,000	0	100,000	0	(29,800,000)	0	(29,800,000)
<b>Total Recommended - GF</b>	<b>0</b>	<b>4,100,000</b>	<b>0</b>	<b>4,100,000</b>	<b>0</b>	<b>(29,800,000)</b>	<b>0</b>	<b>(29,800,000)</b>

# State Comptroller - Fringe Benefits

## OSC15200

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Other Current Expenses						
Unemployment Compensation	9,108,178	8,901,932	8,281,017	8,643,507	8,275,189	8,643,507
State Employees Retirement Contributions	652,638,699	721,490,818	766,459,148	809,719,439	916,024,145	969,312,947
Higher Education Alternative Retirement System	20,950,297	31,155,127	5,459,845	5,021,069	28,485,055	30,131,328
Pensions and Retirements - Other Statutory	1,718,135	1,842,652	1,730,420	1,749,057	1,730,420	1,749,057
Judges and Compensation Commissioners Retirement	15,095,489	16,005,904	16,298,488	17,731,131	16,298,488	17,731,131
Insurance - Group Life	8,555,731	8,754,807	8,200,382	8,702,069	8,808,780	9,353,107
Employers Social Security Tax	215,042,809	221,956,828	181,485,873	189,243,610	224,928,273	235,568,631
State Employees Health Service Cost	518,350,613	568,012,615	452,791,340	490,222,104	615,897,053	650,960,045
Retired State Employees Health Service Cost	549,063,351	614,094,650	699,329,721	745,152,150	548,693,300	568,635,039
Tuition Reimbursement - Training and Travel	0	0	3,127,500	3,127,500	3,127,500	3,127,500
GAAP Adjustments	0	0	24,419,312	17,200,946	24,419,312	17,200,946
Agency Total - General Fund	1,990,523,302	2,192,215,333	2,167,583,046	2,296,512,582	2,396,687,515	2,512,413,238
Other Current Expenses						
Unemployment Compensation	396,853	644,928	237,011	248,862	237,011	248,862
State Employees Retirement Contributions	90,047,045	107,869,254	108,327,000	130,124,000	108,347,033	130,144,053
Insurance - Group Life	246,197	334,000	286,000	292,000	286,000	292,000
Employers Social Security Tax	12,950,802	17,800,089	15,864,800	16,538,000	15,600,381	16,304,506
State Employees Health Service Cost	33,263,330	36,416,890	39,748,900	42,363,700	38,535,326	40,823,865
GAAP Adjustments	0	0	655,603	1,876,668	655,603	1,876,668
Agency Total - Special Transportation Fund	136,904,227	163,065,161	165,119,314	191,443,230	163,661,354	189,689,954
Total - Appropriated Funds	2,127,427,529	2,355,280,494	2,332,702,360	2,487,955,812	2,560,348,869	2,702,103,192
Additional Funds Available						
Carry Forward Funding	0	0	0	0	3,495,094	0
Agency Grand Total	2,127,427,529	2,355,280,494	2,332,702,360	2,487,955,812	2,563,843,963	2,702,103,192

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Increase Funding for State Employees Retirement System

State Employees Retirement Contributions	0	190,921,352	0	241,847,752	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>190,921,352</b>	<b>0</b>	<b>241,847,752</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Employees Retirement Contributions	0	457,746	0	22,254,746	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>457,746</b>	<b>0</b>	<b>22,254,746</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

Under the provisions of CGS 5-156a, the State Employees Retirement System (SERS) is funded on an actuarial reserve basis. Actuarial valuations are prepared at least every two years to determine the state's annual required contribution. The most recent valuation was prepared on June 30, 2012. Membership in one of the four tiers depends upon an employee's hire date. Tier I, Tier IIA and Tier III require member contributions while Tier II is non-contributory.

**Governor**

Increase funding by \$190,921,352 in FY 14 and \$241,847,752 in FY 15 in the General Fund and by \$457,746 in FY 14 and \$22,254,746 in FY 15 in the Special Transportation Fund for the SERS contribution to reflect the full actuarially required contribution.

**Legislative**

Same as Governor

**Increase Funding for Higher Education Alternative Retirement**

Higher Education Alternative Retirement System	0	2,312,873	0	3,343,873	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>2,312,873</b>	<b>0</b>	<b>3,343,873</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

CGS 5-155a authorizes eligible unclassified employees of the constituent units of the State system of higher education to participate in an alternate retirement program. The retirement contribution rate for participants is five percent and the State's share is eight percent of salary.

**Governor**

Provide funding of \$2,312,873 in FY 14 and \$3,343,873 in FY 15 to reflect the provision of the statutorily required state contribution.

**Legislative**

Same as Governor

**Increase Funding for Judge's Retirement System**

Judges and Compensation Commissioners Retirement	0	292,584	0	1,725,227	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>292,584</b>	<b>0</b>	<b>1,725,227</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

CGS 51-49 through 51-50b, inclusive and CGS 51-51 provide a retirement system for judges, family support magistrates and compensation commissioners. Funding for the system is provided by General Fund contributions and participant payroll deductions at a percentage rate of salary. CGS 51-49d provides that the system be funded on an actuarial reserve basis with actuarial valuations performed at least every two years. The most recent valuation was prepared as of June 30, 2012.

**Governor**

Provide funding of \$292,584 in FY 14 and \$1,725,227 in FY 15 to reflect the provision of the actuarially required contribution.

**Legislative**

Same as Governor

**Increase Funding for Other Statutory Pensions & Retirements**

Pensions and Retirements - Other Statutory	0	(112,232)	0	(93,595)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(112,232)</b>	<b>0</b>	<b>(93,595)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The Pensions and Retirements - Other Statutory account funds pension and retirement benefits for past Governors, their surviving spouses, and retirement benefits authorized by special acts (there are currently only three beneficiaries who receive retirement benefits authorized by a special act from this account).

**Governor**

Reduce funding by \$112,232 in FY 14 and \$93,595 in FY 15 to reflect anticipated contribution requirements.

**Legislative**

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**UConn Health Center Fringe Differential**

Unemployment Compensation	0	91,317	0	91,317	0	0	0	0
State Employees Retirement Contributions	0	3,632,008	0	3,632,008	0	0	0	0
Higher Education Alternative Retirement System	0	2,207,055	0	2,207,055	0	0	0	0
Insurance - Group Life	0	18,370	0	18,370	0	0	0	0
Employers Social Security Tax	0	1,893,674	0	1,893,674	0	0	0	0
State Employees Health Service Cost	0	5,655,100	0	5,655,100	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>13,497,524</b>	<b>0</b>	<b>13,497,524</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

University of Connecticut Health Center (UCHC) employees, including those at John Dempsey Hospital, are state employees and are entitled to the state fringe benefit package. The cost of fringe benefits for certain UCHC employees are paid from the Office of the State Comptroller (OSC) fringe benefit accounts, while other UCHC employees' fringes are covered by the hospital's revenues. Section 42 of PA 11-6 (2011-13 Biennial Budget) requires the OSC to fund up to \$13.5 million of fringe costs for certain UCHC employees, which otherwise would have been covered by hospital revenue, out of the resources of the OSC. The funding is intended to offset the differential between the state fringe benefit rate and the average rate for Connecticut acute care hospitals.

**Governor**

Provide funding of \$13,497,524 in both FY 14 and FY 15 to reflect UCHC fringe benefit cost differential being covered by the General Fund.

**Legislative**

Same as Governor

**Increase Health Service Cost Funding Due to Federal Fees**

State Employees Health Service Cost	0	3,350,000	0	6,700,000	0	0	0	0
Retired State Employees Health Service Cost	0	3,350,000	0	6,700,000	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>6,700,000</b>	<b>0</b>	<b>13,400,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

The State as an employer of a self-funded health plan is required to pay the following two new fees under the Patient Protection and Affordable Care Act (PPACA):

- Newly released regulations from the Department of Health and Human Services (HHS) for Transitional Reinsurance require all insurers and self-funded plans to pay a \$63 fee per each covered life in 2014, and other per covered life fees in 2015 and 2016.
- Plans will also be required by the Internal Revenue Services to pay an additional \$1 fee per each covered life to fund the Patient-Centered Outcomes Research Trust Fund in 2014, increasing to \$2 per covered life through 2019.

**Governor**

Provide funding of \$6.7 million in FY 14 and \$13.4 million in FY 15 in the State Employees and Retired State Employees Health Service Cost accounts to reflect the two new PPACA fees.

**Legislative**

Same as Governor

**Adjust Funding for Tuition Reimbursement Training and Travel**

Tuition Reimbursement - Training and Travel	0	3,127,500	0	3,127,500	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>3,127,500</b>	<b>0</b>	<b>3,127,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Governor**

Provide funding for \$3,127,500 in both FY 14 and FY 15 for tuition reimbursement, training and travel for settled collective bargaining contracts.

**Legislative**

Same as Governor

**Provide Funding for GAAP**

Nonfunctional - Change to Accruals	0	23,756,499	0	16,584,694	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>23,756,499</b>	<b>0</b>	<b>16,584,694</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	682,441	0	1,902,866	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>682,441</b>	<b>0</b>	<b>1,902,866</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

Each agency's budget will include a new line item account called "Nonfunctional – Change to Accruals". This line item represents the estimate of the difference between an agency's cash-basis budget amounts and the accrual-basis amounts that will be reflected under Generally Accepted Accounting Principles (GAAP). These funds will not be available for spending; in the aggregate they will prevent the state's GAAP deficit from growing.

**Governor**

Provide funding of \$23,756,499 in FY 14 and \$16,584,694 in FY 15 in the General Fund and \$682,441 in FY 14 and \$1,902,866 in FY 15 in the Special Transportation Fund to reflect the implementation of GAAP in the budget.

**Legislative**

Same as Governor

**Adjust Funding to Reflect Net Position Technical Changes**

Employers Social Security Tax	0	517,300	0	1,093,700	0	0	0	0
State Employees Health Service Cost	0	696,300	0	1,724,900	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,213,600</b>	<b>0</b>	<b>2,818,600</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Employers Social Security Tax	0	180,400	0	187,600	0	0	0	0
State Employees Health Service Cost	0	637,200	0	709,700	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>817,600</b>	<b>0</b>	<b>897,300</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$1,213,600 in FY 14 and \$2,818,600 in FY 15 in the General Fund and \$817,600 in FY 14 and \$897,300 in FY 15 in the Special Transportation Fund to reflect the technical changes across various state agencies' that impact fringe benefit costs.

**Legislative**

Same as Governor

**Adjust Fringe Benefits to Reflect Current Requirements**

Unemployment Compensation	0	(800,132)	0	(395,042)	0	0	0	0
Insurance - Group Life	0	35,603	0	568,276	0	0	0	0
Employers Social Security Tax	0	(534,828)	0	8,794,172	0	0	0	0
State Employees Health Service Cost	0	(5,747,228)	0	33,038,372	0	0	0	0
Retired State Employees Health Service Cost	0	86,885,071	0	129,357,500	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Total - General Fund</b>	0	79,838,486	0	171,363,278	0	0	0	0
Unemployment Compensation	0	(407,917)	0	(396,066)	0	0	0	0
Insurance - Group Life	0	(48,000)	0	(42,000)	0	0	0	0
Employers Social Security Tax	0	(2,116,089)	0	(1,450,089)	0	0	0	0
State Employees Health Service Cost	0	2,694,810	0	5,237,110	0	0	0	0
<b>Total - Special Transportation Fund</b>	0	122,804	0	3,348,955	0	0	0	0

**Governor**

Provide funding of \$79,838,486 in FY 14 and \$171,363,278 in FY 15 in the General Fund and \$122,804 in FY 14 and \$3,348,955 in the Special Transportation Fund to reflect the current services requirement in various fringe benefit accounts.

**Legislative**

Same as Governor

**Policy Revisions****Transfer Fringe Benefit Cost for Higher Education Units**

State Employees Retirement Contributions	0	0	0	0	0	149,585,030	0	159,613,561
Higher Education Alternative Retirement System	0	0	0	0	0	30,215,210	0	32,340,259
Insurance - Group Life	0	0	0	0	0	608,398	0	651,038
Employers Social Security Tax	0	0	0	0	0	42,508,601	0	45,369,900
State Employees Health Service Cost	0	0	0	0	0	114,598,947	0	122,165,304
<b>Total - General Fund</b>	0	0	0	0	0	337,516,186	0	360,140,062

**Background**

Currently, the fringe benefits for the General Fund positions within the Higher Education units are budgeted centrally in the Office of the State Comptroller – Fringe Benefits accounts. This includes: Unemployment, State Employee Retirement System (SERS), Alternative Retirement Plan (ARP), Group Life, Social Security, and Medical Insurance.

**Governor**

Transfer funding of \$337,516,186 in FY 14 and \$360,140,062 in FY 15 from the OSC Fringe Benefit accounts to the higher education units (UConn, UCHC and the Board of Regents) to reflect the reallocation of fringe benefit costs.

**Legislative**

Maintain funding of \$337,516,186 in FY 14 and \$360,140,062 in FY 15 to reflect fringe benefit funding for employees of the higher education units (UConn, UCHC, and the Board of Regents) remaining in the OSC fringe benefit accounts. This funding reflects fully funding the Governor's proposed UConn expansion for Next Generation CT. The fringe benefit adjustments associated with the Legislative changes to the Next Generation CT initiative are reflected in a separate write- up.

**Adjust Funding to Reflect Next Generation CT**

State Employees Retirement Contributions	0	0	0	2,362,422	0	0	0	0
Higher Education Alternative Retirement System	0	0	0	655,273	0	0	0	0
Insurance - Group Life	0	0	0	11,654	0	0	0	0
Employers Social Security Tax	0	0	0	728,636	0	0	0	0
State Employees Health Service Cost	0	0	0	1,846,421	0	0	0	0
<b>Total - General Fund</b>	0	0	0	5,604,406	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Background**

The Next Generation Connecticut initiative at UConn's Storrs and Stamford campuses includes hiring 66 faculty members funded through the General Fund in FY 15.

**Governor**

Provide funding of \$5,604,406 in FY 15 for the fringe benefit costs associated with 66 positions for the Next Generation CT enhancements to UConn Storrs and Stamford campuses.

**Legislative**

Same as Governor

**Adjust Funding for State Employee and Retiree Health Costs**

State Employees Health Service Cost	0	46,728,458	0	36,435,659	0	46,728,458	0	36,435,659
Retired State Employees Health Service Cost	0	(140,636,421)	0	(166,517,111)	0	(140,636,421)	0	(166,517,111)
<b>Total - General Fund</b>	<b>0</b>	<b>(93,907,963)</b>	<b>0</b>	<b>(130,081,452)</b>	<b>0</b>	<b>(93,907,963)</b>	<b>0</b>	<b>(130,081,452)</b>
State Employees Health Service Cost	0	(1,213,574)	0	(1,648,527)	0	(1,213,574)	0	(1,648,527)
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(1,213,574)</b>	<b>0</b>	<b>(1,648,527)</b>	<b>0</b>	<b>(1,213,574)</b>	<b>0</b>	<b>(1,648,527)</b>

**Legislative**

Provide funding of \$46,728,458 in FY 14 and \$36,435,659 in FY 15 in the General Fund State Employees Health Service Cost account and reduce funding by \$1,213,574 in FY 14 and \$1,648,527 in FY 15 in the Special Transportation Fund State Employee Health Service Cost account to reflect projected funding requirements. Reduce funding by \$140,636,421 in FY 14 and \$166,517,111 in FY 15 in the Retired State Employees Health Services Cost account to reflect projected funding requirements.

**Adjust Funding for Claims Efficiencies**

Retired State Employees Health Service Cost	0	(10,000,000)	0	(10,000,000)	0	(10,000,000)	0	(10,000,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(10,000,000)</b>	<b>0</b>	<b>(10,000,000)</b>	<b>0</b>	<b>(10,000,000)</b>	<b>0</b>	<b>(10,000,000)</b>

**Legislative**

Reduce funding by \$10.0 million in FY 14 and FY 15 in the Retired State Employees Health Services Cost account to reflect anticipated claims efficiencies and reduced medical expenses in the retiree population.

**Reduce Funding to Reflect Pharmacy Cost Savings**

State Employees Health Service Cost	0	(5,000,000)	0	(5,000,000)	0	0	0	0
Retired State Employees Health Service Cost	0	(5,000,000)	0	(5,000,000)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(10,000,000)</b>	<b>0</b>	<b>(10,000,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Background**

PA 12-1 December Special Session (AAC Deficit Mitigation for the Fiscal Year Ending June 30, 2013) reduced the State Employees Health Service Cost account by \$6.6 million to reflect pharmacy savings due to increased use of generic prescriptions.

**Governor**

Funding is reduced by \$10.0 million in FY 14 and FY 15 in the State Employees and Retired State Employees Health Service Cost accounts to reflect pharmacy cost savings resulting from increased use of generic prescriptions.

**Legislative**

Same as Governor



Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Adjust Funding for Higher Education Alternative Retirement**

Higher Education Alternative Retirement System	0	(7,190,000)	0	(7,230,000)	0	(7,190,000)	0	(7,230,000)
<b>Total - General Fund</b>	<b>0</b>	<b>(7,190,000)</b>	<b>0</b>	<b>(7,230,000)</b>	<b>0</b>	<b>(7,190,000)</b>	<b>0</b>	<b>(7,230,000)</b>

**Legislative**

Reduce funding by \$7,190,000 in FY 14 and \$7,230,000 in FY 15 to reflect funding requirements for the Higher Education Alternative Retirement Program.

**Fund PA 13-3 AAC Gun Violence Prevention & Children's Safety**

Employers Social Security Tax	0	132,618	0	114,258	0	132,618	0	114,258
State Employees Health Service Cost	0	375,587	0	355,302	0	375,587	0	355,302
<b>Total - General Fund</b>	<b>0</b>	<b>508,205</b>	<b>0</b>	<b>469,560</b>	<b>0</b>	<b>508,205</b>	<b>0</b>	<b>469,560</b>

**Legislative**

Provide funding of \$508,205 in FY 14 and \$469,560 in FY 15 for fringe benefit costs associated with personnel necessary to support PA 13-3.

**Adjust Funding to Reflect Addition of Positions**

Employers Social Security Tax	0	1,299,908	0	1,342,584	0	1,192,808	0	1,232,684
State Employees Health Service Cost	0	1,664,121	0	2,068,576	0	1,416,821	0	1,796,776
<b>Total - General Fund</b>	<b>0</b>	<b>2,964,029</b>	<b>0</b>	<b>3,411,160</b>	<b>0</b>	<b>2,609,629</b>	<b>0</b>	<b>3,029,460</b>
Employers Social Security Tax	0	0	0	30,922	0	0	0	30,922
State Employees Health Service Cost	0	0	0	108,692	0	0	0	108,692
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>139,614</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>139,614</b>

**Governor**

Provide funding of \$354,400 in FY 14 and \$381,700 in FY 15 to reflect the fringe benefit costs associated with additional positions.

**Legislative**

Provide funding of \$2,964,029 in FY 14 and \$3,411,160 in FY 15 in the General Fund and \$139,614 in FY 15 in the Special Transportation Fund to reflect the fringe benefit costs associated with additional positions.

**Adjust Funding to Reflect Reduction of Positions**

Unemployment Compensation	0	82,072	0	45,300	0	(5,828)	0	0
Employers Social Security Tax	0	(429,796)	0	(451,587)	0	(388,296)	0	(388,487)
State Employees Health Service Cost	0	(122,600)	0	(194,000)	0	(14,100)	0	(15,100)
<b>Total - General Fund</b>	<b>0</b>	<b>(470,324)</b>	<b>0</b>	<b>(600,287)</b>	<b>0</b>	<b>(408,224)</b>	<b>0</b>	<b>(403,587)</b>
Employers Social Security Tax	0	(267,750)	0	(267,750)	0	(267,750)	0	(267,750)
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(267,750)</b>	<b>0</b>	<b>(267,750)</b>	<b>0</b>	<b>(267,750)</b>	<b>0</b>	<b>(267,750)</b>

**Governor**

Reduce funding by \$62,100 in FY 14 and \$196,700 in FY 15 to reflect changes in personnel across various agencies.

**Legislative**

Reduce funding by \$470,324 in FY 14 and \$600,287 in FY 15 in the General Fund to reflect changes in personnel across various agencies. Reduce funding by \$267,750 in both FY 14 and FY 15 in the Special Transportation Fund to reflect the changes in personnel across various agencies.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Adjust Funding to Reflect Transfer of Positions**

State Employees Retirement Contributions	0	(20,033)	0	(20,053)	0	(20,033)	0	(20,053)
Employers Social Security Tax	0	92,569	0	96,366	0	(3,331)	0	(3,334)
State Employees Health Service Cost	0	284,700	0	317,100	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>357,236</b>	<b>0</b>	<b>393,413</b>	<b>0</b>	<b>(23,364)</b>	<b>0</b>	<b>(23,387)</b>
State Employees Retirement Contributions	0	20,033	0	20,053	0	20,033	0	20,053
Employers Social Security Tax	0	3,731	0	3,734	0	3,331	0	3,334
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>23,764</b>	<b>0</b>	<b>23,787</b>	<b>0</b>	<b>23,364</b>	<b>0</b>	<b>23,387</b>

**Governor**

Provide funding of \$380,600 in FY 14 and \$416,800 in FY 15 in the General Fund and \$400 in both FY 14 and FY 15 in the Special Transportation Fund to reflect the transfer of positions to these funds.

**Legislative**

Provide funding of \$357,236 in FY 14 and \$393,413 in FY 15 in the General Fund and \$23,764 in FY 14 and \$23,787 in FY 15 in the Special Transportation Fund to reflect the transfer of positions to these funds.

**Adjust Funding for GAAP**

Nonfunctional - Change to Accruals	0	662,813	0	616,252	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>662,813</b>	<b>0</b>	<b>616,252</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Nonfunctional - Change to Accruals	0	(26,838)	0	(26,198)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(26,838)</b>	<b>0</b>	<b>(26,198)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Governor**

Provide funding of \$662,813 in FY 14 and \$616,252 in FY 15 in the General Fund and reduce funding by \$26,838 in FY 14 and \$26,198 in FY 15 in the Special Transportation Fund to reflect changes to GAAP accruals to reflect policy changes.

**Legislative**

Same as Governor

**Carry Forward****Carry Forward Funding for Department of Revenue Services**

Employers Social Security Tax	0	10,000	0	0	0	10,000	0	0
State Employees Health Service Cost	0	30,000	0	0	0	30,000	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>40,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>40,000</b>	<b>0</b>	<b>0</b>

**Legislative**

Section 60(a)(2) of PA 13-184, AAC Expenditures and Revenues for the Biennium Ending June 30, 2015, carries forward funding of \$150,000 in the Personal Services account in the Department of Revenue Services and transfers \$40,000 (\$30,000 for the State Employees Health Services account and \$10,000 in the Employers Social Services account) to the Office of the State Comptroller in FY 14. Funding is provided to support fringe benefits for the IT Analyst required for the Sales and Use Tax Enhanced Collections System.

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Carry Forward Tuition and Training Funds**

Tuition Reimbursement - Training and Travel	0	3,455,094	0	0	0	3,455,094	0	0
<b>Total - Carry Forward Funding</b>	<b>0</b>	<b>3,455,094</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,455,094</b>	<b>0</b>	<b>0</b>

**Legislative**

Pursuant to individual collective bargaining unit contracts \$3,455,094 is carried forward for FY 14 in the Tuition Reimbursement - Training and Travel account.

**Totals**

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	0	2,192,215,333	0	2,192,215,333	0	0	0	0
Current Services	0	321,548,186	0	467,614,853	0	0	0	0
Policy Revisions	0	(117,076,004)	0	(147,416,948)	0	229,104,469	0	215,900,656
<b>Total Recommended - GF</b>	<b>0</b>	<b>2,396,687,515</b>	<b>0</b>	<b>2,512,413,238</b>	<b>0</b>	<b>229,104,469</b>	<b>0</b>	<b>215,900,656</b>
Governor Estimated - TF	0	163,065,161	0	163,065,161	0	0	0	0
Current Services	0	2,080,591	0	28,403,867	0	0	0	0
Policy Revisions	0	(1,484,398)	0	(1,779,074)	0	(1,457,960)	0	(1,753,276)
<b>Total Recommended - TF</b>	<b>0</b>	<b>163,661,354</b>	<b>0</b>	<b>189,689,954</b>	<b>0</b>	<b>(1,457,960)</b>	<b>0</b>	<b>(1,753,276)</b>

# Reserve for Salary Adjustments

## OPM20100

### Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Other Current Expenses						
Reserve For Salary Adjustments	0	44,121,463	51,245,957	60,249,658	30,424,382	36,273,043
Agency Total - General Fund	0	44,121,463	51,245,957	60,249,658	30,424,382	36,273,043
Other Current Expenses						
Reserve For Salary Adjustments	0	3,031,683	3,558,297	3,661,897	3,558,297	3,661,897
Agency Total - Special Transportation Fund	0	3,031,683	3,558,297	3,661,897	3,558,297	3,661,897
Total - Appropriated Funds		47,153,146	54,804,254	63,911,555	33,982,679	39,934,940
Additional Funds Available						
Carry Forward Funding	0	0	0	0	20,344,557	0
Carry Forward Transportation Fund	0	0	0	0	431,072	0
Agency Grand Total	0	47,153,146	54,804,254	63,911,555	54,758,308	39,934,940

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Provide Funding for Unsettled Contracts

Reserve For Salary Adjustments	0	7,124,494	0	16,128,195	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>7,124,494</b>	<b>0</b>	<b>16,128,195</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Reserve For Salary Adjustments	0	37,500	0	44,800	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>37,500</b>	<b>0</b>	<b>44,800</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$7,161,994 (\$7,124,494 in General Fund (GF) and \$37,500 in Special Transportation Fund (STF)) in FY 14 and \$16,172,995 (\$16,128,195 in GF \$44,800 in STF) in FY 15 for unsettled contracts.

#### Legislative

Same as Governor

#### Provide Funding for Accrual Payouts

Reserve For Salary Adjustments	0	489,114	0	585,414	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>489,114</b>	<b>0</b>	<b>585,414</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$489,114 in FY 14 and \$585,414 in FY 15 in the STF for accrual payouts.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Policy Revisions

#### Reduce Funding to Reflect Anticipated Expenditures

Reserve For Salary Adjustments	0	(20,821,575)	0	(23,976,615)	0	(20,821,575)	0	(23,976,615)
<b>Total - General Fund</b>	<b>0</b>	<b>(20,821,575)</b>	<b>0</b>	<b>(23,976,615)</b>	<b>0</b>	<b>(20,821,575)</b>	<b>0</b>	<b>(23,976,615)</b>

#### Legislative

Reduce funding by \$20,821,575 in GF in FY 14 and \$23,976,615 in GF in FY 15 to reflect anticipated expenditures

### Carry Forward

#### Carry Forward Funding for RSA

Reserve For Salary Adjustments	0	20,344,557	0	0	0	20,344,557	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>20,344,557</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>20,344,557</b>	<b>0</b>	<b>0</b>
Reserve For Salary Adjustments TF	0	431,072	0	0		431,072		0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>431,072</b>	<b>0</b>	<b>0</b>		<b>431,072</b>		<b>0</b>

#### Legislative

Section 18 of PA 13-184, the FY 14 and FY 15 budget, carries forward funding of \$20,775,629 (\$20,344,557 in the GF and \$431,072 in STF) for collective bargaining costs.

### Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	0	44,121,463	0	44,121,463	0	0	0	0
Current Services	0	7,124,494	0	16,128,195	0	0	0	0
Policy Revisions	0	(20,821,575)	0	(23,976,615)	0	(20,821,575)	0	(23,976,615)
<b>Total Recommended - GF</b>	<b>0</b>	<b>30,424,382</b>	<b>0</b>	<b>36,273,043</b>	<b>0</b>	<b>(20,821,575)</b>	<b>0</b>	<b>(23,976,615)</b>
Governor Estimated - TF	0	3,031,683	0	3,031,683	0	0	0	0
Current Services	0	526,614	0	630,214	0	0	0	0
Policy Revisions	0	0	0	0	0	0	0	0
<b>Total Recommended - TF</b>	<b>0</b>	<b>3,558,297</b>	<b>0</b>	<b>3,661,897</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# Workers' Compensation Claims - Administrative Services

DAS23100

## Budget Summary

Account	Actual FY 12	Governor Estimated FY 13	Governor Recommended		Legislative	
			FY 14	FY 15	FY 14	FY 15
Other Current Expenses						
Workers' Compensation Claims	26,718,094	26,964,041	27,187,707	27,187,707	27,187,707	27,187,707
Agency Total - General Fund	26,718,094	26,964,041	27,187,707	27,187,707	27,187,707	27,187,707
Other Current Expenses						
Workers' Compensation Claims	5,764,186	6,544,481	6,544,481	6,544,481	6,544,481	6,544,481
Agency Total - Special Transportation Fund	5,764,186	6,544,481	6,544,481	6,544,481	6,544,481	6,544,481
Total - Appropriated Funds	32,482,280	33,508,522	33,732,188	33,732,188	33,732,188	33,732,188

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

### Current Services

#### Adjust Operating Expenses to Reflect Current Requirements

Workers' Compensation Claims	0	223,666	0	223,666	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>223,666</b>	<b>0</b>	<b>223,666</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Provide funding of \$223,666 in both FY 14 and FY 15 to reflect anticipated expenditure requirements.

#### Legislative

Same as Governor

#### Apply Inflationary Increases

Workers' Compensation Claims	0	1,145,891	0	2,341,277	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>1,145,891</b>	<b>0</b>	<b>2,341,277</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Workers' Compensation Claims	0	273,758	0	559,102	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>273,758</b>	<b>0</b>	<b>559,102</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

#### Governor

Increase funding for Workers' Compensation Claims by \$1,145,891 in the General Fund and \$273,758 in the Special Transportation Fund in FY 14 and \$2,341,277 in the General Fund and \$559,102 in the Special Transportation in FY 15 to reflect inflationary increases.

#### Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

## Policy Revisions

### Eliminate Inflationary Increases

Workers' Compensation Claims	0	(1,145,891)	0	(2,341,277)	0	0	0	0
<b>Total - General Fund</b>	<b>0</b>	<b>(1,145,891)</b>	<b>0</b>	<b>(2,341,277)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Workers' Compensation Claims	0	(273,758)	0	(559,102)	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>(273,758)</b>	<b>0</b>	<b>(559,102)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Governor

Reduce the Workers' Compensation Claims account by \$1,145,891 in General Fund and \$273,758 in Special Transportation Fund in FY 14 and \$2,341,277 in General Fund and \$559,102 in Special Transportation Fund in FY 15 to reflect the elimination of inflationary increases.

#### Legislative

Same as Governor

## Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 14		FY 15		FY 14		FY 15	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Governor Estimated - GF</b>	<b>0</b>	<b>26,964,041</b>	<b>0</b>	<b>26,964,041</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	1,369,557	0	2,564,943	0	0	0	0
Policy Revisions	0	(1,145,891)	0	(2,341,277)	0	0	0	0
<b>Total Recommended - GF</b>	<b>0</b>	<b>27,187,707</b>	<b>0</b>	<b>27,187,707</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Governor Estimated - TF</b>	<b>0</b>	<b>6,544,481</b>	<b>0</b>	<b>6,544,481</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Services	0	273,758	0	559,102	0	0	0	0
Policy Revisions	0	(273,758)	0	(559,102)	0	0	0	0
<b>Total Recommended - TF</b>	<b>0</b>	<b>6,544,481</b>	<b>0</b>	<b>6,544,481</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## VI. BUDGET COMPONENTS

### Growth Rates

The growth rate for all appropriated funds is 3.7% over FY 13 estimated expenditures in FY 14 and 2.1% in FY 15. Please note that for consistency, the FY 13 estimated expenditures from the DSS Medicaid account have been adjusted downward by \$2.5 billion to reflect only the state share of the joint state/federal Medicaid program. See the table below for details.

**Budget Growth Rates (in millions)**

Fund	FY 13 Est. Expend. \$	FY 14 Approp. \$	FY 14 Change		FY 15 Approp.	FY 15 Change	
			\$	%		\$	%
General	16,554.7	17,188.7	634.0	3.8%	17,497.6	308.8	1.8%
Transportation	1,214.9	1,243.2	28.3	2.3%	1,322.3	79.1	6.4%
Other Appropriated	170.3	174.6	4.3	2.5%	175.5	0.9	0.5%
<b>TOTAL</b>	<b>17,939.9</b>	<b>18,606.5</b>	<b>666.6</b>	<b>3.7%</b>	<b>18,995.4</b>	<b>388.9</b>	<b>2.1%</b>



## Statutory Spending Cap Calculation

Based on sections 1-10, 62, 67, and 69 of PA 13-184, the FY 14 and FY 15 Budget, and various provisions of PA 13-247, the general government implementer, the budget is under the spending cap by approximately \$9.4 million in FY 14 and \$166.2 million in FY 15. Due to the implementation of net funding of Medicaid in the Department of Social Services (DSS), the base upon which the FY 14 spending cap is calculated has been adjusted to also reflect net funding. Similarly, the base upon which the FY 15 spending cap is calculated has been adjusted to reflect the shifting of the Soldiers, Sailors, and Marines' Fund to the American Legion. Consequently, the spending cap calculation base is adjusted downward by \$2,225.3 million in FY 14 and \$3.2 million in FY 15.

### FY 14 and FY 15 Budget Statutory Spending Cap Calculations (in millions)

Item	Revised FY 13 \$	FY 14 \$	FY 15 \$
<b>All Appropriated Funds - Prior Year</b>	<b>20,140.8</b>	<b>20,685.0</b>	<b>18,606.5</b>
Less Medicaid (FY 14)/SSMF (FY 15) - base adjustment	-	2,225.3	3.2
Extraordinary spending	-	-	-
<b>Prior Year Appropriations</b>	<b>20,140.8</b>	<b>18,459.8</b>	<b>18,603.4</b>
<b>Less Prior Year "Non-Capped" Expenditures</b>			
Debt Service	2,373.0	2,328.9	2,183.6
Statutory grants to distressed municipalities <sup>1</sup>	1,458.8	1,550.6	1,529.3
<b>Prior Year "Non-Capped" Expenditures</b>	<b>3,831.7</b>	<b>3,879.6</b>	<b>3,712.9</b>
<b>Allowable Growth Calculation</b>			
Total "capped" expenditures	16,309.1	14,580.2	14,890.4
Times the 12-month increase in the Consumer Price Index <sup>2</sup>	3.0%	1.8%	2.5%
= Allowable "capped" growth	485.9	261.1	364.9
<b>Allowable Capped Expenditures</b>	<b>16,795.0</b>	<b>14,841.3</b>	<b>15,255.3</b>
<b>Plus Current Year "Non-Capped" Expenditures</b>			
Debt service	2,328.9	2,183.6	2,333.6
Federal mandates and court orders (new funding)	20.0	61.7	10.4
Statutory grants to distressed municipalities	1,541.4	1,529.3	1,562.3
<b>Current Year "Non-Capped" Expenditures</b>	<b>3,890.3</b>	<b>3,774.7</b>	<b>3,906.3</b>
<b>Expenditures Allowed Under the Cap</b>	<b>20,685.3</b>	<b>18,615.9</b>	<b>19,161.6</b>
Appropriation for this year <sup>3</sup>	20,685.0	18,606.5	18,995.4
<b>TOTAL OVER/(UNDER) THE SPENDING CAP</b>	<b>(0.2)</b>	<b>(9.4)</b>	<b>(166.2)</b>

<sup>1</sup>FY 14 is adjusted to reflect new distressed grant percentages.

<sup>2</sup>Except 5-year average growth in personal income in FY 14.

<sup>3</sup>FY 13 is adjusted to reflect the net deficiency appropriation of \$142 million in PA 13-184.

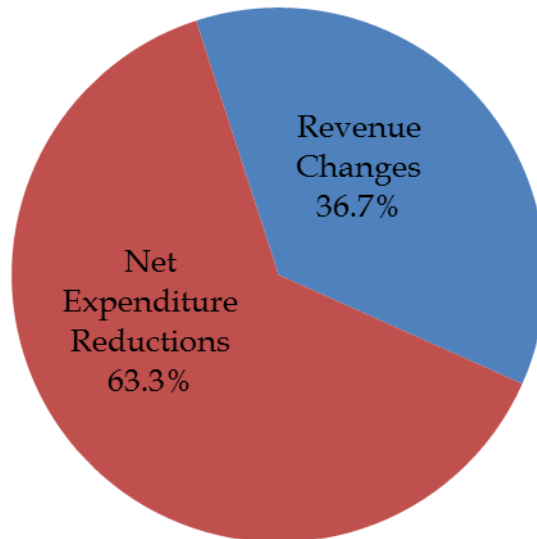
## Solving the FY 14 and FY 15 General Fund Budget Deficit

<b>Problem:</b> Projected Budget Deficit	<b>FY 14 \$</b>	<b>FY 15 \$</b>
Revenue (April 30, 2013 Consensus)	19,396.5	20,544.2
Current Services Needs <sup>1</sup>	20,933.4	21,943.6
<b>Beginning Balance</b>	<b>(1,536.9)</b>	<b>(1,399.4)</b>
<b>Solution:</b> Revenue, Reductions, Savings		
Revenue Changes <sup>2</sup>	565.4	161.4
Net Expenditure Reductions <sup>2</sup>	975.9	1,241.1
<b>Total Changes</b>	<b>1,541.3</b>	<b>1,402.5</b>
<b>AVAILABLE BALANCE</b>	<b>4.4</b>	<b>3.1</b>

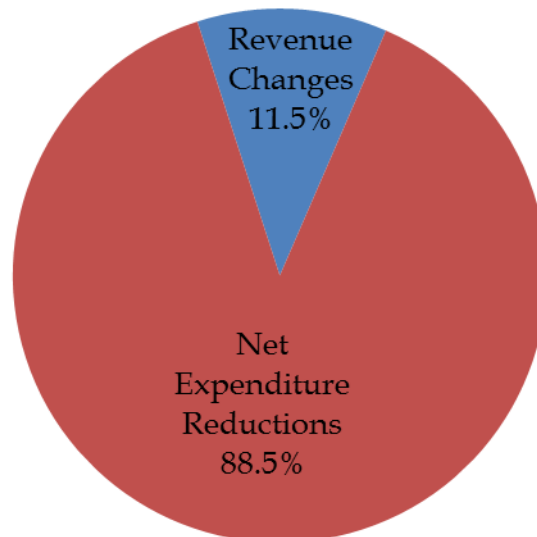
<sup>1</sup>These figures are based on the Governor's estimated current services levels.

<sup>2</sup>These figures do not include the impact of appropriating only the state's share of the joint state/federal Medicaid program. This change removes approximately \$2.8 billion in FY 14 and \$3.2 billion in expenditures and revenue from the budget.

### What makes up the FY 14 budget gap solution?



### What makes up the FY 15 budget gap solution?



## Building the FY 14 and FY 15 Budget

The Governor and the Legislature formulate the budget by establishing prior year base spending (FY 13) and adjusting for current services needs and policy goals. In short, the biennial budget is the sum of the Governor's estimated FY 13 expenditures, plus current service adjustments, plus policy revisions. Below is a summary of these changes by fund.

### FY 14 and FY 15 Budget Adjustment Summary (in millions)

Fund	Current Services		Policy		Total	
	FY 14 \$	FY 15 \$	FY 14 \$	FY 15 \$	FY 14 \$	FY 15 \$
General	1,889.5	2,899.7	(3,744.7)	(4,446.0)	(1,855.2)	(1,546.3)
Special Transportation	101.6	175.9	(73.3)	(68.4)	28.3	107.5
Banking	0.5	1.9	0.5	0.4	1.0	2.2
Insurance	1.7	3.0	0.3	0.3	2.0	3.2
Consumer Counsel & Public Utility	(0.4)	0.7	(0.1)	(0.7)	(0.5)	0.0
Workers' Compensation	0.8	1.4	1.1	2.1	1.9	3.5
Mashantucket Pequot and Mohegan	73.2	73.2	(73.2)	(73.2)	-	-
Soldiers, Sailors & Marines'	-	0.1	-	(3.1)	0.1	(3.0)
Regional Market Operation	-	-	-	-	-	-
Criminal Injuries Compensation	(0.2)	(0.8)	-	-	(0.2)	(0.8)
<b>TOTAL</b>	<b>2,066.7</b>	<b>3,155.0</b>	<b>(3,889.3)</b>	<b>(4,588.8)</b>	<b>(1,822.6)</b>	<b>(1,433.7)</b>

On the following page are the FY 14 and FY 15 Budget Reconciliation tables by fund.

### FY 14 Budget Reconciliation (in millions)

Fund	Governor's Estimated FY 13 \$	Current Services Adjustments FY 14 \$	TOTAL Current Services FY 14 \$	Policy Revisions FY 14 \$	TOTAL Adjustments FY 14 \$	Net Appropriation FY 14 \$
General	19,043.9	1,889.5	20,933.4	(3,744.7)	(1,855.2)	17,188.7
Special Transportation	1,214.9	101.6	1,316.4	(73.3)	28.3	1,243.2
Banking	25.6	0.5	26.1	0.5	1.0	26.6
Insurance	28.7	1.7	30.5	0.3	2.0	30.7
Consumer Counsel & Public Utility	25.4	(0.4)	24.9	(0.1)	(0.5)	24.9
Workers' Compensation	21.3	0.8	22.1	1.1	1.9	23.2
Mashantucket Pequot and Mohegan	61.8	73.2	135.0	(73.2)	-	61.8
Soldiers, Sailors & Marines'	3.0	-	3.1	-	0.1	3.1
Regional Market Operation	0.9	-	0.9	-	-	0.9
Criminal Injuries Compensation	3.6	(0.2)	3.4	-	(0.2)	3.4
<b>TOTAL</b>	<b>20,429.1</b>	<b>2,066.7</b>	<b>22,495.9</b>	<b>(3,889.3)</b>	<b>(1,822.6)</b>	<b>18,606.5</b>

### FY 15 Budget Reconciliation (in millions)

Fund	Governor's Estimated FY 13 \$	Current Services Adjustments FY 15 \$	TOTAL Current Services FY 15 \$	Policy Revisions FY 15 \$	TOTAL Adjustments FY 15 \$	Net Appropriation FY 15 \$
General	19,043.9	2,899.7	21,943.6	(4,446.0)	(1,546.3)	17,497.6
Special Transportation	1,214.9	175.9	1,390.7	(68.4)	107.5	1,322.3
Banking	25.6	1.9	27.5	0.4	2.2	27.8
Insurance	28.7	3.0	31.7	0.3	3.2	32.0
Consumer Counsel & Public Utility	25.4	0.7	26.1	(0.7)	-	25.4
Workers' Compensation	21.3	1.4	22.7	2.1	3.5	24.8
Mashantucket Pequot and Mohegan	61.8	73.2	135.0	(73.2)	-	61.8
Soldiers, Sailors & Marines'	3.0	0.1	3.1	(3.1)	(3.0)	-
Regional Market Operation	0.9	-	1.0	-	-	0.9
Criminal Injuries Compensation	3.6	(0.8)	2.8	-	(0.8)	2.8
<b>TOTAL</b>	<b>20,429.1</b>	<b>3,155.0</b>	<b>23,584.2</b>	<b>(4,588.8)</b>	<b>(1,433.7)</b>	<b>18,995.4</b>

## Major Appropriations Changes

The below table includes significant changes in the FY 14 and FY 15 Budget and are not to be considered all inclusive. For additional detail on these items please see the Agency Budget Sheets. Please note that any Medicaid adjustments below reflect the gross budgetary impact of each change. The final Medicaid account was appropriated net of federal revenue.

### Significant Changes in the FY 14 and FY 15 Budget (by agency - all funds)

Agency	FY 14 \$	FY 15 \$	Item
Office of the State Treasurer - Debt Service	(196,000,000)	(196,000,000)	Savings are achieved in each year of the biennium by restructuring debt related to the Economic Recovery Notes issued to help cover the FY 09 General Fund budget deficit. The interest cost related to the restructuring, which pushes the planned retirement of the ERNs debt from FY 16 to FY 18, is \$45 million.
DSS	(194,679,082)	(328,922,505)	Gross savings from reduced hospital reimbursement through the elimination of enhanced funding from the user fee, phase out of DSH funding, and elimination of ASO transitional payments.
DSS	107,170,00	47,630,000	Gross funding for primary care physician rate increase pursuant to the Affordable Care Act (100% federally reimbursed).
DSS	(64,475,974)	(103,430,488)	Gross savings from Medicaid fraud initiatives.
SDE	50,756,719	91,513,445	Increase funding for Education Cost Sharing.
UConn	-	15,000,000	A total of 66 positions and funding for Next Generation Connecticut.
DMHAS	(15,262,500)	(25,525,000)	Savings associated with reductions to grant accounts to reflect anticipated reduction in need for services for the under and uninsured as of January 1, 2014 due to the provisions of the Affordable Care Act.
DSS	15,000,000	15,000,000	Gross funding for increase in Medicaid discharge rate for hospitals with disproportionately high government payer mix.
DSS	(11,800,000)	(15,800,000)	Gross savings from implementation of Step Therapy in Medicaid Pharmacy Program.
DSS	(10,675,000)	(34,675,000)	Gross savings from reduced preventable hospitalizations and implementation of a non-emergency use emergency room co-payment.
DSS	10,000,000	10,000,000	Gross funding for Community Health Center (FQHC) rebasing.
TRB	(8,304,000)	(9,737,000)	Funding is reduced to reflect lowering the state contribution from 33% to 25% of the TRB sponsored basic retiree health plan cost.
SDE	8,300,000	6,300,000	Provide new funding for Common Core.
SDE	(5,000,000)	(5,000,000)	Reduce funding for Magnet Schools.

Agency	FY 14 \$	FY 15 \$	Item
DMHAS	4,662,845	4,045,000	Funds support PA 13-3 AAC Gun Violence Prevention & Children's Safety.
DCF	(4,405,678)	(6,991,661)	Suspend residential rate increases determined by the Single Cost Accounting System in FY 14 and FY 15.
DESPP	4,235,000	1,405,000	Fund PA 13-3, AAC Gun Violence Prevention & Children's Safety.
SDE	4,000,000	-	Increase funding for Sheff Settlement based on the new agreement with the plaintiffs to increase participation in programs that contribute to the desegregation goals.
OHE	(3,989,579)	(2,377,479)	Establish Governor's Scholarship Program. The Governor's Scholarship Program consolidates the existing financial aid programs within the Office of Higher Education. The consolidation results in approximately a \$4.0 million reduction in financial aid in FY 14 and a \$2.4 million reduction in FY 15.
SDE	3,700,000	3,700,000	Increase funding for Talent Development.
OPM	3,500,000	3,500,000	Provide funding to various nonprofits and government agencies around the state for youth services initiatives and programs. Section 76 of PA 13-247, the general government implementer, specifies the distribution of the grant to 43 organizations.
DCF	3,500,000	3,500,000	Expand Trauma-Focused Cognitive Behavioral Therapy for children age four through 18 that are experiencing anxiety, depression, trauma and conduct disorders, reflecting support for mental health related initiatives.
DOH	3,318,046	3,318,046	Maintain PILOT and Tax Abatement Funding.
SDE	3,300,000	10,800,000	Increase funding for Commissioner's Network. The increased funding allows SDE to fund the full cohort of 22 schools.
SDE	3,000,000	3,000,000	Provide additional funding for Vocational Agriculture.
DCF	3,000,000	3,000,000	Expand Foster and Adoptive Support Team (FAST) to kinship care families (kinFAST). FAST is a home and community-based program designed to provide intensive services to foster and adoptive families to reduce the number of placements experienced by children in the foster care and adoption systems.
DSS	(2,800,000)	(14,800,000)	Gross savings from implementation of a Medicaid Clinical Pharmacy Management Program.
DPH	2,723,666	2,723,666	Annualize support for FY 13 Revised Budget funding for new or expanded School Based Health Centers.
SDE	2,000,000	2,000,000	Increase funding for OPEN Choice for transportation expenses.
SDE	(2,000,000)	(2,000,000)	Reduce funding for Development of Mastery Exams.
DESPP	2,000,000	-	Provide funding to help reduce backlog at State Police Crime Lab.
DCF	2,000,000	2,000,000	Expand support for home-based services (IICAPS, MST and MST-PSB) to reflect support for mental health related initiatives.

Agency	FY 14 \$	FY 15 \$	Item
DCF	(2,000,000)	(2,000,000)	Reduce Personal Services funding to reflect the elimination of 30 vacant social worker positions due to a DCF caseload reduction of 9% associated with the implementation of the Differential Response System.
SDE	1,895,000	9,125,000	Provide funding for New State and Local Charter Schools. The additional funding allows for four new local and three new state charters to open over the FY 14-FY 15 biennium.
TRB	(1,815,790)	(1,815,790)	Funding is reduced to reflect lowering the state contribution from 33% to 25% of the municipal health insurance subsidy cost.
DCF	1,810,000	1,810,000	Support the establishment of a regional behavioral health consultation and care coordination program for primary care providers that serve children, as directed under Section 69 of PA 13-3, AAC Gun Violence Prevention and Children's Safety.
JUD	1,796,754	3,688,736	Provide for Judges' salary increases.
DECD	1,593,600	1,593,600	Maintain funding for Tourism Districts.
SDE	1,370,000	1,370,000	Increase Priority School District Aid for Norwalk.
SDE	1,200,000	1,200,000	Provide funding for adult education incentive program. This includes \$500,000 each for New Haven and Bridgeport Adult Education to provide additional instructional services including but not limited to technology, soft technical skills, counseling, literacy and numeracy; and \$200,000 for Literacy How.
DEEP	1,105,091	1,108,297	One Environmental Analyst III position and \$1.1 million is provided in both FY 14 and FY 15 for the transfer of care and control of five Connecticut Resources Recovery Authority (CRRRA) post-closure landfills to the state. The majority of funding represents costs associated with contracts for ongoing testing and maintenance of landfills that will be managed by the newly created position.
DOL	1,000,000	1,000,000	Provide funding for additional programming and evaluation of the Workforce Investment Boards.
DMHAS	850,500	855,500	Funds supplement PA 13-3 through various mental health initiatives.
DMV	-	565,653	Provide funding in FY 15 for eighteen half year positions and other costs to allow undocumented individuals to obtain motor vehicle operator's licenses.
DECD	525,000	525,000	Increase funding for Statewide Marketing (i.e., Still Revolutionary" Campaign).
OGA	170,000	170,000	Provide funding for Contracting Standards Board.

## State Government Reorganization

The budget includes the creation of an Office of Early Childhood (OEC). The budget for OEC includes various transfers from agencies with early childhood programs in addition to new funding. Legislation to implement the creation and transfer of various responsibilities to the Office of Early Childhood was not taken up during the 2013 session. However on June 24, 2013 the Governor signed Executive Order #35, which directs OEC to be the lead agency of programs for which funding was provided in PA 13-184, the FY 14 and FY 15 Budget, as adjusted by PA 13-247, the general government implementer. The table below reflects the transfers and new funding for the OEC.

### OEC Funding Summary

Agency	FY 14 \$	FY 15 \$
Department of Public Health	-	2,276,721
Department of Social Services	15,786,565	125,063,655
Department of Education	112,241,097	111,829,980
Board of Regents	473,657	486,499
Office of Early Childhood - New Funding	1,082,638	1,645,487
<b>Total General Fund</b>	<b>129,583,957</b>	<b>241,302,342</b>
Federal Funds	16,672,568	33,261,381
<b>TOTAL FUNDS</b>	<b>146,256,525</b>	<b>274,563,723</b>

The following summarizes the programs transferred into OEC included in the budget (transfers starting in FY 14 unless otherwise noted):

- Department of Social Services - Care4Kids (transferred in FY 15), Charts a Course, funding for 211 Infoline, and Children's Trust Fund.
- Department of Education - School Readiness, Head Start and other early childhood programs.
- Department of Public Health - Licensure and regulation of child day care centers and administration of the federal home visitation program (both in FY 15).
- Board of Regent - CT Charts a Course.

### Other Agency Structure Changes

- Transferred the Department of Construction Services into the Department of Administrative Services (results in the elimination of 13 positions and savings of \$1.1 million in both FY 14 and FY 15).
- Eliminated funding for the Gaming Policy Board (\$2,621).
- Transferred various housing programs from the Departments of Economic and Community Development, Social Services, Developmental Services, Children



and Families and the Office of Policy and Management to the Department of Housing (DOH). The total amount of funding transferred into DOH is \$105.7 million in FY 14 and \$112.6 million in FY 15 with 18 associated staff positions. There are no savings associated with these transfers.

- Transfer the fund management, investment responsibility and associated operational costs (\$3,130,761) of the Soldiers', Sailors' and Marines' Fund to the American Legion in FY 15.

### Significant Changes by Account<sup>6</sup>

Identified in the table below are 13 General Fund accounts having the most significant changes in FY 14 and FY 15, as compared to the FY 13 base (Governor's FY 13 estimated expenditures).

#### Significant Changes by Account (in millions)

Agency	Account	FY 14 \$	FY 15 \$
OSC - Fringe	State Employees Retirement Contributions	194.5	247.8
TRB	Retirement Contributions	161.0	196.6
Various	Personal Services	103.9	208.1
SDE	Education Equalization Grants <sup>1</sup>	59.0	115.3
Various	Nonfunctional - Change to Accruals	53.7	72.1
OSC - Fringe	State Employees Health Service Cost	47.9	82.9
DMHAS	General Assistance Managed Care	23.8	82.7
SDE	Magnet Schools	23.1	38.9
Various	Other Expenses	21.6	21.0
DCF	Board and Care for Children - Residential	(35.6)	(34.9)
OSC - Fringe	Retired State Employees Health Service Cost	(65.4)	(45.5)
DSS	Disproportionate Share-Medical Emergency Assistance	(134.2)	(268.5)
OTT	Debt Service <sup>2</sup>	(151.1)	(20.6)

<sup>1</sup>Includes Charter School Funding increase.

<sup>2</sup>Includes Debt Service, UConn 2000, and Pension Obligation Bonds - TRB.

<sup>6</sup>The table does not include the change in Medicaid from FY 13 to FY 14 of \$2,768.7 million and FY 15 of \$3,205.0 million related to the change from gross appropriating to net appropriating.

## Newtown Initiatives

The following table identifies the initiatives funded in the FY 14 and FY 15 Budget as a result of the Newtown incident.

### Newtown Initiatives Included in the FY 14 and FY 15 Budget

Agency	Account	Program Item/Description	FY 14		FY 15	
			pos	\$	pos	\$
DMHAS	Managed Service System	Assertive Community Treatment (ACT) Teams	-	3,000,000	-	3,000,000
DMHAS	Managed Service System	Peer Bridger (originally for Melissa's Project)	-	1,000,000	-	1,000,000
DMHAS	Managed Service System	Database for Voluntary Admissions	1	645,000	1	45,000
DMHAS	Managed Service System	Mental Health First Aid Training for 166 district safe school climate coordinators	-	17,845	-	-
DESPP	Other Expenses	Long gun and ammunition eligibility certificate (systems)	-	20,000	-	10,000
DESPP	Personal Services	Long gun and ammunition eligibility certificate (support)	5	215,000	5	215,000
DESPP	Other Expenses	Deadly Weapon Offender Registry (systems)	-	1,560,000		25,000
DESPP	Personal Services	Deadly Weapon Offender Registry (support)	3	150,000	3	150,000
DESPP	OCE - Gun Law Enforcement Task Force	Statewide Firearms Trafficking Task Force	-	1,000,000	-	-
DESPP	Personal Services	School Safety and Security Plan Standards Development	-	50,000	-	-
DESPP	Personal Services	Gun Violence - Additional Positions Funding	16	1,000,000	16	1,000,000
DESPP	Other Expenses	School Safety and Security Plan Standards Development	-	25,000	-	-
DESPP	Other Expenses	School Safety Consultant Registry	-	25,000	-	5,000
DESPP	Personal Services	Higher Ed Safety/Security Audits (22 campuses)	3	190,000	-	-
OGA	Personal Services	Support staff for Board of Firearms Permit Examiners	-	30,000	-	30,000
OGA	Other Expenses	Expenses for additional Board of Firearms Permit Examiners' members	-	5,000	-	5,000
DCF	Regional Behavioral Health Consultation	Regional Behavioral Health Consultation & Care Coordination	-	1,810,000	-	1,810,000
OSC	State Employees Health Services	Fringe Benefit Adjustment	-	375,587	-	355,302
OSC	Employers Social Security Tax	Fringe Benefit Adjustment	-	132,618	-	114,258
PA 13-3 Subtotal			28	11,251,050	25	7,764,560
MHA	Managed Service System	Melissa's Project	-	850,000	-	850,000
DMHAS	Managed Service System	Community Reinvestment and Family Training (CRAFT)	-	100,000	-	100,000
DMHAS	Managed Service System	Institute of Living/Specialized Treatment Early in Psychosis (STEP)	-	500,000	-	500,000
DMHAS	Managed Service System	Headspace (Insurance Fund)	-	435,000	-	435,000
DMHAS	Managed Service System	Citizenship program for community inclusion of people with psychiatric disorders & other challenges	-	250,500	-	255,500
DCF	Community KidCare	Expand Support for Home-Based Services	-	2,000,000	-	2,000,000
DCF	Grants for Psychiatric Clinics for Children	Expand Trauma-Based Cognitive Behavioral Therapy	-	3,500,000	-	3,500,000
Newtown Subtotal			-	7,635,500	-	7,640,500
TOTAL			28	18,886,550	25	15,405,060

## Budgeted Lapses and Agency Holdbacks

The FY 14 and FY 15 Budget include \$183.7 million in FY 14 and \$169.5 million in FY 15 lapses. This is \$56.2 million above the FY 13 Revised Budget lapses. The lapses are identified below with a brief explanation.

### Budgeted Lapses

Lapse	FY 14 \$	FY 15 \$	Explanation
<b>General Fund Lapses</b>			
GAAP Lapse	(5,500,000)	(7,500,000)	This reduction reflects an adjustment across GAAP Accrual accounts in agencies to reflect a re-estimate of GAAP increases over the biennium.
General Lapse <sup>1</sup>	(14,243,700)	(14,243,700)	This reduction reflects savings in a manner to be determined by OPM. All General Fund agency accounts could be subject to this reduction.
Unallocated Lapse <sup>1</sup>	(102,104,969)	(102,104,969)	This reduction reflects an adjustment to gross appropriations due to an anticipated level of under spending across all General Fund agencies and accounts.
Transfer GAAP Funding	(40,000,000)	-	This reduction reflects the utilization of \$40 million from the Tobacco Master Settlement Agreement to help fulfill the requirements of GAAP.
Municipal Opportunities & Regional Efficiencies	-	(10,000,000)	Savings to be achieved in FY 15 with a reduction in municipal aid as a result of various municipal saving initiatives and efficiencies.
General Other Expenses <sup>1</sup>	(4,000,000)	(4,000,000)	This reduction reflects savings in a manner to be determined by OPM such as the curtailing and delaying of purchases and various other as yet undetermined reductions. All General Fund agency Other Expenses accounts could be subject to this reduction.
Statewide Hiring Reduction <sup>1</sup>	(6,796,754)	(20,688,736)	Savings anticipated to be achieved by hiring reductions and other savings initiatives in a manner to be determined by OPM. All General Fund agency Personal Services accounts could be subject to this reduction.
<b>Subtotal</b>	<b>(172,645,423)</b>	<b>(158,537,405)</b>	
<b>Transportation Fund Lapses</b>			
Unallocated Lapse	(11,000,000)	(11,000,000)	This reduction reflects an adjustment to gross appropriations due to an anticipated level of under spending across all Transportation Fund agencies and accounts.
<b>Subtotal</b>	<b>(11,000,000)</b>	<b>(11,000,000)</b>	
<b>TOTAL</b>	<b>(183,645,423)</b>	<b>(169,537,405)</b>	

<sup>1</sup>The amounts shown are totals by category but the budget act contains a distribution of each by branch of government.

## FY 14 Budget Allocated Lapses (Holdbacks)

Sections 11, 12 and 49 of PA 13-184 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The following three lapses are allocated to state agencies via holdbacks by OPM: (1) the General Lapse is \$14,243,700, of which \$14,106,164 is allocated to state agencies, (2) the General Other Expenses Lapse is \$4,000,000, of which \$3,999,999 is allocated to state agencies and (3) the Statewide Hiring Reduction Lapse is \$6,796,754, of which \$6,790,827 is allocated to state agencies. The following schedules identify the allocation (holdback) by lapse category, agency and account.

### FY 14 General Allocated Lapses<sup>1</sup>

Agency	Account	FY 14 Holdback \$
Agricultural Experiment Station	Personal Services	24,867
Agricultural Experiment Station	Other Expenses	3,741
Agricultural Experiment Station	Mosquito Control	1,983
Attorney General	Personal Services	131,310
Attorney General	Other Expenses	4,737
Board of Regents for Higher Education	Charter Oak State College	9,950
Board of Regents for Higher Education	Community Tech College System	622,485
Board of Regents for Higher Education	Connecticut State University	622,011
Board of Regents for Higher Education	Board of Regents	2,775
Commission on Human Rights and Opportunities	Personal Services	23,328
Commission on Human Rights and Opportunities	Other Expenses	1,267
Council on Environmental Quality	Other Expenses	8
Department of Administrative Services	Personal Services	204,446
Department of Administrative Services	Other Expenses	148,863
Department of Agriculture	Personal Services	15,040
Department of Agriculture	Other Expenses	2,997
Department of Children and Families	Personal Services	1,107,710
Department of Children and Families	Other Expenses	147,162
Department of Consumer Protection	Personal Services	61,742
Department of Consumer Protection	Other Expenses	4,955
Department of Correction	Personal Services	1,788,000
Department of Correction	Other Expenses	308,181
Department of Correction	Board of Pardons and Paroles	25,840
Department of Developmental Services	Personal Services	1,064,851
Department of Developmental Services	Other Expenses	92,569
Department of Developmental Services	Cooperative Placements Program	96,623
Department of Economic and Community Development	Personal Services	32,968
Department of Economic and Community Development	Other Expenses	2,435
Department of Education	Personal Services	73,514
Department of Education	Other Expenses	14,357

<b>Agency</b>	<b>Account</b>	<b>FY 14 Holdback \$</b>
Department of Education	Basic Skills Exam Teachers in Training	5,134
Department of Education	Development of Mastery Exams Grades 4, 6 and 8	84,316
Department of Education	School Accountability	7,770
Department of Education	Sheff Settlement	55,489
Department of Education	Regional Vocational-Technical School System	613,306
Department of Emergency Services and Public Protection	Personal Services	547,099
Department of Emergency Services and Public Protection	Other Expenses	124,807
Department of Energy and Environmental Protection	Personal Services	126,899
Department of Energy and Environmental Protection	Other Expenses	16,168
Department of Energy and Environmental Protection	Mosquito Control	1,059
Department of Energy and Environmental Protection	Emergency Spill Response	30,494
Department of Energy and Environmental Protection	Solid Waste Management	16,026
Department of Energy and Environmental Protection	Underground Storage Tank	3,986
Department of Energy and Environmental Protection	Clean Air	18,643
Department of Energy and Environmental Protection	Environmental Conservation	38,759
Department of Energy and Environmental Protection	Environmental Quality	41,953
Department of Housing	Personal Services	7,985
Department of Housing	Other Expenses	581
Department of Mental Health and Addiction Services	Personal Services	750,846
Department of Mental Health and Addiction Services	Young Adult Services	292,703
Department of Mental Health and Addiction Services	TBI Community Services	64,016
Department of Mental Health and Addiction Services	Jail Diversion	18,481
Department of Mental Health and Addiction Services	Prison Overcrowding	27,705
Department of Mental Health and Addiction Services	Home and Community Based Services	392,973
Department of Motor Vehicles	Other Expenses	790
Department of Public Health	Personal Services	144,553
Department of Public Health	Other Expenses	27,274
Department of Public Health	Children's Health Initiatives	8,584
Department of Public Health	Breast and Cervical Cancer Detection and Treatment	9,248
Department of Public Health	Medicaid Administration	11,038
Department of Revenue Services	Personal Services	241,673
Department of Revenue Services	Other Expenses	39,057
Department of Social Services	Other Expenses	978,498
Department of Veterans' Affairs	Personal Services	91,689
Department of Veterans' Affairs	Other Expenses	23,276
Division of Criminal Justice	Personal Services	187,875
Division of Criminal Justice	Other Expenses	10,220
Division of Criminal Justice	Medicaid Fraud Control	5,948
Division of Criminal Justice	Shooting Taskforce	4,225
Governor's Office	Personal Services	9,689
Governor's Office	Other Expenses	899
Judicial Department	Personal Services	359,198

<b>Agency</b>	<b>Account</b>	<b>FY 14 Holdback \$</b>
Labor Department	Personal Services	35,392
Labor Department	Other Expenses	4,003
Labor Department	CETC Workforce	3,196
Labor Department	Jobs First Employment Services	78,788
Labor Department	Apprenticeship Program	2,493
Legislative Management	Personal Services	46,602
Legislative Management	Other Expenses	9,649
Lieutenant Governor's Office	Other Expenses	308
Military Department	Personal Services	12,346
Military Department	Other Expenses	11,754
Military Department	Honor Guards	1,973
Office of Early Childhood	Personal Services	10,596
Office of Early Childhood	Other Expenses	2,449
Office of Governmental Accountability	Other Expenses	325
Office of Governmental Accountability	Citizens' Election Fund Admin	7,362
Office of Governmental Accountability	Elections Enforcement Commission	5,917
Office of Governmental Accountability	Office of State Ethics	5,926
Office of Governmental Accountability	Freedom of Information Commission	6,736
Office of Governmental Accountability	Office of the Child Advocate	2,132
Office of Governmental Accountability	Office of the Victim Advocate	1,816
Office of Higher Education	Personal Services	6,921
Office of Higher Education	Other Expenses	444
Office of Policy and Management	Personal Services	48,063
Office of Policy and Management	Other Expenses	8,787
Office of Policy and Management	Justice Assistance Grants	4,507
Office of the Chief Medical Examiner	Personal Services	18,557
Office of the Chief Medical Examiner	Other Expenses	3,671
Protection and Advocacy for Persons with Disabilities	Personal Services	9,304
Protection and Advocacy for Persons with Disabilities	Other Expenses	843
Psychiatric Security Review Board	Other Expenses	131
Public Defender Services Commission	Expert Witnesses	17,748
Public Defender Services Commission	Contracted Attorneys Related Expenses	25,000
Secretary of the State	Personal Services	11,318
Secretary of the State	Other Expenses	6,492
Secretary of the State	Commercial Recording Division	22,785
Secretary of the State	Board of Accountancy	1,131
State Comptroller	Personal Services	95,488
State Comptroller	Other Expenses	17,607
State Department on Aging	Personal Services	9,248
State Department on Aging	Other Expenses	812
State Dept of Rehabilitation	Personal Services	47,507
State Library	Personal Services	20,867
State Library	Other Expenses	2,888

Agency	Account	FY 14 Holdback \$
State Library	Interlibrary Loan Delivery Service	1,082
State Treasurer	Personal Services	14,726
State Treasurer	Other Expenses	690
Teachers' Retirement Board	Personal Services	6,793
Teachers' Retirement Board	Other Expenses	2,338
University of Connecticut	Operating Expenses	845,634
University of Connecticut Health Center	Operating Expenses	523,372
<b>TOTAL</b>		<b>14,106,164</b>

<sup>1</sup>PA 13-184 (as adjusted by PA 13-247) included a General Fund lapse of \$14,243,700 of which \$14,106,164 is allocated to agencies.

### FY 14 General Fund Other Expenses Account Allocated Lapses<sup>1</sup>

Agency	FY 14 Holdback \$
African-American Affairs Commission	406
Agricultural Experiment Station	7,384
Asian Pacific American Affairs Commission	708
Attorney General	9,349
Auditors of Public Accounts	11,703
Commission on Aging	612
Commission on Children	1,123
Commission on Human Rights and Opportunities	2,501
Council on Environmental Quality	15
Department of Administrative Services	293,797
Department of Agriculture	5,915
Department of Children and Families	290,438
Department of Consumer Protection	9,780
Department of Correction	608,227
Department of Developmental Services	182,694
Department of Economic and Community Development	4,806
Department of Education	28,335
Department of Emergency Services and Public Protection	246,319
Department of Energy and Environmental Protection	31,910
Department of Housing	1,147
Department of Mental Health and Addiction Services	255,795
Department of Motor Vehicles	1,559
Department of Public Health	53,828
Department of Revenue Services	77,082
Department of Social Services	994,459
Department of Veterans' Affairs	45,938
Division of Criminal Justice	20,170
Governor's Office	1,775

<b>Agency</b>	<b>FY 14 Holdback \$</b>
Judicial Department	489,718
Labor Department	7,899
Latino and Puerto Rican Affairs Commission	854
Legislative Management	123,941
Lieutenant Governor's Office	607
Military Department	23,197
Office of Early Childhood	4,833
Office of Governmental Accountability	640
Office of Higher Education	876
Office of Policy and Management	17,342
Office of the Chief Medical Examiner	7,246
Permanent Commission on the Status of Women	653
Protection and Advocacy for Persons with Disabilities	1,664
Psychiatric Security Review Board	258
Public Defender Services Commission	58,282
Secretary of the State	12,813
State Comptroller	34,749
State Department on Aging	1,602
State Department of Rehabilitation	13,375
State Library	5,699
State Treasurer	1,362
Teachers' Retirement Board	4,614
<b>TOTAL</b>	<b>3,999,999</b>

<sup>1</sup>PA 13-184 (as adjusted by PA 13-247) included a General Fund Other Expenses Accounts' lapse of \$4,000,000 of which \$3,999,999 is allocated to agencies.

### **FY 14 Statewide Hiring Reduction: Personal Services Account Allocated Lapses<sup>1</sup>**

<b>Agency</b>	<b>FY 14 Holdback \$</b>
African-American Affairs Commission	808
Agricultural Experiment Station	17,540
Asian Pacific American Affairs Commission	525
Attorney General	92,618
Auditors of Public Accounts	34,983
Commission on Aging	1,226
Commission on Children	1,954
Commission on Human Rights and Opportunities	16,454
Department of Administrative Services	144,204
Department of Agriculture	10,608
Department of Children and Families	781,315
Department of Consumer Protection	43,549



<b>Agency</b>	<b>FY 14 Holdback \$</b>
Department of Correction	1,261,152
Department of Developmental Services	751,084
Department of Economic and Community Development	23,254
Department of Education	51,852
Department of Emergency Services and Public Protection	385,892
Department of Energy and Environmental Protection	89,507
Department of Housing	5,632
Department of Mental Health and Addiction Services	757,536
Department of Public Health	101,960
Department of Revenue Services	170,462
Department of Social Services	334,768
Department of Veterans' Affairs	64,672
Division of Criminal Justice	132,516
Governor's Office	6,834
Judicial Department	1,008,266
Labor Department	24,964
Latino and Puerto Rican Affairs Commission	1,241
Legislative Management	147,569
Military Department	8,708
Office of Early Childhood	7,474
Office of Higher Education	4,881
Office of Policy and Management	33,901
Office of the Chief Medical Examiner	13,089
Permanent Commission on the Status of Women	2,003
Protection and Advocacy for Persons with Disabilities	6,562
Public Defender Services Commission	119,995
Secretary of the State	7,983
State Comptroller	67,352
State Department on Aging	6,523
State Dept of Rehabilitation	17,514
State Library	14,718
State Treasurer	10,387
Teachers' Retirement Board	4,792
<b>TOTAL</b>	<b>6,790,827</b>

<sup>1</sup>PA 13-184 (as adjusted by PA 13-247) included a statewide hiring reduction lapse of \$6,796,754 of which \$6,790,827 is allocated to agencies.

## Funds Carried Forward

In addition to the appropriations included in PA 13-184, as adjusted by PA 13-247, numerous provisions are made in other sections of the acts, as well as in existing statute to permit an estimated \$156.2 million of appropriations to be carried forward from FY 13 and made available in FY 14 and FY 15. The table below reflects a summary of the carry forward funding by legislative authority.

### Carry Forward Funding by Legislative Authority

Legislative Authority	FY 14 and FY 15 \$
PA 13-184 and PA 13-247	59,902,441
Existing Statute - Carry Forward Funding	96,330,956
<b>TOTAL</b>	<b>156,233,397</b>

The carry forward funds are composed of \$112.4 million from General Fund, \$41.3 million from Transportation Fund and \$2.5 million from other appropriated funds. The table below includes details by fund and agency.

### Carry Forward Funding (by fund/agency)

Fund/Agency	Account	Authorization (Legal Citation)	FY 14 \$ <sup>1</sup>	FY 15 \$
<b>General Fund</b>				
Department of Administrative Services	Tuition Reimbursement Training and Travel	CGS 5-278(e) & collective bargaining agreements	31,665	-
Department of Administrative Services	Labor Management Fund	CGS 5-278(e) & collective bargaining agreements	512,632	-
Department of Administrative Services	Quality of Work Life	CGS 5-278(e) & collective bargaining agreements	1,020,166	-
Department of Correction	Stress Management	CGS 5-278(e) & collective bargaining agreements	64,588	-
Department of Economic and Community Development	Other Expenses	CGS 4-89(c)	50,000	-
Department of Economic and Community Development	Statewide Marketing	CGS 4-89(e); PA 12-1 DSS, Sec. 38	768,259	-
Department of Economic and Community Development	Office of Military Affairs	PA 13-184, Sec. 47	25,000	25,000
Department of Education	Interdistrict Cooperation	PA 13-184, Sec. 61(a)	330,000	-
Department of Education	Neighborhood Youth Centers	PA 13-184, Sec. 61(b)	85,000	85,000
Department of Education	Magnet Schools	PA 13-184, Sec. 61(c)	2,300,000	-
Department of Education	Other Expenses	CGS 4-89(c)	1,200,000	-

<b>Fund/Agency</b>	<b>Account</b>	<b>Authorization (Legal Citation)</b>	<b>FY 14 \$<sup>1</sup></b>	<b>FY 15 \$</b>
Department of Education	Education Equalization Grants	CGS 10-262u(h)	8,083,622	-
Department of Emergency Services and Public Protection	Other Expenses	PA 13-184, Sec. 43(a)(b)	25,000	500
Department of Emergency Services and Public Protection	Stress Reduction	CGS 5-278(e) & collective bargaining agreements	26,591	-
Department of Energy and Environmental Protection	Environmental Conservation	PA 13-247, Sec. 75	75,000	75,000
Department of Labor	Workforce Investment Act	CGS 4-89(h)	9,133,772	-
Department of Public Health	Other Expenses	CGS 4-89(c)	250,000	-
Department of Rehabilitation Services	Part-Time Interpreters	CGS 4-89(g)	928,216	-
Department of Rehabilitation Services	Special Training for Deaf and Blind	CGS 4-89(c)	44,017	-
Department of Rehabilitation Services	Employment Opportunities	CGS 4-89(c)	189,182	-
Department of Revenue Services	Personal Services	PA 13-184, Sec. 60(a)	110,000	-
Department of Revenue Services	Other Expenses	PA 13-184, Sec. 60(b)	700,000	-
Department of Social Services	Other Expenses	CGS 4-89(c)	5,079,149	-
Department of Social Services	HUSKY B Program	CGS 4-89(c)	245,680	-
Department of Social Services	Medicaid	CGS 4-89(c)	42,903,628	-
Legislative Management	Connecticut Academy of Science and Engineering	PA 13-184, Sec. 40(a)	29,017	-
Legislative Management	Connecticut Academy of Science and Engineering	PA 13-184, Sec. 40(b)	300,000	-
Legislative Management	Other Expenses	PA 13-184, Sec. 40(c)	90,000	-
Legislative Management	Other Expenses	PA 13-184, Sec. 40(d)	316,900	-
Legislative Management	Minor Capital Improvements	PA 13-184, Sec. 40(e)(f)	180,000	-
Legislative Management	Equipment	PA 13-184, Sec. 40(g)	20,000	-
Legislative Management	Other Expenses	PA 13-184, Sec. 40(h)	150,971	-
Legislative Management	Personal Services	PA 13-184, Sec. 40(i)	350,000	-
Legislative Management	Equipment	PA 13-247, Sec. 383	250,000	-
Office of Early Childhood	School Readiness Quality Enhancement	PA 13-184, Sec. 29	1,091,051	-
Office of Early Childhood	School Readiness Quality Enhancement	CGS 10-16p(e)(2)(B)	500,000	-
Office of Governmental Accountability	Other Expenses	PA 13-184, Sec. 46(a)	107,000	-
Office of Governmental Accountability	Office of the Child Advocate	PA 13-184, Sec. 46(b)	20,000	-

<b>Fund/Agency</b>	<b>Account</b>	<b>Authorization (Legal Citation)</b>	<b>FY 14 \$<sup>1</sup></b>	<b>FY 15 \$</b>
Office of Governmental Accountability	Freedom of Information Commission	PA 13-184, Sec. 46(c)	75,000	-
Office of Governmental Accountability	Office of the Victim Advocate	PA 13-184, Sec. 46(d)	3,219	-
Office of Higher Education	Minority Advancement Program	CGS 4-89(f)	1,326,810	-
Office of Higher Education	Governor's Scholarship	CGS 4-89(f); PA 13-247, Sec. 179; PA 12-1 DSS, Sec. 14	121,041	-
Office of Policy and Management	Other Expenses	PA 13-184, Sec. 32	70,728	-
Office of Policy and Management	Criminal Justice Information System	PA 13-184, Sec. 33	1,546,049	-
Office of Policy and Management	Litigation Settlement	PA 13-184, Sec. 48(a)	65,000	-
Office of Policy and Management	Litigation Settlement	PA 13-184, Sec. 48(b)	115,000	-
Office of Policy and Management	Litigation Settlement	PA 13-184, Sec. 48(c)	40,000	-
Office of Policy and Management	Litigation Settlement	PA 13-184, Sec. 48(d)	215,000	-
Office of Policy and Management	Litigation Settlement	PA 13-184, Sec. 48(e)	375,000	-
Office of Policy and Management	Litigation Settlement	PA 13-184, Sec. 48(f)	40,000	-
Office of Policy and Management	Litigation Settlement	PA 13-184, Sec. 48(g)	37,000	-
Office of Policy and Management	Litigation Settlement	PA 13-184, Sec. 48(h)	445,344	-
Office of Policy and Management	Litigation Settlement	PA 13-184, Sec. 48(i)	475,000	-
Office of Policy and Management	Litigation Settlement	PA 13-184, Sec. 48(j)	145,000	-
Office of Policy and Management	Litigation Settlement	CGS 4-89(e)	2,097,607	-
Office of Policy and Management	Other Expenses	CGS 4-89(c)	196,298	-
Office of Policy and Management	Focus Deterrence	CGS 4-89(c)	444,535	-
Permanent Commission on the Status of Women	Other Expenses	PA 13-184, Sec. 59(a)(b)(c)	10,000	-
Reserve for Salary Adjustments (OPM)	Reserve for Salary Adjustments	PA 13-184, Sec. 18	20,344,557	-
State Comptroller - Fringe Benefits	Employers Social Security Tax	PA 13-184, Sec. 60(a)	10,000	-
State Comptroller - Fringe Benefits	State Employee Health Services Cost	PA 13-184, Sec. 60(a)	30,000	-

<b>Fund/Agency</b>	<b>Account</b>	<b>Authorization (Legal Citation)</b>	<b>FY 14 \$<sup>1</sup></b>	<b>FY 15 \$</b>
State Comptroller - Fringe Benefits	Tuition Reimbursement - Training and Travel	CGS 5-278(e) & collective bargaining agreements	3,455,094	-
State Comptroller - Misc.	Adjudicated Claims	PA 13-184, Sec. 63	2,941,339	-
State Department of Aging	Other Expenses	CGS 4-89(c)	5,968	-
<b>Subtotal - General Fund</b>			<b>112,216,695</b>	<b>185,500</b>
<b>Transportation Fund</b>				
Department of Motor Vehicles	Commercial Vehicle Information Systems and Networks Projects	PA 13-184, Sec. 36	335,581	-
Department of Motor Vehicles	Real Time Online Registration System	PA 13-184, Sec. 37(a)(b)(c)	12,392,113	-
Department of Motor Vehicles	Other Expenses	PA 13-184, Sec. 51(a) as adjusted by PA 13-247, Sec. 379(a)	750,000	-
Department of Motor Vehicles	Equipment	PA 13-184, Sec. 51(b) as adjusted by PA 13-247, Sec. 379(b)	100,000	-
Department of Transportation	Pay-As-You-Go Transportation Projects	PA 13-184, Sec. 50(a)	4,200,000	-
Department of Transportation	Pay-As-You-Go Transportation Projects	PA 13-184, Sec. 50(b)	1,500,000	-
Department of Transportation	Pay-As-You-Go Transportation Projects	PA 13-184, Sec. 50(c)	200,000	-
Department of Transportation	Pay-As-You-Go Transportation Projects	PA 13-184, Sec. 50(d)	4,100,000	-
Department of Transportation	Equipment	CGS 4-89(e)	514,371	-
Department of Transportation	Minor Capital Projects	CGS 4-89(b)	181,926	-
Department of Transportation	Highway and Bridge Renewal Equipment	CGS 4-89(e)	1,058,719	-
Department of Transportation	Highway Planning and Research	CGS 4-89(e)	989,163	-
Department of Transportation	Highway and Bridge Renewal	CGS 4-89(b)	4,599,533	-
Department of Transportation	Emergency Relief Town Repairs	CGS 13a-175j	826,040	-
Department of Transportation	Pay-As-You-Go Transportation Projects	CGS 4-89(b)	9,129,592	-
Reserve for Salary Adjustments (OPM)	Reserve for Salary Adjustments	PA 13-184, Sec. 18	431,072	-
<b>Subtotal - Transportation Fund</b>			<b>41,308,110</b>	<b>-</b>
<b>Insurance Fund</b>				
Office of the Healthcare Advocate	Equipment	PA 13-247, Sec. 95	70,000	-
<b>Subtotal - Insurance Fund</b>			<b>70,000</b>	<b>-</b>
<b>Consumer Counsel &amp; Public Utility Control Fund</b>				
Department of Energy and Environmental Protection	Other Expenses	PA 13-184, Sec. 54(a)	1,242,604	-

<b>Fund/Agency</b>	<b>Account</b>	<b>Authorization (Legal Citation)</b>	<b>FY 14 \$<sup>1</sup></b>	<b>FY 15 \$</b>
Department of Energy and Environmental Protection	Other Expenses	PA 13-184, Sec. 54(b)	172,396	-
Department of Energy and Environmental Protection	Equipment	PA 13-184, Sec. 54(c)	685,000	-
<b>Subtotal - Consumer Counsel &amp; Public Utility Control Fund</b>			<b>2,100,000</b>	<b>-</b>
<b>Workers' Compensation Fund</b>				
Department of Rehabilitation Services	Rehabilitative Services	CGS 4-89(c)	353,092	-
<b>Subtotal - Workers' Compensation Fund</b>			<b>353,092</b>	<b>-</b>
<b>TOTAL - ALL APPROPRIATED FUNDS</b>			<b>156,047,897</b>	<b>185,500</b>

<sup>1</sup>The amounts shown are either specified in legislation/statute or unexpended as of September 1, 2013. Agency/account listed is the recipient of the carry forward funding for FY 14 and FY 15.

## FY 13 Deficiency Funding

Section 62 of PA 13-184, the FY 14 and FY 15 Budget, results in an increase of \$142 million to the General Fund in FY 13. The FY 13 Revised Budget was under the spending cap by \$142.2 million. Passage of the \$142 million in increased FY 13 appropriations included in the act results in the FY 13 budget being under the spending cap by approximately \$0.2 million. The following table shows the changes in agency appropriations contained in the act.

### Increased General Fund Appropriations

Agency	FY 13 \$
State Comptroller	1,200,000
State Comptroller - Adjudicated Claims	4,900,000
Department of Emergency Services and Public Protection	13,800,000
Department of Mental Health and Addiction Services	12,500,000
Department of Social Services	86,500,000
Department of Correction	23,100,000
<b>TOTAL</b>	<b>142,000,000</b>

Section 63 and 64 of the act includes the following deficiency related provisions: (1) requires deficiency appropriations for the Adjudicated Claims account to be carried forward into FY 14 for claims which may settle late in the fiscal year, and (2) allows the Comptroller to transfer funds among Medicaid accounts prior to closing the current fiscal year which could prevent funding in certain Medicaid accounts from lapsing or other accounts ending the year deficient.

An explanation of the increase to appropriations of \$142 million is provided below.

### Office of the State Comptroller (OSC) - \$1.2 million

The agency's FY 13 deficiency is composed of:

- \$600,000 in Personal Services and
- \$600,000 in Other Expenses.

The deficiency in the Personal Services (PS) account is due to the following two factors: (1) PA 12-1 of the December Special Session (the FY 13 deficit mitigation) included \$829,549 in savings in PS (3.8% of the appropriation), of this total the agency was unable to achieve \$370,000, and (2) increased number of individuals that have submitted retirement requests which required accrued payouts of approximately \$230,000.

The deficiency in the Other Expenses account is due to the following: (1) the savings of \$170,901 (5% of the appropriation) included in PA 12-1 of the December Special Session

(the FY 13 deficit mitigation) was not achieved, and (2) the agency was unable to attain the targeted savings due to relatively inflexible costs. For example, the upgrade and on-going maintenance costs associated with the CORE-CT accounting system as well as postage costs. The FY 13 Revised Budget was \$400,000 below historical spending levels.

#### **Office of the State Comptroller – Adjudicated Claims - \$4.9 million**

The agency's FY 13 deficiency is composed of:

- \$4.9 million in Adjudicated Claims.

The deficiency in the Adjudicated Claims account is due to: (1) higher than anticipated claims costs, (2) a recent settlement between the US Department of Education and the State Department of Education (\$1.5 million), and (3) a pending class action settlement against the Department of Correction (approximately \$3.3 million). It should be noted FY 12 was the first year the Adjudicated Claims account received an appropriation. Claims were previously funded out of the resources of the General Fund. Since FY 00, annual claims range from \$3.9 million to \$15.7 million, with a median annual claims cost of \$7.6 million.

#### **Department of Emergency Services and Public Protection (DESPP) - \$13.8 million**

The agency's FY 13 deficiency is composed of:

- \$13.8 million in Personal Services.

The \$13.8 million deficiency in Personal Services (PS) is primarily driven by several factors: (1) overtime spending, (2) the use of more temporary staff than budgeted, and (3) a transfer to address a deficiency in the Other Expense (OE) account. The overtime spending can be attributed to several issues including: aggressive budget assumptions, winter storms, and the Sandy Hook Elementary School incident. The Sandy Hook incident alone consumed over \$1 million of overtime for the department, 7% of the total PS deficiency. Beyond overtime costs, the department has used more temporary staff than originally budgeted. During FY 13, several vacancies, including the Legal Director, have been filled using temporary worker retirees. In addition, at its May 2013 meeting, the Finance Advisory Committee approved a transfer of \$2.5 million from PS to OE in response to a shortfall in that account; thereby increasing the PS shortfall by \$2.5 million.

#### **Department of Mental Health and Addiction Services (DMHAS) - \$12.5 million**

The agency's FY 13 deficiency is composed of:

- \$12.5 million in General Assistance Managed Care.

The General Assistance Managed Care deficiency is a result of the state not receiving a proposed waiver to limit eligibility for the Medicaid Low Income Adult (MLIA)



population. The FY 13 Revised Budget included savings in DMHAS of \$12.5 million associated with reducing the asset limit, counting family income and assets for qualified dependents, and imposing limits on certain medical services.

#### **Department of Social Services (DSS) - \$86.5 million**

The agency's FY 13 deficiency is composed of:

- \$80.5 million in Medicaid - Acute Care Services and
- \$6 million in Personal Services.

The \$86.5 million deficiency reflects a June 2013 Finance Advisory Committee (FAC) transfer that off-set a shortfall of approximately \$233.2 million (predominantly in several Medicaid accounts) which assumed the release of \$119.7 million in holdbacks as well as lapses totaling \$27 million.

The shortfall in the Medicaid - Acute Care Services account is primarily due to: (1) optimistic assumptions underlying the original appropriation, and (2) continued growth in caseload.

The adopted budget included several savings initiatives that are not anticipated to be implemented. These initiatives included a waiver to reduce the Medicaid for Low Income Adults (LIA) enrollment (\$37.5 million), general utilization management under the new Administrative Service Organization (ASO) structure (\$47 million), enhancing Medicaid recoveries from third-party payers (\$20 million), and medication administration changes (\$15.4 million). These delays have resulted in higher than projected expenditures.

Additionally, the LIA population has continued its strong caseload increase, adding approximately 11,700 additional clients since June 2012 (a 14.8% increase), for a total population of 91,000 in June 2013.

The \$6 million shortfall in Personal Services is primarily due to additional hiring and overtime associated with increased caseloads and modernization efforts.

#### **Department of Correction (DOC) - \$23.1 million**

The agency's FY 13 deficiency is composed of:

- \$24.1 million in Personal Services.

This shortfall is partially offset by lapsing funds of:

- \$1 million in Inmate Medical Services.

The \$24.1 million projected shortfall in the Personal Services (PS) account is primarily due to unachieved savings related to policy initiatives in the FY 13 Revised Budget. The

agency reduced Personal Service spending by a total of \$21 million from FY 12 to FY 13, or 45% of the total \$46.2 million savings target.

The \$46.2 million in revised budgeted savings included SEBAC savings and the following four major policy initiatives: (1) house arrest for certain offenders, (2) risk reduction credits, (3) intensive probation, and (4) restructuring time off for correctional officers. Central to the savings assumptions related to these initiatives was the reduction in prison population of 3,750 inmates starting in FY 12. Since the start of the FY 12, the prison population has declined by approximately 1,250 inmates, or 2,500 fewer than necessary to achieve the assumed savings.

The \$24.1 million deficiency is offset by a projected \$1.0 million lapse in the Inmate Medical Services accounts. The lapse is due to a delay in hiring and lower than projected pharmacy costs.

## FY 16 - FY 18 Out Year Projections

The table below compares OFA's estimates of revenues and expenditures for FY 16 - FY 18 based on the FY 14 and FY 15 Budget (PA 13-184 as adjusted by PA 13-247).

### Projected Fund Balances (in millions)

Fund	Projected FY 16 \$			Projected FY 17 \$			Projected FY 18 \$		
	Approp.	Revenue	Surplus/ (Deficit)	Approp.	Revenue	Surplus/ (Deficit)	Approp.	Revenue	Surplus/ (Deficit)
General	18,561.9	17,850.0	(711.9)	19,251.0	18,645.1	(605.8)	20,010.2	19,473.6	(536.6)
Special Transportation	1,391.2	1,491.2	100.0	1,460.0	1,503.9	43.9	1,530.3	1,507.2	(23.1)
Other Appropriated	180.2	180.9	0.7	185.2	185.4	0.3	190.3	190.1	(0.3)
<b>TOTAL</b>	<b>20,133.3</b>	<b>19,522.1</b>	<b>(611.2)</b>	<b>20,896.2</b>	<b>20,334.4</b>	<b>(561.6)</b>	<b>21,730.8</b>	<b>21,170.9</b>	<b>(560.0)</b>

## Authorized Permanent Full-Time Positions for All Appropriated Funds

### LEGEND

GF = General Fund

STF = Special Transportation Fund

BF = Banking Fund

IF = Insurance Fund

CC & PUCF = Consumer Counsel & Public Utility  
Control Fund

WCF = Workers' Compensation Fund

SS & MF = Soldiers, Sailors, and Marines' Fund

RMOF = Regional Market Operation Fund

Agency	Fund	Actual FY 12	Estimated FY 13	Authorized FY 14	Difference FY 14 - FY 13	Authorized FY 15	Difference FY 15 - FY 14
African-American Affairs Commission	GF	2	2	3	1	3	-
Agricultural Experiment Station	GF	67	69	69	-	69	-
Asian Pacific American Affairs Commission	GF	2	2	2	-	2	-
Attorney General	GF	288	298	303	5	303	-
Auditors of Public Accounts	GF	117	117	117	-	117	-
Board of Regents for Higher Education	GF	4,463	4,528	4,599	71	4,614	15
Bureau of Rehabilitative Services	GF	101	109	112	3	112	-
Bureau of Rehabilitative Services	STF	2	3	-	(3)	-	-
Bureau of Rehabilitative Services	WCF	6	6	6	-	6	-
Commission on Aging	GF	4	4	4	-	4	-
Commission on Children	GF	7	7	7	-	7	-
Commission on Human Rights and Opportunities	GF	80	74	79	5	79	-
Council on Environmental Quality	GF	2	2	2	-	2	-
Department of Administrative Services	GF	547	540	650	110	650	-
Department of Agriculture	GF	51	48	49	1	49	-
Department of Agriculture	RMOF	7	7	7	-	7	-
Department of Banking	BF	120	118	116	(2)	116	-
Department of Children & Families	GF	3,364	3,247	3,212	(35)	3,208	(4)
Department of Construction Services	GF	88	94	-	(94)	-	-
Department of Consumer Protection	GF	215	230	235	5	235	-
Department of Correction	GF	6,493	6,348	6,352	4	6,352	-
Department of Developmental Services	GF	3,617	3,322	3,325	3	3,327	2
Department of Economic and Community Development	GF	103	103	91	(12)	91	-
Department of Education	GF	1,706	1,680	1,685	5	1,714	29
Department of Emergency Services and Public Protection	GF	1,634	1,670	1,694	24	1,694	-
Department of Energy and Environmental Protection	GF	727	669	669	-	669	-
Department of Energy and Environmental Protection	CC & PUCF	125	125	127	2	127	-
Department of Housing	GF	-	2	20	18	20	-

Agency	Fund	Actual FY 12	Estimated FY 13	Authorized FY 14	Difference FY 14 – FY 13	Authorized FY 15	Difference FY 15 – FY 14
Department of Mental Health and Addiction Services	GF	3,578	3,264	3,309	45	3,309	-
Department of Motor Vehicles	STF	572	572	577	5	596	19
Department of Motor Vehicles	GF	3	3	3	-	4	1
Department of Public Health	GF	524	506	516	10	476	(40)
Department of Revenue Services	GF	734	670	665	(5)	665	-
Department of Social Services	GF	1,806	1,883	1,846	(37)	1,844	(2)
Department of Transportation	STF	3,292	2,976	3,085	109	3,085	-
Department of Veterans' Affairs	GF	279	253	248	(5)	248	-
Division of Criminal Justice	GF	494	483	487	4	487	-
Division of Criminal Justice	WCF	4	4	4	-	4	-
Governor's Office	GF	27	27	27	-	27	-
Insurance Department	IF	141	159	159	-	159	-
Judicial Department	GF	4,217	4,304	4,316	12	4,315	(1)
Judicial Department	BF	51	51	51	-	51	-
Labor Department	GF	219	213	185	(28)	185	-
Latino & Puerto Rican Affairs Commission	GF	3	3	4	1	4	-
Legislative Management	GF	438	439	439	-	439	-
Lieutenant Governor's Office	GF	9	9	7	(2)	7	-
Military Department	GF	46	42	42	-	42	-
Office of Consumer Counsel	CC & PUCF	14	17	13	(4)	13	-
Office of Early Childhood	GF	-	-	31	31	73	42
Office of Governmental Accountability	GF	86	86	89	3	89	-
Office of Higher Education	GF	15	15	21	6	21	-
Office of Policy and Management	GF	146	146	124	(22)	124	-
Office of Policy and Management	IF	2	2	2	-	2	-
Office of Protection & Advocacy for Persons with Disabilities	GF	31	31	31	-	31	-
Office of the Chief Medical Examiner	GF	58	53	53	-	53	-
Office of the Healthcare Advocate	IF	9	18	17	(1)	17	-
Permanent Commission on the Status of Women	GF	6	6	6	-	6	-
Psychiatric Security Review Board	GF	4	3	3	-	3	-
Public Defender Services Commission	GF	440	445	447	2	447	-
Secretary of the State	GF	88	85	84	(1)	85	1
Soldiers, Sailors & Marines' Fund	SSMF	9	9	9	-	-	(9)
State Comptroller	GF	273	273	273	-	273	-
State Department of Aging	GF	-	2	28	26	28	-
State Library	GF	61	55	55	-	55	-
State Treasurer	GF	48	48	48	-	48	-
State Treasurer	STF	1	1	1	-	1	-
Teachers' Retirement Board	GF	27	27	27	-	27	-
University of Connecticut	GF	2,901	2,931	2,347	(584)	2,413	66
University of Connecticut Health Center	GF	1,641	1,661	1,680	19	1,698	18
Workers' Compensation Commission	WCF	117	117	117	-	117	-
<b>TOTAL</b>		<b>46,352</b>	<b>45,316</b>	<b>45,011</b>	<b>(305)</b>	<b>45,148</b>	<b>137</b>

### Summary Total by Fund

<b>Fund</b>	<b>Actual FY 12</b>	<b>Estimated FY 13</b>	<b>Authorized FY 14</b>	<b>Difference: FY 14 - FY 13</b>	<b>Authorized FY 15</b>	<b>Difference: FY 15 - FY 14</b>
General	41,880	41,131	40,720	(411)	40,847	127
Special Transportation	3,867	3,552	3,663	111	3,682	19
Banking	171	169	167	(2)	167	-
Insurance	152	179	178	(1)	178	-
Consumer Counsel & Public Utility Control	139	142	140	(2)	140	-
Workers' Compensation	127	127	127	-	127	-
Soldiers, Sailors and Marines'	9	9	9	-	-	(9)
Regional Market Operation	7	7	7	-	7	-
<b>TOTAL</b>	<b>46,352</b>	<b>45,316</b>	<b>45,011</b>	<b>(305)</b>	<b>45,148</b>	<b>137</b>

## Major Appropriated and Other Municipal Aid in the FY 14 and FY 15 budget

Funding Source/Agency/Grant	Actual FY 13 <sup>1</sup> \$	FY 14 \$	FY 15 \$	Difference: FY 14 and FY 13 \$	Difference: FY 15 and FY 13 \$
<b>Appropriated</b>					
<i>State Library (CSL)</i>					
Grants To Public Libraries	203,569	203,569	203,569	-	-
Connecticard Payments	1,000,000	1,000,000	1,000,000	-	-
Connecticut Humanities Council	2,049,752	2,049,752	2,049,752	-	-
<i>Department of Energy and Environmental Protection (DEEP)</i>					
Lobster Restoration	1,116	-	-	(1,116)	(1,116)
<i>Department on Aging (SDA)</i>					
Services to the Elderly - Municipality <sup>2</sup>	42,397	44,853	44,853	2,456	2,456
<i>Department of Housing (DOH)</i>					
Tax Abatement <sup>3</sup>	1,444,646	1,444,646	1,444,646	-	-
Payment In Lieu Of Taxes <sup>3</sup>	1,873,400	1,873,400	1,873,400	-	-
Housing/Homeless Services - Municipality <sup>4</sup>	629,061	640,398	640,398	11,337	11,337
<i>Department of Public Health (DPH)</i>					
Local and District Departments of Health	4,662,487	4,669,173	4,669,173	6,686	6,686
Venereal Disease Control	186,261	187,362	187,362	1,101	1,101
School Based Health Clinics	10,110,646	12,747,463	12,638,716	2,636,817	2,528,070
<i>Department of Social Services (DSS)</i>					
Human Resource Development-Hispanic Programs - Municipality	5,071	5,364	5,364	293	293
Teen Pregnancy Prevention - Municipality	137,105	137,826	137,826	721	721
Community Services - Municipality	83,208	83,761	83,761	553	553
<i>Department of Economic and Community Development (DECD)</i>					
Greater Hartford Arts Council	89,943	89,943	89,943	-	-
Stamford Center for the Arts	359,776	-	-	(359,776)	(359,776)
Stepping Stones Museum for Children	42,079	42,079	42,079	-	-
Maritime Center Authority	504,949	504,949	504,949	-	-
Tourism Districts	1,435,772	1,435,772	1,435,772	-	-
Amistad Committee for the Freedom Trail	42,079	45,000	45,000	2,921	2,921
Amistad Vessel	359,776	359,776	359,776	-	-
New Haven Festival of Arts & Ideas	797,287	757,423	757,423	(39,864)	(39,864)
New Haven Arts Council	89,943	89,943	89,943	-	-
Palace Theatre	359,776	-	-	(359,776)	(359,776)
Beardsley Zoo	336,632	372,539	372,539	35,907	35,907
Mystic Aquarium	589,106	589,106	589,106	-	-
Quinebaug Tourism	39,457	39,457	39,457	-	-
Northwestern Tourism	39,457	39,457	39,457	-	-
Eastern Tourism	39,457	39,457	39,457	-	-

<b>Funding Source/Agency/Grant</b>	<b>Actual FY 13<sup>1</sup> \$</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>	<b>Difference: FY 14 and FY 13 \$</b>	<b>Difference: FY 15 and FY 13 \$</b>
Central Tourism	39,457	39,457	39,457	-	-
Twain/Stowe Homes	90,888	90,890	90,890	2	2
<b><i>Office of Early Childhood (OEC)<sup>5</sup></i></b>					
Early Childhood Program	6,595,983	6,748,003	6,761,345	152,020	165,362
Child Care Services	18,411,594	18,419,752	18,419,752	8,158	8,158
School Readiness Quality Enhancement	3,009,628	3,895,645	3,895,645	886,018	886,018
School Readiness & Quality Enhancement <sup>6</sup>	-	74,767,825	74,299,075	74,767,825	74,299,075
<b><i>Office of Policy and Management (OPM)</i></b>					
State-Owned Property PILOT	73,641,646	73,641,646	73,641,646	-	-
Colleges & Hospitals PILOT	115,431,737	115,431,737	115,431,737	-	-
Reimbursement Property Tax - Disability Exemption	400,000	400,000	400,000	-	-
Distressed Municipalities	5,800,000	5,800,000	5,800,000	-	-
Property Tax Relief Elderly Circuit Breaker	20,505,900	20,505,900	20,505,900	-	-
Property Tax Relief Elderly Freeze Program	225,442	235,000	235,000	9,558	9,558
Property Tax Relief for Veterans	2,970,098	2,970,098	2,970,098	-	-
Municipal Aid Adjustment	-	4,467,456	3,608,728	4,467,456	3,608,728
Grants To Towns	61,680,907	61,779,907	61,779,907	99,000	99,000
Focus Deterrence	30,465	475,000	475,000	444,535	444,535
<b><i>Department of Education (SDE)</i></b>					
Vocational Agriculture	6,485,565	9,485,565	9,485,565	3,000,000	3,000,000
Transportation of School Children	24,921,083	24,884,748	24,884,748	(36,335)	(36,335)
Adult Education	19,995,405	21,033,915	21,045,036	1,038,510	1,049,631
Health and Welfare Services Pupils Private Schools	4,297,500	4,297,500	4,297,500	-	-
Education Equalization Grants <sup>7</sup>	1,929,565,713	1,991,000,000	2,030,901,002	61,434,287	101,335,289
Bilingual Education	1,883,457	1,916,130	1,916,130	32,673	32,673
Priority School Districts <sup>6</sup>	118,698,950	47,427,206	46,947,022	(71,271,744)	(71,751,928)
Young Parents Program	229,330	229,330	229,330	-	-
Interdistrict Cooperation	8,958,517	9,146,369	9,150,379	187,852	191,862
School Breakfast Program	2,223,281	2,300,041	2,379,962	76,760	156,681
Excess Cost - Student Based	139,831,862	139,805,731	139,805,731	(26,131)	(26,131)
Non-Public School Transportation	3,595,500	3,595,500	3,595,500	-	-
School to Work Opportunities	213,750	213,750	213,750	-	-
Youth Service Bureaus	2,905,755	2,989,268	2,989,268	83,513	83,513
Open Choice Program	27,184,104	37,018,594	42,616,736	9,834,490	15,432,632
Magnet Schools	244,637,809	265,449,020	281,250,025	20,811,211	36,612,216
After School Program	4,020,000	4,500,000	4,500,000	480,000	480,000
<b><i>Teachers' Retirement Board (TRB)</i></b>					
Retirement Contributions	787,536,000	948,540,000	948,110,000	161,004,000	160,574,000
Retiree Health Service Cost	10,658,788	16,912,000	21,214,000	6,253,212	10,555,212
Municipal Retiree Health Insurance Cost	5,223,857	5,447,370	5,447,370	223,513	223,513
<b>Subtotal</b>	<b>3,679,454,178</b>	<b>3,955,352,821</b>	<b>4,018,716,955</b>	<b>275,898,643</b>	<b>339,262,777</b>



<b>Funding Source/Agency/Grant</b>	<b>Actual FY 13<sup>1</sup> \$</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>	<b>Difference: FY 14 and FY 13 \$</b>	<b>Difference: FY 15 and FY 13 \$</b>
<b>Bond Funds</b>					
Local Capital Improvement Program	30,000,000	30,000,000	30,000,000	-	-
Town Aid Road Grants <sup>8</sup>	30,000,000	60,000,000	60,000,000	30,000,000	30,000,000
Bonded Payments Based on Municipal Revenue Sharing Account Formula <sup>9</sup>	-	56,429,907	56,429,907	56,429,907	56,429,907
<b>Subtotal</b>	<b>60,000,000</b>	<b>146,429,907</b>	<b>146,429,907</b>	<b>86,429,907</b>	<b>86,429,907</b>
<b>Other Revenue Sources</b>					
Municipal Revenue Sharing Account <sup>9</sup>	90,888,653	-	-	(90,888,653)	(90,888,653)
Regional Performance Incentive Account <sup>10</sup>	1,867,695	9,200,000	9,200,000	7,332,305	7,332,305
School-Based Child Health <sup>11</sup>	11,307,453	4,900,000	5,400,000	(6,407,453)	(5,907,453)
<b>Subtotal</b>	<b>104,063,801</b>	<b>14,100,000</b>	<b>14,600,000</b>	<b>(89,963,801)</b>	<b>(89,463,801)</b>
<b>TOTAL</b>	<b>3,843,517,979</b>	<b>4,115,882,728</b>	<b>4,179,746,862</b>	<b>272,364,749</b>	<b>336,228,883</b>

<sup>1</sup>FY 13 Actuals for appropriated grants are from the Office of the State Comptroller's 9/3/13 unaudited General Fund Financial Statements. Figures include carry forward expenditures. Additionally, agencies may set aside a portion of a grant appropriation for grant administration, which they may transfer to other agency accounts. These transfers are considered appropriations adjustments and are not included in final FY 13 expenditure figures. Figures for FY 13 for Other Revenue Sources come from the CORE CT Statewide Accounting System and Agency year-end estimates.

<sup>2</sup>Transferred from DSS to the Department on Aging, Programs for Senior Citizens account in the FY 14 and FY 15 budget.

<sup>3</sup>Transferred from DECD to DOH in the FY 14 and FY 15 budget.

<sup>4</sup>Transferred from DSS to DOH in the FY 14 and FY 15 budget.

<sup>5</sup>Transferred from SDE to OEC in the FY 14 and FY 15 budget.

<sup>6</sup>\$74.8 million in FY 14 and \$74.3 million in FY 15 was transferred from the SDE Priority School Districts account to reflect the transfer of School Readiness Quality Enhancement from SDE to OEC.

<sup>7</sup>Does not include Charter School funding of \$75.6 million in FY 14 and \$92 million in FY 15.

<sup>8</sup>PA 13-247, the FY 14-15 general government implementer, allows towns to apply for a waiver from Town Aid Road grant restrictions. The waiver allows towns to use these funds for unrestricted purposes. The waiver also allows for the unrestricted use of bonded payments based on the MRSA formula, as these payments are subject to the provisions of Town Aid Road grants.

<sup>9</sup>The Municipal Revenue Sharing Account (MRSA) is eliminated in the FY 14 and FY 15 budget. Bonded payments of \$56.4 million are distributed to towns in lieu thereof, on the basis of each town's FY 13 Manufacturing Transition Grant payment. These funds are subject to the same provisions as Town Aid Road grants.

<sup>10</sup>FY 14 and FY 15 payments in this chart reflect estimates. PA 13-247, the FY 14-15 general government implementer, makes changes to the way funds in the Regional Performance Incentive Account are spent. It requires grants to regional planning agencies to be paid from this account and establishes a formula for those payments. It also establishes transfers of funding from the Regional Performance Incentive Account to the newly created Municipal Reimbursement and Revenue Account in each of FY 14 (\$2.82 million), FY 15 (\$2.07 million) and FY 16 (1.87 million). This funding is intended to offset costs associated with the recommendations of the Municipal Opportunities and Regional Efficiencies (MORE) Commission.

<sup>11</sup>FY 14 and FY 15 payments in this chart reflect estimates. The school-based Medicaid child health program reimburses local and regional school districts for health expenditures made for Medicaid eligible special education students. The program provides the opportunity for the towns and the state to share federal reimbursement for medical services provided to students who are Medicaid eligible. The state receives 50% of the total revenue and passes through the remaining 50% to the local and regional school districts. The state's share is not deposited as General Fund revenue, rather it is used to offset Medicaid expenditures. The FY 13 expenditure amount includes \$6,621,530 in a one-time reimbursement for services made in a prior year, pursuant to an agreement reached between the State and the Federal government.

## The Governor's FY 14 and FY 15 Budget Recommendations

Governor Dannel P. Malloy introduced his biennial budget on February 6, 2013 at a joint session of the Connecticut General Assembly.

The Governor's FY 14 and FY 15 Recommended Budget totaled:

- \$21,478.6 million for all appropriated funds in FY 14 and \$22,322.4 million in FY 15;
- \$20,110.6 million for the General Fund in FY 14 and \$20,888.5 million in FY 15;
- \$1,256.8 million for the Special Transportation Fund in FY 14 and \$1,324.0 million in FY 15; and
- was under the spending cap by \$1.4 million in FY 14 and \$91 million in FY 15 assuming a deficiency appropriation in FY 13 and the implementation of certain policy changes to the spending cap calculation. Without these changes, it was over the spending cap by approximately \$465.7 million in FY 14 and \$690.8 million in FY 15.

The table below reflects the Governor's FY 14 and FY 15 Recommended Budget in comparison to the FY 14 and FY 15 appropriation.

### Comparison of Governor's Budget to Adjusted Appropriations (in millions)

Fund	Gov. FY 14 \$	Approp. FY 14 \$	Difference \$	Gov. FY 15 \$	Approp. FY 15 \$	Difference \$
<b>Gross</b>						
General Fund <sup>1</sup>	20,234.6	17,361.4	(2,873.2)	21,014.4	17,656.1	(3,358.3)
Transportation Fund	1,267.8	1,254.2	(13.6)	1,335.0	1,333.3	(1.7)
Other Funds	111.1	174.6	63.5	114.5	175.5	61.0
<b>Subtotal</b>	<b>21,613.5</b>	<b>18,790.2</b>	<b>(2,823.3)</b>	<b>22,464.0</b>	<b>19,164.9</b>	<b>(3,299.0)</b>
<b>Lapses</b>						
General Fund	(123.9)	(172.6)	(48.7)	(125.9)	(158.5)	(32.6)
Transportation Fund	(11.0)	(11.0)	-	(11.0)	(11.0)	-
Other Funds	-	-	-	(4.6)	-	4.6
<b>Subtotal</b>	<b>(134.9)</b>	<b>(183.6)</b>	<b>(48.7)</b>	<b>(141.5)</b>	<b>(169.5)</b>	<b>(28.0)</b>
<b>Net</b>						
General Fund <sup>1</sup>	20,110.6	17,188.7	(2,921.9)	20,888.5	17,497.6	(3,391.0)
Transportation Fund	1,256.8	1,243.2	(13.6)	1,324.0	1,322.3	(1.7)
Other Funds	111.1	174.6	63.5	109.9	175.5	65.6
<b>TOTAL</b>	<b>21,478.6</b>	<b>18,606.5</b>	<b>(2,872.1)</b>	<b>22,322.4</b>	<b>18,995.4</b>	<b>(3,327.0)</b>

<sup>1</sup>The FY 14 and FY 15 General Fund appropriations for the Medicaid account in the Department of Social Services reflect only the state share of the joint state/federal program. Thus, to remove the federal share of the Medicaid appropriation in FY 14, the General Fund appropriation was reduced by \$2.8 billion. In FY 15, \$3.2 billion was reduced from the General Fund appropriation.

The Governor's FY 14 and FY 15 Recommended Budget for the Department of Social Services' Medicaid accounts reflect the combined state and federal cost of Medicaid. The FY 14 and FY 15 appropriation for the consolidated DSS' Medicaid account reflects only the state's share of this joint state/federal program.

For illustrative purposes, the table below reflects the Governor's FY 14 and FY 15 Recommended Budget in comparison to an *adjusted* FY 14 and FY 15 appropriation. The FY 14 and FY 15 appropriation in the tables below includes a Medicaid adjustment to reflect both the state appropriation (\$2.4 billion in FY 14 and \$2.3 billion in FY 15) as well as the federal share (\$2.8 billion in FY 14 and \$3.2 billion in FY 15), for a total Medicaid appropriation of \$5.2 billion in FY 14 and \$5.5 billion in FY 15.

**Comparison of Governor's Budget to Adjusted Appropriations (in millions)**

<b>Fund</b>	<b>Gov. FY 14 \$</b>	<b>Approp. FY 14 \$</b>	<b>Difference \$</b>	<b>Gov. FY 15 \$</b>	<b>Approp. FY 15 \$</b>	<b>Difference \$</b>
<b>Gross</b>						
General Fund	20,234.6	20,130.1	(104.5)	21,014.4	20,861.0	(153.4)
Transportation Fund	1,267.8	1,254.2	(13.6)	1,335.0	1,333.3	(1.7)
Other Funds	111.1	174.6	63.5	114.5	175.5	61.0
<b>Subtotal</b>	<b>21,613.5</b>	<b>21,558.9</b>	<b>(54.6)</b>	<b>22,464.0</b>	<b>22,369.8</b>	<b>(94.2)</b>
<b>Lapses</b>						
General Fund	(123.9)	(172.6)	(48.7)	(125.9)	(158.5)	(32.6)
Transportation Fund	(11.0)	(11.0)	-	(11.0)	(11.0)	-
Other Funds	-	-	-	(4.6)	-	4.6
<b>Subtotal</b>	<b>(134.9)</b>	<b>(183.6)</b>	<b>(48.7)</b>	<b>(141.5)</b>	<b>(169.5)</b>	<b>(28.0)</b>
<b>Net</b>						
General Fund	20,110.6	19,957.4	(153.2)	20,888.5	20,702.5	(186.0)
Transportation Fund	1,256.8	1,243.2	(13.6)	1,324.0	1,322.3	(1.7)
Other Funds	111.1	174.6	63.5	109.9	175.5	65.6
<b>TOTAL</b>	<b>21,478.6</b>	<b>21,375.2</b>	<b>(103.4)</b>	<b>22,322.4</b>	<b>22,200.3</b>	<b>(122.1)</b>

## FY 96 through FY 15 General Budget Expenditures (in thousands)<sup>1</sup>

Fiscal Year	General Budget Expenditures \$	Annual Increase Over Prior Year \$	% Growth	Inflation Adjusted Growth in Expenditures
96	10,022,764	376,520	3.8%	1.5%
97	10,399,284	376,520	3.8%	1.8%
98	10,839,367	440,083	4.2%	2.6%
99	11,414,117	574,750	5.3%	3.0%
00	12,404,547	990,430	8.7%	4.4%
01	12,932,612	528,065	4.3%	0.3%
02	13,265,527	332,915	2.6%	0.7%
03	13,283,978	18,451	0.1%	-3.6%
04	13,595,294	311,316	2.3%	-1.0%
05	14,428,128	832,834	6.1%	0.5%
06	15,665,824	1,237,696	8.6%	2.4%
07	16,505,640	839,816	5.4%	0.6%
08	17,906,920	1,401,280	8.5%	2.9%
09	18,547,639	640,719	3.6%	1.3%
10	18,459,913	(87,726)	-0.5%	-1.1%
11	19,168,739	708,826	3.8%	1.2%
12	20,134,192	965,453	5.0%	2.0%
13	20,403,224	269,032	1.3%	-0.1%
14 Budgeted	18,762,560	(1,640,664)	-8.0%	-9.7%
15 Budgeted	18,995,555	232,995	1.2%	-1.3%

### <sup>1</sup>Notes

**Budget Expenditures** - For purposes of comparability, the expenditure figures include all expenditures of the General Fund, Special Transportation Fund, other appropriated funds, surplus (primary for “one-time” items) and expenditures from prior year appropriations carried forward into subsequent fiscal year. Actual expenditures are based on the Comptroller’s reports. Figures for FY 14 and FY 15 are estimates of expenditures based on the biennial budget (PA 13-184), as adjusted by PA 13-247, including carried forward funding.

It should be noted that the FY 14 and FY 15 General Fund appropriations for the Medicaid account in the Department of Social Services reflect only the state share of the joint state/federal program. Thus, to remove the federal share of the Medicaid appropriation in FY 14, the General Fund appropriation was reduced by \$2.8 billion. In FY 15, \$3.2 billion was reduced from the General Fund appropriation.

**Inflation Adjusted Growth** - The inflation adjusted growth rate factors the growth in expenditures from previous fiscal years less the Implicit Price Deflator for state and local governments. The use of this adjustment factor eliminates growth that results from inflation and facilitates the calculation of the adjusted year to year growth rate. The adjustments for FY 14 and FY 15 are expected to be 1.7% and 2.5%, respectively. When factored with the growth in expenditures, the inflation adjusted growth for FY 14 is -9.7%; for FY 15 it is -1.3%.

**Implicit Price Deflator for State and Local Governments** - In economics, the GDP deflator (implicit price deflator for GDP) is a measure of the level of prices of all new, domestically produced, final goods and services in an economy. GDP stands for gross domestic product, the total value of all final goods and services produced within that economy during a specified period. Historical data is taken from the US Department of Commerce Bureau of Economic Analysis. Projections are by Moody’s Economy.com.

## Budget Reserve Fund Activity and Balance

The current balance in the Budget Reserve Fund (Rainy Day Fund) is \$271.3 million.

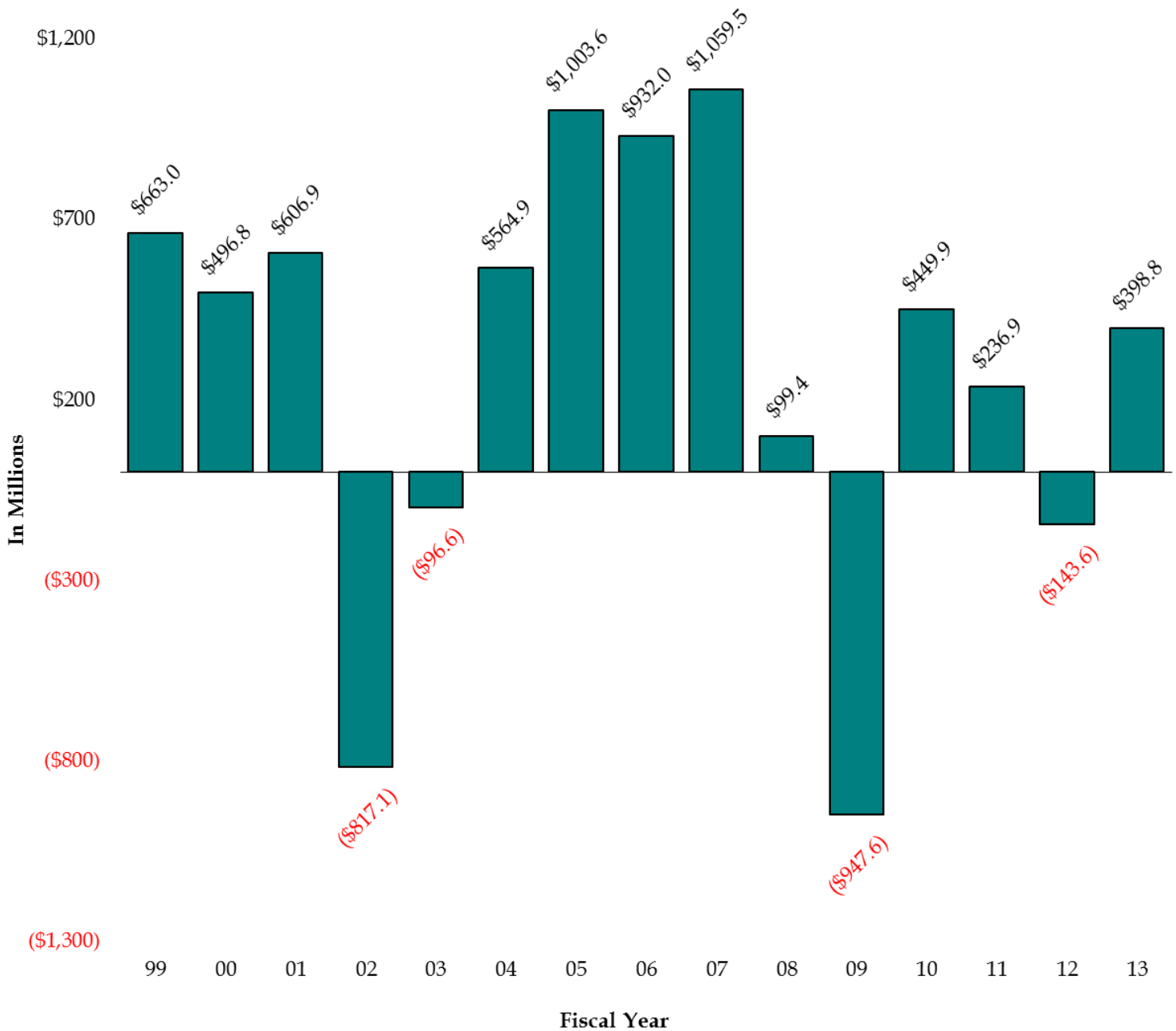
FY 13 ended with a surplus of \$398.8 million. Section 58 of PA 13-184, the FY 14 and FY 15 Budget, allows \$220.8 million of the FY 13 surplus to be reserved for future year budgetary needs (\$190.8 million can be used as General Fund revenue in FY 14 and \$30 million as FY 15 revenue). The remaining \$178.0 million of the FY 13 surplus was deposited in the Budget Reserve Fund, pursuant to CGS 4-30a.

The following table shows activity and balances in the Budget Reserve Fund from FY 04 – FY 13.

### Budget Reserve Fund Activity and Balance: FY 04 – FY 13 (in millions)

Fiscal Year	Beginning Balance \$	Deposits/ (Withdrawals) \$	Ending Balance \$
04	-	302.2	302.2
05	302.2	363.8	666.0
06	666.0	446.5	1,112.5
07	1,112.5	269.2	1,381.7
08	1,381.7	-	1,381.7
09	1,381.7	-	1,381.7
10	1,381.7	(1,278.5)	103.2
11	103.2	(103.2)	-
12	-	93.3	93.3
13	93.3	178.0	271.3

## FY 99 through FY 13 General Fund Surplus/(Deficit) from Operations<sup>1,2</sup>



<sup>1</sup>This chart excludes fund balances from prior years and may include miscellaneous adjustments.

<sup>2</sup>The FY 13 surplus figure is derived from the Office of the State Comptroller's September 3, 2013 unaudited General Fund financial statement for FY 13.

## Revenue Changes

### General Fund

PA 13-184, the FY 14 and FY 15 Budget as adjusted by PA 13-232, PA 13-234, PA 13-247, and PA 13-271, includes various policy changes that yield net General Fund (GF) revenue increases of \$732.3 million in FY 14 and \$441.5 million in FY 15,<sup>7</sup> of which \$451.5 million in FY 14 and \$176.4 million in FY 15 are due to transfers. These changes are identified in the tables below.

#### Major General Fund Revenue Changes by Revenue Category (in millions)

Revenue Category	FY 14 \$	FY 15 \$
<b>Personal Income Tax</b>		
Tax Amnesty	11.9	(2.4)
Reduce Earned Income Tax Credit	21.1	11.0
<b>Subtotal</b>	<b>33.0</b>	<b>8.6</b>
<b>Sales &amp; Use Tax</b>		
Eliminate Transfer to Municipal Revenue Sharing Account	52.9	56.9
Tax Amnesty	12.6	(2.5)
Enhanced Online Collections	15.0	15.0
Alternative Collections Methods Target	10.0	15.0
Phase-In Clothing Exemption	-	(11.5)
Eliminate Luxury Tax on Boats	(0.1)	(0.1)
Establish 60-Day Exemption for Boats	(2.0)	(2.0)
<b>Subtotal</b>	<b>88.4</b>	<b>70.8</b>
<b>Corporation Business Tax</b>		
Maintain 20% Surcharge for 2014 & 2015	44.4	74.0
Tax Amnesty	10.5	(2.1)
<b>Subtotal</b>	<b>54.9</b>	<b>71.9</b>
<b>Insurance Companies Tax</b>		
Maintain Credit Cap for 2013 & 2014	18.7	18.7
Urban/Industrial Site Credit Buy-Back	20.0	20.0
Feature Film Credit Moratorium	2.0	4.0
<b>Subtotal</b>	<b>40.7</b>	<b>42.7</b>
<b>Real Estate Conveyance Tax</b>		
Eliminate Transfer to Municipal Revenue Sharing Account	39.5	41.0
<b>Subtotal</b>	<b>39.5</b>	<b>41.0</b>

<sup>7</sup> These figures exclude federal revenue.

Revenue Category	FY 14 \$	FY 15 \$
<b>Electric Generation Tax</b>		
Maintain Tax through 10/1/13	17.5	-
<b>Subtotal</b>	<b>17.5</b>	<b>-</b>
<b>Transfers from Special Revenue</b>		
Establish Keno	3.8	27.0
<b>Subtotal</b>	<b>3.8</b>	<b>27.0</b>
<b>Miscellaneous</b>		
Various Transfers	451.5	176.4
Other Miscellaneous Changes	3.0	3.1
<b>Subtotal</b>	<b>454.5</b>	<b>179.5</b>
<b>TOTAL</b>	<b>732.3</b>	<b>441.5</b>

Please note that the “Various Transfers” item above includes Tobacco Settlement transfers and transfers to the Special Transportation Fund (STF), which are reflected in different revenue categories in the detailed “Revenue Impact of General Fund Revenue Policy Changes” table that follows.

### Transfers between the General Fund and Special Transportation Fund

The biennial budget makes various transfers between the GF and the STF. The impact of the transfers is provided in the table below which reflects the net transfers of \$91.3 million from the STF to the GF in FY 14 and \$18.4 million from the STF to the GF in FY 15.

#### Transfers between the GF and the STF (in millions)

Transfer Component	FY 14 \$		FY 15 \$	
	GF	STF	GF	STF
Adjust transfer to include all estimated petroleum products gross earnings tax revenues from gasoline	(158.0)	158.0	(152.3)	152.3
Adjust statutory transfers in CGS Sec. 13b-61c	172.8	(172.8)	170.7	(170.7)
One-time additional transfer in FY 14 only	76.5	(76.5)	-	-
<b>TOTAL</b>	<b>91.3</b>	<b>(91.3)</b>	<b>18.4</b>	<b>(18.4)</b>



## **Federal Revenue Adjustments**

The budget resulted in several major federal revenue changes related to: 1) decreases to appropriations, 2) the elimination of the Medicaid Low Income Adult (MLIA) program and establishment of the Medicaid Coverage for the Lowest Income Population (MCLIP) program, and 3) the net appropriation of the Department of Social Services' (DSS) Medicaid account. The appropriation decreases are primarily due to a reduction in state appropriations for hospitals as well as various savings initiatives for DSS.

The MCLIP program replaces MLIA as of January 1, 2014 when the federal government assumes 100% of the costs for individuals formerly enrolled in MLIA as well as new enrollees allowed under the federal expansion. The net appropriation of the DSS Medicaid account is reflected beginning in the FY 14 and FY 15 appropriation, which shows only the state's share of this joint state/federal program. For FY 13, the \$4,697,969,332 gross appropriation for Medicaid included both the state's obligation as well as the reimbursable federal funds.

## **General Fund Revenue Policy Changes in Detail**

The following information provides greater detail on the tax and other revenue changes enacted during the 2013 regular session. A corresponding table (on pages 533-535) showing the associated revenue amounts for the FY 14 - FY 15 biennium and three ensuing fiscal years follows these descriptions. For reference, the policies are listed by the order in which they appear in the corresponding table, with the exception of items affecting multiple revenue categories.

### **Implement a Tax Amnesty Program**

Implement a Tax Amnesty Program covering all state taxes (other than motor carrier road taxes) from September 16, 2013 to November 15, 2013, including amounts already identified as accounts receivables. The program includes interest rate reductions and penalty waivers for eligible participants, as well as a 25% penalty that applies to any taxpayer eligible for the program that does not file an Amnesty application but is subsequently found to have been eligible to do so.

### **Eliminate the Municipal Revenue Sharing Account**

Eliminate the Municipal Revenue Sharing Account, established under PA 11-6, the FY 12 and FY 13 Budget, as amended by PA 11-61, thus requiring the associated funds to go into the General Fund.

### **Reflect Enhanced Collections Due to Amazon.com Agreement**

Amazon.com has agreed to begin collecting sales tax on Connecticut sales beginning in November 2013. This is anticipated to result in a revenue gain to be recognized in the budget. There is no legislation required to implement the change.

**Establish a Pilot to Automate Sales and Use Tax Collection**

Establish a pilot program that authorizes the Department of Revenue Services commissioner to require retailers that are delinquent on tax payments to electronically remit the sales tax due on each sale made using consumer credit or debit cards or electronic transfers.

**Alter Administration of Sales Tax on Cigarettes**

Change the point at which the sales tax on cigarettes is collected and remitted to the state. Stampers that buy unstamped cigarettes and put tax stamps on them must collect and remit to the state sales tax on cigarettes sold to licensed dealers. Under prior law, sales tax was collected only by licensed dealers from customers at the point of purchase.

**Exempt Boats in the State Under 60 Days**

Exempt boats docked in the state for 60 days or less in a calendar year from the sales and use tax.

**Eliminate the "Luxury" Tax on Boats**

Reduce, from 7% to 6.35%, the sales and use tax rate on boats costing more than \$100,000.

**Restore the Sales Tax Exemption for Certain Clothing**

Exempt clothing and footwear under \$50 beginning June 1, 2015. This restores the same sales tax exemption eliminated under PA 11-6, the FY 12 and FY 13 Budget.

**Extend the 20% Corporate Business Tax Surcharge**

Extend the 20% corporate income tax surcharge to the 2014 and 2015 income years, at which point the policy will sunset. The surcharge applies to companies that have more than the minimum \$250 corporation tax liability and either (1) have at least \$100 million in annual gross income in those years or (2) file combined or unitary returns, regardless of the amount of annual gross income.

**Recognize the Revenue Provisions of PA 13-232**

PA 13-232, "AAC the Interest Paid by the State on Overpayment of Taxes, Various Changes to Tax Credit Programs Available under the Insurance Premiums Tax and the Corporation Business Tax, Exemptions from the Petroleum Products Gross Receipts Tax, and a Study of the Structure of the Personal Income Tax," contains various provisions related to tax administration. Particular provisions of the Act exempt cosmetic grade mineral oil and material used by businesses manufacturing paint, coatings and adhesives from the petroleum products gross earnings tax. Additional provisions of the Act reduce the periods of interest payments on overpayments by certain tax filers.

**Extend the Cap on the Use of Insurance Premiums Tax Credits**

Extend the temporary cap on the maximum insurance premium tax liability that an insurer may offset through tax credits to the 2013 and 2014 calendar years. The cap is extended at 70% for the Insurance Reinvestment Fund tax credit, 55% for the Film Production, Film Production Infrastructure, and Digital Animation tax credits, and 30% for all other tax credits.

**Support the Urban & Industrial Site Reinvestment (URA) Program with Bond Funds**

Authorize up to \$20 million in bond funds to pay for URA tax credits claimed in each year of the FY 14 and FY 15 Budget.<sup>8</sup> Use up to \$40 million in proceeds from bond authorizations to fund the program over the biennium precludes the loss of revenue in the same amount.

**Establish a Moratorium on Issuance of Film Tax Credits**

Establish a two-year (FY 14 & FY 15) moratorium on film production tax credits for motion pictures by (1) barring the issuance of tax credit vouchers for motion pictures and (2) excluding motion pictures from the types of qualified productions that are eligible for the credits for those years. An exception is provided in FY 15 for a motion picture that conducts at least 25% of its principal photography days in a Connecticut facility that (1) receives at least \$25 million in private investment and (2) opens for business on or after July 1, 2013.

**Alter Oil Companies Transfer to the Special Transportation Fund (STF)**

Enact the provisions of CGS Sec. 13b-61a(c) by transferring alternative amounts between the GF and the STF for the FY 14 - FY 15 biennium. (This provision was included in Section 121 of PA 11-6, but was never enacted as it conflicted with existing law in CGS Sec. 13b-61a(b)).<sup>9</sup>

**Adjust the Electric Generation Tax**

Extend the Electric Generation Tax for three months, from July 1, 2013 to October 1, 2013.

**Reduce Earned Income Tax Credit (EITC)**

Reduce the state's EITC rate from 30% to 25% of the federal EITC in Income Year 2013 and 27.5% of the federal EITC in Income Year 2014. Beginning in Income Year 2015, the state rate is restored to 30% of the federal EITC.

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<sup>8</sup> Section 95 of PA 13-184, the FY 14 and FY 15 Budget, authorizes up to \$40 million in aggregate bonds for this purpose.

<sup>9</sup>Petroleum products gross earnings tax revenue is deposited in the GF, and a portion is subsequently transferred to the STF. The amounts to be transferred are governed by CGS Sec. 13b-61a(b). However, CGS Sec. 13b-61a(c) requires petroleum products gross earnings tax revenue transfers to be based on the percentage of said revenue derived from gasoline.

### **Authorize Keno Gambling**

Authorize the Connecticut Lottery Corporation to operate Keno. Public Act 13-184 allows the Secretary of the Office of Policy and Management to enter into agreements with the Mashantucket Pequot Tribe and the Mohegan Tribe of Indians of Connecticut to distribute to each Tribe up to 12.5% of the gross state operating revenue from Keno, which reduces total General Fund revenue from Keno by \$1.2 million in FY 14 and \$9 million in FY 15.

### **Increase Diversion to Chronic Gamblers' Account**

Divert additional funds annually to the Chronic Gamblers' Account, which is established in CGS Sec. 17a-713 and is funded by a revenue diversion from the Connecticut Lottery Corporation.

### **Increase Fees**

Increase fees as follows:

- Increase license renewal fees by \$5 for physicians, surgeons, nurses, nurse midwives;
- Enact licensing fees for home health care agencies, assisted living services agencies and hospices;
- Expand the scope of the fee for the design review of health care institutions; and
- Expand the scope of the state childhood immunization program, which will trigger an increase in fees pursuant to CGS 19a-j(b)(5)(A).

### **Recognize Revenue Provisions of PA 13-271**

Increase the fines for driving while operating a cell phone by the following amounts: for a first violation from \$125 to \$150, a second violation from \$250 to \$300 and a third or subsequent violation from \$400 to \$500.

### **Adjust Tobacco Settlement Fund Transfers**

Adjust the statutory transfer of Tobacco Settlement Fund (TSF) revenue as follows:

- Authorize General Obligation bond funds to support the Stem Cell Research Fund (SCRF), eliminate the annual \$10 million transfer from the TSF to SCRF<sup>10</sup> and increase by this amount the "Transfer from Tobacco Settlement" in the GF revenue schedule that is adopted by the General Assembly for FY 14 and FY 15, thereby increasing GF resources by \$10 million in both fiscal years.
- Reduce the transfer from the TSF to the Tobacco and Health Trust Fund (THTF) by \$6 million in both FY 14 and FY 15 pursuant to Section 71 of PA 13-184. Increase by this amount the "Transfer from Tobacco Settlement" in the GF revenue schedule that is adopted by the General Assembly for FY 14 and FY 15, thereby increasing GF resources by \$6 million in both fiscal years.
- Credit \$3.5 million of additional FY 13 THTF revenue to the resources of the GF in FY 14. This is authorized by Section 109 of PA 13-184.

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<sup>10</sup> CGS Sec. 19a-32e established the Stem Cell Research Fund (SCRF) and authorized that not less than \$10 million be made available from SCRF in FY 06 through FY 15 (ten fiscal years) for grants-in-aid to eligible institutions for the purpose of conducting embryonic or human adult stem cell research.

### Transfers to and from Other Funds (in millions)

Transfer	FY 14 \$	FY 15 \$
Use FY 13 Surplus Funds as FY 14 -FY 15 Revenue	190.8	30.0
Reduce GF Transfer to STF	172.8	170.7
Transfer Part of STF Fund Balance to GF	76.5	-
Adjust Transfer of Revenue from Casino Gaming	73.2	73.2
Transfer from CT Resources Recovery Authority	35.0	-
Transfer from the Banking Fund	10.7	5.7
Recognize Revenue from Tobacco Settlement <sup>11</sup>	10.0	-
Transfer from the Clean Energy Finance and Investment Authority	6.2	19.2
Transfer Mortgage Electronic Transfer System Funds	5.4	5.4
Transfer from the Muni Video Competitiveness Account	5.0	5.0
Credit Additional THTF Revenue to the GF	3.5	-
Transfer from Public, Education, and Governmental Account	3.4	3.5
Transfer from Probate Court Administration Fund	1.0	-
<b>NET GENERAL FUND TOTAL</b>	<b>593.5</b>	<b>312.7</b>

### Outyears Revenue Impact

While the FY 14 and FY 15 Budget results in net GF increases in FY 14 and FY 15, it results in revenue losses in the outyears. The following table outlines the GF revenue impact of the policy changes included in the FY 14 and FY 15 Budget through FY 18, as broken out by major revenue category.

### Revenue Impact of General Fund Revenue Policy Changes (in millions)

Public Act	Item Description	FY 14	FY 15	FY 16	FY 17	FY 18
<b>Personal Income Tax</b>						
13-184	Implement a Tax Amnesty Program	11.9	(2.4)	-	-	-
	<b>Subtotal</b>	<b>11.9</b>	<b>(2.4)</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Sales &amp; Use Tax</b>						
13-184	Eliminate the Municipal Revenue Sharing Account	52.9	56.9	59.0	61.2	63.4
13-184	Reflect Enhanced Collections Due to Amazon.com	15.0	15.0	18.8	23.4	29.3
13-184	Implement a Tax Amnesty Program	12.6	(2.5)	-	-	-
13-184	Establish Pilot to Automate Collections	10.0	15.0	-	-	-

<sup>11</sup>In May of 2013, Connecticut joined 21 other states in a partial settlement with the major tobacco companies of a dispute dating from 2006 regarding payments to the states under the 1998 tobacco Master Settlement Agreement (MSA), from which Connecticut received \$63 million. Of the \$63 million total, up to \$40 million is reserved to help fulfill the state's obligation to meet Generally Accepted Accounting Principles (GAAP), \$13 million is deposited in a separate, non-lapsing reserve account to be utilized to enforce the MSA, and the remaining \$10 million is deposited in the GF to be used as revenue in FY 14.

Public Act	Item Description	FY 14	FY 15	FY 16	FY 17	FY 18
13-184	Alter Administration of Sales Tax on Cigarettes	2.6	2.6	2.6	2.6	2.6
13-184	Exempt Boats in the State Under 60 Days	(2.0)	(2.0)	(2.1)	(2.2)	(2.2)
13-184	Eliminate the "Luxury" Tax on Boats	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
13-184	Restore Exemption for Certain Clothing	-	(11.5)	(143.2)	(148.5)	(153.7)
	<b>Subtotal</b>	<b>91.0</b>	<b>73.4</b>	<b>(65.0)</b>	<b>(63.5)</b>	<b>(60.8)</b>
<b>Corporation Business Tax</b>						
13-184	Extend the 20% Surcharge	44.4	74.0	29.6	-	-
13-184	Implement a Tax Amnesty Program	10.5	(2.1)	-	-	-
	<b>Subtotal</b>	<b>54.9</b>	<b>71.9</b>	<b>29.6</b>	<b>-</b>	<b>-</b>
<b>Public Service Tax</b>						
13-232	Recognize Revenue Provisions of PA 13-232	0.3	0.3	0.3	0.3	0.3
	<b>Subtotal</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>
<b>Inheritance and Estate Tax</b>						
13-232	Recognize Revenue Provisions of PA 13-232	0.3	0.3	0.3	0.3	0.3
	<b>Subtotal</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>
<b>Insurance Premiums Tax</b>						
13-184	Extend the Cap on Use of Tax Credits	18.7	18.7	-	-	-
13-184	Support the URA Tax Credit Program with Bonds	20.0	20.0	-	-	-
13-184	Establish Moratorium on Film Tax Credits	2.0	4.0	-	-	-
	<b>Subtotal</b>	<b>40.7</b>	<b>42.7</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Real Estate Conveyance Tax</b>						
13-184	Eliminate the Municipal Revenue Sharing Account	39.5	41.0	42.8	44.7	46.7
	<b>Subtotal</b>	<b>39.5</b>	<b>41.0</b>	<b>42.8</b>	<b>44.7</b>	<b>46.7</b>
<b>Petroleum Products Gross Earnings Tax</b>						
13-184	Alter Oil Companies Transfer to STF	(158.0)	(152.3)	(145.9)	(145.9)	(145.9)
13-232	Recognize Revenue Provisions of PA 13-232	(0.6)	(0.6)	(0.6)	(0.6)	(0.6)
	<b>Subtotal</b>	<b>(158.6)</b>	<b>(152.9)</b>	<b>(146.5)</b>	<b>(146.5)</b>	<b>(146.5)</b>
<b>Electric Generation Tax</b>						
13-184	Adjust the Electric Generation Tax	17.5	-	-	-	-
	<b>Subtotal</b>	<b>17.5</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Earned Income Tax Credit</b>						
13-184	Reduce Rate of the Earned Income Tax Credit	21.1	11.0	-	-	-
	<b>Subtotal</b>	<b>21.1</b>	<b>11.0</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Transfers- Special Revenue</b>						
13-184	Authorize Keno Gambling	3.8	27.0	27.0	27.0	27.0
13-247	Increase Diversion to Chronic Gamblers' Account	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)
	<b>Subtotal</b>	<b>3.4</b>	<b>26.6</b>	<b>26.6</b>	<b>26.6</b>	<b>26.6</b>
<b>Licenses, Permits, and Fees</b>						
13-234	Increase Fees	0.3	0.3	0.3	0.3	0.3
	<b>Subtotal</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>
<b>Rents, Fines, and Escheats</b>						
13-271	Recognize Revenue Provisions of PA 13-271	0.5	0.6	0.6	0.6	0.6
	<b>Subtotal</b>	<b>0.5</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>
<b>Federal Grants</b>						
13-184	Net Appropriate Medicaid	(2,322.5)	(2,069.1)	(2,222.3)	(2,371.1)	(2,513.4)
13-184	Eliminate Funding for Low Income Adult Program	(446.2)	(1,135.8)	(1,261.9)	(1,304.2)	(1,306.9)

Public Act	Item Description	FY 14	FY 15	FY 16	FY 17	FY 18
13-184/234/247	Reflect Reimbursement Level for Spending Changes	(166.9)	(280.1)	(261.4)	(273.9)	(273.9)
	<b>Subtotal</b>	<b>(2,935.6)</b>	<b>(3,485.0)</b>	<b>(3,745.5)</b>	<b>(3,949.2)</b>	<b>(4,094.1)</b>
<b>Transfers from Tobacco Settlement</b>						
13-184/239	Transfer from the Stem Cell Research Fund	10.0	10.0	-	-	-
13-184	Reduce Transfer to Tobacco and Health Trust Fund	6.0	6.0	-	-	-
	<b>Subtotal</b>	<b>16.0</b>	<b>16.0</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Transfers (To)/From Other Funds</b>						
13-184	Use FY 13 Surplus Funds as FY 14 -FY 15 Revenue	190.8	30.0	-	-	-
13-184	Reduce GF Transfer to STF	172.8	170.7	20.0	10.0	10.0
13-184	Transfer Part of STF Fund Balance to GF	76.5	-	-	-	-
13-184	Adjust Transfer of Revenue from Casino Gaming	73.2	73.2	-	-	-
13-184	Transfer from CT Resources Recovery Authority	35.0	-	-	-	-
13-247	Transfer from the Banking Fund	10.7	5.7	-	-	-
13-184	Recognize Revenue from Tobacco Settlement	10.0	-	-	-	-
13-247	Transfer from CEFIA	6.2	19.2	-	-	-
13-247	Transfer Mortgage Electronic Transfer System Funds	5.4	5.4	5.4	5.4	5.4
13-184	Transfer from the Muni Video Competitiveness Acct	5.0	5.0	-	-	-
13-184	Credit Additional THTF Revenue to the GF	3.5	-	-	-	-
13-184	Transfer from Public, Education, and Gov'tal Acct	3.4	3.5	-	-	-
13-184	Transfer from Probate Court Administration Fund	1.0	-	-	-	-
	<b>Subtotal</b>	<b>593.5</b>	<b>312.7</b>	<b>25.4</b>	<b>15.4</b>	<b>15.4</b>
<b>Total- General Fund</b>		<b>(2,203.3)</b>	<b>(3,043.5)</b>	<b>(3,831.1)</b>	<b>(4,071.0)</b>	<b>(4,211.3)</b>

## **Special Transportation Fund**

PA 13-184, the FY 14 and FY 15 Budget as adjusted by PA 13-89, PA 13-277 and PA 13-271, includes various policy changes that yield net Special Transportation Fund (STF) revenue decreases of \$90.0 million in FY 14 and \$14.2 million in FY 15.

### **Recognize Revenue Provisions of PA 13-89**

PA 13-89, AAC the Issuance of Motor Vehicle Operators' Licenses, allows individuals who cannot provide the Department of Motor Vehicles (DMV) with proof of legal residence in the United States or a Social Security Number to obtain a driver's licenses for driving purposes only. The licenses cannot be used for federal identification purposes or as proof of identity in order to vote.

PA 13-89 is anticipated to result in a revenue gain to the STF of approximately \$1.8 million in FY 15 due to an estimated 16,200 individuals applying for a permit/license.

### **Increase Permit Fees**

PA 13-277, "AAC Revisions to the Transportation Statutes and the Designation of Roads and Bridges in Honor or in Memory of Persons and Organizations," doubles fees related to billboards which are anticipated to result in a revenue gain to the STF of \$100,000 in FY 14 and FY 15.

The fee changes consist of:

- Increasing, from \$50 to \$100, the application fee for a permit to erect signs containing less than 300 square feet of advertising space;
- Increasing, from \$100 to \$200, the application fee for signs with at least 300 square feet of advertising space

### **Increase Motor Vehicle Fees**

PA 13-271, "AAC Distracted Driving and Revisions to the Motor Vehicle Statutes," increases the fees for certain motor vehicle licenses.

- Increase the fee for renewing a two-year license for people age 65 or older from \$22 to \$24 which is anticipated to result in a revenue gain to the Special Transportation Fund of \$50,000 in FY 14 and FY 15.
- Increase the renewal fee for a Commercial Driver's License (CDL) from \$60 to \$70 which is anticipated to result in a revenue gain to the STF of \$227,300 in FY 14 and \$303,000 in FY 15.
- Increase the renewal fee for a motor vehicle license from \$65 to \$72 for a six year period and is anticipated to result in revenue gain to the STF of \$1.2 million in FY 14 and \$2.5 million in FY 15.



### Transfers between the Special Transportation Fund and General Fund

Please see page 528 for a table that illustrates the transfers between funds, which have the net impact of shifting \$91.3 million from the STF to the GF in FY 14 and \$18.4 million from the STF to the GF in FY 15.

### Outyears Revenue Impact

The following table outlines the STF revenue impact of the policy changes included in the FY 14 and FY 15 Budget through FY 18, as broken out by major revenue category.

### Revenue Impact of Special Transportation Fund Revenue Policy Changes (in millions)

Public Act	Item Description	FY 14 \$	FY 15 \$	FY 16 \$	FY 17 \$	FY 18 \$
<b>Motor Vehicle Receipts</b>						
13-89	Establish Licenses for Undocumented Individuals	-	1.8	3.4	0.9	1.4
13-271	Increase Motor Vehicle Fees	1.3	2.4	2.0	2.0	2.0
	<b>Subtotal</b>	<b>1.3</b>	<b>4.2</b>	<b>5.4</b>	<b>2.9</b>	<b>3.4</b>
<b>Petroleum Products Gross Earnings Tax</b>						
13-184	Alter Oil Companies Transfer to the STF	158.0	152.3	145.9	145.9	145.9
	<b>Subtotal</b>	<b>158.0</b>	<b>152.3</b>	<b>145.9</b>	<b>145.9</b>	<b>145.9</b>
<b>Transfers (To)/From Other Funds</b>						
13-184	Reduce GF Transfer to STF	(172.8)	(170.7)	(20.0)	(10.0)	(10.0)
13-184	Transfer Part of STF Fund Balance to GF	(76.5)	-	-	-	-
	<b>Subtotal</b>	<b>(249.3)</b>	<b>(170.7)</b>	<b>(20.0)</b>	<b>(10.0)</b>	<b>(10.0)</b>
<b>TOTAL - Special Transportation Fund</b>		<b>(90.0)</b>	<b>(14.2)</b>	<b>131.3</b>	<b>138.8</b>	<b>139.3</b>

**FY 14 and FY 15 Revenue Estimates Adopted by the Finance, Revenue and Bonding Committee June 21, 2013 (in thousands)**

<b>General Fund (GF)</b>		
<b>Item</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
<b>Taxes</b>		
Personal Income Tax	8,808,800	9,399,800
Sales & Use Tax	4,044,000	4,164,800
Corporation Tax	723,500	749,300
Public Service Tax	279,600	284,700
Inheritance & Estate Tax	173,200	180,100
Insurance Companies Tax	271,200	277,600
Cigarettes Tax	390,400	379,500
Real Estate Conveyance Tax	143,800	150,800
Oil Companies Tax	36,800	35,500
Electric Generation Tax	17,500	-
Alcoholic Beverages Tax	59,800	60,200
Admissions & Dues Tax	37,000	37,300
Health Provider Tax	512,000	514,500
Miscellaneous Tax	19,900	20,200
<b>Gross - Taxes</b>	<b>15,517,500</b>	<b>16,254,300</b>
Less Refunds	(1,073,500)	(1,115,600)
Less Earned Income Tax Credit	(104,500)	(121,000)
Less R&D Credit Exchange	(5,500)	(6,200)
<b>Net - Taxes</b>	<b>14,334,000</b>	<b>15,011,500</b>
<b>Other Revenue</b>		
Transfers - Special Revenue	313,900	338,400
Indian Gaming Payments	285,300	280,400
Licenses, Permits and Fees	301,200	274,400
Sales of Commodities	38,200	39,400
Rents, Fines and Escheats	114,550	116,600
Investment Income	1,300	1,600
Miscellaneous	169,100	170,900
Less Refunds of Payments	(69,800)	(71,300)
<b>Net - Other Revenue</b>	<b>1,153,750</b>	<b>1,150,400</b>
<b>Other Sources</b>		
Federal Grants	1,312,700	1,227,900
Transfer From Tobacco Settlement	107,000	106,000

<b>General Fund (GF)</b>		
<b>Item</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
Transfers (To)/From Other Funds	285,700	4,900
<b>Net - Other Sources</b>	<b>1,705,400</b>	<b>1,338,800</b>
<b>TOTAL</b>	<b>17,193,150</b>	<b>17,500,700</b>

<b>Special Transportation Fund (STF)</b>		
<b>Item</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
<b>Taxes</b>		
Motor Fuels Tax	502,900	499,100
Oil Companies Tax	380,700	379,100
Sales Tax- DMV	78,400	79,900
Less Refunds	(6,500)	(6,600)
<b>Net - Taxes</b>	<b>955,500</b>	<b>951,500</b>
<b>Other Sources</b>		
Motor Vehicle Receipts	234,000	237,500
Licenses, Permits and Fees	138,500	139,100
Interest Income	3,800	4,100
Federal Grants	13,100	13,100
Transfers From/(To) Other Funds	(98,000)	(19,400)
Refunds of Payments	(3,200)	(3,200)
<b>Net - Other Sources</b>	<b>288,200</b>	<b>371,200</b>
<b>TOTAL</b>	<b>1,243,700</b>	<b>1,322,700</b>

<b>Mashantucket Pequot and Mohegan Fund (MP&amp;MF)</b>		
<b>Item</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
Transfers from General Fund	61,800	61,800
<b>MP&amp;MF TOTAL</b>	<b>61,800</b>	<b>61,800</b>

<b>Soldiers, Sailor and Marines Fund (SS&amp;MF)</b>		
<b>Item</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
Transfers from the Trust Fund	3,100	-
<b>TOTAL</b>	<b>3,100</b>	<b>-</b>

<b>Regional Market Operating Fund (RMOF)</b>		
<b>Item</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
Rentals and Investment Income	1,000	1,000
Use of fund balance from prior years	-	-
<b>TOTAL</b>	<b>1,000</b>	<b>1,000</b>

<b>Banking Fund</b>		
<b>Item</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
Fees and Assessments	25,701	22,301
Use of Fund Balance from Prior Years	908	5,546
<b>TOTAL</b>	<b>26,609</b>	<b>27,847</b>

<b>Insurance Fund</b>		
<b>Item</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
Fees and Assessments	30,745	31,968
<b>TOTAL</b>	<b>30,745</b>	<b>31,968</b>

<b>Consumer Counsel and Public Utility Control Fund (CC&amp;PUCF)</b>		
<b>Item</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
Fees and Assessments	24,919	25,384
<b>TOTAL</b>	<b>24,919</b>	<b>25,384</b>

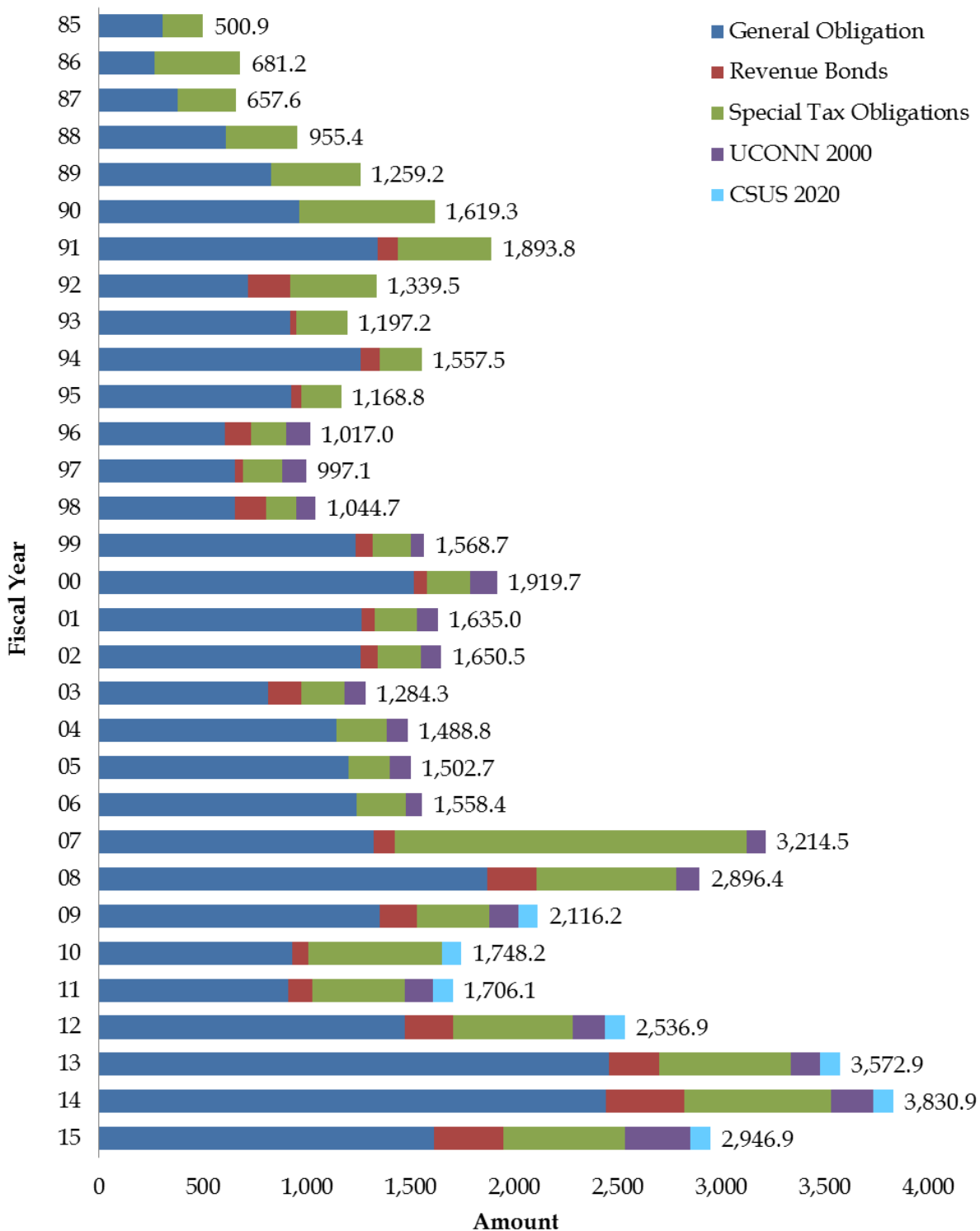
<b>Workers' Compensation Fund (WCF)</b>		
<b>Item</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
Fees and Assessments	23,709	25,235
<b>TOTAL</b>	<b>23,709</b>	<b>25,235</b>

<b>Criminal Injuries Compensation Fund (CICF)</b>		
<b>Item</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
Restitutions	3,310	3,310
Use of Fund Balance from Prior Years	100	-
<b>TOTAL</b>	<b>3,410</b>	<b>3,310</b>

<b>Total - All Funds</b>		
<b>Item</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
<b>TOTAL - ALL FUNDS</b>	<b>18,612,142</b>	<b>18,999,944</b>

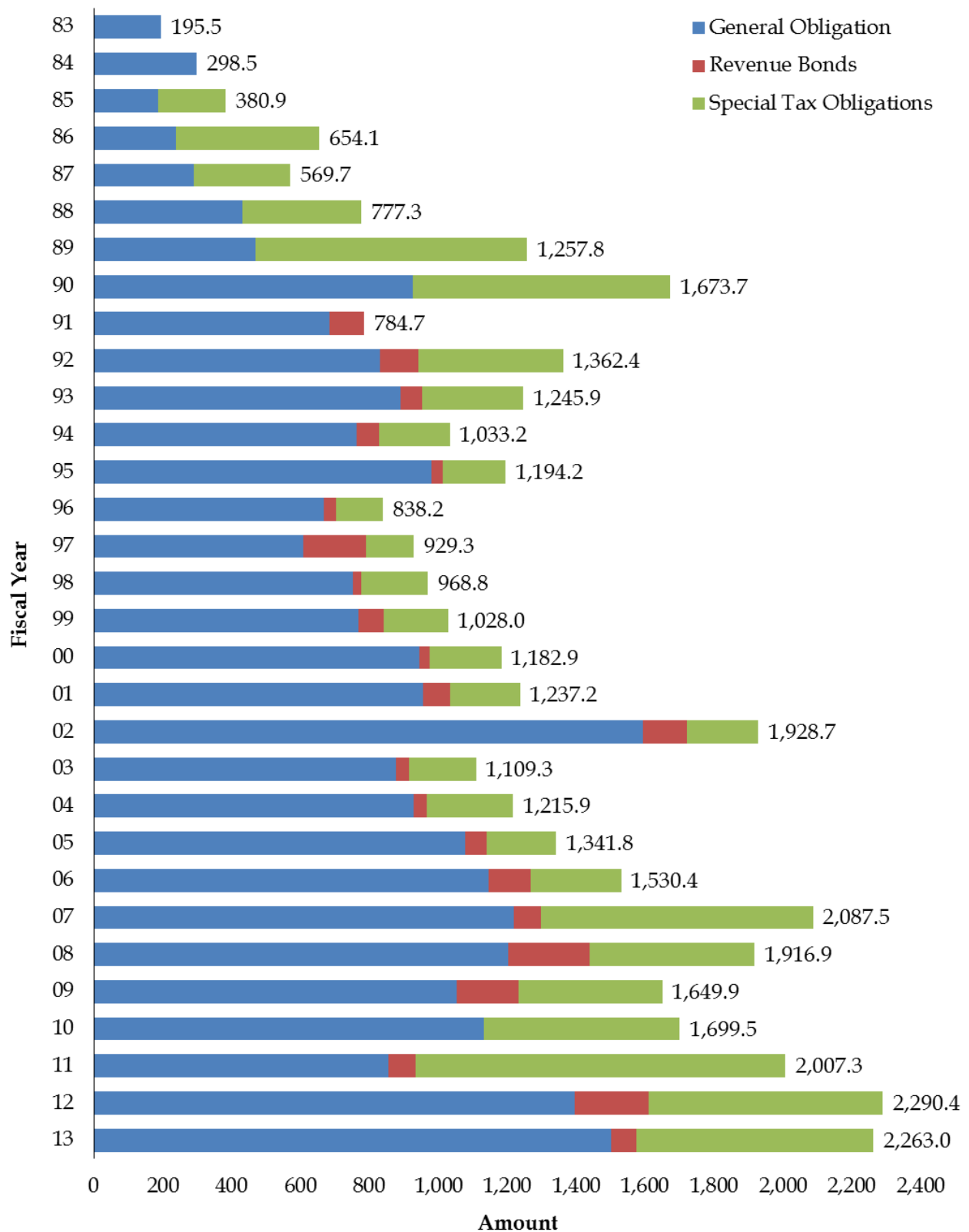
## VII. CAPITAL BUDGET

**FY 85 - FY 15 Legislative Bond Authorizations (in millions)<sup>1</sup>**



<sup>1</sup>Does not include cancellations of prior bond authorizations. The FY 94 total includes \$252.1 million authorized in September 1993 for the construction of a stadium in Hartford. This authorization was subsequently repealed by Section 101 of PA 94-2. The FY 99 total includes \$274.4 million authorized in December 1999 for the construction of the Patriots stadium in Hartford. The authorization was subsequently repealed by Section 65 of PA 99-241.

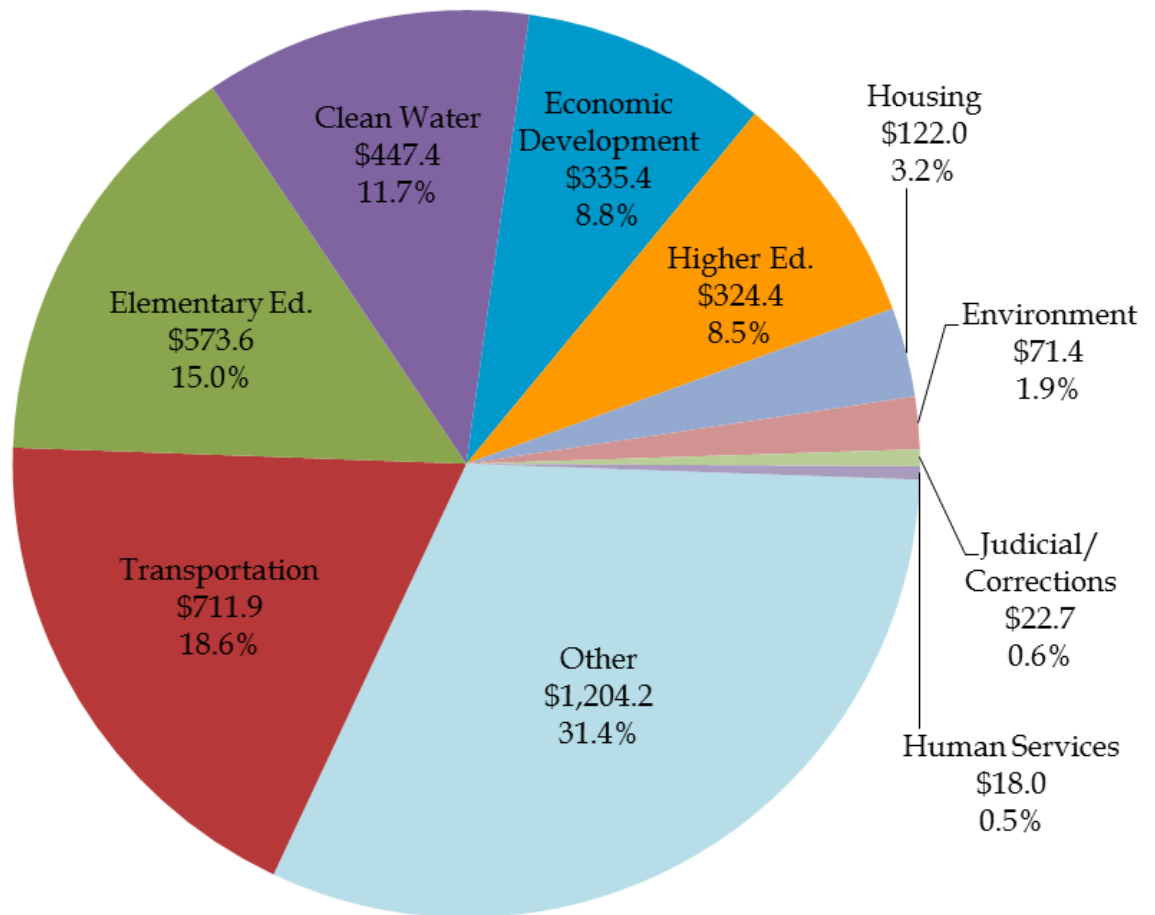
### FY 83 - FY 13 Bond Commission Allocations (in millions)<sup>1</sup>



<sup>1</sup>Bonds authorized for UConn 2000 and CSUS 2020 infrastructure improvement programs are only included in first year that the State Bond Commission allocated the funds because legislation only requires that the Commission approve the master resolution. The UConn 2000 program began in FY 96 and the CSUS 2020 program began in 2009.

**General, Revenue, and Special Tax Obligation  
Bond Authorizations (in millions)**

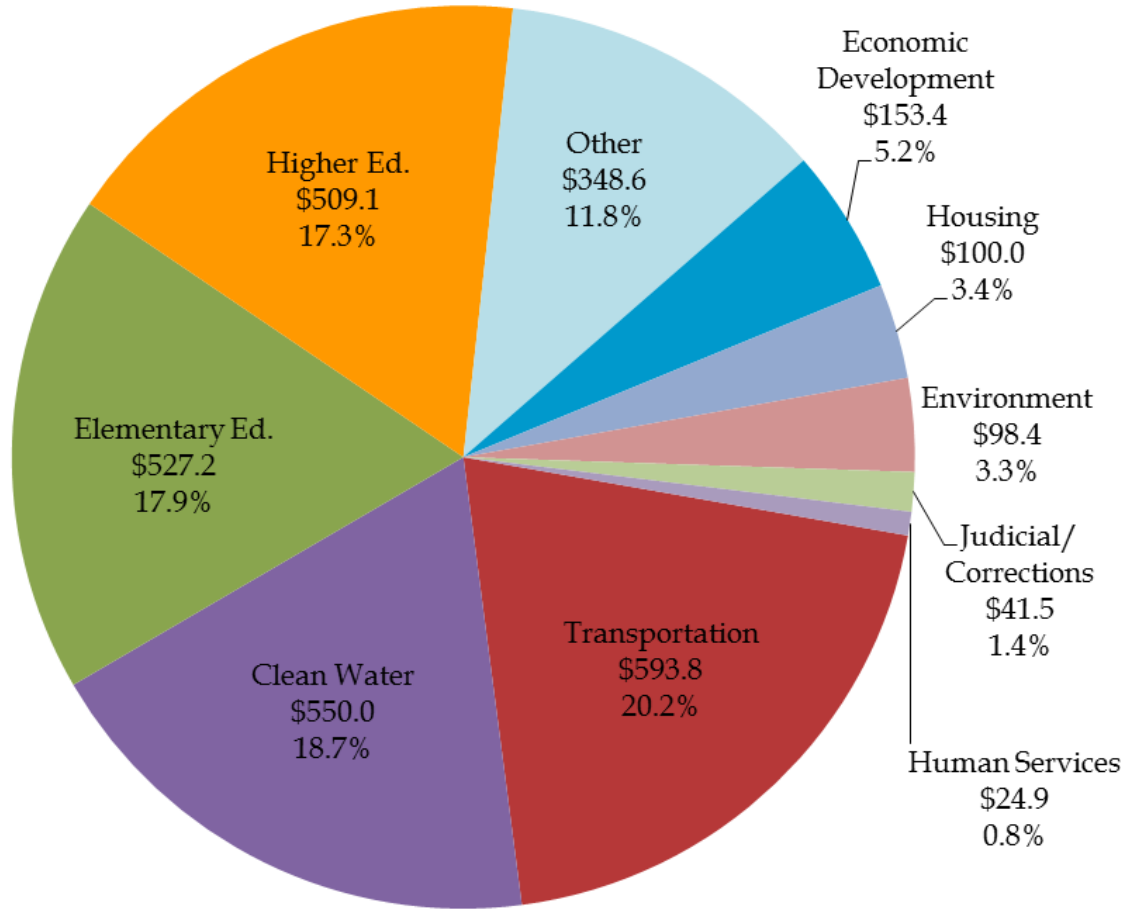
**FY 14 Increases: \$3,831.0<sup>1</sup>**



<sup>1</sup>Does not include cancellation of prior bond authorizations.

**General, Revenue, and Special Tax Obligation  
Bond Authorizations (in millions)**

**FY 15 Increases: \$2,946.9<sup>1</sup>**



<sup>1</sup>Does not include cancellation of prior bond authorizations.



### Newly Effective Bond Authorizations (in millions)

Item	FY 13 \$	FY 14 \$	FY 15 \$
<b>General Obligation (GO) Bonds (General Fund)</b>			
Increases	35.0	2,340.5	1,557.0
Reductions	-	(22.5)	-
<b>Total GO Bonds (General Fund)</b>	<b>35.0</b>	<b>2,318.0</b>	<b>1,557.0</b>
<b>GO Bonds Authorized in Prior Legislative Sessions</b>			
Unemployed Armed Forces Member Subsidized Training and Employment (STEP) Program	-	5.0	-
Underground Storage Tank (UST) Fund	-	9.0	9.0
Energy Conservation Loan Fund	-	5.0	5.0
UConn 21 <sup>st</sup> Century infrastructure projects	-	198.0	208.5
UConn Next Generation - additional authorization	-	6.4	107.0
Recapitalize Connecticut Innovations, Inc.	-	25.0	25.0
Connecticut Bioscience Collaboration Program (Jackson Lab)	-	59.7	19.7
Board of Regents: Connecticut State University System: CSUS 2020	-	95.0	95.0
<b>Total GO Bonds from Prior Sessions</b>	<b>-</b>	<b>403.1</b>	<b>469.2</b>
<b>Revenue Bonds</b>			
Clean Water Fund Revenue Bonds	-	380.4	332.0
<b>Special Tax Obligation Bonds (Transportation Fund)</b>			
Increases	-	706.9	588.8
<b>NET TOTAL</b>	<b>35.0</b>	<b>3,808.5</b>	<b>2,946.9</b>

## **Statutory Debt Limit**

The state's level of General Fund indebtedness for FY 14<sup>12</sup> was \$19.0 billion as of July 3, 2013, which is approximately 82.7% of the statutory limit. As the table on the following page shows, the range between 1993 and 2013 has been from 70.2% (FY 12) to 90% (FY 03). The FY 14 level of 82.7% is 7.3 percentage points or \$1.7 billion away from the 90% level. If the 90% level is reached, a trigger provision in CGS Sec. 2-27b would require the Governor to review each bond authorization for which no obligations have yet been incurred and recommend priorities for repealing them to the Finance, Revenue and Bonding Committee. The committee would consider the recommendations and propose whatever legislation it concluded was necessary to repeal any of the authorizations.

## **Description of the Debt Limit**

CGS Sec. 3-21 imposes a ceiling<sup>13</sup> on the total amount of General Obligation (GO) bonds the General Assembly may authorize. The limit is 1.6 times total General Fund tax receipts (net of refunds) projected by the Finance, Revenue and Bonding Committee for the fiscal year in which the bonds are authorized (see calculation below). The statute prohibits the General Assembly from authorizing any additional General Fund-supported debt, except what is required to meet cash flow needs or emergencies resulting from natural disasters, when the aggregate amount of outstanding debt and authorized but unissued debt exceed this amount. Certain types of debt are excluded from the statutory debt limit calculation, including: (1) debts incurred for federally reimbursable public works projects, (2) assets in debt retirement funds, (3) debt incurred in anticipation of revenue, (4) debt incurred to fund General Fund budget deficits and (5) some other purposes. (Examples of excluded debt are tax incremental financing bonds, Special Transportation GO bonds, Bradley Airport revenue bonds, Clean Water Fund revenue bonds, Connecticut Unemployment revenue bonds, Economic Recovery Notes and Teachers' Retirement Fund Pension Obligation Bonds).

The statute requires the Office of the State Treasurer to certify that any bill authorizing bonds does not violate the debt limit, before the General Assembly may vote on the bill. A similar certification is required before the state Bond Commission can authorize any new bonds to be issued. Under the provisions of CGS Sec. 2-27b, the State Treasurer is also required to compute the state's aggregate bonded indebtedness each January 1 and July 1.

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<sup>12</sup> The estimate is based on the revised FY 14 revenue estimates adopted by the Finance, Revenue and Bonding Committee on June 21, 2013.

<sup>13</sup> Between FY 75 and FY 92, the state debt limit was 4.5 times the net General Fund tax receipts received during the previous fiscal year that ended not less than three, or more than 15 calendar months prior to such issuance. The current calculation method has been used since FY 93.

The Certificate of State Indebtedness issued by the Office of the State Treasurer on July 3, 2013 indicated the following:

<b>Certificate of State Indebtedness</b>	<b>Amount \$</b>
FY 14 limit on GO bonds (1.6 x FY 14 revenue estimates)	22,934,400,000
FY 13 net GO bond indebtedness	18,969,159,161
Debt incurring margin	3,965,240,839
<b>FY 13 net indebtedness as a percent of debt limit</b>	<b>82.7%</b>
<b>Capacity remaining before 90% limit</b>	<b>1,671,800,839</b>

The table below shows the level of state indebtedness between FY 93 and FY 14.

**State Debt Limitation from FY 93 to FY 14 (in thousands)**

<b>Fiscal Year</b>	<b>Statutory Debt Limitation \$</b>	<b>Aggregate Indebtedness (Adjusted) \$</b>	<b>Debt Incurring Margin \$</b>	<b>Indebtedness as % of Debt Limitation</b>
1993	7,176,000	5,787,197	1,388,803	80.6%
1994	8,967,040	7,720,809	1,246,231	86.1%
1995	10,169,920	8,529,758	1,640,162	83.9%
1996	10,496,160	8,596,566	1,899,594	81.9%
1997	10,534,880	8,928,457	1,606,423	84.8%
1998	10,905,280	9,069,716	1,835,564	83.2%
1999 <sup>1</sup>	11,578,400	9,446,584	2,131,816	81.6%
2000	12,521,280	10,547,655	1,973,625	84.2%
2001	12,967,840	11,189,658	1,778,182	86.3%
2002	14,006,720	11,599,614	2,407,106	82.8%
2003 <sup>1</sup>	13,116,000	11,805,771	1,310,229	90.0%
2004 <sup>1</sup>	13,116,000	11,796,826	1,319,174	89.9%
2005 <sup>2</sup>	15,105,760	12,868,871	2,236,889	85.2%
2006	16,728,640	13,230,649	3,497,991	79.1%
2007	17,411,520	13,919,490	3,492,030	79.9%
2008	19,925,120	14,702,079	5,223,041	73.8%
2009	20,753,760	15,384,452	5,369,308	74.1%
2010	17,484,160	15,574,796	1,909,364	89.1%
2011	17,477,440	15,108,155	2,369,285	86.4%
2012	22,430,560	15,736,430	6,694,130	70.2%
2013	23,408,800	17,314,059	6,094,741	74.0%
2014 <sup>2</sup>	22,934,400	18,969,159	3,965,241	82.7%

<sup>1</sup>The revenue estimates used in FY 03 and FY 04 were provided by the Office of Policy and Management because the legislature did not adopt revised FY 03 estimates.

<sup>2</sup>The FY 05 figure is based on revised revenue estimates adopted by the Finance, Revenue and Bonding Committee on 6/28/04. The FY 14 figure is based on revised revenue estimates adopted on 6/21/13

## FY 14 and FY 15 General Obligation (GO) Bond Authorizations by Agency

Authorizing Act/Section		Agency/Description	FY 13 \$	FY 14 \$	FY 15 \$
<b>Office of the State Treasurer</b>					
PA 13-239, Secs. 68 & 69		The State Treasurer is authorized to issue up to \$750 million in GO bonds to cover a portion of the cost to pay the accumulated GAAP deficit. This does not require action by the State Bond Commission.	-	750,000,000	-
<b>Office of Policy and Management</b>					
PA 13-239 Sec. 2(a)(1)	PA 13-239 Sec. 21(a)(1)	Design and implementation of consolidation of higher education systems with the state's CORE system	-	5,000,000	5,000,000
PA 13-239 Sec. 2(a)(2)	PA 13-239 Sec. 21(a)(2)	Design and implementation of the Criminal Justice Information Sharing System	-	7,900,000	5,500,000
PA 13-239 Sec. 2(a)(3)	PA 13-239 Sec. 21(a)(3)	Information technology capital investment program	-	50,000,000	25,000,000
PA 13-239 Sec. 2(a)(4)	-	Funding to capitalize a transit-oriented predevelopment fund provided that \$2 million in matching funds is raised by a public-private partnership	-	1,000,000	-
PA 13-239 Sec. 13(a)(1)	PA 13-239 Sec. 32(a)	Grants-in-aid to private, nonprofit health and human service organizations that are exempt under Section 501(c)(3) of the Internal Revenue Code of 1986 of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as amended from time to time, for alterations, renovations, improvements, additions and new construction, including health, safety, compliance with the Americans with Disabilities Act and energy conservation improvements, information technology systems, technology for independence and purchase of vehicles	-	20,000,000	20,000,000
PA 13-239, Sec. 52		Small Town Economic Assistance Act (STEAP)	-	20,000,000	20,000,000
PA 13-239, Sec. 54		Local Capital Improvement Program (LoCIP)	-	30,000,000	30,000,000
PA 13-184, Secs. 93 & 94		Effective for FY 13, towns are permitted to use LoCIP funds for the following additional purposes: (1) bikeways and greenways, (2) land acquisition, including for open space, and costs involved in making land available for public uses, (3) acquisition of technology related to implementation of DOE's Common Core State Standards, and (4) technology upgrades, including for improvements to expand public access to government information through e-portals and kiosks. Effective for FY 13 and FY 14, towns are permitted to use LoCIP funds for: (1) snow removal equipment, (2) capital expenditures made to improve public safety, and (3) capital expenditures made to facilitate regional cooperation.			
PA 13-239, Sec. 51		Urban Action Program	-	50,000,000	50,000,000
PA 13-239, Sec. 53		Capital Equipment Purchase Fund	-	40,000,000	35,000,000
PA 13-239 Sec. 2(a)(5)	-	Development and implementation of databases in the CORE financial system associated with results-based accountability	-	5,000,000	-

Authorizing Act/Section		Agency/Description	FY 13 \$	FY 14 \$	FY 15 \$
PA 13-239 Sec. 13(a)(2)	-	Grants-in-aid to municipalities for infrastructure projects and programs, including planning, property acquisition, site preparation, construction and off-site improvements	-	50,000,000	-
PA 13-239, Sec. 55		Grants-in-aid to municipalities distributed for municipal purposes	-	56,429,907	56,429,907
PA 13-247, Sec. 128		The funds authorized in Sec. 55 of PA 13-247: (1) must be used for the same purposes as Town Aid Road (TAR) grants-in-aid and (2) distributed according to the town-by-town list in Sec. 128.	-	-	-
PA 13-247, Sec. 96		The secretary of OPM may allow towns to use Town Aid Road (TAR) grants-in-aid for purposes other than those described in statute.	-	-	-
<b>Department of Veterans' Affairs</b>					
PA 13-239 Sec. 2(b)	PA 13-239 Sec. 21(b)	Alterations, renovations and improvements to buildings and grounds	-	750,000	750,000
<b>Department of Administrative Services</b>					
PA 13-239 Sec. 2(c)(1)	PA 13-239 Sec. 21(c)(1)	Alterations and improvements in compliance with the Americans with Disabilities Act, or for improved accessibility to state facilities	-	2,000,000	2,000,000
PA 13-239 Sec. 2(c)(2)	-	Development, including acquisition and equipment, of a new thermal facility, including extension of the distribution pipeline, for the capitol area district heating and cooling system in Hartford	-	29,000,000	-
PA 13-239 Sec. 2(c)(3)	PA 13-239 Sec. 21(c)(2)	Infrastructure repairs and improvements, including fire, safety and compliance with the Americans with Disabilities Act improvements, improvements to state-owned buildings and grounds, including energy conservation and off-site improvements, and preservation of unoccupied buildings and grounds, including office development, acquisition, renovations for additional parking and security improvements at state-occupied buildings	-	25,000,000	25,000,000
PA 13-239 Sec. 2(c)(4)	PA 13-239 Sec. 21(c)(3)	Removal or encapsulation of asbestos in state-owned buildings	-	10,000,000	10,000,000
PA 13-239, Sec. 58		School construction grants-in-aid - progress payments. CGS Sec. 10-287d	-	510,300,000	469,900,000
PA 13-239, Sec. 59		School construction grants-in-aid - interest payments. CGS Sec. 10-292k	-	1,000,000	4,300,000
PA 13-239 Sec. 94	-	[Exterior] Alterations, renovations and improvements, including installation of air conditioning, to the State Office Building and associated parking facilities in Hartford - P.A. 11-57, Sec. 21(d)(1)	-	-	-
PA 13-239 Sec. 94	-	Infrastructure repairs and improvements, including fire, safety and compliance with the Americans with Disabilities Act improvements, improvements to state-owned buildings and grounds, including energy conservation and off-site improvements, and preservation of unoccupied buildings and grounds, including office development, acquisition, renovations for additional parking and security improvements at state-occupied facilities. P.A. 11-57, Sec. 21(d)(2)	-	-	-
PA 13-239, Secs. 83, 87, 88, 91 & 97		Change DCS to DAS for various existing bond authorizations	-	-	-

Authorizing Act/Section		Agency/Description	FY 13 \$	FY 14 \$	FY 15 \$
<b>Department of Emergency Services and Public Protection</b>					
PA 13-239Sec. 2(d)(1)	-	Design, construction and equipment for a consolidated communications center at the headquarters building in Middletown	-	4,000,000	-
PA 13-239Sec. 2(d)(2)	PA 13-239Sec. 21(d)(1)	Replacement and upgrade of radio communication systems	-	19,500,000	45,000,000
PA 13-239Sec. 2(d)(3)	PA 13-239Sec. 21(d)(2)	Alterations and improvements to buildings and grounds, including utilities, mechanical systems and energy conservation projects	-	5,000,000	5,000,000
PA 13-239Sec. 2(d)(4)	-	Alterations, renovations and improvements to the Forensic Science Laboratory in Meriden	-	1,500,000	-
PA 13-239Sec. 98	-	Design and construction of a firearms training facility and vehicle operations training center, including land acquisition. P.A. 12-189, Sec. 2(c)(2)	-	-	-
<b>Department of Motor Vehicles</b>					
PA 13-239Sec. 2(e)	PA 13-239Sec. 21(e)	Alterations, renovations and improvements to buildings and grounds	-	1,703,000	1,697,000
<b>Military Department</b>					
PA 13-239Sec. 2(f)(1)	PA 13-239Sec. 21(f)(1)	Alterations and improvements to buildings and grounds, including utilities, mechanical systems and energy conservation	-	1,000,000	1,000,000
PA 13-239Sec. 2(f)(2)	PA 13-239Sec. 21(f)(2)	State matching funds for anticipated federal reimbursable projects	-	2,000,000	2,000,000
PA 13-239Sec. 2(f)(3)	-	Renovations and improvements to the skylight and the water and heating systems at the William A. O'Neill Armory in Hartford	-	3,150,000	-
<b>Department of Agriculture</b>					
PA 13-239Sec. 13(b)	PA 13-239Sec. 32(b)	Farm Reinvestment Program	-	500,000	500,000
PA 13-239, Sec. 63		Farmland Preservation Program. CGS Sec. 22-26hh	-	10,000,000	10,000,000
<b>Department of Energy and Environmental Protection</b>					
PA 13-239Sec. 2(g)(1)	PA 13-239Sec. 21(g)(1)	Dam repairs, including state-owned dams	-	6,000,000	5,000,000
-	PA 13-239Sec. 21(g)(2)	Energy efficiency and renewable energy projects in state-owned buildings	-	-	25,000,000
PA 13-239Sec. 2(g)(2)	PA 13-239Sec. 21(g)(3)	Various flood control improvements, flood repair, erosion damage repairs and municipal dam repairs	-	4,500,000	6,900,000
PA 13-239Sec. 2(g)(3)	PA 13-239Sec. 21(g)(4)	Recreation and Natural Heritage Trust Program for recreation, open space, resource protection and resource management	-	10,000,000	10,000,000
PA 13-239Sec. 13(c)(1)	PA 13-239Sec. 32(c)(1)	Grants-in-aid to municipalities for open space land acquisition and development for conservation or recreational purposes	-	10,000,000	10,000,000
PA 13-239Sec. 13(c)(2)	PA 13-239Sec. 32(c)(2)	Grants-in-aid to municipalities for improvements to incinerators and landfills, including, but not limited to, bulky waste landfills	-	1,400,000	1,000,000

Authorizing Act/Section		Agency/Description	FY 13 \$	FY 14 \$	FY 15 \$
PA 13-239Sec. 13(c)(3)	PA 13-239Sec. 32(c)(3)	Grants-in-aid for identification, investigation, containment, removal or mitigation of contaminated industrial sites in urban areas	-	5,000,000	5,000,000
-	PA 13-239Sec. 32(c)(4)	Grants-in-aid to municipalities for potable water	-	-	1,000,000
PA 13-239, Sec. 65		Clean Water Fund grants-in-aid - GO Bonds. CGS Sec. 22a-483(a)	-	67,000,000	218,000,000
PA 13-239, Sec. 66		Clean Water Fund loans - Revenue Bonds. CGS Sec. 22a-483(d)	-	380,430,000	331,970,000
PA 13-239, Sec. 64		Clean Water Fund language change for phosphorus projects	-	-	-
PA 13-239Sec. 13(c)(1)	PA 13-239Sec. 32(c)(1)	Grants-in-aid to establish energy microgrids to support critical municipal infrastructure.	-	15,000,000	15,000,000
PA 13-239, Sec. 62	-	Remove \$15 million cap imposed in PA 12-148: Grants-in-aid to establish energy microgrids to support critical municipal infrastructure	-	-	-
PA 13-239, Sec. 86	-	Grant-in-aid to Wallingford for [the baseball field at Sheehan High School] renovations to athletic fields at the town's public schools. SA 05-1, (JSS), Sec. 32(d)(25)	-	-	-
<b>Department of Economic and Community Development</b>					
PA 13-239, Sec. 67		Manufacturing Assistance Act	-	100,000,000	-
PA 13-239Sec. 13(d)(1)	PA 13-239Sec. 32(d)(1)	Grants-in-aid to nursing homes for alterations, renovations and improvements for conversion to other uses in support of right-sizing	-	10,000,000	10,000,000
PA 13-239Sec. 13(d)(2)	PA 13-239Sec. 32(d)(2)	Small Business Express Program	-	50,000,000	50,000,000
PA 13-239Sec. 13(d)(3)	PA 13-239Sec. 32(d)(3)	Brownfield remediation and redevelopment projects	-	20,000,000	10,000,000
PA 13-268Sec. 2(b)(1)	-	Grant-in-aid to the Metropolitan Economic Development Commission for construction, improvements, repairs, renovations and land acquisition for elderly housing	-	500,000 <sup>1</sup>	-
PA 13-268Sec. 2(b)(2)	-	Grant-in-aid to the John E. Rogers African American Cultural Center for construction, improvements, repairs, renovations and land acquisition to convert the former Northwest-Jones School to a cultural center	-	500,000 <sup>1</sup>	-
PA 13-268Sec. 2(b)(3)	-	Grant-in-aid to Catholic Charities of Hartford for construction, improvements, repairs and renovations to create affordable housing with supportive services	-	500,000 <sup>1</sup>	-
PA 13-184, Sec. 95		Purchase of urban and industrial sites reinvestment tax credits	-	20,000,000	20,000,000
<b>Connecticut Innovations, Inc.</b>					
PA 13-239, Secs. 70-73		Bioscience Innovation Fund	10,000,000	10,000,000	15,000,000
<b>Capital Region Development Authority</b>					
PA 13-239Sec. 2(h)(1)	PA 13-239Sec. 21(h)	Alterations, renovations and improvements at the Connecticut Convention Center and Rentschler Field	-	4,122,000	3,727,500
PA 13-239Sec. 2(h)(2)	-	Alterations, renovations and improvements at the XL Center in Hartford	-	35,000,000	-
<b>Department of Housing</b>					
PA 13-239Sec. 9(1)	PA 13-239Sec. 28	Housing development and rehabilitation programs	-	70,000,000	70,000,000
PA 13-239, Sec. 56		Housing Trust Fund	-	30,000,000	30,000,000

Authorizing Act/Section		Agency/Description	FY 13 \$	FY 14 \$	FY 15 \$
PA 13-239 Sec. 9(2)	-	Supportive Housing Initiative	-	20,000,000	-
PA 13-239 Sec. 13(e)	-	Grants-in-aid to municipalities for the incentive housing zone program	-	2,000,000	-
PA 13-239 Secs. 90 & 91	-	Move Main Street Initiative from OPM to DOH. PA 11-1, (OSS), Sec. 79	-	-	-
PA 13-239 Sec. 101	-	Move Incentive Housing Zone Program from OPM to DOH. PA 12-189, Sec. 9(a)(2)	-	-	-
PA 13-239 Sec. 98	-	Move flexible housing bond authorization from DECD to DOH. PA 11-57, Sec. 28	-	-	-
<b>Department of Public Health</b>					
PA 12-1, DSS, Sec. 41		Stem Cell Research Fund established in CGS Sec. 19a-32e	10,000,000	-	-
PA 13-239 Sec. 13(f)	PA 13-239 Sec. 32(e)	Stem Cell Research Fund established in CGS Sec. 19a-32e	-	10,000,000	10,000,000
<b>Department of Developmental Services</b>					
PA 13-239 Sec. 2(i)	PA 13-239 Sec. 21(i)	Fire, safety and environmental improvements to regional facilities and intermediate care facilities for client and staff needs, including improvements in compliance with current codes, site improvements, handicapped access improvements, utilities, repair or replacement of roofs, air conditioning and other interior and exterior building renovations and additions at all state-owned facilities	-	5,000,000	5,000,000
<b>Department of Mental Health and Addiction Services</b>					
PA 13-239 Sec. 2(j)	PA 13-239 Sec. 21(j)(2)	Design and installation of sprinkler systems, including related fire safety improvements, in direct patient care buildings	-	2,275,000	4,175,000
-	PA 13-239 Sec. 21(j)(1)	Fire, safety and environmental improvements to regional facilities for client and staff needs, including improvements in compliance with current codes, including intermediate care facilities and site improvements, handicapped access improvements, utilities, repair or replacement of roofs, air conditioning and other interior and exterior building renovations and additions at all state-owned facilities	-	-	5,000,000
<b>Department of Education</b>					
PA 13-3, Sec. 85		School Security Infrastructure Grant Program	15,000,000	-	-
PA 13-239 Sec. 2(k)	PA 13-239 Sec. 21(k)	For the regional vocational-technical school system: Alterations and improvements to buildings and grounds, including new and replacement equipment, tools and supplies necessary to update curricula, vehicles and technology upgrades at all regional vocational-technical schools	-	28,000,000	15,500,000



Authorizing Act/Section		Agency/Description	FY 13 \$	FY 14 \$	FY 15 \$
PA 13-239 Sec. 13(h)(1)	PA 13-239 Sec. 32(g)(1)	Grants-in-aid for the purpose of capital start-up costs related to the development of new interdistrict magnet school programs to assist the state in meeting the goals of the 2008 stipulation and order for Milo Sheff, et al. v. William A. O'Neill, et al., for the purpose of purchasing a building or portable classrooms, subject to the reversion provisions in subdivision (1) of subsection (c) of section 10-264h of the general statutes, leasing space, and purchasing equipment, including, but not limited to, computers and classroom furniture	-	17,000,000	7,500,000
PA 13-239 Sec. 13(h)(2)	PA 13-239 Sec. 32(g)(2)	Grants-in-aid to municipalities and organizations exempt from taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as amended from time to time, for facility improvements and minor capital repairs to that portion of facilities that house school readiness programs and state-funded day care centers operated by such municipalities and organizations	-	11,500,000	15,000,000
PA 13-239 Sec. 13(h)(3)	-	Grants-in-aid to local or regional boards of education for capital costs related to the expansion of enrollment in the statewide interdistrict public school attendance program pursuant to section 10-266aa of the Connecticut General Statutes to assist the state in meeting the goals of the 2008 stipulation and order for Milo Sheff, et al. v. William A. O'Neill, et al., for building renovations, classroom expansions, and the purchase of equipment, including, but not limited to, computers, laboratory equipment and classroom furniture	-	750,000	-
-	PA 13-239 Sec. 32(g)(3)	Grant-in-aid to assist targeted local and regional school districts for alterations, repairs, improvements, technology and equipment in low-performing schools	-	-	10,000,000
PA 13-239, Sec. 57		Grants-in-aid to assist charter schools with capital expenses	-	5,000,000	5,000,000
PA 13-239, Sec. 103	-	Grants-in-aid for alterations, repairs, improvements, technology, equipment and capital start-up costs, including acquisition costs, to expand the availability of high-quality school models, and assist in the implementation of common CORE state standards and assessments, in accordance with procedures established by the Commissioner of Education. PA 12-189, Sec. 9(e)(2)	-	-	-
<b>State Library</b>					
PA 13-239 Sec. 13(i)	PA 13-239 Sec. 32(h)	Grants-in-aid to public libraries that are not located in distressed municipalities, as defined in section 32-9p of the general statutes, for construction, renovations, expansions, energy conservation and handicapped accessibility	-	5,000,000	5,000,000
PA 13-239 Secs. 60 & 61	-	Increase, from one-third to one-half of the cost of: (1) construction and (2) emergency repairs, the amount of grant-in-aid funding towns can received for public libraries	-	-	-

Authorizing Act/Section		Agency/Description	FY 13 \$	FY 14 \$	FY 15 \$
<b>Board of Regents for Higher Education: Regional Community College System</b>					
PA 13-239 Sec. 2(l)(1)(A)	PA 13-239 Sec. 21(l)(1)(A)	All Community Colleges: New and replacement instruction, research or laboratory equipment	-	9,000,000	5,000,000
PA 13-239 Sec. 2(l)(1)(B)	PA 13-239 Sec. 21(l)(1)(B)	All Community Colleges: System Technology Initiative	-	5,000,000	5,000,000
PA 13-239 Sec. 2(l)(1)(C)	PA 13-239 Sec. 21(l)(1)(C)	All Community Colleges: Alterations, renovations and improvements to facilities including fire, safety, energy conservation and code compliance improvements	-	2,000,000	5,000,000
PA 13-239 Sec. 89	-	Improvements at [three] four campuses to design, construct and equip space for new manufacturing technology programs. PA 11-1, (OSS), Sec. 32	-	-	-
PA 13-239 Sec. 2(l)(2)(A)	-	Quinebaug Community College: Parking and site improvements	-	2,189,622	-
PA 13-239 Sec. 2(l)(2)(B)	-	Quinebaug Community College: Heating, ventilating and air conditioning system improvements	-	1,750,000	-
PA 13-239 Sec. 2(l)(3)	-	Tunxis Community College: Feasibility study for acquisition of property for creation of a premanufacturing work space and relocation of continuing education operations	-	250,000	-
-	PA 13-239Sec. 21(l)(2)(A)	Housatonic Community College: Parking garage improvements	-	-	3,907,258
-	PA 13-239 Sec. 21(l)(2)(B)	Housatonic Community College: Implementation of phase III of the master plan for renovations and additions to Lafayette Hall	-	-	40,467,047
PA 13-239 Sec. 2(l)(4)	PA 13-239 Sec. 21(l)(3)	Middlesex Community College: Planning, design and construction of a new academic building	-	4,800,000	39,200,000
<b>Department of Correction</b>					
PA 13-239 Sec. 2(m)	PA 13-239 Sec. 21(m)	Renovations and improvements to existing state-owned buildings for inmate housing, programming and staff training space and additional inmate capacity, and for support facilities and off-site improvements	-	10,000,000	10,000,000
<b>Department of Children and Families</b>					
PA 13-239 Sec. 2(n)	PA 13-239 Sec. 21(n)	Alterations, renovations and improvements to buildings and grounds	-	1,230,900	1,515,000
<b>Judicial Department</b>					
PA 13-239 Sec. 2(o)(1)	PA 13-239 Sec. 21(o)(1)	Alterations, renovations and improvements to buildings and grounds at state-owned and maintained facilities	-	7,500,000	7,500,000
PA 13-239 Sec. 2(o)(2)	PA 13-239 Sec. 21(o)(2)	Development of a juvenile court building in Meriden or Middletown	-	2,000,000	13,000,000
PA 13-239 Sec. 2(o)(3)	PA 13-239 Sec. 21(o)(3)	Mechanical upgrades and code-required improvements at the superior courthouse in New Haven	-	1,000,000	8,500,000
PA 13-239 Sec. 2(o)(4)	PA 13-239 Sec. 21(o)(4)	Security improvements at various state-owned and maintained facilities	-	1,000,000	1,000,000

Authorizing Act/Section		Agency/Description	FY 13 \$	FY 14 \$	FY 15 \$
PA 13-239 Sec. 95	-	Alterations, renovations and improvements to buildings and grounds at state-owned and maintained facilities, provided that not more than \$750,000 shall be used for repairs, improvements and land acquisition for an annex and parking proximate to the Hartford courthouse facilities. PA 11-57, Sec. 21(n)(1)	-	-	-
<b>Department of Transportation</b>					
PA 13-239 Sec. 13(g)	PA 13-239 Sec. 32(f)	Grants-in-aid for improvements to deep water ports, including dredging	-	5,000,000	5,000,000
<b>Total GO New Bond Authorizations</b>			<b>35,000,000</b>	<b>2,340,500,429</b>	<b>1,556,968,712</b>
<b>Total Clean Water Fund Revenue Bond Authorizations</b>			<b>-</b>	<b>380,430,000</b>	<b>331,970,000</b>
<b>GO Bond Authorizations Cancellations</b>					
<b>Department of Administrative Services</b>					
PA 13-239, Sec. 116		Development and implementation of the Connecticut Education Network. PA 07-7, JSS, Sec. 2(e)(1)	-	(4,100,000) <sup>2</sup>	-
PA 13-239, Sec. 116		Planning for development of an alternate data center. PA 07-7, JSS, Sec. 2(e)(2)	-	(2,500,000) <sup>2</sup>	-
PA 13-239, Sec. 116		Development and implementation of information technology systems for compliance with the Health Insurance Portability and Accountability Act. PA 07-7, JSS, Sec. 2(e)(3)	-	(6,310,500) <sup>2</sup>	-
<b>Department of Emergency Services and Public Protection</b>					
PA 13-239, Sec. 120		Grant-in-aid to Montville to convert the old town hall to a police station. PA 07-7, (JSS), Sec. 13(b)(6)	-	(800,000)	-
<b>Department of Energy and Environmental Protection</b>					
PA 13-239, Sec. 105		Grants-in-aid or loans to municipalities for acquisition of land, for public parks, recreational and water quality improvements, water mains and water pollution control facilities, including sewer projects. SA 01-2, (JSS), Sec. 28(b)(1)	-	(42,000)	-
<b>Department of Economic and Community Development</b>					
PA 13-239, Sec. 85		Flexible Housing Program. SA 05-1, (JSS), Sec. 9	-	(600,000)	-
PA 13-239, Sec. 95		Flexible Housing Program. PA 11-57, Sec. 9(1)	-	(494,817)	-
PA 13-239, Sec. 110		Renovations and restoration at state-owned historic museums. SA 05-1, (JSS), Sec. 21(h)(1)	-	(1,000,000)	-
PA 13-239, Sec. 110		Improvements to Old New Gate Prison. SA 05-1, (JSS), Sec. 21(h)(2)	-	(50,000)	-
PA 13-239, Sec. 117		Alterations, renovations and improvements to the Carter House Visitor Center at the Prudence Crandall House Museum. PA 07-7, (JSS), Sec. 2(m)	-	(500,000)	-
PA 13-239, Sec. 121		Grants-in-aid for restoration and preservation of historic structures and landmarks. SA 07-7, (MSS), Sec. 13(e)(1)	-	(100,000)	-
PA 13-239, Sec. 130		Grants-in-aid for restoration and preservation of historic structures and landmarks. SA 07-7, (JSS), Sec. 32(e)(1)	-	(300,000)	-

Authorizing Act/Section	Agency/Description	FY 13 \$	FY 14 \$	FY 15 \$
<b>Department of Developmental Services</b>				
PA 13-239, Sec. 128	Fire, safety and environmental improvements to regional facilities for client and staff needs, including improvements in compliance with current codes, including intermediate care facilities and site improvements, handicapped access improvements, utilities, repair or replacement of roofs, air conditioning and other interior and exterior building renovations and additions at all state-owned facilities. PA 07-7, (JSS), Sec. 21(j)	-	(44,590)	-
<b>Department of Public Health</b>				
PA 13-239, Sec. 122	Grant-in-aid to Stamford Hospital Foundation for the purchase of women's digital mobile mammography unit. PA 07-7, (JSS), Sec. 13(g)(3)	-	(500,000)	-
<b>Department of Education</b>				
PA 13-239, Sec. 112	Grant-in-aid to Project Oceanology. SA 05-1, (JSS), Sec. 32(g)(3)	-	(500,000)	-
PA 13-239, Sec. 123	Grant-in-aid to the Waterford Country School for construction of a gymnasium. PA 07-7, (JSS), Sec. 13(j)(4)	-	(100,000)	-
<b>State Library</b>				
PA 13-239, Sec. 107	Grant-in-aid to West Hartford for expansion of the West Hartford Main Library. SA 05-1, (JSS), Sec. 13(h)(2)	-	(500,000)	-
PA 13-239, Sec. 113	Grant-in-aid to Waterbury for improvements to Silas Bronson Library. SA 05-1, (JSS), Sec. 32(h)(2)	-	(1,000,000)	-
PA 13-239, Sec. 124	Grants-in-aid to public libraries that are not located in distressed municipalities, for construction, renovations, expansions, energy conservation and handicapped accessibility. PA 07-7, (JSS), Sec. 13(k)(1)	-	(7,902)	-
PA 13-239, Sec. 125	Grant-in-aid to North Branford for renovations and additions to the Edward Smith Library in Northford. PA 07-7, (JSS), Sec. 13(k)(3)	-	(439,025)	-
<b>Board of Regents for Higher Education: Regional Community College System</b>				
PA 13-239, Sec. 132	Quinebaug Valley Community College: Code improvements to the east wing. PA 09-2, (SSS), Sec. 27(d)(3)	-	(555,710)	-
<b>Board of Regents for Higher Education: Connecticut State University System</b>				
PA 13-239, Sec. 118	Eastern Connecticut State University: Alterations, renovations and improvements to facilities, including fire, safety, energy conservation and code compliance improvements. PA 07-7, (JSS), Sec. 2(t)(5)(A)	-	(22,396)	-
PA 13-239, Sec. 118	Eastern Connecticut State University: Development of a new parking garage. PA 07-7, (JSS), Secs. 2(t)(5)(D)	-	(971,000)	-
<b>Department of Children and Families</b>				
PA 13-239, Sec. 108	Grants-in-aid to private nonprofit mental health clinics for children for fire, safety and environmental improvements, including expansion of an existing clinic. SA 05-1, (JSS), Sec. 13(i)(2)	-	(9,060)	-

<b>Authorizing Act/Section</b>	<b>Agency/Description</b>	<b>FY 13 \$</b>	<b>FY 14 \$</b>	<b>FY 15 \$</b>
PA 13-239, Sec. 114	Grants-in-aid to private, nonprofit organizations, including the Boys and Girls Clubs of America, for construction and renovation of community youth centers for neighborhood recreation or education purposes SA 05-1, (JSS), Sec. 32(i)(3)	-	(19,193)	-
PA 13-239, Sec. 126	Grant-in-aid to Pathways-Senderos Teen Pregnancy Prevention Center for acquisition of a new facility. PA 07-7, (JSS), Sec. 13(l)(2)	-	(500,000)	-
PA 13-239, Sec. 126	Grant-in-aid to the Child Guidance Center of Southern Connecticut in Stamford for expansion. PA 07-7, (JSS), Sec. 13(l)(3)	-	(500,000)	-
<b>Department of Transportation</b>				
PA 13-239, Sec. 134	Grants-in-aid for improvements to ports and marinas, including dredging and navigational direction. PA 11-57, Sec. 13(f)	-	(1,250)	-
<b>Total GO Bond Authorizations Cancellations</b>		-	(22,467,443)	-
<b>Authorizations from Prior Sessions</b>				
<b>Department of Labor</b>				
PA 12-1, JSS, Sec. 205	Unemployed Armed Forces Member Subsidized Training and Employment (STEP) Program	-	5,000,000	-
<b>Department of Energy and Environmental Protection</b>				
PA 12-189, Sec. 48	Underground Storage Tank (UST) Program	-	9,000,000	9,000,000
<b>Department of Economic and Community Development</b>				
PA 07-242, Sec. 2	Energy Conservation Loan Fund	-	5,000,000	5,000,000
<b>University of Connecticut</b>				
PA 95-230	UConn 2000	-	198,000,000	208,500,000
PA 12-233	UConn Next Generation - additional authorization	-	6,400,000	107,000,000
<b>Connecticut Innovations, Inc.</b>				
PA 11-1, OSS, Sec. 52	Recapitalize CII	-	25,000,000	25,000,000
PA 11-2, OSS	Connecticut Bioscience Collaboration Program (Jackson Lab)	-	59,728,000	19,669,000
<b>Board of Regents - Connecticut State University System</b>				
PA 07-7, Sec. 105	CSUS 2020	-	95,000,000	95,000,000
<b>Total Authorizations from Prior Sessions</b>		-	403,128,000	469,169,000
<b>NET TOTAL GO BONDS</b>		35,000,000	2,721,160,986	2,026,137,712
<b>Clean Water Fund Revenue Bonds</b>		-	380,430,000	331,970,000

<sup>1</sup>CGS Sec. 3-21 and CGS Sec. 2-27b require that all bills containing new General Obligation bond authorizations be accompanied by a certificate of indebtedness calculation, which is issued by the Office of the State Treasurer. PA 13-268 was passed by the legislature without a certificate, so the funds are not currently available for allocation through the State Bond Commission.

<sup>2</sup>These authorizations were inadvertently cancelled.

## Special Tax Obligation (STO) Bond Authorizations (Transportation Fund)

Authorizing Act/Section		Agency/Description	FY 13 \$	FY 14 \$	FY 15 \$
<b>Bureau of Highways</b>					
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Interstate Highway Program	-	113,000,000	13,000,000
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Urban Systems Projects	-	8,500,000	8,500,000
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Intrastate Highway Program	-	54,000,000	44,000,000
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Environmental compliance, soil and groundwater remediation, hazardous materials abatement, demolition, salt shed construction and renovation, storage tank replacement, and environmental emergency response at or in the vicinity of state-owned properties or related to Department of Transportation operations	-	5,000,000	13,990,000
PA 13-239 Sec. 40	PA 13-239 Sec. 46	State bridge improvement, rehabilitation and replacement projects	-	33,000,000	33,000,000
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Capital resurfacing and related reconstruction projects	-	68,900,000	68,900,000
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Fix-It-First Bridge Repair	-	60,687,500	60,440,000
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Fix-It-First Road Repair	-	55,000,000	55,000,000
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Local Transportation Capital Program	-	45,000,000	45,000,000
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Local Bridge Program	-	15,000,000	-
PA 13-239, Secs. 74 - 82		Local Transportation Capital Program and Local Bridge Program provisions	-	-	-
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Town Aid Road	-	60,000,000	60,000,000
PA 13-247, Sec. 96		The secretary of OPM may allow towns to use Town Aid Road (TAR) grants-in-aid for purposes other than those described in statute.	-	-	-
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Preliminary engineering studies to improve and widen the interchange of interstate 91 and interstate 84 in Hartford	-	200,000	-
<b>Bureau of Aviation and Ports</b>					
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Development and improvement of general aviation airport facilities including grants-in-aid to municipal airports, excluding Bradley International Airport	-	2,000,000	2,000,000
<b>Bureau of Public Transportation</b>					
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Bus and rail facilities and equipment, including rights-of-way, other property acquisition and related projects	-	143,000,000	143,000,000
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Preliminary engineering studies for upgrades of Metro North track infrastructure between New Haven and New York	-	200,000	-
<b>Bureau of Administration</b>					
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Department facilities	-	18,731,600	16,000,000

Authorizing Act/Section		Agency/Description	FY 13 \$	FY 14 \$	FY 15 \$
PA 13-239 Sec. 40	PA 13-239 Sec. 46	Cost of issuance of Special Tax Obligation Bonds and debt service reserve	-	24,700,000	26,000,000
<b>Total STO Bonds</b>			-	<b>706,919,100</b>	<b>588,830,000</b>
<b>TOTAL</b>			<b>35,000,000</b>	<b>3,808,510,086</b>	<b>2,946,937,712</b>
<b>Additional Provisions</b>					
PA 13-184, Secs. 90 & 91		The State Treasurer is authorized to restructure the remaining debt from issuance of Economic Recovery Notes (ERNs) that were used to finance the FY 09 GF deficit by extending the maturity date from FY 16 to FY 18.	-	-	-
PA 13-239, Sec. 135		CGS Secs. 4-30b and 4-30c, which deal with the use of General Fund budget surplus to pay off ERNs and the GAAP deficit, are repealed	-	-	-

## VIII. APPENDIX

### The State Budget Process

**Overview:** The State of Connecticut uses a biennial budget process. In odd-numbered years (2013, 2015), the Governor presents a recommended budget for the next two years at the beginning of February.<sup>14</sup> The Governor's budget recommendations are formulated by the Office of Policy and Management (OPM). In even-numbered years (2014, 2016), the Governor reports on the status of the biennial budget and makes recommendations for revisions and adjustments if they are needed. The state's fiscal year runs from July 1 through June 30.

**Budget Formulation:** The process begins in July when OPM sends instructions to agencies to prepare: (1) a current services funding level and (2) a list of options for expenditure or revenue changes above or below the current services level. From September through October, OPM budget analysts review requests and prepare recommendations. The Governor and the Secretary of OPM review the recommendations and make adjustments.

In February the Governor presents to the legislature: (1) a budget message, (2) recommendations for appropriations (spending) for every agency, (3) revenue projections, (4) drafts of the appropriations, bonding and revenue bills that implement the recommendations and (5) a report on the state's economy.

**The General Assembly** (legislature) has the ultimate "power of the purse," which is to appropriate (authorize to spend) public funds and raise revenue. In February, the legislature's Appropriations Committee begins its review of the Governor's recommendations by holding public hearings on each agency's budget. This is followed by work sessions<sup>15</sup> with subcommittee members, agency heads and staff from the Office of Fiscal Analysis (OFA)<sup>16</sup>. The purpose of these sessions is to produce recommendations that are presented to the Appropriations chairs, who decide what will be included in the final version that is voted on by the committee.

Similar action is taken by the Finance, Revenue and Bonding Committee, which reviews the Governor's revenue-related and capital projects (bonding) recommendations.

**Passage:** After the Appropriations and Finance Committees approve a budget and tax package, the House and Senate leaders, usually in consultation with the Governor's Office and OPM, work to develop final versions of the budget, revenue and bonding bills. Both chambers vote on the final versions and if they are approved by the legislature, such bills go to the Governor to be signed.

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<sup>14</sup> The Governor is responsible for: (1) recommending a balanced budget to the legislature and (2) executing the budget passed by the legislature.

<sup>15</sup> The Appropriations Committee has sub-committees that review the budgets of agencies grouped by function of government.

<sup>16</sup> The Office of Fiscal Analysis is the legislature's non-partisan budget office.



The budget bill is called the **appropriations act** after it is signed by the Governor. The legislature also passes several other bills called **implementers** that describe how funding is to be spent and how cuts are to be made. There are often three implementer bills: (1) general government, (2) human services and (3) education. Sometimes more implementer bills are needed.

The revenue bill or **tax package** contains all revenue-related provisions.

The bond bill(s) also known as the **bond package** authorizes funds for capital projects<sup>17</sup>, financial assistance programs and transportation-related projects.

In some years, legislative leaders choose to combine the tax package, bond bills and appropriations bill into a single document.

**Budget Execution:** Agency appropriations are administered by OPM budget staff through quarterly allotments. The Governor may restrict allotments due to a change in circumstances, or when estimated budget resources will be insufficient to fully finance appropriations.

Bond funds are allocated or made available for expenditure by the State Bond Commission, a joint executive-legislative body. Bond authorizations continue to be available for allocations until they are canceled by the legislature.

**Spending Cap:** The cap on general budget expenditures, which was passed in 1991, specifies that expenditures may not exceed prior year spending by more than a given percentage<sup>18</sup>. The cap calculation exempts: (1) debt service expenditures, (2) grants to distressed municipalities in effect on July 1, 1991, (3) the first year of spending on court orders and federal mandates, and (3) transfers to the Budget Reserve Fund. The cap can only be exceeded if: (a) the Governor declares emergency or extraordinary circumstances and (b) three-fifths of the General Assembly vote to do so.

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<sup>17</sup> Capital projects include new state-owned facilities and equipment, and improvements, repairs and additions to existing state-owned facilities. Financial assistance programs are administered by state agencies and provide funds to municipal and non-government entities through grants and/or loans.

<sup>18</sup> The percentage is the greater of: (1) the average percentage increase in personal income over the preceding 5 years as determined by the U.S. Bureau of Economic Analysis or (2) the percentage increase in inflation during the preceding twelve months as determined by the U.S. Bureau of Labor Statistics.

## Budget and Finance Related Legislation

Public Act	Title/Description
<b>PA 12-1 DSS (HB 7001)</b>	<p><b>AN ACT CONCERNING DEFICIT MITIGATION FOR THE FISCAL YEAR ENDING JUNE 30, 2013.</b></p> <p>This act made General Fund expenditure modifications of \$221.5 million and net revenue adjustments of \$4.2 million to the FY 13 Revised Budget totaling \$225.7 million. This includes: (1) allowing the Office of Policy and Management to make allotment reductions in FY 13 to the specific accounts listed in the act totaling \$210.5 million in the General Fund, (2) \$11 million in General Fund expenditure reductions, (3) revenue loss of \$54.6 million due to expenditure reductions included in the act, (4) transferring \$4.5 million of industry funded agency funds to the General Fund, (5) transferring \$25.8 million in non-appropriated funds to the General Fund and (6) \$28.5 million in other various General Fund revenue increases.</p>
<b>PA 13-3 (SB 1160)</b>	<p><b>AN ACT CONCERNING GUN VIOLENCE PREVENTION AND CHILDREN'S SAFETY.</b></p> <p>This act: (1) establishes new regulations and restrictions on firearms and ammunition, (2) expands mental health services and coordination, 3) enhances school safety standards and funding, and 4) imposes stricter penalties involving crimes committed with a firearm or the transfer of a firearm illegally.</p> <p>This act includes \$15 million in General Obligation Bonds for school security infrastructure competitive grants and \$1 million appropriations to the Department of Emergency Services and Public Protection (DESPP) for the statewide firearms trafficking task force. In addition, PA 13-184, the FY 14 and FY 15 Budget, includes funding of over \$11.2 million in FY 14 and \$7.7 million in FY 15 to support the various provisions included in this act, such as: (1) support of the Deadly Weapons Offender Registry and additional staffing for DESPP, (2) expansion of mental health services and the development of a database of voluntary admission for the Department of Mental Health and Addiction Services (DMHAS) and (3) development of a regional behavioral health consultant and care coordination system supported by the Department of Children and Families.</p>
<b>PA 13-89 (sHB 6495)</b>	<p><b>AN ACT CONCERNING THE ISSUANCE OF MOTOR VEHICLE OPERATORS' LICENSES.</b></p> <p>This act allows individuals who cannot provide the Department of Motor Vehicles with proof of legal residence in the U. S. or a Social Security Number to obtain a driver's license which is estimated to yield additional Special Transportation Fund revenue of \$1.8 million in FY 15. PA 13-184, as adjusted by PA 13-247, provides carry forward funding of \$850,000 in FY 14 for information technology costs and funding of \$565,653 in FY 15 for partial year support for eighteen positions. This funding supports implementation of the act.</p>
<b>PA 13-184 (HB 6704)</b>	<p><b>AN ACT CONCERNING EXPENDITURES AND REVENUE FOR THE BIENNIUM ENDING JUNE 30, 2015.</b></p> <p>This act includes: (1) appropriations in ten funds totaling \$18.6 billion in FY 14 and \$19 billion in FY 15, (2) provisions to implement the budget, (3) \$142 million in FY 13 General Fund deficiency appropriations, (4) various policy changes that yield net revenue increases of \$410.2 million in FY 14 and \$330.1 million in FY 15 and (5) revenue estimates as adopted by the Finance Committee June 1, 2013.</p>

Public Act	Title/Description
<b>PA 13-232</b> <b>(sSB 1052)</b>	<p><b>AN ACT CONCERNING THE INTEREST PAID BY THE STATE ON OVERPAYMENTS OF TAXES, VARIOUS CHANGES TO TAX CREDIT PROGRAMS AVAILABLE UNDER THE INSURANCE PREMIUMS TAX AND THE CORPORATION BUSINESS TAX, EXEMPTIONS FROM THE PETROLEUM PRODUCTS GROSS RECEIPTS TAX, AND A STUDY OF THE STRUCTURE OF THE PERSONAL INCOME TAX.</b></p> <p>This act makes various changes to laws concerning state tax administration. Among other things, it: (1) reduces the period during which the state must pay interest on overpayments of gift, estate, and gross earnings taxes, (2) extends a credit against the petroleum products gross earnings tax to the first sale of petroleum products sold to a purchaser who then incorporates them into paint, coating, or adhesive material use or sale outside Connecticut, (3) requires captive insurance companies to pay premium taxes on assumed reinsurance premiums by March 1 annually, rather than in March, and (4) permits insurance companies and HMOs to transfer to their affiliates an insurance premium tax credit that, under current law, may not be transferred or assigned.</p> <p>This act also makes various changes to business tax credit programs, including: (1) extending, from 15 to 25 years, the maximum period for carrying forward the credit for donating land for educational purposes, (2) allowing taxpayers to whom film infrastructure tax credits were assigned to carry them forward for up to three years, (3) allowing the economic and community development commissioner to limit the period for claiming the three-year job expansion tax credits and imposing an aggregate credit cap for the years they may be claimed and (4) repealing certain obsolete or rarely used tax credit programs.</p> <p>Lastly, this act requires the Department of Revenue Services commissioner to study the state's income tax structure and how its rates and credits affect different taxpayers.</p>
<b>PA 13-233</b> <b>(sSB 840)</b>	<p><b>AN ACT CONCERNING NEXT GENERATION CONNECTICUT.</b></p> <p>This act authorizes \$1,551 million in new bonds for "Next Generation Connecticut," a capital improvement program under the UConn 2000 infrastructure program.</p> <p>This act specifies the purpose of the Next Generation program and requires UConn to develop a comprehensive plan to guide the program's investments. It requires UConn to: (1) develop the plan in consultation with various groups, including leaders in the science, technology, engineering, and math-related industries and (2) annually report to the legislature, beginning January 1, 2016, on its progress towards achieving the plan's goals. It also requires UConn to assess its progress in meeting the Next Generation program's purposes by December 31, 2019 and five years thereafter.</p> <p>Lastly, this act requires UConn to develop a strategic master plan that: (1) encompasses all of its academic programs and (2) establishes strategic goals and objectives for the university and such programs. UConn must submit the plan, by July 1, 2015, to the Higher Education and Finance committees. The committees must hold a joint hearing on the plan within 30 days of receiving it.</p>

Public Act	Title/Description
<b>PA 13-234 (HB 6705)</b>	<p data-bbox="305 174 1516 241"><b>AN ACT IMPLEMENTING THE GOVERNOR'S BUDGET RECOMMENDATIONS FOR HOUSING, HUMAN SERVICES AND PUBLIC HEALTH.</b></p> <p data-bbox="305 245 1516 531">This act makes changes to laws governing state housing, human services, and public health programs. Concerning housing, PA 12-1, June Special Session, established the Department of Housing (DOH) and made it the lead state agency responsible for all housing matters, including housing and neighborhood policy, development, redevelopment, preservation, maintenance, and improvement. This act completes DOH's establishment by transferring to it various housing-related responsibilities from the Department of Economic and Community Development (DECD), the Office of Policy and Management (OPM), and the Department of Social Services (DSS).</p> <p data-bbox="305 573 1516 1037">This act also makes changes in programs that the Departments of Social Services (DSS), Children and Families (DCF) and Public Health administer. The major revisions include: (1) requiring DSS to reimburse acute care hospitals for providing inpatient, outpatient, and emergency room care based on the severity of the patient's diagnosis, (2) eliminates the ConnPACE program, which currently provides pharmacy assistance to elders and individuals with disabilities who do not qualify for Medicare, (3) repeals the Medicaid for Low-Income Adults program, (4) expands the state's False Claims Act to all state programs, not just DSS medical assistance programs, (5) requires a pilot program to improve the educational outcomes of children in state custody, (6) requires DSS to administer a medication step therapy program for Medicaid recipients, (7) makes it easier for nursing homes to recover debt and requires these facilities to report certain information to DSS and (8) makes changes affecting various health care facilities and professions regulated by the Department of Public Health (DPH).</p>
<b>PA 13-239 (sSB 842)</b>	<p data-bbox="305 1045 1516 1144"><b>AN ACT AUTHORIZING AND ADJUSTING BONDS OF THE STATE FOR CAPITAL IMPROVEMENTS, TRANSPORTATION, ELIMINATION OF THE ACCUMULATED GAAP DEFICIT AND OTHER PURPOSES.</b></p> <p data-bbox="305 1148 1516 1329">This act authorizes up to \$1,454 million in state general obligation (GO) bonds for FY 14 and up to \$1,507 million for FY 15 for state capital projects and grant programs, including school construction, water quality, and economic development projects; farmland and open space acquisition and preservation; improvements to state buildings and property; and grants to municipalities and nonprofit entities.</p> <p data-bbox="305 1371 1516 1509">This act additionally authorizes up to: (1) \$712.4 million in revenue bonds over the two years for Clean Water Fund loans and (2) \$706.9 million in special tax obligation (STO) bonds in FY 14 for transportation projects, including \$60 million for the town-aid road grant program. It also cancels \$9.9 million in GO bond authorizations for past years.</p> <p data-bbox="305 1551 1516 1755">This act authorizes the treasurer to issue: (1) up to \$750 million in bonds, notes, or other obligations to reduce the state's accumulated General Fund deficit, determined according to generally accepted accounting principles (GAAP) and (2) additional bonds or other debt to fund up to two years of interest payable or accrued on the bonds and issuance costs. It also commits the state to paying off the remaining GAAP deficit in annual increments over 13 years and authorizes actions to assure bondholders that the state will do so.</p>

Public Act	Title/Description
<b>PA 13-243 (sSB 876)</b>	<p><b>AN ACT CONCERNING AUTHORIZATION OF STATE GRANT COMMITMENTS FOR SCHOOL BUILDING PROJECTS.</b></p> <p>This act approves \$780.3 million in state grant commitments for school construction projects which will result in a General Fund debt service cost of \$1,189.9 million. This includes: (1) \$510.1 million in state general obligation bonds for grant commitments to 27 local school construction projects, (2) \$19.5 million for seven previously authorized local projects that have changed substantially (more than 10%) in cost or scope and (3) \$250.7 million in state grant commitments for school construction projects through various notwithstanding provisions.</p>
<b>PA 13-247 (HB 6706)</b>	<p><b>AN ACT IMPLEMENTING PROVISIONS OF THE STATE BUDGET FOR THE BIENNIUM ENDING JUNE 30, 2015 CONCERNING GENERAL GOVERNMENT.</b></p> <p>This act makes net changes to General Fund appropriations of \$2.8 million in FY 14 and (\$7.2) million in FY 15 and eliminates the FY 15 appropriation of \$3.1 million to the Soldiers, Sailors, and Marines' Fund as a result of transferring operations to the American Legion; makes General Fund revenue changes of \$2.3 million in FY 14 and (\$7.7) million in FY 15; and includes various changes to implement the budget and other changes.</p>
<b>PA 13-271 (HB 6033)</b>	<p><b>AN ACT CONCERNING DISTRACTED DRIVING AND REVISIONS TO THE MOTOR VEHICLE STATUTES.</b></p> <p>This act: (1) increases the fines for driving while distracted, which is estimated to yield additional General Fund revenue of \$450,000 in FY 14 and \$600,000 in FY 15 and (2) increases the renewal fees for motor vehicle licenses and creates fines for certain motor vehicle violations which is estimated to yield additional Special Transportation Fund revenue of \$1.5 million in FY 14 and \$2.9 million in FY 15.</p>
<b>SA 13-23 (sHB 6672)</b>	<p><b>AN ACT CONCERNING THE CONVEYANCE OF CERTAIN PARCELS OF STATE LAND, THE BOUNDARIES OF FENWICK, THE VALIDATIONS OF CERTAIN TOWN ACTIONS, THE CITY POINT YATCH CLUB AND WHEELER LIBRARY.</b></p> <p>This act: (1) authorizes conveyances of state property to the towns of Canton, New Britain, Southbury, and Stamford, (2) amends prior conveyances in Tolland, Wethersfield, and Barkhamsted and New Hartford, (3) authorizes a land exchange in New Britain and Newington, (4) grants a conservation easement in Middletown and (5) repeals a land exchange authorization in Haddam.</p>

## **Glossary of Budget Book Terms**

### **Account/Major Object**

Agency funds are appropriated by “account.” “Major objects” are categories of expenditures within accounts. For example, “Other Expenses” is an account and “Utilities-Fuel” is a major object within the Other Expenses account. An agency generally has discretion to make expenditures within a major object as it sees fit unless it has been instructed to spend funds in a specific manner.

### **Agency Program**

An activity or group of activities that have a common element or theme. The program either:

1. Achieves the same goal or serves the same purpose,
2. Serves slightly different purposes but is performed by the same type of employees, or
3. Provides similar services to the same target population.

For example, the Department of Agriculture administers the Connecticut Grown Product Promotion Program, which provides funding for the state’s locally grown products through several marketing venues.

### **Allotment**

A portion of an “appropriation” (description below) that is made available to pay an agency’s encumbrances (description below) and expenditures (description below) for a certain period or purpose. The Office of Policy and Management (description below) usually allots appropriated funds to agencies on a quarterly basis or, in other words, every three months.

### **Annualization of Partial Year Costs/Funding**

Partial year funding occurs in the first year of implementation of a program, when resources are provided for less than a 12-month period. Annualization of partial year costs or funding refers to providing the amount of resources necessary to fund a full 12-month period of the operation in the second year of the program.

### **Appropriation**

An authorization by the General Assembly to spend and incur liabilities for a specific purpose. The General Assembly appropriates the following ten funds: the General Fund; the Special Transportation Fund; the Mashantucket Pequot and Mohegan Fund; the Soldiers, Sailors and Marines’ Fund; the Regional Market Fund; the Banking Fund; the Insurance Fund; the Consumer Counsel and Public Utility Control Fund; the Workers’ Compensation Fund; and the Criminal Injuries Compensation Fund.

### **Appropriations Committee**

A legislative committee that is currently composed of fifty-six members of either the Senate or the House of Representatives. It is responsible for: (a) reviewing all expenditure-related matters and (b) producing a final committee budget to be voted on

by both chambers and ultimately submitted to the Governor. It also deals with state employees' salaries, benefits and retirement, teachers' retirement, collective bargaining agreements and arbitration awards for all state employees. The committee is divided into 13 subcommittees that review individual agency budgets and make recommendations. The Appropriations subcommittees are:

1. Legislative,
2. General Government A,
3. General Government B,
4. Regulation and Protection,
5. Conservation and Development,
6. Health and Hospitals,
7. Transportation,
8. Human Services,
9. Higher Education,
10. Elementary and Secondary Education,
11. Judicial and Corrections,
12. Collective Bargaining, and
13. Results Based Accountability.

### **Banking Fund**

The Banking Fund is supported by assessments, fees and fines paid by depository institutions supervised by the Department of Banking. Funds are used primarily for the ongoing operation of the Department of Banking.

### **Bond Allocation**

After bond authorizations are passed by the legislature, the State Bond Commission (description below) must allocate the bond funds to actually commit them to a specific capital project or financial assistance program. This means that: (1) the funds are now available for expenditure by the agency, town or organization that received the allocation and (2) the state is prepared to issue bonds to support the allocation.

### **Bond Authorization**

The amount approved by the General Assembly in a bond bill for a specific project or program. Authorizations can be thought of as enabling legislation. A bond authorization must be allocated through the State Bond Commission before an agency can actually expend funds for a specific project or program.

### **Bond Bill**

A type of enabling legislation enacted by the General Assembly based on recommendations from the Finance, Revenue and Bonding Committee. The bill contains new bond authorizations for capital projects and financial assistance programs and revisions to authorizations from prior years.

### **Bond Commission**

See the "State Bond Commission (SBC)" description below.

## **Budget Act**

A budget bill that is approved by both houses of the General Assembly. It usually contains three sections: the front of the budget, the estimated revenues for the period covered by the budget act and the back of the budget language. Descriptions of these sections are as follows:

1. The front of the budget lists appropriations to finance state agency operations over a specific period of time.
2. The revenue estimates indicate the amount of financial resources that are estimated to be available to pay for the state's appropriations. By law, the legislature must pass a balanced budget in which appropriations are equal to estimated revenue. The estimates included in the budget act must first be adopted by the Finance, Revenue and Bonding Committee.
3. Back of the budget language authorizes a variety of expenditure-related provisions that are necessary to carry on state operations. These include the redirection of previously appropriated funds, transfers between funds, carry forwards of funds from one fiscal year to another, changes to statutory language for spending programs like grant payments to towns, and any technical and conforming changes needed to enact the spending plan in the front of the budget.

## **Budget Options**

Funding requests that would result in increases or decreases to the current services level of spending for specific programs. Agencies are required to submit budget options to the Office of Policy and Management when they submit their biennial or revised budget request, usually in the fall of each year.

## **Budget Request**

An agency's itemized plan of required funding to carry out the specific functions assigned to it in state statutes. Each agency's budget request includes: (1) a current services funding level that reflects the resources that would be needed to maintain its current operations and (2) a list of budget options for expenditure changes above or below the current services level.

## **Budget Reserve Fund (BRF – also, Rainy Day Fund)**

A contingency fund in which surplus money is set aside to be drawn upon in case of a future budget deficit. Connecticut's BRF contains unappropriated General Fund dollars designated to be surplus by the Comptroller after the close of the fiscal year. The maximum level of the BRF is 10% of net General Fund appropriations for the fiscal year in progress. The BRF is authorized under CGS Sec. 4-30a.



### **Capital Budget**

The portion of the state budget that: (a) is separate from the operating budget, (b) usually uses borrowed funding, and (c) deals with proposed long-term expenditures and financial assistance programs. Long-term expenditures include:

1. The purchase of land,
2. Construction of new state-owned facilities,
3. Improvements, repairs and additions to existing state-owned facilities,
4. Major equipment purchases and
5. Transportation-related projects.

Financial assistance programs are administered by state agencies and provide funds to municipal and non-government entities through grants and/or loans. Capital budget expenditures are normally covered with bond funds, which are paid off over a 20-year period.

### **Capital Projects Funds**

Funds established to account for grants and bond issue proceeds that are used to acquire or construct major capital facilities for state agencies, fund improvements to transportation infrastructure such as highways and bridges, and provide grants to towns.

### **Carry Forward**

Appropriated dollars that agencies did not spend during the fiscal year and are permitted to "carry forward" for expenditure into the next fiscal year. The specific amount and use of the funds is referenced in statute (e.g., CGS Sec. 4-89), or in other legislation.

### **Comptroller's Office (also, Office of the State Comptroller)**

The Office of the State Comptroller is the state's official bookkeeper. The financial activities of state agencies are recorded centrally in the records of the Comptroller. Among other duties, the Comptroller's Office is responsible for producing and distributing monthly financial reports and an annual report on the state's revenue, expenditures and other related financial information.

### **Consumer Counsel & Public Utility Control Fund**

The Consumer Counsel & Public Utility Control Fund supports the operations of the Office of the Consumer Counsel, the Connecticut Siting Council, the energy branch at the Department of Energy and Environmental Protection including the Public Utilities Regulatory Authority. Each agency assesses the regulated public utility entities (electric, gas, water and cable) to cover the agencies' costs. Each regulated entity is responsible for their portion of the total needs of the agencies, based on their percentage of the public service companies' tax.

### **Criminal Injuries Compensation Fund**

The Criminal Injuries Compensation Fund is administered by the Office of Victim Services within the Judicial Department. The Office of Victim Services compensates

eligible crime victims or their immediate families for actual and reasonable expenses, lost wages, and pecuniary and other losses resulting from injury or death. Maximum awards are \$15,000 for personal injuries and \$25,000 for death. The Criminal Injuries Compensation Fund receives funding from three major sources: 1) General Fund Appropriation (which comes primarily from costs imposed in criminal prosecutions), 2) Federal Funding, and 3) Money from the person directly responsible for a victim's criminal injuries or death.

### **Current Services**

The amount required to provide the same level of services in the succeeding fiscal year as in the current fiscal year plus any scheduled or required changes. Scheduled changes include annualization of partial year costs and increases based on current law. Required changes include an adjustment for: (a) inflation and (b) caseload increases.

### **Debt Service Funds**

Funds established to account for the accumulation of resources for the payment of general long-term principal and interest on bonds, including General Obligation (GO) bonds, Special Tax Obligation (STO) bonds, and self-liquidating bonds used to fund rental housing and university dormitories.

### **Deficiency Appropriation**

A supplemental appropriation made for an agency based on a need for increased funding during a fiscal year.

### **Deficit**

A budget deficit occurs when more money is projected to be spent than is projected to be taken in. The opposite of a budget deficit is a budget surplus. The term deficiency is used to describe a shortfall in a specific account/agency.

### **Disbursements**

Payments in cash or check regardless of the purpose.

### **Equipment**

One of the three major categories of accounts (i.e., Personal Services, Other Expenses, etc.). Equipment includes machinery, tools, furniture, vehicles, apparatus, etc., with a value greater than \$1,000 and a useful life of more than one year. Also included are all books, regardless of cost, purchased for the State Library and other agency libraries where the library is a separate operating division or unit.

### **Employee Fringe Benefits**

Certain non-salary benefits for employees. These include: pensions, unemployment compensation, health and life insurance, social security. For the General Fund and the Special Transportation Fund, fringe benefits are not part of an agency's budget but are appropriated to the fringe benefit accounts and administered by the Comptroller. For other appropriated funds, fringe benefit costs are budgeted to the specific accounts

within the agency budget and recovered by the Comptroller based on an actual costs basis.

**Enabling Legislation**

See the “Implementer Bills” description below.

**Encumbrances**

Funds from requisitions and purchase orders that have been committed for an account, but have not yet been invoiced.

**Expenditure**

Funds committed to be paid for a particular service or goods rendered.

**Federal Grants**

Funds made available to the state by the federal government in order to reimburse the state for specific expenditures or to encourage specific programs or projects.

**Fiduciary Funds**

Assets held when the state serves as an agent for individuals or government units. In the future, assets within these funds will be transferred to other state funds or to sources outside the state (e.g., various retirement funds).

**Finance Advisory Committee (FAC)**

A joint legislative–executive body; composed of the Governor, Lieutenant Governor, Treasurer, Comptroller, two Senate members and three House members of the Appropriations Committee. The committee’s main task involves approving the transfer of funds between accounts within an agency. The committee meets on the first Thursday of every month, or as needed during the legislative session.

**Finance, Revenue and Bonding Committee**

This committee has cognizance over matters relating to finance, revenue, capital bonding and taxation and all bills on such matters favorably reported by any other committee. Also, all matters relating to the Department of Revenue Services and revenue aspects of the Division of Special Revenue are referred to this committee.

**Fiscal Note**

A brief statement of costs/savings or the revenue impact of a favorably reported bill and or any amendments to said bill.

**Fiscal Year (FY)**

For state government, this is the twelve month period from July 1st through June 30th, at the end of which books are closed in order to determine the state’s financial condition and the net results of its operations. The federal government’s fiscal year begins on October 1st and runs through September 30th.

**Fixed Charge or Grant**

Payments to institutions, agencies, individuals or undertakings that do or do not function directly under state control. Fixed charges are divided into either Payments to Other Than Local Governments (Grants - Other) and Payments to Local Governments (Grants - Local or Town Grants).

**Function of Government**

The budget document is separated into eleven categories: Legislative; General Government; Regulation and Protection; Conservation and Development, Health and Hospitals; Transportation; Human Services; Education, Museums and Libraries; Corrections; Judicial; and Non-Functional. Non-Functional includes those accounts that do not easily fit into one of the other categories of government such as Debt Service, the central Workers' Compensation account, and Fringe Benefits by the Comptroller.

**Fund**

An independent financial and accounting entity. It has a self-balancing set of accounts and records, cash and other financial resources. Monies within the fund share a common purpose or objective in accordance with special regulations, restrictions, or limitations.

**Funded Positions**

The number of full-time positions that could be filled by an agency during the fiscal year without incurring a deficit: (1) after turnover is taken into account, and (2) provided that all other personal services items were expended as budgeted.

**General Fund (GF)**

The main operating fund of the state government that is used to finance the majority of the operations of the state. The fund receives income from taxes, federal aid, licenses, permits and fees and is operated according to a budget plan adopted by the General Assembly.

**General Obligation (GO) Bonds**

Nontaxable or taxable bonds issued by the state that pledge the revenue stream from the state's General Fund to pay debt service. They are used to finance: (1) the construction of state buildings, (2) capital improvements to state buildings and parks, (3) school construction grants-in-aid to towns, and (4) grants and loans for housing, economic development, community care facilities, and open space. The General Assembly has also authorized the issuance of two specialized forms of GO bonds, UConn 2000 Infrastructure Improvement Bonds and Tax Incremental Financing (TIF) Bonds.

**Governor's Recommended Biennial Budget**

The financial recommendations of the Governor that are contained in a budget document and presented for action by the legislature each February in odd-numbered years. The State of Connecticut uses a biennial budget process. In the first year the Governor's budget covers a two year period.

**Governor's Recommended Budget Revisions**

Midterm (mid-biennial) adjustments made to the second year of the biennium budget, as proposed by the Governor for action by the legislature each February in even-numbered years.

**Grant Payments to Towns**

Payments to Connecticut's towns and cities for a specified purpose or reimbursements for expenses already incurred. Education grants make up the largest portion of these payments. These payments are made to the towns, not to the Boards of Education or other local subsidiary bodies.

**Grant Payments Other Than to Towns**

State obligations that are not part of an agency's direct operating budget (e.g., Temporary Assistance to Needy Families, Medicaid, and payments to the Teachers' Retirement Fund).

**Holdback**

The amount retained (i.e., "held back") by the Office of Policy and Management to an agency to achieve the amount of overall General Fund savings assumed in the budget adopted by the General Assembly. A holdback is also referred to as a "budgeted lapse" or an allotment reduction.

**Implementer Bills (also, Enabling Legislation)**

A series of bills that describe how budgeted funding is to be spent and how cuts are to be made. Many times the budget will contain the necessary funding for a program but it will not contain the necessary statutory changes that are needed to enable the program to function as intended.

**Insurance Fund**

The Insurance Fund supports the operation of the Department of Insurance and the Office of the Healthcare Advocate. The Department assesses domestic insurance companies and entities to cover the cost of these agencies. The assessment is built around the total amount of premium taxes paid to the Department of Revenue Services by domestic insurance companies and entities for the preceding year.

**Internal Service Funds**

Funds used for the financing of goods or services provided by one department or agency to other departments or agencies of the state, or to other governmental units, on a cost-reimbursed basis (e.g., Data Processing). The Internal Service Funds are: (1) the Correction Industries Fund, (2) the Technical Services Fund, (3) the General Services Fund, and (4) the Capital Equipment Data Processing Fund.

**Lapse**

Appropriated funds that (1) an agency does not or cannot spend by the end of the fiscal year and (2) are not carried forward into the next fiscal year. A lapse may be naturally occurring (the funding available is more than is needed), or may be a “budgeted” lapse, which is programmed in the budget adopted by the General Assembly specifically in order to achieve savings (see “holdback” above).

**Line Item**

A single account listed in the appropriations bill that provides funding for a specific type of expenditure. Examples include Personal Services, Other Expenses and Equipment.

**Mashantucket Pequot and Mohegan Fund**

The Mashantucket Pequot and Mohegan Fund is an appropriation that reduces the amount of slot machine revenues that are otherwise deposited into the General Fund. The appropriation provides grants to towns.

**New or Expanded Services**

Changes which represent policy decisions that have been made to expand current services or provide new services. Increases in revenue may or may not be associated with these changes.

**Office of Fiscal Analysis (OFA)**

A nonpartisan professional office of the Connecticut General Assembly established in 1973 that supports the legislature in both budget formulation and execution. Its primary function is to provide technical support to the Committee on Appropriations and the Committee on Finance, Revenue and Bonding, as well as the other committees and members of the legislature. OFA analyzes the Governor’s budget recommendations, determines fiscal impact of legislation by completing fiscal notes on bills and amendments, and responds to fiscal requests by legislators.

**Office of Policy and Management (OPM)**

The executive branch budget office, established in 1977. OPM develops forms and instructions to be used by state agencies in submitting their budget requests. It works closely with the Governor in developing the budget for presentation to the legislature by implementing and monitoring execution of the budget as adopted by the General Assembly. OPM is composed of seven divisions that report to the Office of the Secretary:

1. Administration,
2. Budget and Financial Management,
3. Criminal Justice Policy and Planning Division,
4. Finance,
5. Intergovernmental Policy,
6. Labor Relations, and
7. Policy Development and Planning.

**Office of the State Comptroller**

See the “Comptroller’s Office” description above.

**Operating Budget**

An itemized summary of estimated funding needs for a given period of time that will allow an agency to carry out the specific functions assigned to it in state statutes.

**Other Current Expenses (OCE)**

A category of expenses that can be used for PS, OE, Equipment or fixed charges directly associated with that program. Funds appropriated for a nonfunctional OCE account can be used for any category of expenditure as long as it is consistent with the purpose of the appropriation.

**Other Expenses (OE)**

One of the three major categories of accounts (i.e., Personal Services, Other Expenses and Equipment). OE is comprised of Contractual Services, Commodities and Sundry. Contractual Services are considered compensation for services secured by contract. Commodities refer to all supplies, materials and equipment not normally regarded as capital items. Sundry charges include all expenditures not properly assignable to other standard accounts, for example: employee fringe benefits, lottery prizes, and student grants.

**Personal Services (PS)**

One of the three major categories of accounts (i.e., Personal Services, Other Expenses and Equipment). PS is used for actual direct payroll costs. For example: full-time, part-time and temporary employee salaries, overtime, payments for vacation and sick leave, longevity and shift differential. Fringe benefits are not included here.

**Personal Services (PS) Reduction**

A reduction in personal services that often reflects economies and efficiencies in agency operations.

**Policy Changes**

A shift in direction or the course of action for a program or adopted legislation, or the set of principles on which they are based.

**Program Budget**

An estimate of proposed expenditures expressed as major programs of sub-programs of the budgeted agencies and the means of financing them. This includes program objectives, description of program, performance measures, and an explanation of significant program changes requested and recommended.

**Program and Outcome Measures**

These measures quantify the key aspects of program performance such as workload, output, outcome, client/employee ratios, response times, etc.

## **Rainy Day Fund**

See the “Budget Reserve Fund” description above.

## **Regional Market Operation Fund**

The Regional Market Operation Fund is operated by the state as a self sustaining non-profit venture which is fully funded by fees generated from the operation of the Market. Its purpose is to provide a central location for farmers and wholesalers to sell and distribute food and other agricultural products. The Market covers 32 acres, contains 230,386 square feet of warehouse space, an active railroad spur and 144 stalls in the farmers' market.

## **Reimbursement**

Cash or other assets received in order to repay the cost of work or services rendered, or other expenditure made on behalf of another governmental unit, fund or department.

## **Rescission**

Cancellation of the authority to expend money previously approved by the legislature and Governor. The Governor is permitted to reduce state agency allotments by up to: (1) 3% of the total appropriation from any fund or (2) 5% of any appropriation. As part of a deficit mitigation plan to address the Comptroller's projected deficit of more than 1% of General Fund appropriations, the Governor may seek Finance Advisory Committee approval to reduce total appropriations from any fund by up to 5%. The Governor is granted rescission authority under CGS Sec. 4-85.

## **Results Based Accountability (RBA)**

A management tool that can facilitate collaboration among various agencies, as a method of decentralizing services, and as an innovative regulatory process. The term implies that expected results (also known as goals) are clearly articulated, and that data are regularly collected and reported to address questions of whether results have been achieved.

## **Revenue**

Cash paid to or collected by the state. Connecticut receives revenue from a variety of sources, including: (1) state taxes, such as the sales tax and the income tax, (2) federal grants and payments, (3) licenses, permits and fees, and (4) Indian Gaming Payments from Foxwoods and the Mohegan Sun casinos.

## **Soldiers, Sailors and Marines' Fund**

The Soldiers, Sailors and Marines' Fund provides temporary financial assistance to help meet the health and maintenance needs of eligible veterans, their dependents and survivors. The Fund currently exists as both an independent, appropriated agency and as a Trust Fund that is administered by the State Treasurer. The investment income from the Trust Fund is distributed to the Soldiers, Sailors and Marines' Special Revenue Fund to support the operations of the agency. In FY 15, the fund will no longer be an appropriated fund or administered directly by the state. Instead, it will be administered



by the American Legion and the State Treasurer will maintain fiduciary oversight of the Trust Fund.

### **Special Revenue Fund**

Any fund that can only be used only in accordance with specific regulations. Special revenue funds are created by law to finance particular activities with revenue from specific taxes or other sources. Examples of such funds include the Special Transportation Fund, the Soldiers, Sailors and Marines Fund, the Regional Market Operation Fund, and Higher Education Tuition Funds.

### **Special Tax Obligation (STO) Bonds**

Nontaxable bonds issued by the state that pledge a dedicated revenue stream from the state's motor fuels tax, oil companies tax, sales tax collected on motor vehicle sales, and motor vehicle registrations, licenses and fees. They are used to finance the state's portion of the cost of highway and bridge construction and maintenance. They also fund limited grants to towns for local road improvement.

### **Special Transportation Fund (STF)**

The operating fund of the State Department of Transportation and the State Department of Motor Vehicles. The STF funds the ordinary, everyday operations of these agencies, including fringe benefits for employees and debt service costs on Special Tax Obligation (STO) bonds, which are used to fund road and bridge improvement projects.

### **State Bond Commission (SBC)**

The State Bond Commission (SBC): (1) provides capital budget projects and programs with funding through the allocation of bond funds and (2) approves the amount and timing of bond sales requested by the State Treasurer. The SBC does this based on an agenda determined by the Governor. It is composed of 10 members, including: six executive members (the Governor, the Treasurer, the Comptroller, the Attorney General, the Secretary of the Office of Policy and Management, the Commissioner of Administrative Services) and four legislative members (the House and Senate chairs and ranking members of the Finance, Revenue and Bonding Committee). SBC meetings are scheduled in every month except November.

### **Surplus**

A budget surplus occurs when an entity takes in more money than it spends. A projected surplus occurs before the year ends. An actual surplus occurs after the state's books are closed. The opposite of a budget surplus is a budget deficit.

### **Tax Expenditure**

Tax credits, deductions, exclusions, exemptions, deferrals, and preferential rates that are used to implement government policies. Tax expenditures may be used to help people save for retirement, buy a home, or pay for college; others encourage companies to invest in green energy technologies or build new plants. Examples include the personal income tax deduction for contributions to the Connecticut Higher Education Trust and

the sales tax exemption for residential weatherization products that meet federal Energy Star standards.

**Transfers**

The movement of funds from one funding category to another. This may include: (1) the redirection of resources within an agency, (2) transfers from one agency to another, and (3) transfers from one fund to another.

**Turnover**

A net adjustment made to the Personal Services account that reflects: (1) the natural reduction made to an agency's payroll due to the loss of employees through voluntary separation, retirements, deaths and transfers and (2) payroll increases due to the introduction of new employees.

**Workers' Compensation Fund**

The Workers' Compensation Fund supports the operation of the Workers' Compensation Commission. The Commission administers the Connecticut workers' compensation system according to the provisions of the Workers' Compensation Act including determining benefits for work-related disabilities and injuries. The State Treasurer assesses private insurance companies and employers to cover the Commission's annual costs. The assessment is built around the proportion of the preceding year's expenses that the state bore on behalf of each self-insured employer or private insurance carrier.

## Index of Agency Budget Detail

	<b>Page</b>
Administrative Services, Department of .....	135
African-American Affairs Commission .....	79
Aging, Commission on .....	67
Aging, State Department of.....	360
Agricultural Experiment Station .....	273
Agriculture, Department of.....	225
Asian Pacific American Affairs Commission.....	82
Attorney General.....	150
Auditors of Public Accounts .....	65
Banking, Department of.....	181
Board of Regents for Higher Education.....	426
Chief Medical Examiner, Office of the .....	289
Children, Commission on.....	73
Children and Families, Department of .....	439
Comptroller, State .....	101
Construction Services, Department of .....	146
Consumer Counsel, Office of.....	188
Consumer Protection, Department of .....	196
Correction, Department of .....	432
Criminal Justice, Division of .....	154
Debt Service - State Treasurer .....	469
Developmental Services, Department of .....	293
Early Childhood, Office of.....	396
Economic and Community Development, Department of .....	247
Education, Department of .....	375
Emergency Services and Public Protection, Department of.....	160
Energy and Environmental Protection, Department of .....	231
Environmental Quality, Council on.....	244
Governor's Office .....	85
Governmental Accountability, Office of.....	110
Healthcare Advocate, Office of the .....	192
Higher Education, Office of .....	406
Housing, Department of.....	265
Human Rights and Opportunities, Commission on .....	213
Insurance, Department of.....	185
Judicial Department.....	454
Labor, Department of .....	201
Latino and Puerto Rican Affairs, Commission on .....	76
Legislative Management.....	59
Library, State .....	401

	<b>Page</b>
Lieutenant Governor's Office.....	95
Mental Health and Addiction Services, Department of.....	305
Military Department.....	177
Miscellaneous Appropriations to the Governor .....	468
Motor Vehicles, Department of .....	170
Persons with Disabilities, Office of Protection and Advocacy for .....	217
Policy and Management, Office of.....	118
Psychiatric Security Review Board .....	318
Public Defender Services Commission .....	464
Public Health, Department of .....	276
Rehabilitation, State Department of .....	367
Reserve for Salary Adjustments .....	484
Revenue Services, Department of .....	105
Secretary of the State .....	89
Social Services, Department of .....	330
Soldiers, Sailors, and Marines Fund .....	364
State Comptroller-Miscellaneous.....	473
State Comptroller-Fringe Benefits.....	475
Teachers' Retirement Board .....	422
Transportation, Department of.....	320
Treasurer, State.....	98
Debt Service - State Treasurer.....	469
University of Connecticut.....	413
University of Connecticut Health Center .....	418
Veterans Affairs, Department of .....	131
Women, Commission on the Status of .....	70
Workers' Compensation Claims-Department of Administrative Services .....	486
Workers' Compensation Commission .....	221